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## HOUSE BILL 3128

State of Washington 60th Legislature 2008 Regular Session

By Representatives Miloscia, Ormsby, and Kelley

Read first time 01/22/08. Referred to Committee on State Government & Tribal Affairs.

- 1 AN ACT Relating to improving executive ethics; amending RCW
- 2 42.52.360; adding new sections to chapter 42.52 RCW; and adding a new
- 3 section to chapter 43.09 RCW.
- 4 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- 5 <u>NEW SECTION.</u> **Sec. 1.** A new section is added to chapter 42.52 RCW to read as follows:
- 7 It is the intent of the legislature to improve the ethical and
- 8 moral standards, culture, and conduct of all elected senior leadership
- 9 and staff to become the highest in the nation. In addition, the
- 10 legislature intends to increase public confidence in the state's
- 11 governmental processes and the leadership of its public agencies the
- 12 highest in the nation. The legislature also intends to improve ethics
- 13 and integrity education and training programs and to make the ethics
- 14 and integrity of all clients, partners, and vendors to be the best in
- 15 the nation. With this work, the elected officials, senior executive
- 16 leadership, and staff will be recognized as state and national leaders
- in ethics and integrity.

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- 1 **Sec. 2.** RCW 42.52.360 and 2005 c 106 s 5 are each amended to read 2 as follows:
  - (1) The executive ethics board shall enforce this chapter and rules adopted under it with respect to statewide elected officers and all other officers and employees in the executive branch, boards and commissions, and institutions of higher education.
  - (2) The executive ethics board shall enforce this chapter with regard to the activities of university research employees as provided in this subsection.
- (a) With respect to compliance with RCW 42.52.030, 42.52.110, 42.52.130, 42.52.140, and 42.52.150, the administrative process shall be consistent with and adhere to no less than the current standards in regulations of the United States public health service and the office of the secretary of the department of health and human services in Title 42 C.F.R. Part 50, Subpart F relating to promotion of objectivity in research.
  - (b) With respect to compliance with RCW 42.52.040, 42.52.080, and 42.52.120, the administrative process shall include a comprehensive system for the disclosure, review, and approval of outside work activities by university research employees while assuring that such employees are fulfilling their employment obligations to the university.
  - (c) With respect to compliance with RCW 42.52.160, the administrative process shall include a reasonable determination by the university of acceptable private uses having de minimis costs to the university and a method for establishing fair and reasonable reimbursement charges for private uses the costs of which are in excess of de minimis.
    - (3) The executive ethics board shall:
  - (a) Develop and provide educational materials and training;
- 31 (b) Adopt rules and policies governing the conduct of business by 32 the board, and adopt rules defining working hours for purposes of RCW 33 42.52.180 and where otherwise authorized under chapter 154, Laws of 34 1994;
  - (c) Issue advisory opinions;

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- 36 (d) Investigate, hear, and determine complaints by any person or on 37 its own motion;
  - (e) Impose sanctions including reprimands and monetary penalties;

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- 1 (f) Recommend to the appropriate authorities suspension, removal 2 from position, prosecution, or other appropriate remedy; ((and))
  - (g) Establish criteria regarding the levels of civil penalties appropriate for violations of this chapter and rules adopted under it:
- 5 (h) Develop a governor's integrity and ethics award program, 6 including criteria for determining annual award recipients;
  - (i) Develop a statewide plan to provide overall direction and accountability in all executive branch agencies and statewide elected offices;
- 10 <u>(j) Coordinate and work with the commission on judicial conduct and</u>
  11 <u>the legislative ethics board;</u>
- 12 <u>(k) Assess and evaluate each agency's ethical culture through</u>
  13 <u>employee and stakeholder surveys and publish an annual report on the</u>
  14 results to the public; and
- (1) Solicit outside evaluations, studies, and recommendations for improvements from academics, nonprofit organizations, the public disclosure commission, or other entities with expertise in ethics, integrity, and the public sector.
  - (4) The board may:

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- 20 (a) Issue subpoenas for the attendance and testimony of witnesses 21 and the production of documentary evidence relating to any matter under 22 examination by the board or involved in any hearing;
  - (b) Administer oaths and affirmations;
  - (c) Examine witnesses; and
- 25 (d) Receive evidence.
- 26 (5) Except as provided in RCW 42.52.220, the executive ethics board 27 may review and approve agency policies as provided for in this chapter.
- 28 (6) This section does not apply to state officers and state 29 employees of the judicial branch.
- NEW SECTION. Sec. 3. A new section is added to chapter 42.52 RCW to read as follows:
- The governor's integrity and ethics award program is created and will be administered by the executive ethics board. Annually, the executive ethics board will recognize local governments, private sectors businesses, educational institutions, and nonprofit organizations with the best ethics and integrity program.

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- NEW SECTION. Sec. 4. A new section is added to chapter 42.52 RCW to read as follows:
- All statewide elected officers, and all other officers and employees in the executive branch, boards and commissions, and institutions of higher education must attend annual ethics and
- 6 integrity training. Failure to fulfill this annual obligation will
- 7 cause the forfeiture of all compensation related to a person's office
- 8 or employment.

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- 9 <u>NEW SECTION.</u> **Sec. 5.** A new section is added to chapter 42.52 RCW to read as follows:
  - (1) Each statewide elected officer and all other agencies in the executive branch must develop an annual ethics and integrity plan for agency leadership and staff. The plan must be updated annually, be made available to the public, and:
    - (a) Include project management, targets, and timelines;
- 16 (b) Integrate with other accountability, ethics, and integrity 17 programs;
- 18 (c) Identify national and international best practices and superior 19 results;
  - (d) Identify opportunities for improvement and barriers to success;
  - (e) Develop public-private partnerships where appropriate; and
- 22 (f) Provide an annual report to the legislature that includes 23 recommendations for improving applicable statutes and existing programs 24 and results.
- 25 (2) In addition, each statewide elected officer and all other 26 agencies in the executive branch must create an independent advisory 27 committee of employees and citizens.
- 28 (3) The governor must perform a government accountability and 29 performance review on a quarterly basis.
- 30 <u>NEW SECTION.</u> **Sec. 6.** A new section is added to chapter 43.09 RCW to read as follows:
- Beginning January 1, 2009, and continuing every four years thereafter, the state auditor must audit the executive ethics board.

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