CERTIFICATION OF ENROLLMENT

SUBSTITUTE SENATE BILL 5295

61st Legislature 2010 Regular Session

Passed by the Senate March 8, 2010 YEAS 47 NAYS 0

President of the Senate

Passed by the House February 28, 2010 YEAS 96 NAYS 0

Speaker of the House of Representatives

Approved

FILED

Secretary of State State of Washington

Secretary

CERTIFICATE

I, Thomas Hoemann, Secretary of the Senate of the State of Washington, do hereby certify that the attached is **SUBSTITUTE SENATE BILL 5295** as passed by the Senate and the House of Representatives on the dates hereon set forth.

Governor of the State of Washington

SUBSTITUTE SENATE BILL 5295

AS AMENDED BY THE HOUSE

Passed Legislature - 2010 Regular Session

State of Washington 61st Legislature 2010 Regular Session

By Senate Government Operations & Elections (originally sponsored by Senators Kline, Oemig, Rockefeller, Holmquist, King, Hatfield, and Hobbs)

READ FIRST TIME 02/23/09.

AN ACT Relating to the unanimous recommendations of the public records exemptions accountability committee; amending RCW 70.05.170, 42.56.380, 41.04.362, 28C.18.020, 79A.25.150, 42.56.330, and 42.56.250; reenacting and amending RCW 42.56.360; and repealing RCW 41.04.364.

5 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:

6 **Sec. 1.** RCW 70.05.170 and 2009 c 134 s 1 are each amended to read 7 as follows:

(1)(a) The legislature finds that the mortality rate in Washington 8 9 state among infants and children less than eighteen years of age is 10 unacceptably high, and that such mortality may be preventable. The 11 legislature further finds that, through the performance of child mortality reviews, preventable causes of child mortality can be 12 13 identified and addressed, thereby reducing the infant and child 14 mortality in Washington state.

(b) It is the intent of the legislature to encourage the performance of child death reviews by local health departments by providing necessary legal protections to the families of children whose deaths are studied, local health department officials and employees, and health care professionals participating in child mortality review
 committee activities.

(2) As used in this section, "child mortality review" means a 3 4 process authorized by a local health department as such department is defined in RCW 70.05.010 for examining factors that contribute to 5 deaths of children less than eighteen years of age. б The process may include a systematic review of medical, clinical, and hospital records; 7 8 home interviews of parents and caretakers of children who have died; analysis of individual case information; and review of this information 9 by a team of professionals in order to identify modifiable medical, 10 11 socioeconomic, public health, behavioral, administrative, educational, 12 and environmental factors associated with each death.

13 (3) Local health departments are authorized to conduct child 14 mortality reviews. In conducting such reviews, the following 15 provisions shall apply:

16 (a) ((All medical records, reports, and statements procured by, 17 furnished to, or maintained by a local health department pursuant to 18 chapter 70.02 RCW for purposes of a child mortality review are confidential insofar as the identity of an individual child and his or 19 20 her adoptive or natural parents is concerned. Such records may be used 21 solely by local health departments for the purposes of the review. 22 This section does not prevent a local health department from publishing 23 statistical compilations and reports related to the child mortality 24 review, if such compilations and reports do not identify individual cases and sources of information. 25

26 (b) Any records or documents supplied or maintained for the 27 purposes of a child mortality review are not subject to discovery or subpoena in any administrative, civil, or criminal proceeding related 28 to the death of a child reviewed. This provision shall not restrict or 29 30 limit the discovery or subpoena from a health care provider of records or documents maintained by such health care provider in the ordinary 31 course of business, whether or not such records or documents may have 32 33 been supplied to a local health department pursuant to this section.

34 (c) Any summaries or analyses of records, documents, or records of 35 interviews prepared exclusively for purposes of a child mortality 36 review are not subject to discovery, subpoena, or introduction into 37 evidence in any administrative, civil, or criminal proceeding related 38 to the death of a child reviewed.)) All health care information 1 collected as part of a child mortality review is confidential, subject 2 to the restrictions on disclosure provided for in chapter 70.02 RCW. 3 When documents are collected as part of a child mortality review, the 4 records may be used solely by local health departments for the purposes 5 of the review;

6 (b) No identifying information related to the deceased child, the 7 child's guardians, or anyone interviewed as part of the child mortality 8 review may be disclosed. Any such information shall be redacted from 9 any records produced as part of the review;

(c) Any witness statements or documents collected from witnesses, 10 or summaries or analyses of those statements or records prepared 11 exclusively for purposes of a child mortality review, are not subject 12 13 to public disclosure, discovery, subpoena, or introduction into evidence in any administrative, civil, or criminal proceeding related 14 to the death of a child reviewed. This provision does not restrict or 15 limit the discovery or subpoena from a health care provider of records 16 or documents maintained by such health care provider in the ordinary 17 course of business, whether or not such records or documents may have 18 been supplied to a local health department pursuant to this section. 19 20 This provision shall not restrict or limit the discovery or subpoena of 21 documents from such witnesses simply because a copy of a document was collected as part of a child mortality review; 22

(d) No local health department official or employee, and no members of technical committees established to perform case reviews of selected child deaths may be examined in any administrative, civil, or criminal proceeding as to the existence or contents of documents assembled, prepared, or maintained for purposes of a child mortality review.

(e) This section shall not be construed to prohibit or restrict any
person from reporting suspected child abuse or neglect under chapter
26.44 RCW nor to limit access to or use of any records, documents,
information, or testimony in any civil or criminal action arising out
of any report made pursuant to chapter 26.44 RCW.

(4) The department shall assist local health departments to collect the reports of any child mortality reviews conducted by local health departments and assist with entering the reports into a database to the extent that the data is not protected under subsection (3) of this section. Notwithstanding subsection (3) of this section, the department shall respond to any requests for data from the database to

the extent permitted for health care information under chapter 70.02 RCW. In addition, the department shall provide technical assistance to local health departments and child death review coordinators conducting child mortality reviews and encourage communication among child death review teams. The department shall conduct these activities using only federal and private funding.

7 (5) This section does not prevent a local health department from 8 publishing statistical compilations and reports related to the child 9 mortality review. Any portions of such compilations and reports that 10 identify individual cases and sources of information must be redacted.

11 **Sec. 2.** RCW 42.56.380 and 2009 c 33 s 37 are each amended to read 12 as follows:

13 The following information relating to agriculture and livestock is 14 exempt from disclosure under this chapter:

15

(1) Business-related information under RCW 15.86.110;

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(2) Information provided under RCW 15.54.362;

(3) Production or sales records required to determine assessment levels and actual assessment payments to commodity boards and commissions formed under chapters 15.24, 15.26, 15.28, 15.44, 15.65, 15.66, 15.74, 15.88, 15.115, 15.100, 15.89, and 16.67 RCW or required by the department of agriculture to administer these chapters or the department's programs;

(4) Consignment information contained on phytosanitary certificates issued by the department of agriculture under chapters 15.13, 15.49, and 15.17 RCW or federal phytosanitary certificates issued under 7 C.F.R. 353 through cooperative agreements with the animal and plant health inspection service, United States department of agriculture, or on applications for phytosanitary certification required by the department of agriculture;

(5) Financial and commercial information and records supplied by 30 31 persons (a) to the department of agriculture for the purpose of conducting a referendum for the potential establishment of a commodity 32 33 board or commission; or (b) to the department of agriculture or 34 commodity boards or commissions formed under chapter 15.24, 15.28, 35 15.44, 15.65, 15.66, 15.74, 15.88, 15.115, 15.100, 15.89, or 16.67 RCW 36 with respect to domestic or export marketing activities or individual 37 producer's production information;

- 1 (6) ((Except under RCW 15.19.080, information obtained regarding 2 the purchases, sales, or production of an individual American ginseng 3 grower or dealer;
- 4 (7) Information that can be identified to a particular business and 5 that is collected under RCW 15.17.140(2) and 15.17.143 for certificates 6 of compliance;
- 7 (8) Financial statements provided under RCW 16.65.030(1)(d);))
 8 Information obtained regarding the purchases, sales, or production of
 9 an individual American ginseng grower or dealer, except for providing
 10 reports to the United States fish and wildlife service under RCW
 11 15.19.080;
- 12 (7) Information collected regarding packers and shippers of fruits 13 and vegetables for the issuance of certificates of compliance under RCW 14 15.17.140(2) and 15.17.143;
- 15 (8) Financial statements obtained under RCW 16.65.030(1)(d) for the 16 purposes of determining whether or not the applicant meets the minimum 17 net worth requirements to construct or operate a public livestock 18 market;
- (9) Information submitted by an individual or business for the purpose of participating in a state or national animal identification system. Disclosure to local, state, and federal officials is not public disclosure. This exemption does not affect the disclosure of information used in reportable animal health investigations under chapter 16.36 RCW once they are complete; and
- (10) Results of testing for animal diseases not required to be reported under chapter 16.36 RCW that is done at the request of the animal owner or his or her designee that can be identified to a particular business or individual.
- Sec. 3. RCW 42.56.360 and 2009 c 1 s 24 (Initiative Measure No. 1000) and 2008 c 136 s 5 are each reenacted and amended to read as follows:
- 32 (1) The following health care information is exempt from disclosure 33 under this chapter:
- 34 (a) Information obtained by the board of pharmacy as provided in35 RCW 69.45.090;
- 36 (b) Information obtained by the board of pharmacy or the department

of health and its representatives as provided in RCW 69.41.044,
 69.41.280, and 18.64.420;

3 (c) Information and documents created specifically for, and 4 collected and maintained by a quality improvement committee under RCW 43.70.510, 70.230.080, or 70.41.200, or by a peer review committee 5 under RCW 4.24.250, or by a quality assurance committee pursuant to RCW б 7 74.42.640 or 18.20.390, or by a hospital, as defined in RCW 43.70.056, 8 for reporting of health care-associated infections under RCW 43.70.056, a notification of an incident under RCW 70.56.040(5), and reports 9 regarding adverse events under RCW 70.56.020(2)(b), regardless of which 10 11 agency is in possession of the information and documents;

(d)(i) Proprietary financial and commercial information that the submitting entity, with review by the department of health, specifically identifies at the time it is submitted and that is provided to or obtained by the department of health in connection with an application for, or the supervision of, an antitrust exemption sought by the submitting entity under RCW 43.72.310;

(ii) If a request for such information is received, the submitting entity must be notified of the request. Within ten business days of receipt of the notice, the submitting entity shall provide a written statement of the continuing need for confidentiality, which shall be provided to the requester. Upon receipt of such notice, the department of health shall continue to treat information designated under this subsection (1)(d) as exempt from disclosure;

(iii) If the requester initiates an action to compel disclosure under this chapter, the submitting entity must be joined as a party to demonstrate the continuing need for confidentiality;

(e) Records of the entity obtained in an action under RCW 18.71.300
through 18.71.340;

30 (f) ((Except for published statistical compilations and reports 31 relating to the infant mortality review studies that do not identify 32 individual cases and sources of information, any records or documents 33 obtained, prepared, or maintained by the local health department for 34 the purposes of an infant mortality review conducted by the department 35 of health under RCW 70.05.170;

36 (g)) Complaints filed under chapter 18.130 RCW after July 27, 37 1997, to the extent provided in RCW 18.130.095(1);

- 1 (((h))) (<u>g</u>) Information obtained by the department of health under 2 chapter 70.225 RCW; ((and)
- 3 (i)) (h) Information collected by the department of health under
 4 chapter 70.245 RCW except as provided in RCW 70.245.150; and
- <u>(i) All documents, including completed forms, received pursuant to</u>
 <u>a wellness program under RCW 41.04.362, but not statistical reports</u>
 that do not identify an individual.
- 8 (2) Chapter 70.02 RCW applies to public inspection and copying of 9 health care information of patients.
- 10 (3)(a) Documents related to infant mortality reviews conducted 11 pursuant to RCW 70.05.170 are exempt from disclosure as provided for in 12 RCW 70.05.170(3).
- 13 (b)(i) If an agency provides copies of public records to another 14 agency that are exempt from public disclosure under this subsection 15 (3), those records remain exempt to the same extent the records were 16 exempt in the possession of the originating entity.
- 17 (ii) For notice purposes only, agencies providing exempt records 18 under this subsection (3) to other agencies may mark any exempt records 19 as "exempt" so that the receiving agency is aware of the exemption, 20 however whether or not a record is marked exempt does not affect 21 whether the record is actually exempt from disclosure.
- 22 **Sec. 4.** RCW 41.04.362 and 1987 c 248 s 2 are each amended to read 23 as follows:
- (1) ((The)) <u>Directors</u> of ((the department of personnel)) <u>state and</u>
 <u>local entities</u>, in consultation with applicable state agencies and
 employee organizations, may develop and administer a voluntary state
 employee wellness program.
- 28 (2) ((Th
- (2) ((The)) <u>A</u> director may:
- (a) Develop and implement state employee wellness policies,
 procedures, and activities;
- 31 (b) Disseminate wellness educational materials to ((state))
 32 agencies and employees;
- 33 (c) Encourage the establishment of wellness activities in ((state)) 34 agencies;
- 35 (d) Provide technical assistance and training to agencies 36 conducting wellness activities for their employees;

(e) Develop standards by which agencies sponsoring specific
 wellness activities may impose a fee to participating employees to help
 defray the cost of those activities;

4 (f) Monitor and evaluate the effectiveness of this program,
5 including the collection, analysis, and publication of relevant
6 statistical information; and

7 (g) Perform other duties and responsibilities as necessary to carry8 out the purpose of this section.

9 (3) No wellness program or activity that involves or requires 10 organized or systematic physical exercise may be implemented or 11 conducted during normal working hours.

12 <u>NEW SECTION.</u> Sec. 5. RCW 41.04.364 (State employee wellness 13 program--Confidentiality of individually identifiable information) and 14 1987 c 248 s 3 are each repealed.

15 Sec. 6. RCW 28C.18.020 and 1991 c 238 s 3 are each amended to read 16 as follows:

17 (1) There is hereby created the workforce training and education coordinating board as a state agency and as the successor agency to the 18 19 state board for vocational education. Once the coordinating board has 20 convened, all references to the state board for vocational education in 21 the Revised Code of Washington shall be construed to mean the workforce 22 training and education coordinating board, except that reference to the state board for vocational education in RCW 49.04.030 shall mean the 23 24 state board for community and technical colleges.

25 (2)(a) The board shall consist of nine voting members appointed by the governor with the consent of the senate, as follows: 26 Three representatives of business, three representatives of labor, and, 27 28 ex officio members, the superintendent of public serving as 29 instruction, the executive director of the state board for community 30 and technical colleges, and the commissioner of the employment security The chair of the board shall be a nonvoting member 31 department. selected by the governor with the consent of the senate, and shall 32 33 serve at the pleasure of the governor. In selecting the chair, the 34 governor shall seek a person who understands the future economic needs 35 of the state and nation and the role that the state's training system has in meeting those needs. Each voting member of the board may 36

appoint a designee to function in his or her place with the right to vote. In making appointments to the board, the governor shall seek to ensure geographic, ethnic, and gender diversity and balance. The governor shall also seek to ensure diversity and balance by the appointment of persons with disabilities.

6 (b) The business representatives shall be selected from among 7 nominations provided by a statewide business organization representing 8 a cross-section of industries. However, the governor may request, and 9 the organization shall provide, an additional list or lists from which 10 governor shall select the business representatives. the The nominations and selections shall reflect the cultural diversity of the 11 12 state, including women, people with disabilities, and racial and ethnic 13 minorities, and diversity in sizes of businesses.

14 The labor representatives shall be selected from among (C) nominations provided by statewide labor organizations. However, the 15 governor may request, and the organizations shall provide, 16 an additional list or lists from which the governor shall select the labor 17 representatives. The nominations and selections shall reflect the 18 19 cultural diversity of the state, including women, people with disabilities, and racial and ethnic minorities. 20

(d) Each business member may cast a proxy vote or votes for any business member who is not present and who authorizes in writing the present member to cast such vote.

(e) Each labor member may cast a proxy vote for any labor member
 who is not present and who authorizes in writing the present member to
 cast such vote.

(f) The chair shall appoint to the board one nonvoting member to represent racial and ethnic minorities, women, and people with disabilities. The nonvoting member appointed by the chair shall serve for a term of four years with the term expiring on June 30th of the fourth year of the term.

32 (g) The business members of the board shall serve for terms of four 33 years, the terms expiring on June 30th of the fourth year of the term 34 except that in the case of initial members, one shall be appointed to 35 a two-year term and one appointed to a three-year term.

36 (h) The labor members of the board shall serve for terms of four 37 years, the terms expiring on June 30th of the fourth year of the term

except that in the case of initial members, one shall be appointed to
 a two-year term and one appointed to a three-year term.

3 (i) Any vacancies among board members representing business or
4 labor shall be filled by the governor with nominations provided by
5 statewide organizations representing business or labor, respectively.

6 (j) The board shall adopt bylaws and shall meet at least bimonthly 7 and at such other times as determined by the chair who shall give 8 reasonable prior notice to the members or at the request of a majority 9 of the voting members.

10 (k) Members of the board shall be compensated in accordance with 11 RCW 43.03.040 and shall receive travel expenses in accordance with RCW 12 43.03.050 and 43.03.060.

(1) The board shall be formed and ready to assume itsresponsibilities under this chapter by October 1, 1991.

(m) The director of the board shall be appointed by the governor 15 from a list of three names submitted by a committee made up of the 16 17 business and labor members of the board. However, the governor may request, and the committee shall provide, an additional list or lists 18 from which the governor shall select the director. 19 ((The lists compiled by the committee shall not be subject to public disclosure.)) 20 21 The governor may dismiss the director only with the approval of a 22 majority vote of the board. The board, by a majority vote, may dismiss 23 the director with the approval of the governor.

(3) The state board for vocational education is hereby abolished and its powers, duties, and functions are hereby transferred to the workforce training and education coordinating board. All references to the director or the state board for vocational education in the Revised Code of Washington shall be construed to mean the director or the workforce training and education coordinating board.

30 **Sec. 7.** RCW 79A.25.150 and 2007 c 241 s 51 are each amended to 31 read as follows:

When requested by the board, members employed by the state shall furnish assistance to the board from their departments for the analysis and review of proposed plans and projects, and such assistance shall be proper charge against the appropriations to the several agencies represented on the board. Assistance may be in the form of money, 1 personnel, or equipment and supplies, whichever is most suitable to the 2 needs of the board.

The director of the recreation and conservation office shall be 3 4 appointed by, and serve at the pleasure of, the governor. The governor shall select the director from a list of three candidates submitted by 5 the board. However, the governor may request and the board shall б 7 provide an additional list or lists from which the governor may select 8 the director. ((The lists compiled by the board shall not be subject The director shall have background and 9 to public disclosure.)) experience in the areas of recreation and conservation management and 10 The director shall be paid a salary to be fixed by the 11 policy. 12 governor in accordance with the provisions of RCW 43.03.040. The 13 director shall appoint such personnel as may be necessary to carry out the duties of the office. Not more than three employees appointed by 14 15 the director shall be exempt from the provisions of chapter 41.06 RCW.

16 **Sec. 8.** RCW 42.56.330 and 2008 c 200 s 6 are each amended to read 17 as follows:

18 The following information relating to public utilities and 19 transportation is exempt from disclosure under this chapter:

(1) Records filed with the utilities and transportation commission or attorney general under RCW 80.04.095 that a court has determined are confidential under RCW 80.04.095;

(2) The residential addresses and residential telephone numbers of the customers of a public utility contained in the records or lists held by the public utility of which they are customers, except that this information may be released to the division of child support or the agency or firm providing child support enforcement for another state under Title IV-D of the federal social security act, for the establishment, enforcement, or modification of a support order;

30 (3) The names, residential addresses, residential telephone 31 numbers, and other individually identifiable records held by an agency 32 in relation to a vanpool, carpool, or other ride-sharing program or 33 service; however, these records may be disclosed to other persons who 34 apply for ride-matching services and who need that information in order 35 to identify potential riders or drivers with whom to share rides;

36 (4) The personally identifying information of current or former

1 participants or applicants in a paratransit or other transit service 2 operated for the benefit of persons with disabilities or elderly 3 persons;

(5) The personally identifying information of persons who acquire 4 and use transit passes and other fare payment media including, but not 5 limited to, stored value smart cards and magnetic strip cards, except б 7 that an agency may disclose this information to a person, employer, 8 educational institution, or other entity that is responsible, in whole or in part, for payment of the cost of acquiring or using a transit 9 10 pass or other fare payment media for the purpose of preventing fraud, or to the news media when reporting on public transportation or public 11 12 safety. ((This information may also be disclosed at the agency's 13 discretion to governmental agencies or groups concerned with public 14 transportation or public safety))

(a) This information may be disclosed in aggregate form if the data
 does not contain any personally identifying information.

17 (b) Personally identifying information may be released to law 18 enforcement agencies if the request is accompanied by a court order;

19 (6) Any information obtained by governmental agencies that is 20 collected by the use of a motor carrier intelligent transportation 21 system or any comparable information equipment attached to a truck, 22 tractor, or trailer; however, the information may be given to other 23 governmental agencies or the owners of the truck, tractor, or trailer 24 from which the information is obtained. As used in this subsection, 25 "motor carrier" has the same definition as provided in RCW 81.80.010;

26 (7) The personally identifying information of persons who acquire 27 and use transponders or other technology to facilitate payment of 28 tolls. This information may be disclosed in aggregate form as long as 29 the data does not contain any personally identifying information. For 30 these purposes aggregate data may include the census tract of the account holder as long as any individual personally identifying 31 32 information is not released. Personally identifying information may be released to law enforcement agencies only for toll enforcement 33 purposes. Personally identifying information may be released to law 34 35 enforcement agencies for other purposes only if the request is 36 accompanied by a court order; and

37 (8) The personally identifying information of persons who acquire38 and use a driver's license or identicard that includes a radio

frequency identification chip or similar technology to facilitate 1 2 border crossing. This information may be disclosed in aggregate form as long as the data does not contain any personally identifying 3 4 information. Personally identifying information may be released to law enforcement agencies only for United States customs and border 5 protection enforcement purposes. Personally identifying information б 7 may be released to law enforcement agencies for other purposes only if 8 the request is accompanied by a court order.

9 Sec. 9. RCW 42.56.250 and 2006 c 209 s 6 are each amended to read 10 as follows:

11 The following employment and licensing information is exempt from 12 public inspection and copying under this chapter:

(1) Test questions, scoring keys, and other examination data usedto administer a license, employment, or academic examination;

(2) All applications for public employment, including the names of
 applicants, resumes, and other related materials submitted with respect
 to an applicant;

(3) The residential addresses, residential telephone numbers, 18 wireless telephone numbers, personal mail 19 personal electronic 20 addresses, social security numbers, and emergency contact information 21 of employees or volunteers of a public agency, and the names, dates of 22 birth, residential addresses, residential telephone numbers, personal 23 wireless telephone numbers, personal electronic mail addresses, social security numbers, and emergency contact information of dependents of 24 25 employees or volunteers of a public agency that are held by any public agency in personnel records, public employment related records, or 26 27 volunteer rosters, or are included in any mailing list of employees or volunteers of any public agency. For purposes of this subsection, 28 29 "employees" includes independent provider home care workers as defined in RCW 74.39A.240; 30

(4) Information that identifies a person who, while an agency employee: (a) Seeks advice, under an informal process established by the employing agency, in order to ascertain his or her rights in connection with a possible unfair practice under chapter 49.60 RCW against the person; and (b) requests his or her identity or any identifying information not be disclosed;

1 (5) Investigative records compiled by an employing agency 2 conducting ((a current)) an active and ongoing investigation of a 3 possible unfair practice under chapter 49.60 RCW or of a possible 4 violation of other federal, state, or local laws prohibiting 5 discrimination in employment; ((and))

6 (6) ((Except as provided in RCW 47.64.220, salary and employee
7 benefit information collected under RCW 47.64.220(1) and described in
8 RCW 47.64.220(2).)) Criminal history records checks for board staff
9 finalist candidates conducted pursuant to RCW 43.33A.025; and

10 (7) Except as provided in RCW 47.64.220, salary and benefit 11 information for maritime employees collected from private employers 12 under RCW 47.64.220(1) and described in RCW 47.64.220(2).

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