### CERTIFICATION OF ENROLLMENT

## SUBSTITUTE HOUSE BILL 1323

55th Legislature 1997 Regular Session

Passed by the House March 12, 1997 Yeas 97 Nays 0

#### Speaker of the House of Representatives

Passed by the Senate April 10, 1997 Yeas 48 Nays 0

#### President of the Senate

Approved

#### CERTIFICATE

I, Timothy A. Martin, Chief Clerk of the House of Representatives of the State of Washington, do hereby certify that the attached is **SUBSTITUTE HOUSE BILL 1323** as passed by the House of Representatives and the Senate on the dates hereon set forth.

Chief Clerk

FILED

Governor of the State of Washington

Secretary of State State of Washington

# SUBSTITUTE HOUSE BILL 1323

Passed Legislature - 1997 Regular Session

#### State of Washington 55th Legislature 1997 Regular Session

**By** House Committee on Government Reform & Land Use (originally sponsored by Representatives D. Schmidt, Scott, Wensman, Morris, Costa and Dunn; by request of Department of Revenue)

Read first time 03/04/97.

1 AN ACT Relating to the distribution of rules notices; amending RCW 2 34.05.010; and creating a new section.

3 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:

4 NEW SECTION. (1) In order to provide the greatest Sec. 1. 5 possible access to agency documents to the most people, agencies are encouraged to make their rule, interpretive, and policy information 6 7 available through electronic distribution as well as through the regular mail. Agencies that have the capacity to 8 transmit 9 electronically may ask persons who are on mailing lists or rosters for 10 copies of interpretive statements, policy statements, preproposal statements of inquiry, and other similar notices whether they would 11 like to receive the notices electronically. 12

13 (2) Electronic distribution to persons who request it may 14 substitute for mailed copies related to rule making or policy or 15 interpretive statements. If a notice is distributed electronically, 16 the agency is not required to transmit the actual notice form but must 17 send all the information contained in the notice.

(3) Agencies which maintain mailing lists or rosters for any
 notices relating to rule making or policy or interpretive statements
 may establish different rosters or lists by general subject area.

4 **Sec. 2.** RCW 34.05.010 and 1992 c 44 s 10 are each amended to read 5 as follows:

6 The definitions set forth in this section shall apply throughout 7 this chapter, unless the context clearly requires otherwise.

8 (1) "Adjudicative proceeding" means a proceeding before an agency 9 in which an opportunity for hearing before that agency is required by statute or constitutional right before or after the entry of an order 10 by the agency. Adjudicative proceedings also include all cases of 11 12 licensing and rate making in which an application for a license or rate change is denied except as limited by RCW 66.08.150, or a license is 13 14 revoked, suspended, or modified, or in which the granting of an 15 application is contested by a person having standing to contest under 16 the law.

(2) "Agency" means any state board, commission, department, 17 18 institution of higher education, or officer, authorized by law to make 19 rules or to conduct adjudicative proceedings, except those in the 20 legislative or judicial branches, the governor, or the attorney general 21 except to the extent otherwise required by law and any local governmental entity that may request the 22 appointment of an 23 administrative law judge under chapter 42.41 RCW.

(3) "Agency action" means licensing, the implementation or
enforcement of a statute, the adoption or application of an agency rule
or order, the imposition of sanctions, or the granting or withholding
of benefits.

Agency action does not include an agency decision regarding (a) 28 29 contracting or procurement of goods, services, public works, and the purchase, lease, or acquisition by any other means, including eminent 30 domain, of real estate, as well as all activities necessarily related 31 32 to those functions, or (b) determinations as to the sufficiency of a 33 showing of interest filed in support of a representation petition, or 34 mediation or conciliation of labor disputes or arbitration of labor disputes under a collective bargaining law or similar statute, or (c) 35 36 any sale, lease, contract, or other proprietary decision in the management of public lands or real property interests, or (d) the 37 granting of a license, franchise, or permission for the use of 38

1 trademarks, symbols, and similar property owned or controlled by the 2 agency.

3 (4) "Agency head" means the individual or body of individuals in 4 whom the ultimate legal authority of the agency is vested by any 5 provision of law. If the agency head is a body of individuals, a 6 majority of those individuals constitutes the agency head.

7 (5) "Entry" of an order means the signing of the order by all 8 persons who are to sign the order, as an official act indicating that 9 the order is to be effective.

10 (6) "Filing" of a document that is required to be filed with an 11 agency means delivery of the document to a place designated by the 12 agency by rule for receipt of official documents, or in the absence of 13 such designation, at the office of the agency head.

(7) "Institutions of higher education" are the University of 14 15 Washington, Washington State University, Central Washington University, Eastern Washington University, Western Washington University, The 16 Evergreen State College, the various community colleges, and the 17 governing boards of each of the above, and the various colleges, 18 19 divisions, departments, or offices authorized by the governing board of 20 the institution involved to act for the institution, all of which are sometimes referred to in this chapter as "institutions." 21

(8) "Interpretive statement" means a written expression of the
opinion of an agency, entitled an interpretive statement by the agency
head or its designee, as to the meaning of a statute or other provision
of law, of a court decision, or of an agency order.

26 (9)(a) "License" means a franchise, permit, certification, approval, registration, charter, or similar form of authorization 27 required by law, but does not include (i) a license required solely for 28 29 revenue purposes, or (ii) a certification of an exclusive bargaining 30 representative, or similar status, under a collective bargaining law or similar statute, or (iii) a license, franchise, or permission for use 31 32 of trademarks, symbols, and similar property owned or controlled by the 33 agency.

(b) "Licensing" includes the agency process respecting the
issuance, denial, revocation, suspension, or modification of a license.
(10) "Mail" or "send," for purposes of any notice relating to rule
making or policy or interpretive statements, means regular mail or
electronic distribution, as provided in section 1 of this act.

1 "Electronic distribution" or "electronically" means distribution by
2 electronic mail or facsimile mail.

3 <u>(11)(a)</u> "Order," without further qualification, means a written 4 statement of particular applicability that finally determines the legal 5 rights, duties, privileges, immunities, or other legal interests of a 6 specific person or persons.

7 (b) "Order of adoption" means the official written statement by 8 which an agency adopts, amends, or repeals a rule.

9 ((<del>(11)</del>)) <u>(12)</u> "Party to agency proceedings," or "party" in a 10 context so indicating, means:

(a) A person to whom the agency action is specifically directed; or
(b) A person named as a party to the agency proceeding or allowed
to intervene or participate as a party in the agency proceeding.

14 ((<del>(12)</del>)) <u>(13)</u> "Party to judicial review or civil enforcement 15 proceedings," or "party" in a context so indicating, means:

16 (a) A person who files a petition for a judicial review or civil 17 enforcement proceeding; or

(b) A person named as a party in a judicial review or civil enforcement proceeding, or allowed to participate as a party in a judicial review or civil enforcement proceeding.

(((13))) (14) "Person" means any individual, partnership, corporation, association, governmental subdivision or unit thereof, or public or private organization or entity of any character, and includes another agency.

(((14))) (15) "Policy statement" means a written description of the current approach of an agency, entitled a policy statement by the agency head or its designee, to implementation of a statute or other provision of law, of a court decision, or of an agency order, including where appropriate the agency's current practice, procedure, or method of action based upon that approach.

(((<del>(15)</del>)) (<u>16)</u> "Rule" means any agency order, directive, or 31 regulation of general applicability (a) the violation of which subjects 32 33 a person to a penalty or administrative sanction; (b) which establishes, alters, or revokes any procedure, practice, or requirement 34 35 relating to agency hearings; (c) which establishes, alters, or revokes any qualification or requirement relating to the enjoyment of benefits 36 37 or privileges conferred by law; (d) which establishes, alters, or revokes any qualifications or standards for the issuance, suspension, 38 39 or revocation of licenses to pursue any commercial activity, trade, or

profession; or (e) which establishes, alters, or revokes any mandatory 1 standards for any product or material which must be met before 2 distribution or sale. The term includes the amendment or repeal of a 3 prior rule, but does not include (i) statements concerning only the 4 5 internal management of an agency and not affecting private rights or procedures available to the public, (ii) declaratory rulings issued 6 pursuant to RCW 34.05.240, (iii) traffic restrictions for motor 7 8 vehicles, bicyclists, and pedestrians established by the secretary of transportation or his designee where notice of such restrictions is 9 10 given by official traffic control devices, or (iv) rules of institutions of higher education involving standards of admission, 11 academic advancement, academic credit, graduation and the granting of 12 13 degrees, employment relationships, or fiscal processes.

14 ((<del>(16)</del>)) <u>(17)</u> "Rules review committee" or "committee" means the 15 joint administrative rules review committee created pursuant to RCW 16 34.05.610 for the purpose of selectively reviewing existing and 17 proposed rules of state agencies.

18 (((17))) (18) "Rule making" means the process for formulation and 19 adoption of a rule.

(((18))) (19) "Service," except as otherwise provided in this chapter, means posting in the United States mail, properly addressed, postage prepaid, or personal service. Service by mail is complete upon deposit in the United States mail. Agencies may, by rule, authorize service by electronic telefacsimile transmission, where copies are mailed simultaneously, or by commercial parcel delivery company.

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