

CERTIFICATION OF ENROLLMENT  
**SUBSTITUTE SENATE BILL 5928**

Chapter 54, Laws of 1999

56th Legislature  
1999 Regular Session

GOOD FAITH COMMUNICATIONS--SELF-REGULATORY ORGANIZATIONS

EFFECTIVE DATE: 7/25/99

Passed by the Senate March 13, 1999  
YEAS 46 NAYS 0

BRAD OWEN  
**President of the Senate**

Passed by the House April 7, 1999  
YEAS 90 NAYS 0

CLYDE BALLARD  
**Speaker of the  
House of Representatives**

FRANK CHOPP  
**Speaker of the  
House of Representatives**

Approved April 20, 1999

GARY LOCKE  
**Governor of the State of Washington**

CERTIFICATE

I, Tony M. Cook, Secretary of the Senate of the State of Washington, do hereby certify that the attached is **SUBSTITUTE SENATE BILL 5928** as passed by the Senate and the House of Representatives on the dates hereon set forth.

TONY M. COOK  
**Secretary**

FILED

April 20, 1999 - 3:19 p.m.

**Secretary of State  
State of Washington**

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**SUBSTITUTE SENATE BILL 5928**

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Passed Legislature - 1999 Regular Session

**State of Washington                      56th Legislature                      1999 Regular Session**

**By** Senate Committee on Judiciary (originally sponsored by Senator Prentice)

Read first time 03/03/99.

1            AN ACT Relating to good faith communications to self-regulatory  
2 organizations delegated authority by government agencies; and amending  
3 RCW 4.24.510.

4 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:

5            **Sec. 1.** RCW 4.24.510 and 1989 c 234 s 2 are each amended to read  
6 as follows:

7            A person who in good faith communicates a complaint or information  
8 to any agency of federal, state, or local government, or to any self-  
9 regulatory organization that regulates persons involved in the  
10 securities or futures business and that has been delegated authority by  
11 a federal, state, or local government agency and is subject to  
12 oversight by the delegating agency, is immune from civil liability for  
13 claims based upon the communication to the agency or organization  
14 regarding any matter reasonably of concern to that agency (~~shall be~~  
15 ~~immune from civil liability on claims based upon the communication to~~  
16 ~~the agency)) or organization. A person prevailing upon the defense  
17 provided for in this section shall be entitled to recover costs and  
18 reasonable attorneys' fees incurred in establishing the defense.~~

Passed the Senate March 13, 1999.

Passed the House April 7, 1999.

Approved by the Governor April 20, 1999.

Filed in Office of Secretary of State April 20, 1999.