## HOUSE BILL 2512

## State of Washington 57th Legislature 2002 Regular Session

**By** Representatives Upthegrove, Schmidt, Miloscia, Romero, Edwards, Jackley, Kenney, Ogden, Chase, Morris, McDermott and Schual-Berke; by request of Governor Locke

Read first time 01/18/2002. Referred to Committee on State Government.

AN ACT Relating to the uniform regulation of business and 1 2 professions pertaining to programs administered by the department of licensing; amending RCW 18.08.340, 18.08.380, 18.08.420, 18.08.440, 3 18.11.085, 18.11.095, 18.11.100, 18.11.160, 4 18.11.180, 18.11.200, 5 18.16.030, 18.16.060, 18.16.150, 18.16.175, 18.16.200, 18.39.300, б 18.39.350, 18.39.410, 18.39.530, 18.43.035, 18.43.105, 18.43.110, 7 18.43.130, 18.85.040, 18.85.230, 18.85.261, 18.85.271, 18.96.060, 8 18.96.120, 18.96.140, 18.140.030, 18.140.160, 18.140.170, 18.165.160, 18.165.170, 18.170.170, 18.170.180, 18.185.110, 18.185.120, 18.185.140, 9 10 18.185.170, 18.210.020, 18.210.030, 18.210.060, 18.210.160, 18.220.040, 18.220.050, 18.220.130, 18.220.150, 19.16.120, 19.16.351, 19.31.070, 11 12 19.31.130, 19.105.350, 19.105.380, 19.105.440, 19.105.470, 19.138.120, 19.138.130, 19.138.170, 19.138.180, 19.138.200, 19.138.240, 19.158.040, 13 19.158.050, 42.44.030, 42.44.060, 42.44.160, 42.44.170, 42.44.190, 14 46.72.100, 46.72A.100, 64.36.040, 15 64.36.090, 64.36.100, 64.36.195, 64.36.200, 64.36.220, 64.36.230, 67.08.010, 67.08.015, 16 67.08.017, 17 67.08.090, 67.08.100, 67.08.110, 67.08.130, 67.08.140, 67.08.180, 67.08.300, 68.05.105, 68.05.170, 68.05.235, 68.05.259, 68.05.300, 18 19 68.05.310, 68.05.320, 68.05.330, 68.05.340, 68.05.350, 79A.60.480, and 79A.60.490; reenacting and amending RCW 18.145.050; adding a new 20 section to chapter 18.08 RCW; adding a new section to chapter 18.11 21

RCW; adding a new section to chapter 18.16 RCW; adding a new section to 1 2 chapter 18.39 RCW; adding a new section to chapter 18.43 RCW; adding a new section to chapter 18.85 RCW; adding a new section to chapter 18.96 3 RCW; adding a new section to chapter 18.140 RCW; adding a new section 4 5 to chapter 18.145 RCW; adding a new section to chapter 18.165 RCW; adding a new section to chapter 18.170 RCW; adding a new section to 6 7 chapter 18.185 RCW; adding a new section to chapter 18.210 RCW; adding 8 a new section to chapter 18.220 RCW; adding a new section to chapter 9 19.16 RCW; adding a new section to chapter 19.31 RCW; adding a new 10 section to chapter 19.105 RCW; adding a new section to chapter 19.138 RCW; adding a new section to chapter 19.158 RCW; adding a new section 11 12 to chapter 42.44 RCW; adding a new section to chapter 46.72 RCW; adding 13 a new section to chapter 46.72A RCW; adding a new section to chapter 14 64.36 RCW; adding a new section to chapter 67.08 RCW; adding a new 15 section to chapter 68.05 RCW; adding a new section to chapter 79A.60 16 RCW; adding a new chapter to Title 18 RCW; creating a new section; 17 repealing RCW 18.08.450, 18.39.400, 18.39.430, 18.39.440, 18.39.460, 18.39.470, 18.39.480, 18.39.490, 18.39.500, 18.39.510, 18.39.520, 18 19 18.39.540, 18.39.550, 18.43.140, 18.85.251, 18.85.360, 18.96.130, 18.140.180, 18.165.190, 18.165.200, 18.165.240, 18.165.250, 18.165.260, 20 18.170.190, 18.170.200, 18.170.240, 18.170.250, 18.170.260, 18.170.270, 21 18.185.150, 18.185.160, 18.185.180, 18.185.190, 19.16.360, 19.16.380, 22 19.16.400, 19.105.460, 19.138.190, 19.138.210, 19.138.220, 19.138.230, 23 24 19.138.300, 19.158.060, 64.36.180, 64.36.190, 64.36.280, 64.36.300, 25 67.08.120, 67.08.210, 67.08.230, 67.08.250, and 67.08.260; prescribing 26 penalties; and providing effective dates.

27 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:

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## PART 1

<u>NEW SECTION.</u> Sec. 101. It is the intent of the legislature to 29 consolidate disciplinary procedures for the licensed businesses and 30 professions under the business and professions division of 31 the 32 department of licensing by providing a uniform disciplinary act for businesses and professions with standardized procedures for the 33 34 regulation of businesses and professions and the enforcement of laws, 35 the purpose of which is to assure the public of the adequacy of 36 business and professional competence and conduct.

1 It is also the intent of the legislature that all businesses and 2 professions newly credentialed by the state and regulated by the 3 business and professions division of the department of licensing come 4 under this chapter.

5 <u>NEW SECTION.</u> Sec. 102. The definitions in this section apply 6 throughout this chapter unless the context clearly requires otherwise. 7 (1) "Board" means those boards specified in section 103(2)(b) of

8 this act.

(2) "Department" means the department of licensing.

10 (3) "Director" means the director of the department or director's 11 designee.

(4) "Disciplinary action" means sanctions identified in section 113of this act.

14 (5) "Disciplinary authority" means the director, board, or 15 commission having the authority to take disciplinary action against a 16 holder of, or applicant for, a professional or business license upon a 17 finding of a violation of this chapter or a chapter specified under 18 section 103 of this act.

19 (6) "License," "licensing," and "licensure" are deemed equivalent 20 to the terms "license," "licensing," "licensure," "certificate," 21 "certification," and "registration" as those terms are defined in RCW 22 18.118.020. Each of these terms, and the term "appointment" under 23 chapter 42.44 RCW, are interchangeable under the provisions of this 24 chapter.

25 (7) "Unlicensed practice" means:

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(a) Practicing a profession or operating a business identified in
 section 103 of this act without holding a valid, unexpired, unrevoked,
 and unsuspended license to do so; or

(b) Representing to a consumer, through offerings, advertisements, or use of a professional title or designation, that the individual or business is qualified to practice a profession or operate a business identified in section 103 of this act without holding a valid, unexpired, unrevoked, and unsuspended license to do so.

NEW SECTION. Sec. 103. (1) This chapter applies only to the director and the boards and commissions having jurisdiction in relation to the businesses and professions licensed under the chapters specified

This chapter does not apply to any business or in this section. 1 2 profession not licensed under the chapters specified in this section. (2)(a) The director has authority under this chapter in relation to 3 4 the following businesses and professions: (i) Auctioneers under chapter 18.11 RCW; 5 (ii) Bail bond agents under chapter 18.185 RCW; б 7 (iii) Camping resorts' operators and salespersons under chapter 8 19.105 RCW; 9 (iv) Commercial telephone solicitors under chapter 19.158 RCW; 10 (v) Cosmetologists, barbers, manicurists, and estheticians under chapter 18.16 RCW; 11 (vi) Court reporters under chapter 18.145 RCW; 12 (vii) Employment agencies under chapter 19.31 RCW; 13 14 (viii) For hire vehicle operators under chapter 46.72 RCW; 15 (ix) Limousines under chapter 46.72A RCW; (x) Notaries public under chapter 42.44 RCW; 16 17 (xi) Private investigators under chapter 18.165 RCW; (xii) Professional boxing, martial arts, and wrestling under 18 19 chapter 67.08 RCW; 20 (xiii) Real estate appraisers under chapter 18.140 RCW; (xiv) Real estate brokers and salespersons under chapters 18.85 and 21 18.86 RCW; 22 (xv) Security guards under chapter 18.170 RCW; 23 24 (xvi) Sellers of travel under chapter 19.138 RCW; 25 (xvii) Timeshares and timeshare salespersons under chapter 64.36 26 RCW; and 27 (xviii) Whitewater river outfitters under chapter 79A.60 RCW. (b) The boards and commissions having authority under this chapter 28 are as follows: 29 30 (i) The state board of registration for architects established in chapter 18.08 RCW; 31 (ii) The cemetery board established in chapter 68.05 RCW; 32 33 (iii) The Washington state collection agency board established in 34 chapter 19.16 RCW; 35 (iv) The state board of registration for professional engineers and land surveyors established in chapter 18.43 RCW governing licenses 36 37 issued under chapters 18.43 and 18.210 RCW; (v) The state board of funeral directors and embalmers established 38 39 in chapter 18.39 RCW;

(vi) The state board of registration for landscape architects
 established in chapter 18.96 RCW; and

3 (vii) The state geologist licensing board established in chapter4 18.220 RCW.

(3) In addition to the authority to discipline license holders, the 5 disciplinary authority may grant or deny licenses based on the 6 7 conditions and criteria established in this chapter and the chapters 8 specified in subsection (2) of this section. This chapter also governs 9 any investigation, hearing, or proceeding relating to denial of licensure or issuance of a license conditioned on the applicant's 10 compliance with an order entered under section 113 of this act by the 11 disciplinary authority. 12

13 <u>NEW SECTION.</u> Sec. 104. The disciplinary authority has the power 14 to:

(1) Adopt, amend, and rescind rules as necessary to carry out the
purposes of this chapter, including, but not limited to, rules
regarding standards of professional conduct and practice;

18 (2) Investigate complaints or reports of unprofessional conduct and19 hold hearings as provided in this chapter;

(3) Issue subpoenas and administer oaths in connection with anyinvestigation, hearing, or proceeding held under this chapter;

(4) Take or cause depositions to be taken and use other discovery
procedures as needed in an investigation, hearing, or proceeding held
under this chapter;

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(5) Compel attendance of witnesses at hearings;

(6) Conduct practice reviews in the course of investigating a
complaint or report of unprofessional conduct, unless the disciplinary
authority is authorized to audit or inspect applicants or licensees
under the chapters specified in section 103 of this act;

(7) Take emergency action ordering summary suspension of a license,
 or restriction or limitation of the licensee's practice or business
 pending proceedings by the disciplinary authority;

(8) Appoint a presiding officer or authorize the office of administrative hearings, as provided in chapter 34.12 RCW, to conduct hearings. The disciplinary authority may make the final decision regarding disposition of the license unless the disciplinary authority elects to delegate, in writing, the final decision to the presiding officer; (9) Use individual members of the boards and commissions to direct
 investigations. However, the member of the board or commission may not
 subsequently participate in the hearing of the case;

4 (10) Enter into contracts for professional services determined to 5 be necessary for adequate enforcement of this chapter;

6 (11) Grant or deny license applications, secure the return of a 7 license obtained through the mistake or inadvertence of the department 8 or the disciplinary authority after providing the person so licensed 9 with an opportunity for an adjudicative proceeding, and, in the event 10 of a finding of unprofessional conduct by an applicant or license 11 holder, impose any sanction against a license applicant or license 12 holder provided by this chapter;

13 (12) Designate individuals authorized to sign subpoenas and14 statements of charges;

(13) Establish panels consisting of three or more members of the board or commission to perform any duty or authority within the board's or commission's jurisdiction under this chapter; and

(14) Contract with licensees, registrants, endorsement or permit 18 19 holders, or any other persons or organizations to provide services 20 necessary for the monitoring or supervision of licensees, registrants, or endorsement or permit holders who are placed on probation, whose 21 professional or business activities are restricted, or who are for an 22 23 authorized purpose subject to monitoring by the disciplinary authority. 24 If the subject licensee, registrant, or endorsement or permit holders 25 may only practice or operate a business under the supervision of 26 another licensee, registrant, or endorsement or permit holder under the 27 law regulating that occupation or business, the terms of the supervising licensee, registrant, or endorsement or permit holder must 28 consent to the monitoring or supervision under this subsection, unless 29 30 the supervising licensee, registrant, or endorsement or permit holder is, at the time, the subject of a disciplinary order. 31

32 <u>NEW SECTION.</u> Sec. 105. In addition to the authority specified in 33 section 104 of this act, the director has the following additional 34 authority:

(1) To employ investigative, administrative, and clerical staff as
 necessary for the enforcement of this chapter, except as provided
 otherwise by statute;

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(2) Upon request of a board or commission, to appoint not more than
 three pro tem members as provided in section 106 of this act;

3 (3) To establish fees to be paid for witnesses, expert witnesses,
4 and consultants used in any investigation or adjudicative proceedings
5 as authorized by RCW 34.05.446; and

6 (4) To conduct investigations and practice reviews at the direction 7 of the disciplinary authority and to issue subpoenas, administer oaths, 8 and take depositions in the course of conducting those investigations 9 and practice reviews at the direction of the disciplinary authority.

<u>NEW SECTION.</u> Sec. 106. Individuals appointed as pro tem members 10 of a board or commission must meet the same minimum qualifications as 11 12 regular members of the board or commission. While serving as a pro tem board or commission member, a person so appointed has all the powers, 13 14 duties, and immunities, and is entitled to the entitlements, including 15 travel expenses in accordance with RCW 43.03.050 and 43.03.060, of a 16 regular member of the board or commission. The chair of a committee established by a board or commission must be a regular member of the 17 18 board or commission and must be appointed by the chair of the board or 19 commission. Committees have authority to act as directed by the board or commission with respect to all matters concerning the review, 20 investigation, and adjudication of all complaints, allegations, 21 charges, and matters subject to the jurisdiction of the board or 22 23 commission. The authority to act through committees does not restrict 24 the authority of the board or commission to act as a single body at any 25 phase of proceedings within the board or commission's jurisdiction. Board or commission committees may make interim orders and issue final 26 decisions with respect to matters and cases delegated to the committee 27 by the board or commission. Final decisions may be appealed as 28 29 provided in chapter 34.05 RCW, the administrative procedure act.

30 NEW SECTION. **Sec. 107.** (1) If the disciplinary authority determines, upon investigation, that there is reason to believe a 31 32 violation of section 115 of this act has occurred, a statement of 33 charge or charges may be prepared and served upon the license holder or applicant. The statement of charge or charges must be accompanied by 34 35 a notice that the license holder or applicant may request a hearing to contest the charge or charges. The license holder or applicant must 36 37 file a request for a hearing with the disciplinary authority within

1 twenty days after being served the statement of charges. The failure 2 to request a hearing constitutes a default, whereupon the disciplinary 3 authority may enter a decision on the facts available to it.

4 (2) If a hearing is requested, the time of the hearing must be 5 fixed by the disciplinary authority as soon as convenient, but the 6 hearing may not be held earlier than thirty days after service of 7 charges upon the license holder or applicant, unless the disciplinary 8 authority has issued a summary suspension or summary restriction, for 9 which a hearing may be held sooner than thirty days after service of 10 charges.

**Sec. 108.** The procedures governing adjudicative 11 NEW SECTION. 12 proceedings before agencies under chapter 34.05 RCW, the administrative procedure act, govern all hearings before the disciplinary authority. 13 14 The disciplinary authority has, in addition to the powers and duties 15 set forth in this chapter, all of the powers and duties under chapter 16 34.05 RCW, which include, without limitation, all powers relating to the administration of oaths, the receipt of evidence, the issuance and 17 18 enforcing of subpoenas, and the taking of depositions.

NEW SECTION. Sec. 109. The department shall not issue a license 19 to any person that has been previously denied, revoked, or suspended by 20 21 the disciplinary authority for that profession or business, except in 22 conformity with the terms and conditions of the certificate or order of 23 denial, revocation, or suspension, or in conformity with any order of 24 reinstatement issued by the disciplinary authority, or in accordance 25 with the final judgment in any proceeding for review instituted under this chapter. 26

An order pursuant to proceedings 27 NEW SECTION. Sec. 110. authorized by this chapter, after due notice and findings in accordance 28 with this chapter and chapter 34.05 RCW, or an order of summary 29 suspension entered under this chapter, takes effect immediately upon 30 its being served. The order, if appealed to the court, may not be 31 32 stayed pending the appeal unless the disciplinary authority or court to which the appeal is taken enters an order staying the order of the 33 34 disciplinary authority, which stay shall provide for terms necessary to protect the public. 35

<u>NEW SECTION.</u> Sec. 111. An individual who has been disciplined or
 whose license has been denied by a disciplinary authority may appeal
 the decision as provided in chapter 34.05 RCW.

4 NEW SECTION. Sec. 112. A person whose license has been suspended or revoked under this chapter may petition the disciplinary authority 5 for reinstatement after an interval of time and upon conditions 6 7 determined by the disciplinary authority in the order. The disciplinary authority shall act on the petition in accordance with the 8 9 adjudicative proceedings provided under chapter 34.05 RCW and may impose such conditions as authorized by section 113 of this act. 10 The disciplinary authority may require successful completion of an 11 examination as condition of reinstatement. 12

13 <u>NEW SECTION.</u> Sec. 113. (1) Upon finding unprofessional conduct, 14 the disciplinary authority may issue an order providing for one or any 15 combination of the following:

- 16 (a) Re
- (a) Revocation of the license;
- 17 (b) Suspension of the license for a fixed or indefinite term;

18 (c) Restriction or limitation of the practice;

(d) Satisfactory completion of a specific program of remedialeducation or treatment;

21 (e) Monitoring of the practice in a manner directed by the 22 disciplinary authority;

23 (f) Censure or reprimand;

24 (g) Compliance with conditions of probation for a designated period 25 of time;

(h) Payment of a fine for each violation found by the disciplinary
authority, not to exceed five thousand dollars per violation, unless a
different amount is specified by law. Funds received must be deposited
in the related program account;

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- (i) Denial of an initial or renewal license application; or
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- (j) Other corrective action.

32 (2) The disciplinary authority may require reimbursement to the 33 disciplinary authority for the investigative costs incurred in 34 investigating the matter that resulted in issuance of an order under 35 this section, but only if any of the sanctions in subsection (1)(a) 36 through (j) of this section is ordered.

(3) Any of the actions under this section may be totally or partly 1 2 stayed by the disciplinary authority. In determining what action is 3 appropriate, the disciplinary authority must first consider what 4 sanctions are necessary to protect the public health, safety, or Only after these provisions have been made may the 5 welfare. disciplinary authority consider and include in the order requirements 6 7 designed to rehabilitate the license holder or applicant. All costs 8 associated with compliance with orders issued under this section are 9 the obligation of the license holder or applicant.

10 (4) The licensee or applicant may enter into a stipulated disposition of charges that includes one or more of the sanctions of 11 this section, but only after a statement of charges has been issued and 12 the licensee has been afforded the opportunity for a hearing and has 13 elected on the record to forego such a hearing. The stipulation shall 14 15 either contain one or more specific findings of unprofessional conduct 16 or a statement by the licensee acknowledging that evidence is 17 sufficient to justify one or more specified findings of unprofessional conduct. The stipulations entered into under this subsection are 18 19 considered formal disciplinary action for all purposes.

NEW SECTION. Sec. 114. Where an order for payment of a fine is 20 made as a result of a hearing under section 108 or 117 of this act and 21 timely payment is not made as directed in the final order, the 22 23 disciplinary authority may enforce the order for payment in the 24 superior court in the county in which the hearing was held. This right 25 of enforcement is in addition to any other rights the disciplinary authority may have as to any licensee ordered to pay a fine but may not 26 27 be construed to limit a licensee's ability to seek judicial review under section 111 of this act. In any action for enforcement of an 28 29 order of payment of a fine, the disciplinary authority's order is 30 conclusive proof of the validity of the order of a fine and the terms of payment. 31

32 <u>NEW SECTION.</u> **Sec. 115.** The following conduct, acts, or conditions 33 constitute unprofessional conduct for any license holder or applicant 34 under the jurisdiction of this chapter:

35 (1) The commission of any act involving moral turpitude, 36 dishonesty, or corruption relating to the practice of the person's 37 profession or operation of the person's business, whether the act

constitutes a crime or not. If the act constitutes a crime, conviction 1 2 in a criminal proceeding is not a condition precedent to disciplinary action. Upon such a conviction, however, the judgment and sentence is 3 conclusive evidence at the ensuing disciplinary hearing of the guilt of 4 5 the license holder or applicant of the crime described in the indictment or information, and of the person's violation of the statute б 7 on which it is based. For the purposes of this section, conviction 8 includes all instances in which a plea of guilty or nolo contendere is 9 the basis for the conviction and all proceedings in which the sentence has been deferred or suspended. Except as specifically provided by 10 law, nothing in this section abrogates the provisions of chapter 9.96A 11 12 RCW. However, RCW 9.96A.020 does not apply to a person who is required to register as a sex offender under RCW 9A.44.130; 13

14 (2) Misrepresentation or concealment of a material fact in15 obtaining a license or in reinstatement thereof;

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(3) Advertising that is false, deceptive, or misleading;

17 (4) Incompetence, negligence, or malpractice that results in harm 18 or damage to a consumer or that creates an unreasonable risk that a 19 consumer may be harmed or damaged;

(5) The suspension, revocation, or restriction of a license to engage in any business or profession by competent authority in any state, federal, or foreign jurisdiction. A certified copy of the order, stipulation, or agreement is conclusive evidence of the revocation, suspension, or restriction;

25 (6) Failure to cooperate with the disciplinary authority by:

26 (a) Not furnishing any papers or documents requested by the27 disciplinary authority;

(b) Not furnishing in writing an explanation covering the matter
 contained in a complaint when requested by the disciplinary authority;
 (c) Not responding to a subpoena issued by the disciplinary

31 authority, whether or not the recipient of the subpoena is the accused 32 in the proceeding; or

33 (d) Not providing authorized access, during regular business hours, 34 to representatives of the disciplinary authority conducting an 35 investigation, inspection, or audit at facilities utilized by the 36 license holder or applicant;

37 (7) Failure to comply with an order issued by the disciplinary38 authority;

39 (8) Violating any lawful rule made by the disciplinary authority;

(9) Aiding or abetting an unlicensed person to practice or operate
 a business or profession when a license is required;

3 (10) Practice or operation of a business or profession beyond the4 scope of practice or operation as defined by law or rule;

5 (11) Misrepresentation in any aspect of the conduct of the business6 or profession;

7 (12) Failure to adequately supervise or oversee auxiliary staff,
8 whether employees or contractors, to the extent that consumers may be
9 harmed or damaged;

10 (13) Conviction of any gross misdemeanor or felony relating to the practice of the person's profession or operation of the person's 11 business. For the purposes of this subsection, conviction includes all 12 instances in which a plea of guilty or nolo contendere is the basis for 13 conviction and all proceedings in which the sentence has been deferred 14 15 or suspended. Except as specifically provided by law, nothing in this 16 section abrogates the provisions of chapter 9.96A RCW. However, RCW 17 9.96A.020 does not apply to a person who is required to register as a sex offender under RCW 9A.44.130; and 18

19 (14) Interference with an investigation or disciplinary proceeding 20 by willful misrepresentation of facts before the disciplinary authority or its authorized representatives, or by the use of threats or 21 harassment against any consumer or witness to discourage them from 22 23 providing evidence in a disciplinary proceeding or any other legal 24 action, or by the use of financial inducements to any consumer or 25 witness to prevent or attempt to prevent him or her from providing 26 evidence in a disciplinary proceeding.

27 <u>NEW SECTION.</u> Sec. 116. If a person or business regulated by this chapter violates or fails to comply with a final order issued under 28 29 section 115 of this act, the attorney general, any prosecuting 30 attorney, the director, the board or commission, or any other person may maintain an action in the name of the state of Washington to enjoin 31 the person from violating the order or failing to comply with the 32 33 order. The injunction does not relieve the offender from criminal prosecution, but the remedy by injunction is in addition to the 34 liability of the offender to criminal prosecution and disciplinary 35 36 action.

1 <u>NEW SECTION.</u> Sec. 117. (1) The disciplinary authority may 2 investigate complaints concerning practice by unlicensed persons of a 3 profession or business for which a license is required by the chapters 4 specified in section 103 of this act. In the investigation of the 5 complaints, the director has the same authority as provided the 6 disciplinary authority under section 104 of this act.

7 (2) The disciplinary authority may issue a notice of intent to 8 issue a cease and desist order to any person whom the disciplinary 9 authority has reason to believe is engaged in the unlicensed practice 10 of a profession or operation of a business for which a license is required by the chapters specified in section 103 of this act. 11 The 12 person to whom such a notice is issued may request an adjudicative 13 proceeding to contest the allegations. The notice shall include a brief, plain statement of the alleged unlicensed activities. 14 The 15 request for hearing must be filed within twenty days after service of 16 the notice of intent to issue a cease and desist order. The failure to 17 request a hearing constitutes a default, whereupon the director may enter a permanent cease and desist order, which may include a civil 18 19 fine. All proceedings shall be conducted in accordance with chapter 34.05 RCW. 20

(3) If the disciplinary authority makes a final determination that 21 a person has engaged or is engaging in unlicensed practice, the 22 23 director may issue a permanent cease and desist order. In addition, 24 the disciplinary authority may impose a civil fine in an amount not 25 exceeding one thousand dollars for each day upon which the person 26 engaged in the unlicensed practice of a profession or operation of a 27 business for which a license is required by one or more of the chapters specified in section 103 of this act. The proceeds of such a fine 28 29 shall be deposited in the related program account.

30 (4) If the disciplinary authority makes a written finding of fact 31 that the public interest will be irreparably harmed by delay in issuing an order, the disciplinary authority may issue a temporary cease and 32 33 desist order. The person receiving a temporary cease and desist order 34 shall be provided an opportunity for a prompt hearing. A temporary 35 cease and desist order shall remain in effect until further order of the disciplinary authority. The failure to request a prompt or 36 37 regularly scheduled hearing constitutes a default, whereupon the disciplinary authority may enter a permanent cease and desist order, 38 39 which may include a civil fine.

1 (5) The cease and desist order is conclusive proof of unlicensed 2 practice and may be enforced under RCW 7.21.060. This method of 3 enforcement of the cease and desist order or civil fine may be used in 4 addition to, or as an alternative to, any provisions for enforcement of 5 agency orders set out in chapter 34.05 RCW.

(6) The attorney general, a county prosecuting attorney, the 6 7 director, a board or commission, or any person may, in accordance with 8 the laws of this state governing injunctions, maintain an action in the 9 name of the state of Washington to enjoin any person practicing a 10 profession or business without a license for which a license is required by the chapters specified in section 103 of this act. All 11 fees, fines, forfeitures, and penalties collected or assessed by a 12 13 court because of a violation of this section shall be deposited in the 14 related program account.

(7) The civil remedies in this section do not limit the ability to pursue criminal prosecution as authorized in any of the acts specified in section 103 of this act nor do the civil remedies limit any criminal sanctions.

19 <u>NEW SECTION.</u> Sec. 118. A person or business that violates an injunction issued under this chapter may be found in contempt of court 20 21 under RCW 7.21.010. Upon a finding by a court of competent 22 jurisdiction that the person or business is in contempt, the court may 23 order any remedial sanction as authorized by RCW 7.21.030. Further, 24 the court may, in addition to the remedial sanctions available under 25 RCW 7.21.030, order the person or business to pay a civil penalty to the state in an amount not to exceed twenty-five thousand dollars, 26 27 which shall be deposited in the related program account. For the purposes of this section, the superior court issuing any injunction 28 29 retains jurisdiction and the cause shall be continued, and in such cases the attorney general acting in the name of the state may petition 30 for the recovery of civil penalties. 31

32 <u>NEW SECTION.</u> Sec. 119. A person who attempts to obtain, obtains, 33 or attempts to maintain a license by willful misrepresentation or 34 fraudulent representation is guilty of a gross misdemeanor.

35 <u>NEW SECTION.</u> Sec. 120. If the disciplinary authority has reason 36 to believe that a license holder has committed a crime, or violated the

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1 laws of another regulatory body, the disciplinary authority may notify 2 the attorney general or the county prosecuting attorney in the county 3 in which the act took place, or other responsible official of the facts 4 known to the disciplinary authority.

5 <u>NEW SECTION.</u> Sec. 121. The director, members of the boards or 6 commissions, or individuals acting on their behalf are immune from suit 7 in any action, civil or criminal, based on any disciplinary proceedings 8 or other official acts performed in the course of their duties.

9 <u>NEW SECTION.</u> Sec. 122. This chapter does not affect the use of 10 records, obtained from the director or the disciplinary authorities, in 11 any existing investigation or action by any public agency. Nor does 12 this chapter limit any existing exchange of information between the 13 director or the disciplinary authorities and other public agencies.

14 <u>NEW SECTION.</u> **Sec. 123.** (1) This chapter applies to any conduct, 15 acts, or conditions occurring on or after the effective date of this 16 section.

17 (2) This chapter does not apply to or govern the construction of 18 and disciplinary action for any conduct, acts, or conditions occurring 19 prior to the effective date of this section. The conduct, acts, or 20 conditions must be construed and disciplinary action taken according to 21 the provisions of law existing at the time of the occurrence in the 22 same manner as if this chapter had not been enacted.

23 <u>NEW SECTION.</u> Sec. 124. This chapter may be known and cited as the 24 uniform regulation of business and professions act.

25 <u>NEW SECTION.</u> **Sec. 125.** Sections 101 through 124 of this act take 26 effect January 1, 2003.

27 <u>NEW SECTION.</u> Sec. 126. Sections 101 through 125 of this act 28 constitute a new chapter in Title 18 RCW.

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## PART 2

30 **Sec. 201.** RCW 18.08.340 and 1985 c 37 s 5 are each amended to read 31 as follows:

(1) The board may adopt such rules under chapter 34.05 RCW as are
 necessary for the proper performance of its duties under this chapter.
 (2) The director shall employ an executive secretary subject to
 approval by the board. ((The director shall provide such secretarial
 and administrative support as may be required to carry out the purposes
 of this chapter.

7 (3) The board or the director may conduct investigations concerning 8 alleged violations of this chapter. In making such investigations and 9 in all proceedings of the board under this chapter, the chairman or any 10 member of the board acting in place of the chairman may administer oaths or affirmations to witnesses appearing before the board, subpoena 11 witnesses and compel their attendance, and require the production of 12 books, records, papers, and documents. If any person refuses to obey 13 a subpoena so issued, or refuses to testify or produce any books, 14 15 records, papers, or documents so required to be produced, the board may 16 present its petition in the superior court of Thurston county or the county in which the person resides, setting forth the facts, and 17 thereupon the court shall, in a proper case, enter a suitable order 18 19 compelling compliance with this chapter and imposing such other terms 20 and conditions as the court finds equitable.))

21 **Sec. 202.** RCW 18.08.380 and 1985 c 37 s 9 are each amended to read 22 as follows:

23 (((1) The director may reinstate a certificate of registration to 24 any person or a certificate of authorization to any corporation or 25 joint stock association whose certificate has been revoked, if a majority of the board vote in favor of such reissuance, if the board 26 finds that the circumstances or conditions that brought about the 27 revocation are not likely to recur and that the person, corporation, or 28 29 joint stockholders' association is then sufficiently trustworthy and 30 reliable at the time reinstatement is sought, and that the best interests of the public will be served by reinstatement of the 31 registration. 32

33 (2)) A new certificate of registration or certificate of 34 authorization to replace any certificate lost, destroyed, or mutilated 35 may be issued by the director. A charge, determined as provided in RCW 36 43.24.086, shall be made for such issuance.

1 sec. 203. RCW 18.08.420 and 1991 c 72 s 2 are each amended to read
2 as follows:

3 (1) An architect or architects may organize a corporation formed 4 either as a business corporation under the provisions of Title 23B RCW 5 or as a professional corporation under the provisions of chapter 18.100 6 RCW. For an architect or architects to practice architecture through 7 a corporation or joint stock association organized by any person under 8 Title 23B RCW, the corporation or joint stock association shall file 9 with the board:

(a) The application for certificate of authorization upon a form to
be prescribed by the board and containing information required to
enable the board to determine whether the corporation is qualified
under this chapter to practice architecture in this state;

14 (b) Its notices of incorporation and bylaws and a certified copy of 15 a resolution of the board of directors of the corporation that 16 designates individuals registered under this chapter as responsible for 17 the practice of architecture by the corporation in this state and that provides that full authority to make all final architectural decisions 18 19 on behalf of the corporation with respect to work performed by the 20 corporation in this state shall be granted and delegated by the board of directors to the individuals designated in the resolution. 21 The filing of the resolution shall not relieve the corporation of any 22 23 responsibility or liability imposed upon it by law or by contract; and 24 (c) A designation in writing setting forth the name or names of the 25 person or persons registered under this chapter who are responsible for 26 the architecture of the firm. If there is a change in the person or persons responsible for the architecture of the firm, the changes shall 27 be designated in writing and filed with the board within thirty days 28 after the effective date of the changes. 29

30 (2) Upon the filing with the board of the application for 31 certificate of authorization, the certified copy of the resolution, and 32 the information specified in subsection (1) of this section, the board 33 shall authorize the director to issue to the corporation a certificate 34 of authorization to practice architecture in this state upon a 35 determination by the board that:

36 (a) The bylaws of the corporation contain provisions that all 37 architectural decisions pertaining to any project or architectural 38 activities in this state shall be made by the specified architects 39 responsible for the project or architectural activities, or other responsible architects under the direction or supervision of the
 architects responsible for the project or architectural activities;

3 (b) The applicant corporation has the ability to provide, through 4 qualified personnel, professional services or creative work requiring 5 architectural experience, and with respect to the architectural services that the corporation undertakes or offers to undertake, the 6 7 personnel have the ability to apply special knowledge to the 8 professional services or creative work such as consultation, 9 investigation, evaluation, planning, design, and administration of the 10 construction contract in connection with any public or private structures, buildings, equipment, processes, works, or projects; 11

12 (c) The application for certificate of authorization contains the 13 professional records of the designated person or persons who are 14 responsible;

15 (d) The application for certificate of authorization states the 16 experience of the corporation, if any, in furnishing architectural 17 services during the preceding five-year period;

(e) The applicant corporation meets such other requirements related
to professional competence in the furnishing of architectural services
as may be established and promulgated by the board in furtherance of
the purposes of this chapter; and

(f) The applicant corporation is possessed of the ability andcompetence to furnish architectural services in the public interest.

24 (3) Upon recommendation of the board to impose action as authorized 25 <u>in section 113 of this act</u>, the director ((shall refuse to issue or)) 26 may ((suspend or revoke)) impose the recommended action upon a 27 certificate of authorization to a corporation if the board finds that any of the officers, directors, incorporators, or the stockholders 28 29 holding a majority of stock of the corporation have committed an act 30 prohibited under RCW 18.08.440 or section 115 of this act or have been found personally responsible for misconduct under subsection (6) or (7) 31 of this section. 32

(4) In the event a corporation, organized solely by a group of architects each registered under this chapter, applies for a certificate of authorization, the board may, in its discretion, grant a certificate of authorization to that corporation based on a review of the professional records of such incorporators, in lieu of the required qualifications set forth in subsections (1) and (2) of this section. In the event the ownership of such corporation is altered, the corporation shall apply for a revised certificate of authorization,
 based upon the professional records of the owners if exclusively
 architects, under the qualifications required by subsections (1) and
 (2) of this section.

5 (5) Any corporation authorized to practice architecture under this 6 chapter, together with its directors and officers for their own 7 individual acts, are responsible to the same degree as an individual 8 registered architect and shall conduct their business without 9 misconduct or malpractice in the practice of architecture as defined in 10 this chapter.

(6) Any corporation that has been certified under this chapter and 11 has engaged in the practice of architecture ((shall)) may have its 12 13 certificate of authorization either suspended or revoked by the board if, after a proper hearing, the board finds that the corporation has 14 committed misconduct or malpractice under RCW 18.08.440 or section 115 15 16 of this act. In such a case, any individual architect registered under 17 this chapter who is involved in such misconduct is also subject to disciplinary measures provided in this chapter and section 113 of this 18 19 <u>act</u>.

(7) All plans, specifications, designs, and reports when issued in connection with work performed by a corporation under its certificate of authorization shall be prepared by or under the direction of the designated architects and shall be signed by and stamped with the official seal of the designated architects in the corporation authorized under this chapter.

(8) For each certificate of authorization issued under this section
there shall be paid a certification fee and an annual certification
renewal fee as prescribed by the director under RCW 43.24.086.

(9) This chapter shall not affect the practice of architecture asa professional service corporation under chapter 18.100 RCW.

31 **Sec. 204.** RCW 18.08.440 and 1985 c 37 s 15 are each amended to 32 read as follows:

The board shall have the power to impose ((fines on any person practicing architecture in an amount not to exceed one thousand dollars for each offense and may reprimand a registrant and may suspend, revoke, or refuse to issue or renew a certificate of registration or authorization to practice architecture in this state)) any action listed under section 113 of this act upon the following grounds: (1) Offering to pay, paying, or accepting, either directly or
 indirectly, any substantial gift, bribe, or other consideration to
 influence the award of professional work;

4 (2) Being willfully untruthful or deceptive in any professional5 report, statement, or testimony;

6 (3) ((Having conviction in any court of any offense involving moral 7 turpitude or fraud;

8 (4))) Having a financial interest in the bidding for or the 9 performance of a contract to supply labor or materials for or to 10 construct a project for which employed or retained as an architect 11 except with the consent of the client or employer after disclosure of 12 such facts; or allowing an interest in any business to affect a 13 decision regarding architectural work for which retained, employed, or 14 called upon to perform;

15 (((5))) (4) Signing or permitting a seal to be affixed to any 16 drawings or specifications that were not prepared or reviewed by the 17 architect or under the architect's personal supervision by persons 18 subject to the architect's direction and control; or

19 (((6) Aiding or abetting any person not authorized to practice 20 architecture under this chapter;

21 (7))) (5) Willfully evading or trying to evade any law, ordinance, 22 code, or regulation governing construction of buildings((; or

23 (8) Violating any provision of this chapter or any regulation
24 adopted under it)).

25 <u>NEW SECTION.</u> Sec. 205. A new section is added to chapter 18.08
26 RCW to read as follows:

The uniform regulation of business and professions act, chapter RCW (sections 101 through 125 of this act), governs unlicensed practice, the issuance and denial of licenses, and the discipline of licensees under this chapter.

31 **Sec. 206.** RCW 18.11.085 and 1987 c 336 s 1 are each amended to 32 read as follows:

Every individual, before acting as an auctioneer, shall obtain an auctioneer certificate of registration. To be licensed as an auctioneer, an individual shall meet all of the following requirements: (1) Be at least eighteen years of age or sponsored by a licensed auctioneer.

(2) File with the department a completed application on a form
 prescribed by the director.

3 (3) Show that the proper tax registration certificate required by4 RCW 82.32.030 has been obtained from the department of revenue.

5 (4) Pay the auctioneer registration fee required under the agency 6 rules adopted pursuant to this chapter.

7 (5) Except as otherwise provided under RCW 18.11.121, file with the
8 department an auctioneer surety bond in the amount and form required by
9 RCW 18.11.121 and the agency rules adopted pursuant to this chapter.

10 (6) Have no disqualifications under RCW 18.11.160 or section 115 of 11 this act.

12 **Sec. 207.** RCW 18.11.095 and 1987 c 336 s 5 are each amended to 13 read as follows:

Every person, before operating an auction company as defined in RCW 15 18.11.050, shall obtain an auction company certificate of registration.

16 (1) Except as provided in subsection (2) of this section, to be 17 licensed as an auction company, a person shall meet all of the 18 following requirements:

(a) File with the department a completed application on a formprescribed by the director.

(b) Sign a notarized statement included on the application form that all auctioneers hired by the auction company to do business in the state shall be properly registered under this chapter.

(c) Show that the proper tax registration certificate required byRCW 82.32.030 has been obtained from the department of revenue.

(d) Pay the auction company registration fee required under theagency rules adopted pursuant to this chapter.

(e) File with the department an auction company surety bond in the
 amount and form required by RCW 18.11.121 and the agency rules adopted
 pursuant to this chapter.

31 (f) Have no disqualifications under RCW 18.11.160 or section 115 of 32 this act.

(2) An auction company shall not be charged a license fee if it is a sole proprietorship or a partnership owned by an auctioneer or auctioneers, each of whom is licensed under this chapter, and if it has in effect a surety bond or bonds or other security approved by the director in the amount that would otherwise be required for an auction company to be granted or to retain a license under RCW 18.11.121.

1 **sec. 208.** RCW 18.11.100 and 1986 c 324 s 7 are each amended to 2 read as follows:

3 (1) Nonresident auctioneers and auction companies are required to 4 comply with the provisions of this chapter, <u>chapter 18.--- RCW</u> 5 <u>(sections 101 through 125 of this act)</u>, and the rules of the department 6 as a condition of conducting business in the state.

7 (2) The application of a nonresident under this chapter shall 8 constitute the appointment of the secretary of state as the applicant's 9 agent upon whom process may be served in any action or proceeding 10 against the applicant arising out of a transaction or operation 11 connected with or incidental to the business of an auctioneer or an 12 auction company.

13 **Sec. 209.** RCW 18.11.160 and 1997 c 58 s 814 are each amended to 14 read as follows:

15 (1) No license shall be issued by the department to any person who has been convicted of forgery, embezzlement, obtaining money under 16 false pretenses, extortion, criminal conspiracy, fraud, theft, 17 18 receiving stolen goods, unlawful issuance of checks or drafts, or other 19 similar offense, or to any partnership of which the person is a member, or to any association or corporation of which the person is an officer 20 or in which as a stockholder the person has or exercises a controlling 21 22 interest either directly or indirectly.

23 (2) ((The following shall be grounds for denial, suspension, or 24 revocation of a license, or imposition of an administrative fine by the 25 department:

26 (a) Misrepresentation or concealment of material facts in obtaining
27 a license;

28 (b)) In addition to the unprofessional conduct described in RCW 29 18.130.180, the director has the authority to take disciplinary action 30 for any of the following conduct, acts, or conditions:

31 <u>(a)</u> Underreporting to the department of sales figures so that the 32 auctioneer or auction company surety bond is in a lower amount than 33 required by law;

34 (((c) Revocation of a license by another state;

35 (d) <u>Misleading or false advertising</u>;

36 (e) A pattern of substantial misrepresentations related to 37 auctioneering or auction company business;

(f) Failure to cooperate with the department in any investigation
 or disciplinary action;

3 (g)) (b) Nonpayment of an administrative fine prior to renewal of 4 a license((+

5 (h) Aiding an unlicensed person to practice as an auctioneer or as
6 an auction company)); and

7

(((i))) <u>(c)</u> Any other violations of this chapter.

(3) The department shall immediately suspend the license of a 8 9 person who has been certified pursuant to RCW 74.20A.320 by the 10 department of social and health services as a person who is not in compliance with a support order ((or a residential or visitation 11 order)). If the person has continued to meet all other requirements 12 for reinstatement during the suspension, reissuance of the license 13 14 shall be automatic upon the department's receipt of a release issued by the department of social and health services stating that the licensee 15 16 is in compliance with the order.

17 **Sec. 210.** RCW 18.11.180 and 1986 c 324 s 14 are each amended to 18 read as follows:

It shall be unlawful for a licensed auctioneer or licensed auction 19 company to pay compensation in money or otherwise to anyone not 20 licensed under this chapter to render any service or to do any act 21 22 forbidden under this chapter to be rendered or performed except by 23 licensees. The department ((shall)) may fine any person who violates 24 this section five hundred dollars for the first offense and one 25 thousand dollars for the second or subsequent offense. Furthermore, the violation of this section by any licensee shall be, in the 26 27 discretion of the department, sufficient cause for ((license suspension 28 or revocation)) taking any actions listed under section 113 of this 29 <u>act</u>.

30 **Sec. 211.** RCW 18.11.200 and 1986 c 324 s 16 are each amended to 31 read as follows:

The director shall adopt rules for the purpose of carrying out and developing this chapter, including rules governing the conduct of ((investigations and)) inspections ((and the imposition of administrative penalties)). <u>NEW SECTION.</u> Sec. 212. A new section is added to chapter 18.11
 RCW to read as follows:

The uniform regulation of business and professions act, chapter 18.--- RCW (sections 101 through 125 of this act), governs unlicensed practice, the issuance and denial of licenses, and the discipline of licensees under this chapter.

7 Sec. 213. RCW 18.16.030 and 1991 c 324 s 2 are each amended to 8 read as follows:

9 In addition to any other duties imposed by law, <u>including sections</u> 10 <u>104 and 105 of this act</u>, the director shall have the following powers 11 and duties:

(1) To set all license, examination, and renewal fees in accordancewith RCW 43.24.086;

14

(2) To adopt rules necessary to implement this chapter;

15 (3) ((To investigate alleged violations of this chapter and 16 consumer complaints involving the practice of cosmetology, barbering, 17 esthetics, or manicuring, schools offering training in these areas, and 18 salons/shops and booth renters offering these services;

19 (4) To issue subpoenas, statements of charges, statements of 20 intent, final orders, stipulated agreements, and any other legal 21 remedies necessary to enforce this chapter;

22 (5) To issue cease and desist letters and letters of warning for
23 infractions of this chapter;

24 (6) To conduct all disciplinary proceedings, impose sanctions, and 25 assess fines for violations of this chapter or any rules adopted under 26 it;

27 (7)) To prepare and administer or approve the preparation and
 28 administration of licensing examinations;

29 ((<del>(8)</del>)) <u>(4)</u> To establish minimum safety and sanitation standards 30 for schools, cosmetologists, barbers, manicurists, estheticians, and 31 salons/shops;

32 (((9))) (5) To establish minimum instruction guidelines for the 33 training of students;

34 ((<del>(10)</del>)) <u>(6)</u> To maintain the official department record of 35 applicants and licensees;

36 (((11) To delegate in writing to a designee the authority to issue 37 subpoenas, statements of charges, and any other documents necessary to 38 enforce this chapter; 1 (12))) (7) To establish by rule the procedures for an appeal of an
2 examination failure;

3 (((13) To employ such administrative, investigative, and clerical
4 staff as needed to implement this chapter;

5 (14))) (8) To set license expiration dates and renewal periods for
6 all licenses consistent with this chapter; and

7 (((15))) (9) To make information available to the department of 8 revenue to assist in collecting taxes from persons required to be 9 licensed under this chapter.

10 **Sec. 214.** RCW 18.16.060 and 1991 c 324 s 4 are each amended to 11 read as follows:

12 (1) The director ((shall impose a fine of one thousand dollars on)) 13 may take action under sections 117 and 118 of this act against any 14 person who does any of the following without first obtaining the 15 license required by this chapter:

16 (a) Except as provided in subsection (2) of this section,
17 commercial practice of cosmetology, barbering, esthetics, manicuring,
18 or instructing;

19 (b) Instructs in a school;

20 (c) Operates a school; or

(d) Operates a salon/shop. Each booth renter shall be considered
to be operating an independent salon/shop and shall obtain a separate
salon/shop license.

24 (2) A person licensed as a cosmetology instructor-operator may 25 engage in the commercial practice of cosmetology without maintaining a cosmetologist license. A person licensed as a barbering instructor-26 operator may engage in the commercial practice of barbering without 27 maintaining a barber license. A person licensed as a manicuring 28 29 instructor-operator may engage in the commercial practice of manicuring without maintaining a manicurist license. A person licensed as an 30 esthetician instructor-operator may engage in the commercial practice 31 32 of esthetics without maintaining an esthetician license.

33 **Sec. 215.** RCW 18.16.150 and 1997 c 178 s 1 are each amended to 34 read as follows:

35 Schools shall be audited and inspected by the director or the 36 director's designee for compliance with this chapter at least once a 37 year. If the director determines that a licensed school is not 1 maintaining the standards required according to this chapter, written 2 notice thereof shall be given to the school. A school which fails to 3 correct these conditions to the satisfaction of the director within a 4 reasonable time ((shall)) may be subject to penalties imposed under 5 ((RCW 18.16.210)) section 113 of this act.

6 **Sec. 216.** RCW 18.16.175 and 1997 c 178 s 2 are each amended to 7 read as follows:

8 (1) A salon/shop shall meet the following minimum requirements:

9 (a) Maintain an outside entrance separate from any rooms used for 10 sleeping or residential purposes;

(b) Provide and maintain for the use of its customers adequate toilet facilities located within or adjacent to the salon/shop;

(c) Be operated under the direct supervision of a licensed cosmetologist except that a salon/shop that is limited to barbering may be directly supervised by a barber, a salon/shop that is limited to manicuring may be directly supervised by a manicurist, and a salon/shop that is limited to esthetics may be directly supervised by an esthetician;

(d) Any room used wholly or in part as a salon/shop shall not be used for residential purposes, except that toilet facilities may be used jointly for residential and business purposes;

(e) Meet the zoning requirements of the county, city, or town, asappropriate;

(f) Provide for safe storage and labeling of chemicals used in the practice of cosmetology;

26

(g) Meet all applicable local and state fire codes;

(h) Provide proof that the salon/shop is covered by a public liability insurance policy in an amount not less than one hundred thousand dollars for combined bodily injury and property damage liability; and

(i) Other requirements which the director determines are necessary for safety and sanitation of salons/shops. The director may consult with the state board of health and the department of labor and industries in establishing minimum salon/shop safety requirements.

35 (2) A salon/shop shall post the notice to customers described in36 RCW 18.16.180.

37 (3) Upon receipt of a written complaint that a salon/shop has
 38 violated any provisions of this chapter, chapter 18.--- RCW (sections)

101 through 125 of this act), or the rules adopted under ((this)) 1 either chapter, or at least once every two years, the director or the 2 director's designee shall inspect each salon/shop. If the director 3 4 determines that any salon/shop is not in compliance with this chapter, the director shall send written notice to the salon/shop. A salon/shop 5 which fails to correct the conditions to the satisfaction of the б 7 director within a reasonable time shall, upon due notice, be subject to 8 the penalties imposed by the director under ((RCW 18.16.210)) section 9 <u>113 of this act</u>. The director may enter any salon/shop during business 10 hours for the purpose of inspection. The director may contract with health authorities of local governments to conduct the inspections 11 under this subsection. 12

13 (4) A salon/shop, including a salon/shop operated by a booth 14 renter, shall obtain a certificate of registration from the department 15 of revenue.

16 (5) This section does not prohibit the use of motor homes as mobile 17 salon/shops if the motor home meets the health and safety standards of 18 this section.

19 **Sec. 217.** RCW 18.16.200 and 1991 c 324 s 14 are each amended to 20 read as follows:

21 Any applicant or licensee under this chapter may be subject to 22 disciplinary action by the director if the licensee or applicant:

(1) ((Has been found guilty of a crime related to the practice of
 cosmetology, barbering, esthetics, manicuring, or instructing;

25 (2) Has made a material misstatement or omission in connection with
 26 an original application or renewal;

27 (3) Has engaged in false or misleading advertising;

28 (4) Has performed services in an unsafe or unsanitary manner;

29 (5) Has aided and abetted unlicensed activity;

30 (6)) Has engaged in the commercial practice of cosmetology,
 31 barbering, manicuring, esthetics, or instructed in or operated a school
 32 without first obtaining the license required by this chapter;

33 (((7))) (2) Has engaged in the commercial practice of cosmetology 34 in a school;

35 (((+8))) (3) Has not provided a safe, sanitary, and good moral 36 environment for students and the public((+

37 (9) Has not provided records as required by this chapter;

1 (10) Has not cooperated with the department in supplying records or 2 assisting in an investigation or disciplinary procedure)); or

3 (((11))) (4) Has violated any provision of this chapter or any rule
4 adopted under it.

5 <u>NEW SECTION.</u> Sec. 218. A new section is added to chapter 18.16 6 RCW to read as follows:

7 The uniform regulation of business and professions act, chapter 8 18.--- RCW (sections 101 through 125 of this act), governs unlicensed 9 practice, the issuance and denial of licenses, and the discipline of 10 licensees under this chapter.

11 **Sec. 219.** RCW 18.39.300 and 1989 c 390 s 7 are each amended to 12 read as follows:

In addition to the grounds for action set forth in ((RCW 18.130.170 and 18.130.180)) section 115 of this act, the board may take the disciplinary action set forth in ((RCW 18.130.160)) section 113 of this act against the funeral establishment's license, the license of any funeral director and/or the funeral establishment's certificate of registration, if the licensee or registrant:

(1) Fails to comply with any provisions of this chapter((, chapter
 18.130 RCW,)) or any proper order or regulation of the board;

(2) Is found by the board to be in such condition that further
execution of prearrangement contracts could be hazardous to purchasers
or beneficiaries and the people of this state;

(3) Refuses to be examined, or refuses to submit to examination bythe board when required;

26 (4) Fails to pay the expense of an examination; or

(5) Is found by the board after investigation or receipt of reliable information to be managed by persons who are incompetent or untrustworthy or so lacking in managerial experience as to make the proposed or continued execution or servicing of prearrangement funeral service contracts hazardous to purchasers, beneficiaries, or to the public.

33 **Sec. 220.** RCW 18.39.350 and 1989 c 390 s 11 are each amended to 34 read as follows:

Any person who violates or fails to comply with, or aids or abets any person in the violation of, or failure to comply with any of the provisions of this chapter is guilty of a class C felony pursuant to chapter 9A.20 RCW. Any such violation constitutes an unfair practice under chapter 19.86 RCW and this chapter and conviction thereunder is grounds for license revocation under this chapter <u>and section 113 of</u> <u>this act</u>. Retail installment contracts under this chapter shall be governed by chapter 63.14 RCW.

7 **Sec. 221.** RCW 18.39.410 and 1994 c 17 s 3 are each amended to read 8 as follows:

9 ((The following shall constitute unprofessional conduct)) <u>In</u> 10 addition to the unprofessional conduct described in section 115 of this 11 act, the board may take disciplinary action and may impose any of the 12 sanctions specified in section 113 of this act for the following 13 conduct, acts, or conditions:

(1) Solicitation of dead human bodies by a licensee, registrant, endorsement, or permit holder, or agent, assistant, or employee of the licensee, registrant, endorsement, or permit holder whether the solicitation occurs after death or while death is impending. This chapter does not prohibit general advertising or the sale of prearrangement funeral service contracts;

(2) Solicitation may include employment of solicitors, payment of commission, bonus, rebate, or any form of gratuity or payment of a finders fee, referral fee, or other consideration given for the purpose of obtaining or providing the services for a dead human body or where death is impending;

(3) Acceptance by a licensee, registrant, endorsement, or permit
holder or other employee of a funeral establishment of a commission,
bonus, rebate, or gratuity in consideration of directing business to a
cemetery, crematory, mausoleum, columbarium, florist, or other person
providing goods and services to the disposition of dead human bodies;
(4) Using a casket or part of a casket that has previously been

used as a receptacle for, or in connection with, the burial or other 31 disposition of a dead human body without the written consent of the 32 33 person lawfully entitled to control the disposition of remains of the deceased person in accordance with RCW 68.50.160. This subsection does 34 not prohibit the use of rental caskets, such as caskets of which the 35 36 outer shell portion is rented and the inner insert that contains the dead human body is purchased and used for the disposition, that are 37 38 disclosed as such in the statement of funeral goods and services;

(5) Violation of a state law, municipal law, or county ordinance or
 regulation affecting the handling, custody, care, transportation, or
 disposition of dead human bodies;

4 (6) Refusing to promptly surrender the custody of a dead human body
5 upon the expressed order of the person lawfully entitled to its custody
6 under RCW 68.50.160;

7 (7) Selling, or offering for sale, a share, certificate, or an 8 interest in the business of a funeral establishment, or in a 9 corporation, firm, or association owning or operating a funeral 10 establishment that promises or purports to give to purchasers a right 11 to the services of a licensee, registrant, endorsement, or permit 12 holder at a charge or cost less than offered or given to the public;

(8) ((The commission of an act involving moral turpitude, 13 dishonesty, or corruption relating to the practice of the funeral 14 15 profession whether or not the act constitutes a crime. If the act constitutes a crime, conviction in a criminal proceeding is not a 16 condition precedent to disciplinary action. Upon such a conviction, 17 however, the judgment and sentence is conclusive evidence at the 18 19 ensuing disciplinary hearing of the guilt of the license, registration, 20 endorsement, or permit holder, or applicant of the crime described in the indictment or information and of the person's violation of the 21 statute on which it is based. For the purpose of this section, 22 conviction includes all instances in which a plea of guilty or nolo 23 24 contendere is the basis for the conviction in all proceedings in which 25 the sentence has been deferred or suspended. This section does not 26 abrogate rights guaranteed under chapter 9.96A RCW;

27 (9) Misrepresentation or concealment of a material fact in 28 obtaining a license, registration, endorsement, or permit or in 29 reinstatement thereof;

30

(10) All advertising that is false, fraudulent, or misleading;

(11) Suspension or revocation or restriction of the individual's license, registration, endorsement, or permit to practice the profession by competent authority in any state, federal, or foreign jurisdiction, a certified copy of the order, stipulation, or agreement being conclusive evidence of the revocation, suspension, or restriction;

37 (12))) Violation of any state or federal statute or administrative 38 ruling relating to funeral practice;

39 (((<del>13) Failure to cooperate with the board by:</del>

1 (a) Not furnishing any papers or documents;

2 (b) Not furnishing in writing a full and complete explanation 3 covering the matters contained in a complaint filed with the board; or 4 (c) Not responding to subpoenas issued by the board whether or not 5 the recipient of the subpoena is the accused in the proceeding;

6 (14) Failure to comply with an order issued by the board or an
7 assurance of discontinuance entered into with the board;

8 (15) Aiding or abetting an unlicensed or unregistered person to 9 practice where a license, registration, endorsement, or permit is 10 required;

11 (16) Misrepresentation or fraud in any aspect of the conduct of 12 funeral practice;

13 (17) Conviction of a gross misdemeanor or felony relating to this 14 title. For the purpose of this subsection, conviction includes all 15 instances in which a plea of guilty or nolo contendere is the basis for 16 conviction and all proceedings in which the sentence has been deferred 17 or suspended. This section does not abrogate rights guaranteed under 18 chapter 9.96A RCW;

19 (18) Interference with an investigation or disciplinary proceeding 20 by willful misrepresentation of facts before the board or its 21 authorized representative or the inspector, or by the use of threats or 22 harassment against a witness to prevent that witness from providing 23 evidence in a disciplinary hearing or other legal action;

24 (19) Diminished capacity or habitual intemperance in the use of 25 alcohol, controlled substances, or prescribed drugs that impairs, 26 interferes, or otherwise prevents the proper performance of licensed, 27 registered, endorsed, or permitted duties or functions;

28 (20)) (9) Knowingly concealing information concerning a violation 29 of this title((+

30 (21) Incompetence or negligence as a licensee, registrant, 31 endorsement, or permit holder in carrying out the duties of the 32 profession)).

33 **Sec. 222.** RCW 18.39.530 and 1994 c 17 s 15 are each amended to 34 read as follows:

35 (((1) The director shall investigate a complaint concerning 36 practice by an unlicensed person for which a license, registration, 37 endorsement, or permit is required under this chapter. The director 38 shall issue a cease and desist order to a person after notice and

hearing and upon a determination that the person has violated this 1 subsection. If the director makes a written finding of fact that the 2 public interest will be irreparably harmed by delay in issuing an 3 4 order, the director may issue a temporary cease and desist order. The 5 cease and desist order does not relieve the person practicing or operating a business without a license, registration, permit, or 6 7 registration from criminal prosecution for the unauthorized practice or 8 operation, but the remedy of a cease and desist order is in addition to 9 criminal liability. The cease and desist order is conclusive proof of 10 unlicensed practice and may be enforced by civil contempt. This method of enforcement of the cease and desist order may be used in addition 11 to, or as an alternative to, provisions for enforcement or agency 12 13 orders under chapter 34.05 RCW.

14 (2) The attorney general, a county prosecuting attorney, the 15 director, the board, or a person may, in accordance with the laws of this state governing injunctions, maintain an action in the name of 16 17 this state to enjoin a person practicing a profession or business for which a license, registration, endorsement, or permit is required under 18 19 this chapter without a license, registration, endorsement, or permit 20 from engaging in the practice or operation of the business until the required license, registration, endorsement, or permit is secured. 21 However, the injunction does not relieve the person so practicing or 22 operating a business without a license, registration, endorsement, or 23 24 permit from criminal prosecution for the unauthorized practice or 25 operation, but the remedy by injunction is in addition to criminal 26 liability.

27 (3)) Unlicensed practice of a profession or operation of a 28 business for which a license, registration, endorsement, or permit is 29 required under this chapter, unless otherwise exempted by law, is a 30 gross misdemeanor. Fees, fines, forfeitures, and penalties collected 31 or assessed by a court because of a violation of this section must be 32 remitted to the board.

33 <u>NEW SECTION.</u> Sec. 223. A new section is added to chapter 18.39
34 RCW to read as follows:

The uniform regulation of business and professions act, chapter RCW (sections 101 through 125 of this act), governs unlicensed practice, the issuance and denial of licenses, and the discipline of licensees under this chapter.

1 **sec. 224.** RCW 18.43.035 and 1997 c 247 s 2 are each amended to 2 read as follows:

3 The board may adopt and amend bylaws establishing its organization 4 and method of operation, including but not limited to meetings, maintenance of books and records, publication of reports, code of 5 ethics, and rosters, and adoption and use of a seal. Four members of 6 7 the board shall constitute a quorum for the conduct of any business of 8 the board. The board may employ such persons as are necessary to carry 9 out its duties under this chapter. It may adopt rules reasonably 10 necessary to administer the provisions of this chapter. ((<del>It may</del> conduct investigations concerning alleged violations of this chapter or 11 the rules adopted by the board. In making such investigations and in 12 13 all proceedings under RCW 18.43.110, the chairman of the board or any 14 member of the board acting in his place may administer oaths or 15 affirmations to witnesses appearing before the board, subpoena witnesses and compel their attendance, and require the production of 16 books, records, papers and documents. If any person shall refuse to 17 obey any subpoena so issued, or shall refuse to testify or produce any 18 19 books, records, papers or documents so required to be produced, the 20 board may present its petition to the superior court of the county in which such person resides, setting forth the facts, and thereupon the 21 22 court shall, in any proper case, enter a suitable order compelling compliance with this chapter and imposing such other terms and 23 24 conditions as the court may deem equitable.)) The board shall submit 25 to the governor such periodic reports as may be required. A roster, 26 showing the names and places of business of all registered professional 27 engineers and land surveyors may be published for distribution, upon 28 request, to professional engineers and land surveyors registered under this chapter and to the public. 29

30 **Sec. 225.** RCW 18.43.105 and 1961 c 142 s 4 are each amended to 31 read as follows:

32 ((As used in this chapter "misconduct or malpractice in the 33 practice of engineering" shall include but not be limited to the 34 following)) In addition to the unprofessional conduct described in 35 section 115 of this act, the board may take disciplinary action for the 36 following conduct, acts, or conditions: 1 (1) Offering to pay, paying or accepting, either directly or 2 indirectly, any substantial gift, bribe, or other consideration to 3 influence the award of professional work;

4 (2) Being willfully untruthful or deceptive in any professional
5 report, statement or testimony;

6 (3) Attempting to injure falsely or maliciously, directly or 7 indirectly, the professional reputation, prospects or business of 8 anyone;

9 (4) Failure to state separately or to charge separately for 10 professional engineering services or land surveying where other 11 services or work are also being performed in connection with the 12 engineering services;

13 (5) ((Conviction in any court of any offense involving moral 14 turpitude;

15

(6))) Violation of any provisions of this chapter;

16 (((7))) (6) Conflict of interest--Having a financial interest in 17 bidding for or performance of a contract to supply labor or materials 18 for or to construct a project for which employed or retained as an 19 engineer except with the consent of the client or employer after 20 disclosure of such facts; or allowing an interest in any business to 21 affect a decision regarding engineering work for which retained, 22 employed, or called upon to perform;

23 ((<del>(8)</del>)) <u>(7)</u> Nondisclosure--Failure to promptly disclose to a client 24 or employer any interest in a business which may compete with or affect 25 the business of the client or employer;

(((9))) <u>(8)</u> Unfair competition--Reducing a fee quoted for prospective employment or retainer as an engineer after being informed of the fee quoted by another engineer for the same employment or retainer;

30 (((10))) (9) Improper advertising--Soliciting retainer or 31 employment by advertisement which is undignified, self-laudatory, false 32 or misleading, or which makes or invites comparison between the 33 advertiser and other engineers;

34 (((11))) (10) Committing any other act, or failing to act, which 35 act or failure are customarily regarded as being contrary to the 36 accepted professional conduct or standard generally expected of those 37 practicing professional engineering or land surveying.

1 **sec. 226.** RCW 18.43.110 and 1997 c 247 s 3 are each amended to 2 read as follows:

The board shall have the exclusive power to ((fine and reprimand)) <u>discipline</u> the registrant and ((suspend or revoke)) sanction the certificate of registration of any registrant ((who is found guilty of:

6 The practice of any fraud or deceit in obtaining a certificate of 7 registration; or

8 Any gross negligence, incompetency, or misconduct in the practice 9 of engineering or land surveying as a registered engineer or land 10 surveyor)).

Any person may ((prefer)) <u>file</u> a complaint alleging ((fraud, deceit, gross negligence, incompetency, or misconduct)) <u>unprofessional</u> conduct, as set out in section 115 of this act and RCW 18.43.105, against any registrant ((and)). The complaint shall be in writing and shall be sworn to in writing by the person making the allegation. A registrant against whom a complaint was made must be immediately informed of such complaint by the board.

18 ((All procedures related to hearings on such charges shall be in 19 accordance with provisions relating to adjudicative proceedings in 20 chapter 34.05 RCW, the Administrative Procedure Act.

21 If, after such hearing, a majority of the board vote in favor of 22 finding the violations had occurred, the board shall revoke or suspend 23 the certificate of registration of such registered professional 24 engineer or land surveyor.))

25 The board, for reasons it deems sufficient, may reissue a 26 certificate of registration to any person whose certificate has been 27 revoked or suspended, providing a majority of the board vote in favor 28 of such issuance. A new certificate of registration to replace any certificate revoked, lost, destroyed, or mutilated may be issued, 29 30 subject to the rules of the board, and a charge determined by the director as provided in RCW 43.24.086 shall be made for such issuance. 31 ((Any person who shall feel aggrieved by any action of the board in 32 denying or revoking his certificate of registration may appeal 33 34 therefrom to the superior court of the county in which such person 35 resides, and after full hearing, said court shall make such decree sustaining or revoking the action of the board as it may deem just and 36 37 proper.

38 Fines imposed by the board shall not exceed one thousand dollars
39 for each offense.))

In addition to the imposition of ((civil penalties under this section)) disciplinary action under section 113 of this act, the board may refer violations of this chapter to the appropriate prosecuting attorney for charges under RCW 18.43.120.

5 **Sec. 227.** RCW 18.43.130 and 1997 c 247 s 4 are each amended to 6 read as follows:

This chapter shall not be construed to prevent or affect:

8 (1) The practice of any other legally recognized profession or 9 trade; or

10 (2) The practice of a person not a resident and having no established place of business in this state, practicing or offering to 11 12 practice herein the profession of engineering or land surveying, when such practice does not exceed in the aggregate more than thirty days in 13 14 any calendar year: PROVIDED, Such person has been determined by the 15 board to be legally qualified by registration to practice the said profession in his or her own state or country in which the requirements 16 and qualifications for obtaining a certificate of registration are not 17 18 lower than those specified in this chapter. The person shall request 19 such a determination by completing an application prescribed by the board and accompanied by a fee determined by the director. 20 Upon 21 approval of the application, the board shall issue a permit authorizing 22 temporary practice; or

23 (3) The practice of a person not a resident and having no 24 established place of business in this state, or who has recently become 25 a resident thereof, practicing or offering to practice herein for more than thirty days in any calendar year the profession of engineering or 26 land surveying, if he or she shall have filed with the board an 27 application for a certificate of registration and shall have paid the 28 29 fee required by this chapter: PROVIDED, That such person is legally qualified by registration to practice engineering or land surveying in 30 his or her own state or country in which the requirements and 31 32 qualifications of obtaining a certificate of registration are not lower than those specified in this chapter. Such practice shall continue 33 34 only for such time as the board requires for the consideration of the application for registration; or 35

(4) The work of an employee or a subordinate of a person holding a
 certificate of registration under this chapter, or an employee of a
 person practicing lawfully under provisions of this section: PROVIDED,

7

1 That such work does not include final design or decisions and is done 2 under the direct responsibility, checking, and supervision of a person 3 holding a certificate of registration under this chapter or a person 4 practicing lawfully under the provisions of this section; or

5 (5) The work of a person rendering engineering or land surveying services to a corporation, as an employee of such corporation, when 6 7 such services are rendered in carrying on the general business of the 8 corporation and such general business does not consist, either wholly 9 or in part, of the rendering of engineering services to the general 10 public: PROVIDED, That such corporation employs at least one person holding a certificate of registration under this chapter or practicing 11 lawfully under the provisions of this chapter; or 12

13 (6) The practice of officers or employees of the government of the 14 United States while engaged within the state in the practice of the 15 profession of engineering or land surveying for the government of the 16 United States; or

17 (7) Nonresident engineers employed for the purpose of making18 engineering examinations; or

(8) The practice of engineering or land surveying, or both, in thisstate by a corporation or joint stock association: PROVIDED, That

(a) The corporation has filed with the board an application for certificate of authorization upon a form to be prescribed by the board and containing information required to enable the board to determine whether such corporation is qualified in accordance with this chapter to practice engineering or land surveying, or both, in this state;

26 (b) For engineering, the corporation has filed with the board a certified copy of a resolution of the board of directors of the 27 corporation that shall designate a person holding a certificate of 28 29 registration under this chapter as responsible for the practice of 30 engineering by the corporation in this state and shall provide that full authority to make all final engineering decisions on behalf of the 31 corporation with respect to work performed by the corporation in this 32 state shall be granted and delegated by the board of directors to the 33 34 person so designated in the resolution. For land surveying, the corporation has filed with the board a certified copy of a resolution 35 of the board of directors of the corporation which shall designate a 36 37 person holding a certificate of registration under this chapter as responsible for the practice of land surveying by the corporation in 38 39 this state and shall provide full authority to make all final land

surveying decisions on behalf of the corporation with respect to work 1 2 performed by the corporation in this state be granted and delegated by the board of directors to the person so designated in the resolution. 3 4 If a corporation offers both engineering and land surveying services, 5 the board of directors shall designate both a licensed engineer and a licensed land surveyor. If a person is licensed in both engineering 6 7 and land surveying, the person may be designated for both professions. 8 The resolution shall further state that the bylaws of the corporation 9 shall be amended to include the following provision: "The designated 10 engineer or land surveyor, respectively, named in the resolution as being in responsible charge, or an engineer or land surveyor under the 11 designated engineer or land surveyor's direct supervision, shall make 12 13 all engineering or land surveying decisions pertaining to engineering or land surveying activities in the state of Washington." However, the 14 15 filing of the resolution shall not relieve the corporation of any 16 responsibility or liability imposed upon it by law or by contract;

(c) If there is a change in the designated engineer or designated land surveyor, the corporation shall notify the board in writing within thirty days after the effective date of the change. If the corporation changes its name, the corporation shall submit a copy of its amended certificate of authority or amended certificate of incorporation as filed with the secretary of state within thirty days of the filing;

23 (d) Upon the filing with the board the application for certificate 24 for authorization, certified copy of resolution and an affidavit, the 25 designation of a designated engineer or designated land surveyor, or both, specified in (b) of this subsection, a certificate of 26 incorporation or certificate of authorization as filed with the 27 secretary of state, and a copy of the corporation's current Washington 28 29 business license, the board shall issue to the corporation a 30 certificate of authorization to practice engineering or land surveying, or both, in this state upon a determination by the board that: 31

(i) The designated engineer or designated land surveyor, or both,
hold a certificate of registration in this state in accordance with
this chapter and the certificate is in force;

(ii) The designated engineer or designated land surveyor, or both,
 are not designated in responsible charge for another corporation or a
 limited liability company; and

(iii) The corporation is licensed with the secretary of state andholds a current unified business identification number and the board

1 determines, based on evaluating the findings and information in this 2 section, that the applicant corporation possesses the ability and 3 competence to furnish engineering or land surveying services, or both, 4 in the public interest.

5 The board may exercise its discretion to ((refuse to issue or it may suspend or revoke)) take any of the actions under section 113 of 6 7 this act with respect to a certificate of authorization issued to a 8 corporation if the board finds that any of the officers, directors, 9 incorporators, or the stockholders holding a majority of stock of such 10 corporation has ((committed misconduct or malpractice)) engaged in unprofessional conduct as defined in RCW 18.43.105 or section 115 of 11 this act or has been found personally responsible for ((misconduct or 12 malpractice)) unprofessional conduct under (f) and (g) of this 13 14 subsection.

(e) Engineers or land surveyors organized as a professional service
 corporation under chapter 18.100 RCW are exempt from applying for a
 certificate of authorization under this chapter.

(f) Any corporation authorized to practice engineering under this chapter, together with its directors and officers for their own individual acts, are responsible to the same degree as an individual registered engineer, and must conduct its business without ((misconduct or malpractice)) unprofessional conduct in the practice of engineering as defined in this chapter and section 115 of this act.

(g) Any corporation that is certified under this chapter is subject
to the authority of the board as provided in RCW 18.43.035, 18.43.105,
18.43.110, ((and)) 18.43.120, and chapter 18.--- RCW (sections 101
through 125 of this act).

28 (h) All plans, specifications, designs, and reports when issued in connection with work performed by a corporation under its certificate 29 30 of authorization shall be prepared by or under the direct supervision of and shall be signed by and shall be stamped with the official seal 31 of a person holding a certificate of registration under this chapter. 32 (i) For each certificate of authorization issued under this 33 34 subsection (8) there shall be paid an initial fee determined by the director as provided in RCW 43.24.086 and an annual renewal fee 35 determined by the director as provided in RCW 43.24.086. 36

(9) The practice of engineering and/or land surveying in this state
by a partnership if the partnership employs at least one person holding
a valid certificate of registration under this chapter to practice

engineering or land surveying, or both. The board shall not issue
 certificates of authorization to partnerships after July 1, 1998.
 Partnerships currently registered with the board are not required to
 pay an annual renewal fee after July 1, 1998.

5 (10) The practice of engineering or land surveying, or both, in 6 this state by limited liability companies: Provided, That

7 (a) The limited liability company has filed with the board an 8 application for certificate of authorization upon a form to be 9 prescribed by the board and containing information required to enable 10 the board to determine whether the limited liability company is 11 qualified under this chapter to practice either or both engineering or 12 land surveying in this state.

(b) The limited liability company has filed with the board a 13 14 certified copy of a resolution by the company manager or managers that 15 shall designate a person holding a certificate of registration under 16 this chapter as being responsible for the practice of engineering or 17 land surveying, or both, by the limited liability company in this state and that the designated person has full authority to make all final 18 19 engineering or land surveying decisions on behalf of the limited 20 liability company with respect to work performed by the limited liability company in this state. The resolution shall further state 21 that the limited liability company agreement shall be amended to 22 include the following provision: "The designated engineer or land 23 24 surveyor, respectively, named in the resolution as being in responsible 25 charge, or an engineer or land surveyor under the designated engineer 26 or land surveyor's direct supervision, shall make all engineering or 27 land surveying decisions pertaining to engineering or land surveying 28 activities in the state of Washington." However, the filing of the 29 resolution shall not relieve the limited liability company of 30 responsibility or liability imposed upon it by law or by contract.

(c) The designated engineer for the limited liability company musthold a current professional engineer license issued by this state.

The designated land surveyor for the limited liability company must hold a current professional land surveyor license issued by this state. If a person is licensed as both a professional engineer and as a professional land surveyor in this state, then the limited liability company may designate the person as being in responsible charge for both professions.

1 If there is a change in the designated engineer or designated land 2 surveyor, the limited liability company shall notify the board in 3 writing within thirty days after the effective date of the change. If 4 the limited liability company changes its name, the company shall 5 submit to the board a copy of the certificate of amendment filed with 6 the secretary of state's office.

7 (d) Upon the filing with the board the application for certificate 8 of authorization, a certified copy of the resolution, an affidavit from 9 the designated engineer or the designated land surveyor, or both, 10 specified in (b) and (c) of this subsection, a copy of the certificate of formation as filed with the secretary of state, and a copy of the 11 company's current business license, the board shall issue to the 12 limited liability company a certificate of authorization to practice 13 14 engineering or land surveying, or both, in this state upon 15 determination by the board that:

(i) The designated engineer or designated land surveyor, or both,
hold a certificate of registration in this state under this chapter and
the certificate is in force;

(ii) The designated engineer or designated land surveyor, or both,
are not designated in responsible charge for another limited liability
company or a corporation;

(iii) The limited liability company is licensed with the secretary of state and has a current unified business identification number and that the board determines, based on evaluating the findings and information under this subsection, that the applicant limited liability company possesses the ability and competence to furnish either or both engineering or land surveying services in the public interest.

The board may exercise its discretion to ((refuse to issue, or it 28 may suspend or revoke)) take any of the actions under section 113 of 29 30 this act with respect to a certificate of authorization issued to a 31 limited liability company if the board finds that any of the managers or members holding a majority interest in the limited liability company 32 has ((committed misconduct or malpractice)) engaged in unprofessional 33 34 conduct as defined in RCW 18.43.105 or section 115 of this act or has 35 been found personally responsible for ((misconduct or malpractice)) 36 unprofessional conduct under the provisions of (f) and (g) of this 37 subsection.

(e) Engineers or land surveyors organized as a professional limited
 liability company are exempt from applying for a certificate of
 authorization under this chapter.

4 (f) Any limited liability company authorized to practice 5 engineering or land surveying, or both, under this chapter, together 6 with its manager or managers and members for their own individual acts, 7 are responsible to the same degree as an individual registered engineer 8 or registered land surveyor, and must conduct their business without 9 ((misconduct or malpractice)) unprofessional conduct in the practice of 10 engineering or land surveying, or both.

(g) A limited liability company that is certified under this chapter is subject to the authority of the board as provided in RCW 13 18.43.035, 18.43.105, 18.43.110, ((and)) 18.43.120, and chapter 18.---RCW (sections 101 through 125 of this act).

(h) All plans, specifications, designs, and reports when issued in connection with work performed by a limited liability company under its certificate of authorization shall be prepared by or under the direct supervision of and shall be signed by and shall be stamped with the official seal of a person holding a certificate of registration under this chapter.

(i) For each certificate of authorization issued under this subsection (10) there shall be paid an initial fee determined by the director as provided in RCW 43.24.086 and an annual renewal fee determined by the director as provided in RCW 43.24.086.

25 <u>NEW SECTION.</u> Sec. 228. A new section is added to chapter 18.43
26 RCW to read as follows:

The uniform regulation of business and professions act, chapter RCW (sections 101 through 125 of this act), governs unlicensed practice, the issuance and denial of licenses, and the discipline of licensees under this chapter.

31 **Sec. 229.** RCW 18.85.040 and 1992 c 92 s 1 are each amended to read 32 as follows:

(1) The director, with the advice and approval of the commission,
 may issue rules and regulations to govern the activities of real estate
 brokers, associate real estate brokers and salespersons, consistent
 with this chapter and chapter 18.--- RCW (sections 101 through 125 of

1 this act), fix the times and places for holding examinations of 2 applicants for licenses and prescribe the method of conducting them.

(2) The director shall enforce all laws, rules, and regulations 3 4 relating to the licensing of real estate brokers, associate real estate brokers, and salespersons, grant or deny licenses to real estate 5 brokers, associate real estate brokers, and salespersons, and hold 6 7 hearings. ((The director may impose any one or more of the following 8 sanctions: Suspend or revoke licenses, deny applications for licenses, 9 fine violators, or require the completion of a course in a selected 10 aspect of real estate practice relevant to the provision of this chapter or rule violated. The director may deny, suspend or revoke the 11 authority of a broker to act as the designated broker of persons who 12 commit violations of the real estate license law or of the rules and 13 14 regulations.))

(3) The director shall establish by rule standards for licensure ofapplicants licensed in other jurisdictions.

17 (4) The director shall institute a program of real estate education including, but not limited to, instituting a program of education at 18 19 institutions of higher education in Washington. The overall program shall include establishing minimum levels of ongoing education for 20 licensees relating to the practice of real estate by real estate 21 brokers and salespersons under this chapter. The program may also 22 include the development or implementation of curricula courses, 23 24 educational materials, or approaches to education relating to real 25 estate when required, approved, or certified for continuing education 26 credit. The director may enter into contracts with other persons or 27 entities, whether publicly or privately owned or operated, to assist in developing or implementing the real estate education program. 28

(5) The director shall charge a fee, as prescribed by the director
by rule, for the certification of courses of instruction, instructors,
and schools.

32 **Sec. 230.** RCW 18.85.230 and 1999 c 46 s 1 are each amended to read 33 as follows:

((The director may, upon his or her own motion, and shall upon verified complaint in writing by any person, investigate the actions of)) In addition to the unprofessional conduct described in section 115 of this act, the director may take disciplinary action against any person engaged in the business or acting in the capacity of a real

estate broker, associate real estate broker, 1 or real estate 2 salesperson, regardless of whether the transaction was for his or her own account or in his or her capacity as broker, associate real estate 3 4 broker, or real estate salesperson, and may impose any ((one or more of 5 the following sanctions: Suspend or revoke, levy a fine not to exceed one thousand dollars for each offense, require the completion of a 6 7 course in a selected area of real estate practice relevant to the 8 section of this chapter or rule violated, or deny the license)) of the 9 sanctions specified in section 113 of this act for any holder or 10 applicant who is guilty of:

11 (1) ((Obtaining a license by means of fraud, misrepresentation, 12 concealment, or through the mistake or inadvertence of the director;

13 (2)) Violating any of the provisions of this chapter or any lawful 14 rules or regulations made by the director pursuant thereto or violating 15 a provision of chapter 64.36, 19.105, or 58.19 RCW or RCW 18.86.030 or 16 the rules adopted under those chapters or section;

17 (((3) Being convicted in a court of competent jurisdiction of this 18 or any other state, or federal court, of forgery, embezzlement, 19 obtaining money under false pretenses, bribery, larceny, extortion, 20 conspiracy to defraud, or any similar offense or offenses: PROVIDED, That for the purposes of this section being convicted shall include all 21 instances in which a plea of guilty or nolo contendere is the basis for 22 23 the conviction, and all proceedings in which the sentence has been 24 deferred or suspended;

25 (4))) (2) Making, printing, publishing, distributing, or causing, 26 authorizing, or knowingly permitting the making, printing, publication 27 or distribution of false statements, descriptions or promises of such character as to reasonably induce any person to act thereon, if the 28 statements, descriptions, or promises purport to be made or to be 29 30 performed by either the licensee or his or her principal and the licensee then knew or, by the exercise of reasonable care and inquiry, 31 could have known, of the falsity of the statements, descriptions or 32 promises; 33

34 (((5))) (3) Knowingly committing, or being a party to, any material 35 fraud, misrepresentation, concealment, conspiracy, collusion, trick, 36 scheme, or device whereby any other person lawfully relies upon the 37 word, representation or conduct of the licensee;

38 (((6))) <u>(4)</u> Accepting the services of, or continuing in a 39 representative capacity, any associate broker or salesperson who has 1 not been granted a license, or after his or her license has been 2 revoked or during a suspension thereof;

3 (((7))) (5) Conversion of any money, contract, deed, note, 4 mortgage, or abstract or other evidence of title, to his or her own use 5 or to the use of his or her principal or of any other person, when delivered to him or her in trust or on condition, in violation of the 6 7 trust or before the happening of the condition; and failure to return any money or contract, deed, note, mortgage, abstract, or other 8 9 evidence of title within thirty days after the owner thereof is 10 entitled thereto, and makes demand therefor, shall be prima facie evidence of such conversion; 11

12 ((<del>(8)</del>)) <u>(6)</u> Failing, upon demand, to disclose any information 13 within his or her knowledge to, or to produce any document, book or 14 record in his or her possession for inspection of the director or his 15 or her authorized representatives acting by authority of law;

16 ((<del>(9)</del>)) <u>(7)</u> Continuing to sell any real estate, or operating 17 according to a plan of selling, whereby the interests of the public are 18 endangered, after the director has, by order in writing, stated 19 objections thereto;

(((10) Committing any act of fraudulent or dishonest dealing or a crime involving moral turpitude, and a certified copy of the final holding of any court of competent jurisdiction in such matter shall be conclusive evidence in any hearing under this chapter. However, RCW 9.96A.020 does not apply to a person who is required to register as a sex offender under RCW 9A.44.130;

26 (11))) (8) Advertising in any manner without affixing the broker's 27 name as licensed, and in the case of a salesperson or associate broker, without affixing the name of the broker as licensed for whom or under 28 29 whom the salesperson or associate broker operates, to the 30 advertisement; except, that a real estate broker, associate real estate broker, or real estate salesperson advertising their personally owned 31 real property must only disclose that they hold a real estate license; 32

33 (((12))) (9) Accepting other than cash or its equivalent as earnest 34 money unless that fact is communicated to the owner prior to his or her 35 acceptance of the offer to purchase, and such fact is shown in the 36 earnest money receipt;

37 (((13))) (10) Charging or accepting compensation from more than one 38 party in any one transaction without first making full disclosure in 1 writing of all the facts to all the parties interested in the 2 transaction;

3 (((14))) (11) Accepting, taking, or charging any undisclosed 4 commission, rebate, or direct profit on expenditures made for the 5 principal;

6 (((<del>(15)</del>)) <u>(12)</u> Accepting employment or compensation for appraisal of 7 real property contingent upon reporting a predetermined value;

8 ((<del>(16)</del>)) <u>(13)</u> Issuing an appraisal report on any real property in 9 which the broker, associate broker, or salesperson has an interest 10 unless his or her interest is clearly stated in the appraisal report; 11 ((<del>(17)</del>)) <u>(14)</u> Misrepresentation of his or her membership in any 12 state or national real estate association;

13 ((<del>(18)</del>)) <u>(15)</u> Discrimination against any person in hiring or in 14 sales activity, on the basis of any of the provisions of any state or 15 federal antidiscrimination law;

16 (((19))) (16) Failing to keep an escrow or trustee account of funds 17 deposited with him or her relating to a real estate transaction, for a 18 period of three years, showing to whom paid, and such other pertinent 19 information as the director may require, such records to be available 20 to the director, or his or her representatives, on demand, or upon 21 written notice given to the bank;

(((20))) (17) Failing to preserve for three years following its
 consummation records relating to any real estate transaction;

24 ((<del>(21)</del>)) <u>(18)</u> Failing to furnish a copy of any listing, sale, lease 25 or other contract relevant to a real estate transaction to all 26 signatories thereof at the time of execution;

(((<del>(22)</del>))) (19) Acceptance by a branch manager, associate broker, or 27 28 salesperson of a commission or any valuable consideration for the performance of any acts specified in this chapter, from any person, 29 30 except the licensed real estate broker with whom he or she is licensed; 31 (((23))) (20) To direct any transaction involving his or her principal, to any lending institution for financing or to any escrow 32 company, in expectation of receiving a kickback or rebate therefrom, 33 without first disclosing such expectation to his or her principal; 34

35 (((24))) (21) Buying, selling, or leasing directly, or through a 36 third party, any interest in real property without disclosing in 37 writing that he or she holds a real estate license; 1 (((25))) (22) In the case of a broker licensee, failing to exercise 2 adequate supervision over the activities of his or her licensed 3 associate brokers and salespersons within the scope of this chapter; 4 (((26))) (23) Any conduct in a real estate transaction which 5 demonstrates bad faith, dishonesty, untrustworthiness, or incompetency; 6 (((27))) (24) Acting as a vehicle dealer, as defined in RCW 7 46.70.011, without having a license to do so; or

8 ((<del>(28)</del>)) <u>(25)</u> Failing to ((assure)) <u>ensure</u> that the title is 9 transferred under chapter 46.12 RCW when engaging in a transaction 10 involving a mobile home as a broker, associate broker, or 11 salesperson((<del>; or</del>

12 (29) Violation of an order to cease and desist which is issued by 13 the director under this chapter)).

14 **Sec. 231.** RCW 18.85.261 and 1987 c 332 s 12 are each amended to 15 read as follows:

16 ((If the licensed person or applicant accused does not appear at the time and place appointed for the hearing in person or by counsel, 17 18 the hearing officer may proceed and determine the facts of the accusation in his or her absence. The proceedings may be conducted at 19 places within the state convenient to all persons concerned as 20 determined by the director, and may be adjourned from day to day or for 21 longer periods.)) The hearing officer shall cause a transcript of all 22 23 such proceedings to be kept by a reporter and shall upon request after 24 completion thereof, furnish a copy of such transcript to the licensed 25 person or applicant accused in such proceedings at the expense of the The hearing officer shall certify the licensee or applicant. 26 27 transcript of proceedings to be true and correct. If the director finds that the statement or accusation is not proved by a fair 28 29 preponderance of evidence, the director shall notify the licensee or 30 applicant and the person making the accusation and shall dismiss the 31 case.

32 **Sec. 232.** RCW 18.85.271 and 1989 c 175 s 66 are each amended to 33 read as follows:

If the director ((shall)) decides, after such hearing, that the evidence supports the accusation by a preponderance of evidence, the director may impose sanctions authorized under RCW 18.85.040. In such event the director shall enter an order to that effect and shall file

the same in his or her office and immediately mail a copy ((thereof)) 1 to the affected party at the address of record with the department. 2 Such order shall not be operative for a period of ten days from the 3 4 date ((thereof. Any licensee or applicant aggrieved by a final 5 decision by the director in an adjudicative proceeding, whether such decision is affirmative or negative in form, is entitled to a judicial 6 review in the superior court under the provisions of the Administrative 7 8 Procedure Act, chapter 34.05 RCW)) the order is served. Upon 9 instituting appeal in the superior court, the appellant shall give a cash bond to the state of Washington, which bond shall be filed with 10 the clerk of the court, in the sum of five hundred dollars to be 11 approved by the judge of said court, conditioned to pay all costs that 12 13 may be awarded against such appellant in the event of an adverse decision, such bond and notice to be filed within thirty days from the 14 15 date of the director's decision.

16 <u>NEW SECTION.</u> Sec. 233. A new section is added to chapter 18.85 17 RCW to read as follows:

The uniform regulation of business and professions act, chapter 19 18.--- RCW (sections 101 through 125 of this act), governs unlicensed 20 practice, the issuance and denial of licenses, and the discipline of 21 licensees under this chapter.

22 **Sec. 234.** RCW 18.96.060 and 1969 ex.s. c 158 s 6 are each amended 23 to read as follows:

The board shall adopt rules for its own organization and procedure and such other rules as it may deem necessary to the proper performance of its duties. Three members of the board shall constitute a quorum for the conduct of any business of the board.

28 The board may conduct hearings concerning alleged violations of the provisions of this chapter. ((In conducting such hearings the chairman 29 of the board, or any member of the board acting in his place, may 30 31 administer oaths or affirmations to witnesses appearing before the 32 board, subpoena witnesses and compel their attendance, and require the 33 production of books, records, papers and documents. If any person shall refuse to obey any subpoena so issued, or shall refuse to testify 34 35 or to produce any books, records, papers or documents so required to be produced, the board may present its petition to the superior court of 36 37 the county in which such person resides, setting forth the facts, and

1 thereupon the court shall, in any proper case, enter a suitable order

2 compelling compliance with the provisions of this chapter and imposing

3 such other terms and conditions as the court may deem equitable.))

4 **Sec. 235.** RCW 18.96.120 and 1997 c 58 s 827 are each amended to 5 read as follows:

(1) ((The director may refuse to renew, or may suspend or revoke, 6 7 a certificate of registration to use the titles landscape architect, 8 landscape architecture, or landscape architectural in this state upon 9 the following grounds)) In addition to the conduct, acts, or conditions set out in section 115 of this act, the following constitute 10 unprofessional conduct for which the director may impose discipline 11 upon any license holder or applicant under the jurisdiction of this 12 13 chapter:

14 (a) The holder of the certificate of registration is impersonating15 a practitioner or former practitioner.

16 (b) ((The holder of the certificate of registration is guilty of 17 fraud, deceit, gross negligence, gross incompetency or gross misconduct 18 in the practice of landscape architecture.

19 (c)) The holder of the certificate of registration permits his or
20 <u>her</u> seal to be affixed to any plans, specifications, or drawings that
21 were not prepared by him <u>or her</u> or under his <u>or her</u> personal
22 supervision by employees subject to his <u>or her</u> direction and control.
23 ((d) The holder of the certificate has committed fraud in applying
24 for or obtaining a certificate.))

25 (2) The director shall immediately suspend the certificate of 26 registration of a landscape architect who has been certified pursuant 27 to RCW 74.20A.320 by the department of social and health services as a person who is not in compliance with a support order ((or a residential 28 29 or visitation order)). If the person has continued to meet all other 30 requirements for certification during the suspension, reissuance of the certificate of registration shall be automatic upon the director's 31 receipt of a release issued by the department of social and health 32 services stating that the person is in compliance with the order. 33

34 **Sec. 236.** RCW 18.96.140 and 1985 c 7 s 77 are each amended to read 35 as follows:

36 ((Upon the recommendations of the board, the director may restore 37 a license to any person whose license has been suspended or revoked. Application for the reissuance of a license shall be made in such a
manner as indicated by the board.))

A new certificate of registration to replace any certificate lost or destroyed, or mutilated may be issued by the director, and a charge determined by the director as provided in RCW 43.24.086 shall be made for such issuance.

7 <u>NEW SECTION.</u> Sec. 237. A new section is added to chapter 18.96 8 RCW to read as follows:

9 The uniform regulation of business and professions act, chapter 10 18.--- RCW (sections 101 through 125 of this act), governs unlicensed 11 practice, the issuance and denial of licenses, and the discipline of 12 licensees under this chapter.

13 **Sec. 238.** RCW 18.140.030 and 2000 c 249 s 2 are each amended to 14 read as follows:

15 The director shall have the following powers and duties:

(1) To adopt rules in accordance with chapter 34.05 RCW necessary
to implement this chapter <u>and chapter 18.--- RCW (sections 101 through</u>
<u>125 of this act)</u>, with the advice and approval of the commission;

(2) To receive and approve or deny applications for certification 19 or licensure as a state-certified or state-licensed real estate 20 21 appraiser under this chapter; to establish appropriate administrative 22 procedures for the processing of such applications; to issue 23 certificates or licenses to qualified applicants pursuant to the 24 provisions of this chapter; and to maintain a register of the names and 25 addresses of individuals who are currently certified or licensed under 26 this chapter;

(3) To provide administrative assistance to the members of and tokeep records for the real estate appraiser commission;

(4) To solicit bids and enter into contracts with educational
testing services or organizations for the preparation of questions and
answers for certification or licensure examinations;

(5) To administer or contract for administration of certification
 or licensure examinations at locations and times as may be required to
 carry out the responsibilities under this chapter;

(6) To enter into contracts for professional services determined tobe necessary for adequate enforcement of this chapter;

1 (7) To consider recommendations by the real estate appraiser 2 commission relating to the experience, education, and examination 3 requirements for each classification of state-certified appraiser and 4 for licensure;

5 (8) To consider recommendations by the real estate appraiser 6 commission relating to continuing education requirements as a 7 prerequisite to renewal of certification or licensure;

8 (9) To consider recommendations by the real estate appraiser 9 commission relating to standards of professional appraisal conduct or 10 practice in the enforcement of this chapter;

(10) ((To investigate all complaints or reports of unprofessional conduct as defined in this chapter and to hold hearings as provided in this chapter;

14 (11) To establish appropriate administrative procedures for 15 disciplinary proceedings conducted pursuant to the provisions of this 16 chapter;

17 (12) To compel the attendance of witnesses and production of books, 18 documents, records, and other papers; to administer oaths; and to take 19 testimony and receive evidence concerning all matters within their 20 jurisdiction. These powers may be exercised directly by the director 21 or the director's authorized representatives acting by authority of 22 law;

23 (13) To take emergency action ordering summary suspension of a 24 license or certification pending proceedings by the director;

25 (14))) To employ such professional, clerical, and technical 26 assistance as may be necessary to properly administer the work of the 27 director;

28 (((15))) (11) To establish forms necessary to administer this 29 chapter;

30 ((<del>(16)</del>)) <u>(12)</u> To establish an expert review appraiser roster 31 comprised of state-certified or licensed real estate appraisers whose purpose is to assist the director by applying their individual 32 expertise by reviewing real estate appraisals for compliance with this 33 34 chapter. Qualifications to act as an expert review appraiser shall be established by the director with the advice of the commission. 35 An application to serve as an expert review appraiser shall be submitted 36 37 to the real estate appraiser program, and the roster of accepted expert review appraisers shall be maintained by the department. An expert 38 review appraiser may be added to or deleted from that roster by the 39

director. The expert review appraiser shall be reimbursed for expenses
 in the same manner as the department reimburses the commission; and

3 (((17))) (13) To do all other things necessary to carry out the 4 provisions of this chapter and minimally meet the requirements of 5 federal guidelines regarding state certification or licensure of 6 appraisers that the director determines are appropriate for state-7 certified and state-licensed appraisers in this state.

8 **sec. 239.** RCW 18.140.160 and 2000 c 35 s 1 are each amended to 9 read as follows:

((The director may deny an application for licensure or 10 certification and may impose any one or more of the following sanctions 11 against a state-licensed or state-certified appraiser: Suspend, 12 revoke, or levy a fine not to exceed one thousand dollars for each 13 14 offense and/or otherwise discipline in accordance with the provisions of this chapter, for any of the following acts or omissions)) In 15 addition to the unprofessional conduct described in section 115 of this 16 17 act, the director may take disciplinary action for the following conduct, acts, or conditions: 18

(1) Failing to meet the minimum qualifications for state licensureor certification established by or pursuant to this chapter;

(2) ((Procuring or attempting to procure state licensure or certification under this chapter by knowingly making a false statement, knowingly submitting false information, or knowingly making a material misrepresentation on any application filed with the director;

25 (3)) Paying money other than the fees provided for by this chapter 26 to any employee of the director or the ((committee)) commission to 27 procure state licensure or certification under this chapter;

28 (((4) Obtaining a license or certification through the mistake or 29 inadvertence of the director;

30 (5) Conviction of any gross misdemeanor or felony or the commission of any act involving moral turpitude, dishonesty, or corruption whether 31 or not the act constitutes a crime. If the act constitutes a crime, 32 33 conviction in a criminal proceeding is not a condition precedent to 34 disciplinary action. Upon such a conviction, however, the judgment and 35 sentence is conclusive evidence at the ensuing disciplinary hearing of 36 the guilt of the license or certificate holder or applicant of the crime described in the indictment or information, and of the person's 37 violation of the statute on which it is based. For the purposes of 38

1 this section, conviction includes all instances in which a plea of 9 guilty or nolo contendere is the basis for the conviction and all 9 proceedings in which the sentence has been deferred or suspended. 4 Nothing in this section abrogates rights guaranteed under chapter 9.96A 5 RCW. However, RCW 9.96A.020 does not apply to a person who is required 6 to register as a sex offender under RCW 9A.44.130;

7 (6) Failure or refusal without good cause to exercise reasonable 8 diligence in developing an appraisal, preparing an appraisal report, or 9 communicating an appraisal;

10 (7) Negligence or incompetence in developing an appraisal, 11 preparing an appraisal report, or communicating an appraisal;

12 (8))) (3) Continuing to act as a state-licensed or state-certified 13 real estate appraiser when his or her license or certificate is on an 14 expired status;

15 (((9) Failing, upon demand, to disclose any information within his 16 or her knowledge to, or to produce any document, book, or record in his 17 or her possession for inspection of the director or the director's 18 authorized representatives acting by authority of law;

19 (10))) (4) Violating any provision of this chapter or any lawful
20 rule made by the director pursuant thereto; and

21 ((<del>(11) Advertising in a false, fraudulent, or misleading manner;</del>

(12) Suspension, revocation, or restriction of the individual's license or certification to practice the profession by competent authority in any state, federal, or foreign jurisdiction, with a certified copy of the order, stipulation, or agreement being conclusive evidence of the revocation, suspension, or restriction;

27

(13) Failing to comply with an order issued by the director;

28 (14) Committing any act of fraudulent or dishonest dealing or a 29 crime involving moral turpitude, with a certified copy of the final 30 holding of any court of competent jurisdiction in such matter being 31 conclusive evidence in any hearing under this chapter; and

32 (15))) (5) Issuing an appraisal report on any real property in 33 which the appraiser has an interest unless his or her interest is 34 clearly stated in the appraisal report.

35 **Sec. 240.** RCW 18.140.170 and 1996 c 182 s 10 are each amended to 36 read as follows:

The director may investigate the actions of a state-licensed or state-certified real estate appraiser or an applicant for licensure or

certification or relicensure or recertification. Upon receipt of 1 information indicating that a state-licensed or state-certified real 2 estate appraiser under this chapter may have violated this chapter, the 3 4 director ((shall)) may cause one or more of the staff investigators to 5 make an investigation of the facts to determine whether or not there is admissible evidence of any such violation. If technical assistance is 6 7 required, a staff investigator may consult with one or more of the 8 members of the ((committee)) commission.

9 ((In any investigation made by the director's investigative staff, 10 the director shall have the power to compel the attendance of witnesses 11 and the production of books, documents, records, and other papers, to 12 administer oaths, and to take testimony and receive evidence concerning 13 all matters within the director's jurisdiction.

14 If the director determines, upon investigation, that a state-15 licensed or state-certified real estate appraiser under this chapter has violated this chapter, a statement of charges shall be prepared and 16 served upon the state-licensed or state-certified real estate 17 18 appraiser. The statement of charges shall be served as follows: The 19 statement of charges shall be sent by certified or registered mail, and 20 if no receipt of service is received, two attempts to personally serve the statement of charges shall be made. This statement of charges 21 22 shall require the accused party to file an answer to the statement of charges within twenty days of the date of service. 23

24 In responding to a statement of charges, the accused party may 25 admit to the allegations, deny the allegations, or otherwise plead. 26 Failure to make a timely response shall be deemed an admission of the allegations contained in the statement of charges and will result in a 27 default whereupon the director may enter an order under RCW 34.05.440. 28 29 If a hearing is requested, the time of the hearing shall be scheduled 30 but the hearing shall not be held earlier than thirty days after 31 service of the charges upon the accused. A notice of hearing shall be issued at least twenty days prior to the hearing, specifying the time, 32 date, and place of hearing.)) 33

34 <u>NEW SECTION.</u> Sec. 241. A new section is added to chapter 18.140 35 RCW to read as follows:

The uniform regulation of business and professions act, chapter 37 18.--- RCW (sections 101 through 125 of this act), governs unlicensed

practice, the issuance and denial of licenses, and the discipline of 1 2 licensees under this chapter. 3 **Sec. 242.** RCW 18.145.050 and 1995 c 269 s 502 and 1995 c 27 s 6 4 are each reenacted and amended to read as follows: 5 In addition to any other authority provided by law, the director 6 may: 7 (1) Adopt rules in accordance with chapter 34.05 RCW that are necessary to implement this chapter; 8 9 (2) Set all renewal, late renewal, duplicate, and verification fees in accordance with RCW 43.24.086; 10 (3) Establish the forms and procedures necessary to administer this 11 12 chapter; (4) Issue a certificate to any applicant who has met the 13 14 requirements for certification; (5) Hire clerical, administrative, and investigative staff as 15 16 needed to implement and administer this chapter; 17 (6) ((Investigate complaints or reports of unprofessional conduct 18 as defined in this chapter and hold hearings under chapter 34.05 RCW; (7) Issue subpoenas for records and attendance of witnesses, 19 statements of charges, statements of intent to deny certificates, and 20 orders; administer oaths; take or cause depositions to be taken; and 21 22 use other discovery procedures as needed in any investigation, hearing, 23 or proceeding held under this chapter; 24 (8))) Maintain the official departmental record of all applicants 25 and certificate holders; (((9) Delegate, in writing to a designee, the authority to issue 26 27 subpoenas, statements of charges, and statements of intent to deny certification; 28 29 (10))) (7) Approve the preparation and administration of 30 examinations for certification; (((11))) (8) Establish by rule the procedures for an appeal of a 31 failure of an examination; 32 33 (((12) Conduct a hearing under chapter 34.05 RCW on an appeal of a 34 denial of a certificate based on the applicant's failure to meet minimum qualifications for certification; 35 36 (13))) (9) Set the criteria for meeting the standard required for certification; 37

1 (((14))) (10) Establish advisory committees whose membership shall 2 include representatives of professional court reporting and 3 stenomasking associations and representatives from accredited schools 4 offering degrees in court reporting or stenomasking to advise the 5 director on testing procedures, professional standards, disciplinary 6 activities, or any other matters deemed necessary;

7 (((15))) (11) Establish ad hoc advisory committees whose membership 8 shall include representatives of professional court reporting and 9 stenomasking associations and representatives from accredited schools 10 offering degrees in court reporting or stenomasking to advise the 11 director on testing procedures, professional standards, ((disciplinary 12 activities,)) or any other matters deemed necessary.

13 <u>NEW SECTION.</u> Sec. 243. A new section is added to chapter 18.145 14 RCW to read as follows:

The uniform regulation of business and professions act, chapter 16 18.--- RCW (sections 101 through 125 of this act), governs unlicensed 17 practice, the issuance and denial of licenses, and the discipline of 18 licensees under this chapter.

19 Sec. 244. RCW 18.165.160 and 1997 c 58 s 835 are each amended to 20 read as follows:

In addition to the unprofessional conduct described in section 115 of this act, the director may take disciplinary action for the following <u>conduct</u>, acts ((are prohibited and constitute grounds for disciplinary action, assessing administrative penalties, or denial, suspension, or revocation of any license under this chapter, as deemed appropriate by the director)), or conditions:

27 (1) ((Knowingly))  $\underline{V}$ iolating any of the provisions of this chapter 28 or the rules adopted under this chapter;

(2) ((Knowingly)) Making a material misstatement or omission in the
 application for or renewal of a ((license or)) firearms certificate,
 including falsifying requested identification information;

32 (3) Not meeting the qualifications set forth in RCW 18.165.030,
33 18.165.040, or 18.165.050;

34 (4) Failing to return immediately on demand a firearm issued by an35 employer;

36 (5) Carrying a firearm in the performance of his or her duties if 37 not the holder of a valid armed private investigator license, or 1 carrying a firearm not meeting the provisions of this chapter while in 2 the performance of his or her duties;

3 (6) Failing to return immediately on demand company identification,
4 badges, or other items issued to the private investigator by an
5 employer;

6 (7) Making any statement that would reasonably cause another person 7 to believe that the private investigator is a sworn peace officer;

8 (8) Divulging confidential information obtained in the course of9 any investigation to which he or she was assigned;

10 (9) Acceptance of employment that is adverse to a client or former 11 client and relates to a matter about which a licensee has obtained 12 confidential information by reason of or in the course of the 13 licensee's employment by the client;

14 (10) ((Conviction of a gross misdemeanor or felony or the 15 commission of any act involving moral turpitude, dishonesty, or corruption whether the act constitutes a crime or not. If the act 16 constitutes a crime, conviction in a criminal proceeding is not a 17 18 condition precedent to disciplinary action. Upon such a conviction, 19 however, the judgment and sentence is conclusive evidence at the ensuing disciplinary hearing of the guilt of the license holder or 20 applicant of the crime described in the indictment or information, and 21 22 of the person's violation of the statute on which it is based. For the purposes of this section, conviction includes all instances in which a 23 24 plea of guilty or nolo contendere is the basis for the conviction and 25 all proceedings in which the sentence has been deferred or suspended; 26 (11) Advertising that is false, fraudulent, or misleading;

27 (12) Incompetence or negligence that results in injury to a person
28 or that creates an unreasonable risk that a person may be harmed;

29 (13) Suspension, revocation, or restriction of the individual's 30 license to practice the profession by competent authority in any state, 31 federal, or foreign jurisdiction, a certified copy of the order, 32 stipulation, or agreement being conclusive evidence of the revocation, 33 suspension, or restriction;

34 (14) Failure to cooperate with the director by:

35 (a) Not furnishing any necessary papers or documents requested by 36 the director for purposes of conducting an investigation for 37 disciplinary action, denial, suspension, or revocation of a license 38 under this chapter; 1 (b) Not furnishing in writing a full and complete explanation
2 covering the matter contained in a complaint filed with the department;
3 or

4 (c) Not responding to subpoenas issued by the director, whether or
5 not the recipient of the subpoena is the accused in the proceeding;

6 (15) Failure to comply with an order issued by the director or an
7 assurance of discontinuance entered into with the director;

8 (16) Aiding or abetting an unlicensed person to practice if a
9 license is required;

10 (17) Misrepresentation or fraud in any aspect of the conduct of the 11 business or profession;

12 (18) Failure to adequately supervise employees to the extent that 13 the public health or safety is at risk;

14 (19) Interference with an investigation or disciplinary proceeding 15 by willful misrepresentation of facts before the director or the 16 director's authorized representative, or by the use of threats or 17 harassment against any client or witness to prevent them from providing 18 evidence in a disciplinary proceeding or any other legal action;

(20)) Assigning or transferring any license issued pursuant to the
 provisions of this chapter, except as provided in RCW 18.165.050;

(((21))) (11) Assisting a client to locate, trace, or contact a person when the investigator knows that the client is prohibited by any court order from harassing or contacting the person whom the investigator is being asked to locate, trace, or contact, as it pertains to domestic violence, stalking, or minor children;

((<del>(22)</del>)) <u>(12)</u> Failure to maintain bond or insurance;

((<del>(23)</del>)) <u>(13)</u> Failure to have a qualifying principal in place; or ((<del>(24)</del>)) <u>(14)</u> Being certified as not in compliance with a support order ((<del>or a residential or visitation order</del>)) as provided in RCW 74.20A.320.

31 **Sec. 245.** RCW 18.165.170 and 1995 c 277 s 35 are each amended to 32 read as follows:

33 The director has the following authority in administering this 34 chapter:

35 (1) To adopt, amend, and rescind rules as deemed necessary to carry 36 out this chapter;

(2) ((To issue subpoenas and administer oaths in connection with an
 investigation, hearing, or proceeding held under this chapter;

26

1 (3) To take or cause depositions to be taken and use other
2 discovery procedures as needed in an investigation, hearing, or
3 proceeding held under this chapter;

(4) To compel attendance of witnesses at hearings;

4

5 (5) In the course of investigating a complaint or report of 6 unprofessional conduct, to conduct practice reviews;

7 (6) To take emergency action ordering summary suspension of a
8 license, or restriction or limitation of the licensee's practice
9 pending proceedings by the director;

10 (7) To use the office of administrative hearings as authorized in 11 chapter 34.12 RCW to conduct hearings. However, the director or the 12 director's designee shall make the final decision in the hearing;

(8))) To enter into contracts for professional services determined
 to be necessary for adequate enforcement of this chapter;

15 (((<del>9)</del>)) <u>(3)</u> To adopt standards of professional conduct or practice; 16 and

17 (((10) In the event of a finding of unprofessional conduct by an 18 applicant or license holder, to impose sanctions against a license 19 applicant or license holder as provided by this chapter;

20 (11) To enter into an assurance of discontinuance in lieu of 21 issuing a statement of charges or conducting a hearing. The assurance 22 shall consist of a statement of the law in question and an agreement to 23 not violate the stated provision. The applicant or license holder 24 shall not be required to admit to any violation of the law, and the 25 assurance shall not be construed as such an admission. Violation of an 26 assurance under this subsection is grounds for disciplinary action;

27 (12) To designate individuals authorized to sign subpoenas and
 28 statements of charges;

29 (13))) (4) To employ such investigative, administrative, and 30 clerical staff as necessary for the enforcement of this chapter(( $\dot{\tau}$ 

31 (14) To compel attendance of witnesses at hearings; and

32 (15) To assess administrative penalties for violations of law, 33 rules, or regulations)).

34NEW SECTION.Sec. 246.A new section is added to chapter 18.16535RCW to read as follows:

The uniform regulation of business and professions act, chapter RCW (sections 101 through 125 of this act), governs unlicensed

practice, the issuance and denial of licenses, and the discipline of
 licensees under this chapter.

3 **Sec. 247.** RCW 18.170.170 and 1997 c 58 s 837 are each amended to 4 read as follows:

5 In addition to the ((provisions of RCW 18.170.164, the following 6 acts are prohibited and constitute grounds for disciplinary action, 7 assessing administrative penalties, or denial, suspension, or 8 revocation of any license under this chapter, as deemed appropriate by 9 the director:

10 (1) Knowingly violating any of the provisions of this chapter or 11 the rules adopted under this chapter;

12 (2)) unprofessional conduct described in section 115 of this act, 13 the following conduct, acts, or conditions constitute unprofessional 14 conduct:

15 (1) Violating any of the provisions of this chapter or the rules 16 adopted under this chapter;

17 (2) Practicing fraud, deceit, or misrepresentation in any of the 18 private security activities covered by this chapter;

(3) Knowingly making a material misstatement or omission in the
 application for a ((<del>license or</del>)) firearms certificate;

(4) Not meeting the qualifications set forth in RCW 18.170.030,
18.170.040, or 18.170.060;

(5) Failing to return immediately on demand a firearm issued by anemployer;

(6) Carrying a firearm in the performance of his or her duties if not the holder of a valid armed private security guard license, or carrying a firearm not meeting the provisions of this chapter while in the performance of his or her duties;

(7) Failing to return immediately on demand any uniform, badge, or other item of equipment issued to the private security guard by an employer;

32 (8) Making any statement that would reasonably cause another person33 to believe that the private security guard is a sworn peace officer;

(9) Divulging confidential information that may compromise the
 security of any premises, or valuables shipment, or any activity of a
 client to which he or she was assigned;

37 (10) ((Conviction of a gross misdemeanor or felony or the 38 commission of any act involving moral turpitude, dishonesty, or

corruption whether the act constitutes a crime or not. If the act 1 constitutes a crime, conviction in a criminal proceeding is not a 2 condition precedent to disciplinary action. Upon such a conviction, 3 4 however, the judgment and sentence is conclusive evidence at the ensuing disciplinary hearing of the guilt of the license holder or 5 applicant of the crime described in the indictment or information, and 6 7 of the person's violation of the statute on which it is based. For the 8 purposes of this section, conviction includes all instances in which a 9 plea of guilty or nolo contendere is the basis for the conviction and 10 all proceedings in which the sentence has been deferred or suspended; (11) Misrepresentation or concealment of a material fact in 11 12 obtaining a license or in reinstatement thereof;

13 (12) Advertising that is false, fraudulent, or misleading;

14 (13) Incompetence or negligence that results in injury to a person 15 or that creates an unreasonable risk that a person may be harmed;

16 (14) Suspension, revocation, or restriction of the individual's 17 license to practice the profession by competent authority in any state, 18 federal, or foreign jurisdiction, a certified copy of the order, 19 stipulation, or agreement being conclusive evidence of the revocation, 20 suspension, or restriction;

21 (15) Failure to cooperate with the director by:

22 (a) Not furnishing any necessary papers or documents requested by 23 the director for purposes of conducting an investigation for 24 disciplinary action, denial, suspension, or revocation of a license 25 under this chapter;

26 (b) Not furnishing in writing a full and complete explanation 27 covering the matter contained in a complaint filed with the department; 28 or

29 (c) Not responding to subpoenas issued by the director, whether or 30 not the recipient of the subpoena is the accused in the proceeding;

31 (16) Failure to comply with an order issued by the director or an 32 assurance of discontinuance entered into with the disciplining 33 authority;

34 (17) Aiding or abetting an unlicensed person to practice if a 35 license is required;

36 (18) Misrepresentation or fraud in any aspect of the conduct of the 37 business or profession;

38 (19) Failure to adequately supervise employees to the extent that 39 the public health or safety is at risk; 1 (20) Interference with an investigation or disciplinary proceeding 2 by willful misrepresentation of facts before the director or the 3 director's authorized representative, or by the use of threats or 4 harassment against a client or witness to prevent them from providing 5 evidence in a disciplinary proceeding or any other legal action;

6 (21)) Assigning or transferring any license issued pursuant to the
7 provisions of this chapter, except as provided in RCW 18.170.060;

8

((<del>(22)</del>)) <u>(11)</u> Failure to maintain insurance; and

9

 $((\frac{23}{23}))$  (12) Failure to have a qualifying principal in place.

10 **Sec. 248.** RCW 18.170.180 and 1991 c 334 s 18 are each amended to 11 read as follows:

12 The director has the following authority in administering this 13 chapter:

14 (1) To adopt, amend, and rescind rules as deemed necessary to carry15 out this chapter;

16 (2) ((To issue subpoenas and administer oaths in connection with an 17 investigation, hearing, or proceeding held under this chapter;

18 (3) To take or cause depositions to be taken and use other 19 discovery procedures as needed in an investigation, hearing, or 20 proceeding held under this chapter;

21 (4) To compel attendance of witnesses at hearings;

22 (5)) In the course of investigating a complaint or report of 23 unprofessional conduct, to conduct practice reviews;

24 (((6) To take emergency action ordering summary suspension of a 25 license, or restriction or limitation of the licensee's practice 26 pending proceedings by the director;

(7) To use the office of administrative hearings as authorized in
 chapter 34.12 RCW to conduct hearings. However, the director or the
 director's designee shall make the final decision in the hearing;

30 (8))) (3) To enter into contracts for professional services 31 determined to be necessary for adequate enforcement of this chapter;

32 (((<del>9)</del>)) <u>(4)</u> To adopt standards of professional conduct or practice; 33 <u>and</u>

34 (((10) In the event of a finding of unprofessional conduct by an 35 applicant or license holder, to impose sanctions against a license 36 applicant or license holder as provided by this chapter;

37 (11) To enter into an assurance of discontinuance in lieu of
 38 issuing a statement of charges or conducting a hearing. The assurance

1 shall consist of a statement of the law in question and an agreement to
2 not violate the stated provision. The applicant or license holder
3 shall not be required to admit to any violation of the law, and the
4 assurance shall not be construed as such an admission. Violation of an
5 assurance under this subsection is grounds for disciplinary action;

6 (12) To designate individuals authorized to sign subpoenas and 7 statements of charges;

8 (13))) (5) To employ such investigative, administrative, and 9 clerical staff as necessary for the enforcement of this chapter((; and 10 (14) To compel the attendance of witnesses at hearings)).

11 <u>NEW SECTION.</u> Sec. 249. A new section is added to chapter 18.170
12 RCW to read as follows:

The uniform regulation of business and professions act, chapter 14 18.--- RCW (sections 101 through 125 of this act), governs unlicensed 15 practice, the issuance and denial of licenses, and the discipline of 16 licensees under this chapter.

17 **Sec. 250.** RCW 18.185.110 and 1993 c 260 s 12 are each amended to 18 read as follows:

19 ((The following acts are prohibited and constitute grounds for 20 disciplinary action or denial, suspension, or revocation of any license 21 under this chapter, as deemed appropriate by the director:

22 (1) Knowingly violating any of the provisions of this chapter or 23 the rules adopted under this chapter;

24 (2) Knowingly making a material misstatement or omission in the 25 application for or renewal of a license;

26 (3)) In addition to the unprofessional conduct described in 27 section 115 of this act, the following conduct, acts, or conditions 28 constitute unprofessional conduct:

29 <u>(1) Violating any of the provisions of this chapter or the rules</u> 30 <u>adopted under this chapter;</u>

31 (2) Failing to meet the qualifications set forth in RCW 18.185.020 32 and 18.185.030;

(((4) Conviction of a gross misdemeanor or felony or the commission of any act involving moral turpitude, dishonesty, or corruption whether the act constitutes a crime or not. If the act constitutes a crime, conviction in a criminal proceeding is not a condition precedent to disciplinary action. Upon such a conviction, however, the judgment and

sentence is conclusive evidence at the ensuing disciplinary hearing of 1 the guilt of the license holder or applicant of the crime described in 2 the indictment or information, and of the person's violation of the 3 4 statute on which it is based. For the purposes of this section, 5 conviction includes all instances in which a plea of guilty or nolo contendere is the basis for the conviction and all proceedings in which б 7 the sentence has been deferred or suspended. Nothing in this section 8 abrogates rights guaranteed under chapter 9.96A RCW;

9

(5) Advertising that is false, fraudulent, or misleading;

10 (6) Incompetence or negligence that results in injury to a person 11 or that creates an unreasonable risk that a person may be harmed;

12 (7) Suspension, revocation, or restriction of the individual's 13 license to practice the profession by competent authority in any state, 14 federal, or foreign jurisdiction, a certified copy of the order, 15 stipulation, or agreement being conclusive evidence of the revocation, 16 suspension, or restriction;

17

(8) Failure to cooperate with the director by not:

18 (a) Furnishing any necessary papers or documents requested by the 19 director for purposes of conducting an investigation for disciplinary 20 action, denial, suspension, or revocation of a license under this 21 chapter;

(b) Furnishing in writing a full and complete explanation covering
 the matter contained in a complaint filed with the department; or

(c) Responding to subpoenas issued by the director, whether or not
 the recipient of the subpoena is the accused in the proceeding;

26 (9) Failure to comply with an order issued by the director or an 27 assurance of discontinuance entered into with the director;

28 (10) Aiding or abetting an unlicensed person to practice if a
29 license is required;

30 (11)) (3) Knowingly committing, or being a party to, any material 31 fraud, misrepresentation, concealment, conspiracy, collusion, trick, 32 scheme, or device whereby any other person lawfully relies upon the 33 word, representation, or conduct of the licensee;

34 (((12) Failure to adequately supervise employees to the extent that 35 the client funds are at risk;

36 (13) Interference with an investigation or disciplinary proceeding 37 by willful misrepresentation of facts before the director or the 38 director's authorized representative, or by the use of threats or

harassment against any client or witness to prevent them from providing 1 evidence in a disciplinary proceeding or any other legal action; 2

3 (14))) (4) Assigning or transferring any license issued pursuant to 4 the provisions of this chapter, except as provided in RCW 18.185.030; 5 (((15))) (5) Conversion of any money or contract, deed, note, mortgage, or other evidence of title, to his or her own use or to the б 7 use of his or her principal or of any other person, when delivered to 8 him or her in trust or on condition, in violation of the trust or 9 before the happening of the condition; and failure to return any money 10 or contract, deed, note, mortgage, or other evidence of title within thirty days after the owner is entitled to possession, and makes demand 11 for possession, shall be prima facie evidence of conversion; 12

13 (((16))) (6) Failing to keep records, maintain a trust account, or return collateral or security, as required by RCW 18.185.100; 14

15 (((17))) (7) Any conduct in a bail bond transaction which demonstrates bad faith, dishonesty, or untrustworthiness; or 16

17 (((18))) (8) Violation of an order to cease and desist that is issued by the director under this chapter. 18

**Sec. 251.** RCW 18.185.120 and 1993 c 260 s 13 are each amended to 19 read as follows: 20

In addition to those powers set forth in section 104 of this act, 21 the director has the ((following)) authority ((in administering this 22 23 chapter)):

24 (1) ((To adopt, amend, and rescind rules as deemed necessary to 25 carry out this chapter;

26 (2) To issue an order providing for one or any combination of the 27 following upon violation or violations of this chapter: Denying, suspending, or revoking a license; assessing monetary penalties; 28 29 restricting or limiting practice; complying with conditions of 30 probation for a designated period of time; making restitution to the person harmed by the licensee; or other corrective action; 31

(3) To issue subpoenas and administer oaths in connection with an 32 33 investigation, hearing, or proceeding held under this chapter;

34 (4) To take or cause depositions to be taken and use other 35 discovery procedures as needed in an investigation, hearing, or 36 proceeding held under this chapter;

37

(5) To compel attendance of witnesses at hearings;

1 (6) To establish fees by rule under RCW 43.24.086 and chapter 34.05
2 RCW;

3 (7) To take emergency action ordering summary suspension of a
4 license, or restriction or limitation of the licensee's practice
5 pending proceedings by the director;

6 (8) To use the office of administrative hearings as authorized in
7 chapter 34.12 RCW to conduct hearings. However, the director or the
8 director's designee shall make the final decision in the hearing;

9 (9) To enter into contracts for professional services determined to
10 be necessary for adequate enforcement of this chapter;

11 (10) To adopt standards of professional conduct or practice;

12 (11) In the event of a finding of unprofessional conduct by an 13 applicant or license holder, to impose sanctions against an applicant 14 or license holder as provided by this chapter;

15 (12)) To order restitution to the person harmed by the licensee; 16 or

17 (2) To enter into an assurance of discontinuance in lieu of issuing 18 a statement of charges or conducting a hearing. The assurance shall 19 consist of a statement of the law in question and an agreement to not 20 violate the stated provision. The applicant or license holder shall 21 not be required to admit to any violation of the law, and the assurance 22 shall not be construed as such an admission. Violation of an assurance 23 under this subsection is grounds for disciplinary action(( $\dot{\tau}$ 

24 (13) To designate individuals authorized to sign subpoenas and 25 statements of charges; and

26 (14) To employ such investigative, administrative, and clerical 27 staff as necessary for the enforcement of this chapter)).

28 **Sec. 252.** RCW 18.185.140 and 1993 c 260 s 15 are each amended to 29 read as follows:

30 (((1) If the director determines, upon investigation, that there is reason to believe a violation of this chapter has occurred, a statement 31 32 of charges shall be prepared and served upon the license holder or 33 applicant and)) When a statement of charges is issued against a license holder or applicant under section 107 of this act, notice of this 34 action <u>must be</u> given to the owner or qualified agent of the employing 35 36 bail bond agency. ((The statement of charges shall be accompanied by a notice that the license holder or applicant may request a hearing to 37 contest the charges. The license holder or applicant must file a 38

1 request for hearing with the department within twenty days after being 2 served the statement of charges. The failure to request a hearing 3 constitutes a default, whereupon the director may enter an order under 4 RCW 34.05.440.

5 (2) If a hearing is requested, the time of the hearing shall be 6 scheduled but the hearing shall not be held earlier than thirty days 7 after service of the charges upon the license holder or applicant. A 8 notice of hearing shall be issued at least twenty days prior to the 9 hearing, specifying the time, date, and place of the hearing.))

10 **Sec. 253.** RCW 18.185.170 and 1993 c 260 s 18 are each amended to 11 read as follows:

12 (1) ((The director shall investigate complaints concerning practice 13 by unlicensed persons of a profession or business for which a license 14 is required by this chapter. In the investigation of the complaints, the director has the same authority as provided the director under RCW 15 18.185.140. The director shall issue a cease and desist order to a 16 person after notice and hearing and upon a determination that the 17 18 person has violated this subsection. If the director makes a written 19 finding of fact that the public interest will be irreparably harmed by delay in issuing an order, the director may issue a temporary cease and 20 21 desist order. The cease and desist order shall not relieve the person 22 practicing or operating a business without a license from criminal 23 prosecution therefor, but the remedy of a cease and desist order shall 24 be in addition to any criminal liability. The cease and desist order 25 is conclusive proof of unlicensed practice and may be enforced under RCW 7.21.060. This method of enforcement of the cease and desist order 26 may be used in addition to, or as an alternative to, any provisions for 27 28 enforcement of agency orders.

29 (2) The attorney general, a county prosecuting attorney, the 30 director, or any person may, in accordance with the law of this state governing injunctions, maintain an action in the name of this state to 31 enjoin any person practicing a profession or business for which a 32 33 license is required by this chapter without a license from engaging in such practice or operating such business until the required license is 34 secured. However, the injunction shall not relieve the person 35 36 practicing or operating a business without a license from criminal prosecution therefor, but the remedy by injunction shall be in addition 37 38 to any criminal liability.

(3))) After June 30, 1994, any person who performs the functions 1 and duties of a bail bond agent in this state without being licensed in 2 3 accordance with the provisions of this chapter, or any person 4 presenting or attempting to use as his or her own the license of 5 another, or any person who gives false or forged evidence of any kind to the director in obtaining a license, or any person who falsely 6 7 impersonates any other licensee, or any person who attempts to use an 8 expired or revoked license, or any person who violates any of the 9 provisions of this chapter is guilty of a gross misdemeanor.

10 (((4))) (2) After January 1, 1994, a person is guilty of a gross 11 misdemeanor if he or she owns or operates a bail bond agency in this 12 state without first obtaining a bail bond agency license.

13 (((5))) (3) After June 30, 1994, the owner or qualified agent of a 14 bail bond agency is guilty of a gross misdemeanor if he or she employs 15 any person to perform the duties of a bail bond agent without the 16 employee having in his or her possession a permanent bail bond agent 17 license issued by the department.

18 (((6) All fees, fines, forfeitures, and penalties collected or 19 assessed by a court because of a violation of this section shall be 20 remitted to the department.))

<u>NEW SECTION.</u> Sec. 254. A new section is added to chapter 18.185
 RCW to read as follows:

The uniform regulation of business and professions act, chapter RCW (sections 101 through 125 of this act), governs unlicensed practice, the issuance and denial of licenses, and the discipline of licensees under this chapter.

27 **Sec. 255.** RCW 18.210.020 and 1999 c 263 s 3 are each amended to 28 read as follows:

29 (((1))) <u>In addition to the unprofessional conduct described in</u> 30 <u>section 115 of this act, the following conduct, acts, and conditions</u> 31 constitute unprofessional conduct ((for any person issued, or applying 32 for, a practice permit or license under this chapter)):

33 (((a) Any act involving moral turpitude, dishonesty, or corruption 34 relating to the practice of on-site wastewater treatment designs or 35 inspections, whether or not the act constitutes a crime;

36 (b) Misrepresentation or concealment of a material fact in applying 37 for, obtaining, or reinstating a practice permit or license; 1 (c) Any advertising which is false, fraudulent, or misleading;

2 (d) Incompetence, gross negligence, or malpractice that results in

3 injury to an individual, damage to property, or adverse impact on the
4 environment;

5 (e) As determined by the board, failure to provide to the board in 6 a timely manner any lawfully requested information or documentation 7 regarding a pending application, license renewal application, or 8 administrative proceeding;

9 (f) Failure to comply with an order issued or approved by the 10 board;

11 (g) Aiding or abetting a person in engaging in practice without a 12 required practice permit or license;

13 (h) Practicing beyond the scope of practice as defined by law or 14 rule;

15 (i) Misrepresentation or fraud in any aspect of the conduct of the 16 business or profession of designing on-site wastewater treatment 17 systems;

18 (j) Failure to adequately supervise auxiliary staff to the extent 19 that the consumer's health or safety is at risk;

20 (k) Interference with an investigation or disciplinary proceeding 21 by willful misrepresentation of facts before the board or its 22 authorized representative, or by the use of threats or harassment 23 against any person who may serve as a witness in any adjudicative 24 proceeding before the board;

25 (1)) (1) Practicing with a practice permit or license issued under 26 this chapter that is expired, suspended, or revoked;

27 (((m))) (2) Being willfully untruthful or deceptive in any 28 document, report, statement, testimony, or plan that pertains to the 29 design or construction of an on-site wastewater treatment system; and 30 (((n))) (3) Submission of a design or as-built record to a local 31 health jurisdiction, to the department of health, or to the department 32 of ecology, that is knowingly based upon false, incorrect, misleading, 33 or fabricated information((; and

34 (o) Any act or omission that is contrary to the standard of
 35 practice for individuals authorized to practice under this chapter.

36 (2) If an act constitutes a crime, conviction in a criminal 37 proceeding is not a condition precedent to disciplinary action. Upon 38 conviction, however, the judgment and sentence is conclusive evidence, 39 at the ensuing disciplinary hearing, of guilt of the crime described in the complaint, indictment, or information, and of violation of the statute on which it is based. For the purposes of this section, conviction includes all instances in which a plea of guilty or nolo contendere is the basis for the conviction and in all proceedings in which the sentence has been deferred or suspended)).

6 **Sec. 256.** RCW 18.210.030 and 1999 c 263 s 4 are each amended to 7 read as follows:

8 (((1) The board, upon finding a violation of this chapter, has the 9 exclusive power to:

10 (a) Reprimand an applicant, licensee, or practice permit holder; 11 (b) Suspend, revoke, or refuse to renew a license or practice 12 permit;

13 (c) Deny an application for a practice permit or license; and

14 (d) Impose any monetary penalty not exceeding one thousand dollars 15 for each violation upon an applicant, licensee, or permit holder.

16 (2) Any person may file with the board a complaint alleging 17 violation of this chapter. All complaints alleging violation of this 18 chapter must be in writing and sworn to by the person making the 19 allegation.

20 (3) All procedures related to hearings on any complaint alleging 21 violations of this chapter must comply with provisions governing 22 adjudicative proceedings as set forth in chapter 34.05 RCW, the 23 administrative procedure act.

24 (4)) The board shall immediately suspend the license or practice 25 permit of a person who has been certified pursuant to RCW 74.20A.320 by the department of social and health services as a person who is not in 26 compliance with a support order. If the person has continued to meet 27 all other requirements for a license under this chapter during the 28 29 suspension, reissuance of the license or certificate shall be automatic upon the department's receipt of a release issued by the department of 30 social and health services stating that the licensee is in compliance 31 with the child support order. The procedure in RCW 74.20A.320 is the 32 33 exclusive administrative remedy for contesting the establishment of 34 noncompliance with a child support order, and suspension of a license under this subsection, and satisfies the requirements of RCW 34.05.422. 35

36 **Sec. 257.** RCW 18.210.060 and 1999 c 263 s 7 are each amended to 37 read as follows:

1

(1) The board may:

2 (a) Adopt rules to implement this chapter including, but not 3 limited to, evaluation of experience, examinations, and scope and 4 standards of practice;

5 (b) Administer licensing examinations; and

6 (c) Review and approve or deny initial and renewal license
7 applications((*÷*

8 (d) Conduct investigations of complaints alleging violations of 9 this chapter;

10 (e) Conduct adjudicative proceedings in accordance with the 11 administrative procedure act, chapter 34.05 RCW;

12 (f) Issue investigative subpoenas to compel the production of 13 records, maps, and other documents, as may be related to the 14 investigation of violations of this chapter; and

15 (g) Take disciplinary action as provided for in RCW 18.43.110 and 16 18.43.120)).

17 (2) The board shall consider recommendations of the advisory18 committee made in accordance with this chapter.

19 **Sec. 258.** RCW 18.210.160 and 1999 c 263 s 17 are each amended to 20 read as follows:

(((1))) On or after July 1, 2003, it is a gross misdemeanor for any 21 22 person, not otherwise exempt from the requirements of this chapter, to: 23 ((<del>(a)</del>)) <u>(1)</u> Perform on-site wastewater treatment systems design 24 services without a license;  $((\frac{b}{b}))$  <u>(2)</u> purport to be qualified to 25 perform those services without having been issued a standard license under this chapter; (((c))) <u>(3)</u> attempt to use the license or seal of 26 another;  $\left(\left(\frac{d}{d}\right)\right)$  <u>(4)</u> attempt to use a revoked or suspended license; or 27 (((-))) (5) attempt to use false or fraudulent credentials. 28 In 29 addition, action may be taken under section 117 of this act.

30 (((2) The board may exercise its authority under RCW 18.43.120 in 31 dealing with persons described in subsection (1) of this section.))

32 <u>NEW SECTION.</u> Sec. 259. A new section is added to chapter 18.210 33 RCW to read as follows:

The uniform regulation of business and professions act, chapter RCW (sections 101 through 125 of this act), governs unlicensed practice, the issuance and denial of licenses, and the discipline of licensees under this chapter. 1 sec. 260. RCW 18.220.040 and 2000 c 253 s 5 are each amended to
2 read as follows:

3 The director has the following authority in administering this 4 chapter:

5 (1) To adopt, amend, and rescind rules approved by the board as 6 deemed necessary to carry out this chapter;

7

(2) To adopt fees as provided in RCW 43.24.086;

8 (3) To administer licensing examinations approved by the board and 9 to adopt or recognize examinations prepared by other organizations as 10 approved by the board;

11 (4) ((To issue subpoenas and administer oaths in connection with an 12 investigation, hearing, or proceeding held under this chapter;

13 (5) To take or cause depositions to be taken and use other 14 discovery procedures as needed in an investigation, hearing, or 15 proceeding held under this chapter;

16

(6) To compel attendance of witnesses at hearings;

17 (7) In the course of investigating a complaint or report of 18 unprofessional conduct, to direct the board to conduct practice reviews 19 and disciplinary hearings;

20 (8) To take emergency action ordering summary suspension of a
21 license, or restrict or limit a licensee's practice pending further
22 proceedings by the director;

23 (9) To use the board or, at the request of the board, the office of 24 administrative hearings, as authorized in chapter 34.12 RCW, to conduct 25 hearings. However, the director or the director's designee shall make 26 the final decision as to disposition of the charges;

27 (10) To enter into contracts for professional services determined
 28 to be necessary for adequate enforcement of this chapter;

29 (11)) To adopt standards of professional conduct and practice as 30 approved by the board; <u>and</u>

31 (((12) In the event of a finding of unprofessional conduct by an 32 applicant or license holder, to impose sanctions against a license 33 applicant or license holder as provided by this chapter;

(13)) (5) To enter into an assurance of discontinuance in lieu of issuing a statement of charges or conducting a hearing. The assurance shall consist of a statement of the law in question and an agreement to not violate the stated provision. Violation of an assurance under this subsection is grounds for disciplinary action(( $\dot{\tau}$  1 (14) To designate individuals authorized to sign subpoenas and 2 statement of charges; and

3 (15) To employ investigative, administrative, and clerical staff as
4 necessary for the enforcement of this chapter)).

5 **Sec. 261.** RCW 18.220.050 and 2000 c 253 s 6 are each amended to 6 read as follows:

7 The board has the following authority in administering this 8 chapter:

9 (1) To establish rules, including board organization and assignment 10 of terms, and meeting frequency and timing, for adoption by the 11 director;

12 (2) To establish the minimum qualifications for applicants for13 licensure as provided by this chapter;

14 (3) To approve the method of administration for examinations 15 required by this chapter or by rule as established by the director. To 16 approve the adoption or recognition of examinations prepared by other 17 organizations for adoption by the director. To set the time and place 18 of examinations with the approval of the director;

19 (4) To establish and review standards of professional conduct and 20 practice for adoption by the director. Rules of professional conduct 21 will be consistent with those outlined for engineers and land 22 surveyors;

(5) To designate specialties of geology to be licensed under this
 chapter; and

25 (6) ((To conduct disciplinary hearings; and

26 (7)) To conduct practice reviews.

27 **Sec. 262.** RCW 18.220.130 and 2000 c 253 s 14 are each amended to 28 read as follows:

In addition to the unprofessional conduct described in section 115 of this act, the following conduct, acts ((are prohibited and)), and conditions constitute ((grounds for disciplinary action or denial, suspension, or revocation of any license under this chapter)) unprofessional conduct:

(1) ((Knowingly)) Violating any of the provisions of this chapter
 or the rules adopted under this chapter;

36 (2) ((Knowingly making a material misstatement or omission in the 37 application for or renewal of a license; 1 (3)) Not meeting the qualifications for licensing set forth by
2 this chapter;

3 (((4) Incompetency, misconduct, fraud, gross negligence, or 4 repeated incidents of negligence in or related to the practice of 5 geology;

б (5) Conviction of a gross misdemeanor or felony or the commission 7 of any act involving moral turpitude, dishonesty, or corruption whether 8 or not the act constitutes a crime. If the act constitutes a crime, 9 conviction in a criminal proceeding is not a condition precedent to 10 disciplinary action. Upon such conviction, however, the judgment and sentence is conclusive evidence at the ensuing disciplinary hearing of 11 the guilt of the license holder or applicant of the crime described in 12 the indictment or information, and of the person's violation of the 13 14 statute on which it was based. For the purposes of this section, 15 conviction includes all instances in which a plea of guilty or nolo contendere is the basis for the conviction and all proceedings in which 16 the sentence has been deferred or suspended. Nothing in this section 17 abrogates rights guaranteed under chapter 9.96A RCW; 18

(6) Advertising that is false, fraudulent, or misleading;

20 (7) Suspension, revocation, or restriction of the individual's 21 license to practice the profession by competent authority in any state, 22 federal, or foreign jurisdiction, a certified copy of the order, 23 stipulation, or agreement being conclusive evidence of the revocation, 24 suspension, or restriction;

25 (8) Aiding or abetting an unlicensed person to practice if a
26 license is required;

27 (9) Failure to adequately supervise subordinates to the extent that
28 the public health or safety is at risk;

29 (10) Failure to cooperate with the director by:

30 (a) Not furnishing any necessary papers or documents requested by 31 the director for purposes of conducting an investigation for 32 disciplinary action, denial, suspension, or revocation of a license 33 under this chapter;

34 (b) Not furnishing in writing a full and complete explanation 35 covering the matter contained in a complaint filed with the department; 36 or

37 (c) Not responding to subpoenas issued by the director, whether or 38 not the recipient of the subpoena is the accused in the proceeding;

19

1 (11)) (3) Failure to comply with an ((order issued by the director 2 or an)) assurance of discontinuance entered into with the director;

(((12) Interference with an investigation or disciplinary 3 4 proceeding by willful misrepresentation of facts before the director or 5 the director's authorized representative, or by use of threats or harassment against any client or witness to prevent them from providing 6 7 evidence in a disciplinary proceeding or any other legal action;)) or 8 (((13))) (4) Committing any other act, or failing to act, which act 9 or failure are customarily regarded as being contrary to the accepted 10 professional conduct or standard generally expected of those practicing 11 geology.

Sec. 263. RCW 18.220.150 and 2000 c 253 s 16 are each amended to read as follows:

14 A person, including but not limited to consumers, licensees, 15 corporations, organizations, and state and local governments or agencies, may submit a written complaint to the department charging a 16 license holder or applicant with unprofessional conduct and specifying 17 18 the grounds for the charge. If the director determines that the complaint merits investigation, or if the director has reason to 19 believe, without a formal complaint, that a license holder or applicant 20 may have engaged in unprofessional conduct, the director ((shall)) may 21 investigate to determine if there has been unprofessional conduct. A 22 23 person who files a complaint under this section in good faith is immune 24 from suit in any civil action related to the filing or contents of the 25 complaint. ((The director, individuals acting on the director's behalf, and members of the board are immune from suit in any action, 26 27 civil or criminal, based on disciplinary proceedings or other official acts performed in the course of their duties in the administration and 28 29 enforcement of this chapter.))

30 <u>NEW SECTION.</u> Sec. 264. A new section is added to chapter 18.220 31 RCW to read as follows:

The uniform regulation of business and professions act, chapter RCW (sections 101 through 125 of this act), governs unlicensed practice, the issuance and denial of licenses, and the discipline of licensees under this chapter. 1 **Sec. 265.** RCW 19.16.120 and 1997 c 58 s 847 are each amended to 2 read as follows:

3 In addition to other provisions of this chapter, ((any license 4 issued pursuant to this chapter or any application therefor may be denied, not renewed, revoked, or suspended, or in lieu of or in 5 addition to suspension a licensee may be assessed a civil, monetary 6 7 penalty in an amount not to exceed one thousand dollars)) and the unprofessional conduct described in section 115 of this act, the 8 9 following conduct, acts, or conditions constitute unprofessional 10 conduct:

(1) If an individual applicant or licensee is less than eighteenyears of age or is not a resident of this state.

(2) If an applicant or licensee is not authorized to do business inthis state.

(3) If the application or renewal forms required by this chapter are incomplete, fees required under RCW 19.16.140 and 19.16.150, if applicable, have not been paid, and the surety bond or cash deposit or other negotiable security acceptable to the director required by RCW 19.16.190, if applicable, has not been filed or renewed or is canceled.

(4) If any individual applicant, owner, officer, director, or21 managing employee of a nonindividual applicant or licensee:

(a) ((Shall have knowingly made a false statement of a material fact in any application for a collection agency license or an out-ofstate collection agency license or renewal thereof, or in any data attached thereto and two years have not elapsed since the date of such statement;

(b) Shall have had a license to engage in the business of a collection agency or out-of-state collection agency denied, not renewed, suspended, or revoked by this state, any other state, or foreign country, for any reason other than the nonpayment of licensing fees or failure to meet bonding requirements: PROVIDED, That the terms of this subsection shall not apply if:

33 (i) Two years have elapsed since the time of any such denial, 34 nonrenewal, or revocation; or

35 (ii) The terms of any such suspension have been fulfilled;

36 (c) Has been convicted in any court of any felony involving 37 forgery, embezzlement, obtaining money under false pretenses, larceny, 38 extortion, or conspiracy to defraud and is incarcerated for that 1 offense or five years have not elapsed since the date of such 2 conviction;

3 (d))) Has had any judgment entered against him <u>or her</u> in any civil 4 action involving forgery, embezzlement, obtaining money under false 5 pretenses, larceny, extortion, or conspiracy to defraud and five years 6 have not elapsed since the date of the entry of the final judgment in 7 said action: PROVIDED, That in no event shall a license be issued 8 unless the judgment debt has been discharged;

9 ((<del>(e)</del>)) <u>(b)</u> Has had his <u>or her</u> license to practice law suspended or 10 revoked and two years have not elapsed since the date of such 11 suspension or revocation, unless he <u>or she</u> has been relicensed to 12 practice law in this state;

13 ((<del>(f)</del>)) <u>(c)</u> Has had any judgment entered against ((him or it)) such a person under the provisions of RCW 19.86.080 or 19.86.090 involving 14 15 a violation or violations of RCW 19.86.020 and two years have not elapsed since the entry of the final judgment: PROVIDED, That in no 16 17 event shall a license be issued unless the terms of such judgment, if any, have been fully complied with: 18 PROVIDED FURTHER, That said 19 judgment shall not be grounds for denial, suspension, nonrenewal, or 20 revocation of a license unless the judgment arises out of and is based on acts of the applicant, owner, officer, director, managing employee, 21 22 or licensee while acting for or as a collection agency or an out-of-23 state collection agency;

24 ((<del>(g)</del>)) <u>(d)</u> Has petitioned for bankruptcy, and two years have not 25 elapsed since the filing of ((said)) the petition;

(((h) Shall be)) (e) Is insolvent in the sense that ((his or its))
the person's liabilities exceed ((his or its)) the person's assets or
in the sense that ((he or it)) the person cannot meet ((his or its))
obligations as they mature;

30 (((i))) (f) Has failed to pay any civil, monetary penalty assessed 31 in accordance with RCW 19.16.351 ((or 19.16.360)) within ten days after 32 the assessment becomes final;

33 (((<del>j)</del>)) (<u>g</u>) Has ((knowingly)) failed to comply with, or violated 34 any provisions of this chapter or any rule or regulation issued 35 pursuant to this chapter, and two years have not elapsed since the 36 occurrence of said noncompliance or violation; or

37 (((k))) (h) Has been found by a court of competent jurisdiction to 38 have violated the federal fair debt collection practices act, 15 U.S.C. Sec. 1692 et seq., or the Washington state consumer protection act, chapter 19.86 RCW, and two years have not elapsed since that finding. Except as otherwise provided in this section, any person who is engaged in the collection agency business as of January 1, 1972, shall, upon filing the application, paying the fees, and filing the surety bond or cash deposit or other negotiable security in lieu of bond required by this chapter, be issued a license under this chapter.

8 The director shall immediately suspend the license or certificate 9 of a person who has been certified pursuant to RCW 74.20A.320 by the 10 department of social and health services as a person who is not in compliance with a support order ((or a residential or visitation 11 order)). If the person has continued to meet all other requirements 12 13 for reinstatement during the suspension, reissuance of the license or certificate shall be automatic upon the director's receipt of a release 14 15 issued by the department of social and health services stating that the 16 licensee is in compliance with the order.

17 Sec. 266. RCW 19.16.351 and 1977 ex.s. c 194 s 2 are each amended 18 to read as follows:

19 The board, in addition to any other powers and duties granted under 20 this chapter <u>and section 104 of this act</u>:

(1) May adopt, amend, and rescind ((such)) rules ((and regulations)) for its own organization and procedure and ((such)) other rules ((and regulations)) as it may deem necessary in order to perform its duties ((hereunder)) under this chapter.

25 (2) ((When an applicant or licensee has requested a hearing as provided in RCW 19.16.360 the board shall meet and after notice and 26 hearing may deny any application for a license hereunder, and may fail 27 to renew, suspend, or revoke any license issued hereunder, if the 28 29 applicant or licensee has failed to comply with or violated any 30 provision of this chapter or any rule or regulation issued pursuant to this chapter. In its discretion, the board may assess a civil, 31 monetary penalty against a licensee in an amount not to exceed one 32 33 thousand dollars in lieu of or in addition to suspension. It shall be 34 the duty of the board within thirty days after the last day of hearing to notify the appellant of its decision. 35

(3)) May inquire into the needs of the collection agency business,
 the needs of the director, and the matter of the policy of the director
 administering this chapter, and make such recommendations with

respect thereto as, after consideration, may be deemed important and
 necessary for the welfare of the state, the welfare of the public, and
 the welfare and progress of the collection agency business.

4 (((4))) (3) Upon request of the director, confer and advise in 5 matters relating to the administering of this chapter.

6 (((+5))) (4) May consider and make appropriate recommendations to 7 the director in all matters referred to the board.

8 ((<del>(6)</del>)) <u>(5)</u> Upon ((<del>his</del>)) request <u>of the director</u>, confer with and 9 advise the director in the preparation of any rules ((<del>and regulations</del>)) 10 to be adopted, amended, or repealed.

11 (((7))) <u>(6)</u> May assist the director in the collection of such 12 information and data as the director may deem necessary to the proper 13 administration of this chapter.

14 <u>NEW SECTION.</u> Sec. 267. A new section is added to chapter 19.16 15 RCW to read as follows:

16 The uniform regulation of business and professions act, chapter 17 18.--- RCW (sections 101 through 125 of this act), governs unlicensed 18 practice, the issuance and denial of licenses, and the discipline of 19 licensees under this chapter.

20 Sec. 268. RCW 19.31.070 and 1969 ex.s. c 228 s 7 are each amended 21 to read as follows:

(1) The director shall administer the provisions of this chapter
and shall issue from time to time reasonable rules and regulations for
enforcing and carrying out the provisions and purposes of this chapter.
(2) ((The director shall have power to compel the attendance of
witnesses by the issuance of subpoenas, to administer oaths, and to
take testimony and proofs concerning all matters pertaining to the
administration of this chapter.

29 (3)) The director shall have supervisory and investigative 30 authority over all employment agencies. Upon receiving a complaint 31 against any employment agency, the director shall have the right to 32 examine all books, documents, or records in its possession. In 33 addition, the director may examine the office or offices where business 34 is or shall be conducted by such agency.

35 **Sec. 269.** RCW 19.31.130 and 1997 c 58 s 848 are each amended to 36 read as follows:

(1) In accordance with the provisions of chapter 34.05 RCW ((as now
 or as hereafter amended)), the director may by order ((deny, suspend or
 revoke)) sanction the license of any employment agency under section
 <u>113 of this act</u>, if ((he)) the director finds that the applicant or
 licensee((÷

6 (a) Was previously the holder of a license issued under this 7 chapter, which was revoked for cause and never reissued by the 8 director, or which license was suspended for cause and the terms of the 9 suspension have not been fulfilled;

10 (b) Has been found guilty of any felony within the past five years 11 involving moral turpitude, or for any misdemeanor concerning fraud or 12 conversion, or suffering any judgment in any civil action involving 13 wilful fraud, misrepresentation or conversion;

14 (c) Has made a false statement of a material fact in his 15 application or in any data attached thereto;

16 (d))) has violated any provisions of this chapter, or failed to 17 comply with any rule or regulation issued by the director pursuant to 18 this chapter.

19 (2) The director shall immediately suspend the license or certificate of a person who has been certified pursuant to RCW 20 74.20A.320 by the department of social and health services as a person 21 who is not in compliance with a support order ((or a residential or 22 visitation order)). If the person has continued to meet all other 23 24 requirements for reinstatement during the suspension, reissuance of the 25 license or certificate shall be automatic upon the director's receipt 26 of a release issued by the department of social and health services stating that the licensee is in compliance with the order. 27

28 <u>NEW SECTION.</u> Sec. 270. A new section is added to chapter 19.31
29 RCW to read as follows:

The uniform regulation of business and professions act, chapter 18.--- RCW (sections 101 through 125 of this act), governs unlicensed practice, the issuance and denial of licenses, and the discipline of licensees under this chapter.

34 **Sec. 271.** RCW 19.105.350 and 1988 c 159 s 10 are each amended to 35 read as follows:

36 (1) If the purchaser will own or acquire title to specified real 37 property or improvements to be acquired by the camping resort, the director may by order require to the extent necessary to protect the interests of the purchasers or owners of camping resort contracts, that an appropriate portion of the proceeds paid under those camping resort contracts be placed in a separate reserve fund to be set aside and applied toward the purchase price of the real property, improvements, or facilities.

7 (2) The director may ((deny or suspend)) take any of the actions 8 authorized in section 113 of this act against a registration in which 9 the registrant is advertising or offering annual or periodic dues or 10 assessments by members that the director finds would result in the 11 registrant's future inability to fund operating costs.

12 **Sec. 272.** RCW 19.105.380 and 1997 c 58 s 850 are each amended to 13 read as follows:

(1) ((A registration or an application for registration of camping resort contracts or renewals thereof may by order be denied, suspended, or revoked if the director finds that)) In addition to the unprofessional conduct in section 115 of this act, the director may take disciplinary action for the following conduct, acts, or conditions:

20 (a) ((The advertising, sales techniques, or trade practices of the 21 applicant, registrant, or its affiliate or agent have been or are 22 deceptive, false, or misleading;

(b)) The applicant ((or)), registrant, or affiliate has failed to file copies of the camping resort contract form under RCW 19.105.360; (((c))) (b) The applicant, registrant, or affiliate has failed to comply with any provision of this chapter((, the rules adopted or the conditions of a permit granted under this chapter, or a stipulation or final order previously entered into by the operator or issued by the department under this chapter));

30 (((<del>d)</del>)) <u>(c)</u> The applicant's, registrant's, or affiliate's offering 31 of camping resort contracts has worked or would work a fraud upon 32 purchasers or owners of camping resort contracts;

33 (((<del>e)</del>)) (<u>d</u>) The camping resort operator or any officer, director, 34 or affiliate of the camping resort operator has been ((<del>within the last</del> 35 five years convicted of or pleaded nolo contendre to any misdemeanor or 36 felony involving conversion, embezzlement, theft, fraud, or dishonesty, 37 has been)) enjoined from or had any civil penalty assessed for a 38 finding of dishonest dealing or fraud in a civil suit, or been found to have engaged in any violation of any act designed to protect consumers,
 or has been engaged in dishonest practices in any industry involving
 sales to consumers;

4 (((f))) (e) The applicant or registrant has represented or is 5 representing to purchasers in connection with the offer or sale of a 6 camping resort contract that a camping resort property, facility, 7 amenity camp site, or other development is planned, promised, or 8 required, and the applicant or registrant has not provided the director 9 with a security or assurance of performance as required by this 10 chapter;

((<del>(g)</del>)) <u>(f)</u> The applicant or registrant has not provided or is no longer providing the director with the necessary security arrangements to ((assure)) <u>ensure</u> future availability of titles or properties as required by this chapter or agreed to in the permit to market;

15 (((<del>h)</del>)) (<u>g</u>) The applicant or registrant is or has been employing 16 unregistered salespersons or offering or proposing a membership 17 referral program not in compliance with this chapter;

18 (((i))) (h) The applicant or registrant has breached any escrow, 19 impound, reserve account, or trust arrangement or the conditions of an 20 order or permit to market required by this chapter;

21 (((j) The applicant or registrant has breached any stipulation or 22 order entered into in settlement of the department's filing of a 23 previous administrative action;

24 (k)) (i) The applicant or registrant has filed or caused to be 25 filed with the director any document or affidavit, or made any 26 statement during the course of a registration or exemption procedure 27 with the director, that is materially untrue or misleading;

28 (((<del>1)</del>)) (<u>j</u>) The applicant or registrant has engaged in a practice 29 of failing to provide the written disclosures to purchasers or 30 prospective purchasers as required under this chapter;

31 ((<del>(m)</del>)) <u>(k)</u> The applicant, registrant, or any of its officers, 32 directors, or employees, if the operator is other than a natural 33 person, have willfully done, or permitted any of their salespersons or 34 agents to do, any of the following:

(i) Engage in a pattern or practice of making untrue or misleading
 statements of a material fact, or omitting to state a material fact;

(ii) Employ any device, scheme, or artifice to defraud purchasersor members;

(iii) Engage in a pattern or practice of failing to provide the
 written disclosures to purchasers or prospective purchasers as required
 under this chapter;

4 (((<del>n)</del>)) (<u>1</u>) The applicant or registrant has failed to provide a 5 bond, letter of credit, or other arrangement to ((assure)) ensure 6 delivery of promised gifts, prizes, awards, or other items of 7 consideration, as required under this chapter, breached such a security 8 arrangement, or failed to maintain such a security arrangement in 9 effect because of a resignation or loss of a trustee, impound, or 10 escrow agent;

11 ((<del>(o)</del>)) <u>(m)</u> The applicant or registrant has engaged in a practice 12 of selling contracts using material amendments or codicils that have 13 not been filed or are the consequences of breaches or alterations in 14 previously filed contracts;

15 ((<del>(p)</del>)) <u>(n)</u> The applicant or registrant has engaged in a practice 16 of selling or proposing to sell contracts in a ratio of contracts to 17 sites available in excess of that filed in the affidavit required by 18 this chapter;

19 (((q))) (o) The camping resort operator has withdrawn, has the 20 right to withdraw, or is proposing to withdraw from use all or any 21 portion of any camping resort property devoted to the camping resort 22 program, unless:

(i) Adequate provision has been made to provide within a reasonable time thereafter a substitute property in the same general area that is at least as desirable for the purpose of camping and outdoor recreation;

27 (ii) The property is withdrawn because, despite good faith efforts by the camping resort operator, a nonaffiliate of the camping resort 28 has exercised a right of withdrawal from use by the camping resort 29 30 (such as withdrawal following expiration of a lease of the property to the camping resort) and the terms of the withdrawal right have been 31 disclosed in writing to all purchasers at or prior to the time of any 32 33 sales of camping resort contracts after the camping resort has 34 represented to purchasers that the property is or will be available for 35 camping or recreation purposes;

(iii) The specific date upon which the withdrawal becomes effective
 has been disclosed in writing to all purchasers and members prior to
 the time of any sales of camping resort contracts after the camping

1 resort has represented to purchasers that the property is or will be 2 available for camping or recreation purposes;

3 (iv) The rights of members and owners of the camping resort 4 contracts under the express terms of the camping resort contract have expired, or have been specifically limited, upon the lapse of a stated 5 or determinable period of time, and the director by order has found 6 7 that the withdrawal is not otherwise inconsistent with the protection 8 of purchasers or the desire of the majority of the owners of camping 9 resort contracts, as expressed in their previously obtained vote of 10 approval;

11 (((r))) (p) The format, form, or content of the written disclosures 12 provided therein is not complete, full, or materially accurate, or 13 statements made therein are materially false, misleading, or deceptive; 14 ((s) The applicant or registrant has failed or declined to respond 15 to any subpoena lawfully issued and served by the department under this 16 chapter;

17 (t)) (q) The applicant or registrant has failed to file an 18 amendment for a material change in the manner or at the time required 19 under this chapter or its implementing rules;

20 ((<del>(u)</del>)) <u>(r)</u> The applicant or registrant has filed voluntarily or 21 been placed involuntarily into a federal bankruptcy or is proposing to 22 do so; or

(((v))) (s) A camping resort operator's rights or interest in a campground has been terminated by foreclosure or the operations in a camping resort have been terminated in a manner contrary to contract provisions.

27 (2) ((Any applicant or registrant who has violated subsection (1)(a), (b), (c), (f), (h), (i), (j), (l), (m), or (n) of this section 28 29 may be fined by the director in an amount not to exceed one thousand 30 dollars for each such violation. Proceedings seeking such fines shall 31 be held in accordance with chapter 34.05 RCW and may be filed either separately or in conjunction with other administrative proceedings to 32 33 deny, suspend, or revoke registrations authorized under this chapter. 34 Fines collected from such proceedings shall be deposited in the state 35 general fund.

36 (3)) An operator, registrant, or applicant against whom 37 administrative or legal proceedings have been filed shall be 38 responsible for and shall reimburse the state, by payment into the 39 general fund, for all administrative and legal costs actually incurred 1 by the department in issuing, processing, and conducting any such 2 administrative or legal proceeding authorized under this chapter that 3 results in a final legal or administrative determination of any type or 4 degree in favor of the department.

5 (((4) No order may be entered under this section without appropriate prior notice to the applicant or registrant of opportunity 6 7 for a hearing and written findings of fact and conclusions of law, 8 except that the director may by order summarily deny an application for 9 registration or renewal under any of the above subsections and may 10 summarily suspend or revoke a registration under subsection (1)(d), (f), (g), (h), (i), (k), (l), (m), and (n) of this section. No fine 11 12 may be imposed by summary order.

13 (5) The proceedings to deny an application or renewal, suspend or 14 revoke a registration or permit, whether summarily or otherwise, or 15 impose a fine shall be held in accordance with chapter 34.05 RCW.

(6))) (3) The director may enter into assurances of discontinuance 16 17 in lieu of issuing a statement of charges or a cease and desist order or conducting a hearing under this chapter. The assurances shall 18 19 consist of a statement of the law in question and an agreement not to violate the stated provision. The applicant or registrant shall not be 20 required to admit to any violation of the law, nor shall the assurance 21 be construed as such an admission. Violating or breaching an assurance 22 under this subsection is grounds for suspension or revocation of 23 24 registration or imposition of a fine.

25 (((7))) (4) The director shall immediately suspend the license or 26 certificate of a person who has been certified pursuant to RCW 27 74.20A.320 by the department of social and health services as a person 28 who is not in compliance with a support order ((or a residential or 29 visitation order)). If the person has continued to meet all other 30 requirements for reinstatement during the suspension, reissuance of the 31 license or certificate shall be automatic upon the director's receipt of a release issued by the department of social and health services 32 33 stating that the licensee is in compliance with the order.

34 **Sec. 273.** RCW 19.105.440 and 1997 c 58 s 851 are each amended to 35 read as follows:

(1) A salesperson may apply for registration by filing in a
 complete and readable form with the director an application form
 provided by the director ((which)) that includes the following:

(a) A statement whether or not the applicant ((within the past five 1 years has been convicted of, pleaded nolo contendre to, or been ordered 2 3 to serve probation for a period of a year or more for any misdemeanor 4 or felony involving conversion, embezzlement, theft, fraud, or dishonesty or the applicant has been enjoined from, had any civil 5 penalty assessed for, or)) has been found to have engaged in any 6 7 violation of any act designed to protect consumers and whether the 8 applicant is qualified for licensure under section 115 of this act;

9 (b) A statement fully describing the applicant's employment history 10 for the past five years and whether or not any termination of 11 employment ((during the last five years)) was the result of any theft, 12 fraud, or act of dishonesty;

(c) A consent to service comparable to that required of operatorsunder this chapter; and

15 (d

(d) Required filing fees.

(2) ((The director may by order deny, suspend, or revoke a camping 16 17 resort salesperson's registration or application for registration under 18 this chapter or the person's license or application under chapter 18.85 19 RCW, or impose a fine on such persons not exceeding two hundred dollars per violation, if the director finds that the order is necessary for 20 the protection of purchasers or owners of camping resort contracts and 21 22 the applicant or registrant is guilty of)) In addition to the unprofessional conduct specified in section 115 of this act, the 23 24 director may take disciplinary action against a camping resort salesperson's registration or application for registration under this 25 26 chapter or the person's license or application under chapter 18.85 RCW for any of the following conduct, acts, or conditions: 27

(a) ((Obtaining registration by means of fraud, misrepresentation,
 or concealment, or through the mistake or inadvertence of the director;
 (b))) Violating any of the provisions of this chapter or any lawful
 rules adopted by the director pursuant thereto;

32 (((c) Being convicted in a court of competent jurisdiction of this or any other state, or federal court, of forgery, embezzlement, 33 34 obtaining money under false pretenses, bribery, larceny, extortion, conspiracy to defraud, or any similar offense or offenses. For the 35 purposes of this section, "being convicted" includes all instances in 36 37 which a plea of guilty or nolo contendere is the basis for the conviction, and all proceedings in which the sentence has been deferred 38 39 or suspended;

(d))) (b) Making, printing, publishing, distributing, or causing, 1 2 authorizing, or knowingly permitting the making, printing, publication, 3 or distribution of false statements, descriptions, or promises of such 4 character as to reasonably induce any person to act thereon, if the statements, descriptions, or promises purport to be made or to be 5 performed by either the applicant or registrant and the applicant or 6 7 registrant then knew or, by the exercise of reasonable care and 8 inquiry, could have known, of the falsity of the statements, 9 descriptions, or promises;

10 ((<del>(e)</del>)) <u>(c)</u> Knowingly committing, or being a party to, any material 11 fraud, misrepresentation, concealment, conspiracy, collusion, trick, 12 scheme, or device whereby any other person lawfully relies upon the 13 work, representation, or conduct of the applicant or registrant;

14 (((f) Failing, upon demand, to disclose to the director or the 15 director's authorized representatives acting by authority of law any 16 information within his or her knowledge or to produce for inspection 17 any document, book or record in his or her possession, which is 18 material to the salesperson's registration or application for 19 registration;

20 (g)) (d) Continuing to sell camping resort contracts in a manner 21 whereby the interests of the public are endangered, if the director 22 has, by order in writing, stated objections thereto;

23 (((h) Committing any act of fraudulent or dishonest dealing or a 24 crime involving moral turpitude, and a certified copy of the final 25 holding of any court of competent jurisdiction in such matter shall be 26 conclusive evidence in any hearing under this chapter;

27 (i)) (e) Misrepresentation of membership in any state or national 28 association; or

29 (((<del>j)</del>)) (<u>f</u>) Discrimination against any person in hiring or in sales 30 activity on the basis of race, color, creed, or national origin, or 31 violating any state or federal antidiscrimination law.

32 (3) ((No order may be entered under this section without 33 appropriate prior notice to the applicant or registrant of opportunity 34 for a hearing and written findings of fact and conclusions of law, 35 except that the director may by order summarily deny an application for 36 registration under this section.

37 (4) The proceedings to deny an application or renewal, suspend or
 38 revoke a registration or permit, whether summarily or otherwise, or
 39 impose a fine shall be held in accordance with chapter 34.05 RCW.

(5))) The director, subsequent to any complaint filed against a 1 2 salesperson or pursuant to an investigation to determine violations, may enter into stipulated assurances of discontinuances in lieu of 3 4 issuing a statement of charges or a cease and desist order or 5 conducting a hearing. The assurance shall consist of a statement of the law in question and an agreement not to violate the stated 6 7 The salesperson shall not be required to admit to any provision. 8 violation of the law, nor shall the assurance be construed as such an 9 admission. Violation of an assurance under this subsection is grounds 10 for ((a)) disciplinary action((, a suspension of registration, or a fine not to exceed one thousand dollars)). 11

12 ((<del>(6)</del>)) <u>(4)</u> The director may by rule require such further 13 information or conditions for registration as a camping resort 14 salesperson, including qualifying examinations and fingerprint cards 15 prepared by authorized law enforcement agencies, as the director deems 16 necessary to protect the interests of purchasers.

17 (((7))) (5) Registration as a camping resort salesperson shall be 18 effective for a period of one year unless the director specifies 19 otherwise or the salesperson transfers employment to a different 20 registrant. Registration as a camping resort salesperson shall be 21 renewed annually, or at the time of transferring employment, whichever 22 occurs first, by the filing of a form prescribed by the director for 23 that purpose.

24 (((+8))) (6) It is unlawful for a registrant of camping resort 25 contracts to employ or a person to act as a camping resort salesperson 26 covered under this section unless the salesperson has in effect with the department and displays a valid registration in a conspicuous 27 location at each of the sales offices at which the salesperson is 28 29 It is the responsibility of both the operator and the employed. 30 salesperson to notify the department when and where a salesperson is 31 employed, his or her responsibilities and duties, and when the salesperson's employment or reported duties are changed or terminated. 32 (((9))) (7) The director shall immediately suspend the license or 33 34 certificate of a person who has been certified pursuant to RCW 74.20A.320 by the department of social and health services as a person 35 who is not in compliance with a support order ((or a residential or 36 37 visitation order)). If the person has continued to meet all other requirements for reinstatement during the suspension, reissuance of the 38 39 license or certificate shall be automatic upon the director's receipt

of a release issued by the department of social and health services
 stating that the licensee is in compliance with the order.

3 **Sec. 274.** RCW 19.105.470 and 2000 c 171 s 69 are each amended to 4 read as follows:

5 (1) Whenever it appears to the director that any person has engaged or is about to engage in any act or practice constituting a violation 6 7 of any provision of this chapter, any withdrawal of a camping resort property in violation of RCW 19.105.380(1)(((q))) (o), or any rule, 8 9 order, or permit issued under this chapter, the director may in his or her discretion issue an order directing the person to cease and desist 10 from continuing the act or practice. ((Reasonable notice of and 11 12 opportunity for a hearing shall be given.)) The procedures in section 117 of this act apply to these cease and desist orders. However, the 13 14 director may issue a temporary order pending the hearing which shall be 15 effective immediately upon delivery to the person affected and which 16 shall remain in effect until ten days after the hearing is held and which shall become final if the person to whom notice is addressed does 17 18 not request a hearing ((within fifteen days after receipt of notice)).

19 (2) If it appears necessary in order to protect the interests of members and purchasers, whether or not the director has issued a cease 20 21 and desist order, the attorney general in the name of the state, the 22 director, the proper prosecuting attorney, an affiliated members' 23 common-interest association, or a group of members as a class, may 24 bring an action in any court of competent jurisdiction to enjoin any 25 such acts or practices and to enforce compliance with this chapter or any rule, order, or permit under this chapter. Upon a proper showing, 26 a permanent or temporary injunction, restraining order, or writ of 27 mandamus shall be granted and a receiver or conservator may be 28 29 appointed for the defendant, for the defendant's assets, or to protect 30 the interests or assets of a members' common-interest association or the members of a camping resort as a class. 31 The state, the director, 32 a members' common-interest association, or members as a class shall not be required to post a bond in such proceedings. 33

34 <u>NEW SECTION.</u> **Sec. 275.** A new section is added to chapter 19.105 35 RCW to read as follows:

The uniform regulation of business and professions act, chapter RCW (sections 101 through 125 of this act), governs unlicensed

practice, the issuance and denial of licenses, and the discipline of
 licensees under this chapter.

3 **Sec. 276.** RCW 19.138.120 and 1999 c 238 s 4 are each amended to 4 read as follows:

5 (1) Each seller of travel shall renew its registration on or before
6 July 1st of every year or as otherwise determined by the director.

7 (2) Renewal of a registration is subject to the same provisions
8 covering ((issuance, suspension, and revocation of)) disciplinary
9 action as a registration originally issued.

(3) The director may refuse to renew a registration for any of the 10 grounds set out under RCW 19.138.130 and section 115 of this act, and 11 where the past conduct of the applicant affords reasonable grounds for 12 belief that the applicant will not carry out the applicant's duties in 13 14 accordance with law and with integrity and honesty. The director shall 15 promptly notify the applicant in writing by certified mail of its intent to refuse to renew the registration. The registrant may((-16 within twenty-one days after receipt of that notice or intent,)) 17 18 request a hearing on the refusal as provided in section 107 of this 19 act. The director may permit the registrant to honor commitments already made to its customers, but no new commitments may be incurred, 20 unless the director is satisfied that all new commitments are 21 completely bonded or secured to ((insure)) ensure that the general 22 23 public is protected from loss of money paid to the registrant. ((It is 24 the responsibility of the registrant to contest the decision regarding 25 conditions imposed or registration denied through the process established by the administrative procedure act, chapter 34.05 RCW.)) 26

27 **Sec. 277.** RCW 19.138.130 and 1999 c 238 s 5 are each amended to 28 read as follows:

(1) ((The director may deny, suspend, or revoke the registration of a seller of travel if the director finds that the applicant)) In addition to the unprofessional conduct described in section 115 of this act, the director may take disciplinary action based on the following conduct, acts, or conditions if the applicant or registrant:

(a) Was previously the holder of a registration issued under this
chapter, and the registration was revoked for cause and never reissued
by the director, or the registration was suspended for cause and the
terms of the suspension have not been fulfilled;

1 (b) ((Has been found guilty of a felony within the past ten years 2 involving moral turpitude, or of a misdemeanor concerning fraud or 3 conversion, or)) Suffers a judgment in a civil action involving willful 4 fraud, misrepresentation, or conversion;

5 (c) ((Has made a false statement of a material fact in an 6 application under this chapter or in data attached to it;

7 (d))) Has violated this chapter or failed to comply with a rule
8 adopted by the director under this chapter; or

9 ((<del>(e)</del>)) <u>(d)</u> Has failed to display the registration as provided in 10 this chapter((+

11 (f) Has published or circulated a statement with the intent to 12 deceive, misrepresent, or mislead the public; or

13 (g) Has committed a fraud or fraudulent practice in the operation 14 and conduct of a travel agency business, including, but not limited to, 15 intentionally misleading advertising)).

16 (2) If the seller of travel is found in violation of this chapter 17 or in violation of the consumer protection act, chapter 19.86 RCW, by 18 the entry of a judgment or by settlement of a claim, the director may 19 revoke the registration of the seller of travel, and the director may 20 reinstate the registration at the director's discretion.

immediately suspend the license or (3) The director shall 21 certificate of a person who has been certified pursuant to RCW 22 74.20A.320 by the department of social and health services as a person 23 24 who is not in compliance with a support order ((or a residential or 25 visitation order)). If the person has continued to meet all other 26 requirements for reinstatement during the suspension, reissuance of the 27 license or certificate shall be automatic upon the director's receipt of a release issued by the department of social and health services 28 stating that the licensee is in compliance with the order. 29

30 Sec. 278. RCW 19.138.170 and 1999 c 238 s 7 are each amended to 31 read as follows:

32 The director has the following powers and duties:

(1) To adopt, amend, and repeal rules to carry out the purposes ofthis chapter;

35 (2) ((To issue and renew registrations under this chapter and to 36 deny or refuse to renew for failure to comply with this chapter;

37 (3) To suspend or revoke a registration for a violation of this 38 chapter; 1

(4))) To establish fees;

(((5))) <u>(3)</u> Upon receipt of a complaint, to inspect and audit the 2 books and records of a seller of travel. The seller of travel shall 3 4 immediately make available to the director those books and records as may be requested at the seller of travel's place of business or at a 5 location designated by the director. For that purpose, the director 6 7 shall have full and free access to the office and places of business of 8 the seller of travel during regular business hours. When ten or more 9 complaints have been received by either the department or the attorney 10 general on a seller of travel within a period of ninety days, the department shall inspect and audit books and records of the seller of 11 travel; and 12

13 (((+6))) (4) To do all things necessary to carry out the functions, 14 powers, and duties set forth in this chapter.

15 **Sec. 279.** RCW 19.138.180 and 1994 c 237 s 15 are each amended to 16 read as follows:

17 The director, in the director's discretion, may:

18 (1) Annually, or more frequently, make public or private 19 investigations within or without this state as the director deems necessary to determine whether a registration should be ((granted, 20 denied, revoked, or suspended)) subject to disciplinary action, or 21 22 whether a person has violated or is about to violate this chapter or a 23 rule adopted or order issued under this chapter, or to aid in the 24 enforcement of this chapter or in the prescribing of rules and forms of 25 this chapter;

(2) Publish information concerning a violation of this chapter ora rule adopted or order issued under this chapter; and

(3) Investigate complaints concerning practices by sellers oftravel for which registration is required by this chapter.

30 Sec. 280. RCW 19.138.200 and 1994 c 237 s 20 are each amended to 31 read as follows:

The director or individuals acting on the director's behalf are immune from suit in any action, civil or criminal, based on ((disciplinary proceedings or other official)) acts performed in the course of their duties in the administration and enforcement of this chapter.

1 **Sec. 281.** RCW 19.138.240 and 1994 c 237 s 21 are each amended to 2 read as follows:

3 (1) ((The director may assess against a person or organization that
4 violates this chapter, or a rule adopted under this chapter, a civil
5 penalty of not more than one thousand dollars for each violation.

6 (2) The person or organization shall be afforded the opportunity
7 for a hearing, upon request made to the director within thirty days
8 after the date of issuance of the notice of assessment. The hearing
9 shall be conducted in accordance with chapter 34.05 RCW.

10 (3)) A civil penalty shall be imposed by the court for each 11 violation of this chapter in an amount not less than five hundred 12 dollars nor more than two thousand dollars per violation.

13 (((4))) (2) If a person fails to pay an assessment after it has 14 become a final and unappealable order, or after the court has entered 15 final judgment in favor of the state, the director may recover the 16 amount assessed by action in the appropriate superior court. In the 17 action, the validity and appropriateness of the final order imposing 18 the penalty shall not be subject to review.

19 <u>NEW SECTION.</u> Sec. 282. A new section is added to chapter 19.138 20 RCW to read as follows:

The uniform regulation of business and professions act, chapter RCW (sections 101 through 125 of this act), governs unlicensed practice, the issuance and denial of licenses, and the discipline of licensees under this chapter.

25 **Sec. 283.** RCW 19.158.040 and 1989 c 20 s 4 are each amended to 26 read as follows:

In addition to the unprofessional conduct described in section 115 of this act, the director of the department of licensing may take disciplinary action for any of the following conduct, acts, or conditions:

(1) It shall be unlawful for any person to engage in unfair ordeceptive commercial telephone solicitation.

(2) A commercial telephone solicitor shall not place calls to any
 residence which will be received before 8:00 a.m. or after 9:00 p.m. at
 the purchaser's local time.

(3) A commercial telephone solicitor may not engage in any conduct 1 2 the natural consequence of which is to harass, intimidate, or torment any person in connection with the telephone call. 3

Sec. 284. RCW 19.158.050 and 1997 c 58 s 853 are each amended to 4 5 read as follows:

(1) In order to maintain or defend a lawsuit or do any business in 6 7 this state, a commercial telephone solicitor must be registered with 8 the department of licensing. Prior to doing business in this state, a 9 commercial telephone solicitor shall register with the department of licensing. Doing business in this state includes both commercial 10 telephone solicitation from a location in Washington and solicitation 11 12 of purchasers located in Washington.

(2) The department of licensing, in registering commercial 13 14 telephone solicitors, shall have the authority to require the 15 submission of information necessary to assist in identifying and locating a commercial telephone solicitor, including past business 16 history, prior judgments, and such other information as may be useful 17 18 to purchasers.

19 (3) The department of licensing shall issue a registration number to the commercial telephone solicitor. 20

21 (4) ((It is a violation of this chapter for a commercial telephone solicitor to:)) In addition to the unprofessional conduct described in 22 23 section 115 of this act, the director of the department of licensing 24 may take disciplinary action for any of the following conduct, acts, or 25 conditions:

26

(a) Failing to maintain a valid registration;

27 (b) ((Advertise)) Advertising that one is registered as a commercial telephone solicitor or ((to)) representing that such 28 29 registration constitutes approval or endorsement by any government or 30 governmental office or agency;

((Provide inaccurate or incomplete information to the 31 (C) 32 department of licensing when making a registration application; or

33 (d)) Representing that a person is registered or that such person 34 has a valid registration number when such person does not.

(5) An annual registration fee shall be assessed by the department 35 36 of licensing, the amount of which shall be determined at the discretion of the director of the department of licensing, and which shall be 37

reasonably related to the cost of administering the provisions of this 1 2 chapter.

(6) The department shall immediately suspend the license or 3 4 certificate of a person who has been certified pursuant to RCW 5 74.20A.320 by the department of social and health services as a person who is not in compliance with a support order ((or a residential or 6 7 visitation order)). If the person has continued to meet all other requirements for reinstatement during the suspension, reissuance of the 8 license or certificate shall be automatic upon the department's receipt 9 10 of a release issued by the department of social and health services 11 stating that the licensee is in compliance with the order.

12 NEW SECTION. Sec. 285. A new section is added to chapter 19.158 RCW to read as follows: 13

14 The uniform regulation of business and professions act, chapter 15 18.--- RCW (sections 101 through 125 of this act), governs unlicensed practice, the issuance and denial of licenses, and the discipline of 16 licensees under this chapter. 17

18 **Sec. 286.** RCW 42.44.030 and 1985 c 156 s 3 are each amended to read as follows: 19

20 In addition to the unprofessional conduct specified in section 115 21 of this act, the director may deny appointment as a notary public to 22 any person ((who)) based on the following conduct, acts, or conditions: 23 (1) ((Has been convicted of a serious crime;

24 (2))) Has had ((a notary appointment or other)) disciplinary action taken against any professional license ((revoked, suspended, or 25 restricted)) in this or any other state; or 26

27 (((3))) <u>(2)</u> Has engaged in official misconduct as defined in 28 ((section 17(1) of this act)) RCW 42.44.160(1), whether or not criminal penalties resulted((<del>; or</del> 29

(4) Has performed a notarial act or acts in a manner found by the 30 31 director to constitute gross negligence, a course of negligent conduct, 32 or reckless disregard of his or her responsibility as a notary 33 public)).

The director shall deliver a certificate evidencing the appointment 34 to each person appointed as a notary public. The certificate may be 35 signed in facsimile by the governor, the secretary of state, and the 36

1 director or the director's designee. The certificate must bear a
2 printed seal of the state of Washington.

3 **Sec. 287.** RCW 42.44.060 and 1985 c 156 s 6 are each amended to 4 read as follows:

5 A person appointed as a notary public by the director may perform 6 notarial acts in this state for a term of four years, unless:

7 (1) <u>Disciplinary action has been taken against the notarial</u>
8 appointment ((has been revoked under RCW 42.44.130 or 42.44.140)),
9 <u>including a shorter term, suspension, or revocation</u>; or

10 (2) The notarial appointment has been resigned.

11 **Sec. 288.** RCW 42.44.160 and 1985 c 156 s 16 are each amended to 12 read as follows:

(1) A notary public commits official misconduct when he or she signs a certificate evidencing a notarial act, knowing that the contents of the certificate are false. <u>Official misconduct also</u> <u>constitutes unprofessional conduct for which disciplinary action may be</u> <u>taken.</u>

(2) A notary public who commits an act of official misconduct shallbe guilty of a gross misdemeanor.

(3) Any person not appointed as a notary public who acts as or
 otherwise impersonates a notary public shall be guilty of a gross
 misdemeanor.

23 **Sec. 289.** RCW 42.44.170 and 1985 c 156 s 17 are each amended to 24 read as follows:

(1) ((The director may revoke the appointment of any notary public
 for any reason for which appointment may be denied under RCW 42.44.030.

27 (2)) The director shall revoke the appointment of a notary public 28 upon a judicial finding of incompetency of the notary public. If a 29 notary public is found to be incompetent, his or her guardian or 30 conservator shall within thirty days of such finding mail or deliver to 31 the director a letter of resignation on behalf of the notary public.

32 (((3))) (2) A notary public may voluntarily resign by mailing or 33 delivering to the director a letter of resignation.

34 **Sec. 290.** RCW 42.44.190 and 1985 c 156 s 20 are each amended to 35 read as follows:

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((On or before January 1, 1986,)) The director ((shall)) may adopt 1 rules ((to carry out)) consistent with this chapter. Such rules shall 2 3 include but shall not be limited to rules concerning applications for 4 appointment, application and renewal fees, fees chargeable for notarial 5 services, the replacement of lost or stolen seals or stamps, changes of names or addresses of notaries, resignations of notaries, ((appeals of 6 7 denials and revocations of appointments, )) and issuance of evidences of 8 authenticity of notarial seals and signatures.

9 <u>NEW SECTION.</u> **Sec. 291.** A new section is added to chapter 42.44 10 RCW to read as follows:

11 The uniform regulation of business and professions act, chapter 12 18.--- RCW (sections 101 through 125 of this act), governs unlicensed 13 practice, the issuance and denial of licenses, and the discipline of 14 licensees under this chapter.

15 **Sec. 292.** RCW 46.72.100 and 1983 c 164 s 8 are each amended to 16 read as follows:

17 In addition to the unprofessional conduct specified in section 115 of this act, the director may ((refuse to issue a permit or 18 certificate, or he may suspend or revoke a permit or certificate)) take 19 disciplinary action if he or she has good reason to believe that one of 20 21 the following is true of the operator or the applicant for a permit or 22 certificate: (1) ((He has been convicted of an offense of such a 23 nature as to indicate that he is unfit to hold a certificate or permit; (2))) <u>He</u> or she is guilty of committing two or more offenses for which 24 25 mandatory revocation of driver's license is provided by law;  $((\frac{3}{3}))$ (2) he or she has been convicted of vehicular homicide or vehicular 26 27 assault;  $\left(\left(\frac{4}{1}\right)\right)$  <u>(3)</u> he <u>or she</u> is intemperate or addicted to the use of 28 narcotics.

29 ((Notice of the director to refuse, suspend, or revoke the permit or certificate shall be given by certified mail to the holder or 30 applicant for the permit or certificate and shall designate a time and 31 32 place for a hearing before the director, which shall not be less than ten days from the date of the notice. If the director, after the 33 hearing, decides that a permit shall be canceled or revoked, he shall 34 35 notify the holder or applicant to that effect by certified mail. The applicant or permit holder may within thirty days from the date of the 36 37 decision appeal to the superior court of Thurston county for a review

of the decision by filing a copy of the notice with the clerk of the superior court and a copy of the notice in the office of the director. The court shall set the matter down for hearing with the least possible delay.))

5 Any for hire operator who operates a for hire vehicle without first 6 having filed a bond or insurance policy and having received a for hire 7 permit and a for hire certificate as required by this chapter is guilty 8 of a gross misdemeanor, and upon conviction shall be punished by 9 imprisonment in jail for a period not exceeding ninety days or a fine 10 of not exceeding five hundred dollars, or both fine and imprisonment.

11 <u>NEW SECTION.</u> Sec. 293. A new section is added to chapter 46.72
12 RCW to read as follows:

The uniform regulation of business and professions act, chapter 14 18.--- RCW (sections 101 through 125 of this act), governs unlicensed 15 practice, the issuance and denial of licenses, and the discipline of 16 licensees under this chapter.

17 **Sec. 294.** RCW 46.72A.100 and 1996 c 87 s 13 are each amended to 18 read as follows:

19 The ((department may suspend, revoke, or refuse to issue a license if it has good reason to believe that)) director may impose any of the 20 sanctions specified in section 113 of this act for unprofessional 21 22 conduct as described in section 115 of this act or if one of the 23 following is true of a chauffeur hired to drive a limousine including 24 where such a chauffeur is also the carrier: (1) The person has been convicted of an offense of such a nature as to indicate that he or she 25 is unfit to qualify as a chauffeur; (2) the person is guilty of 26 committing two or more offenses for which mandatory revocation of a 27 28 driver's license is provided by law; (3) the person has been convicted 29 of vehicular homicide or vehicular assault; (4) the person is intemperate or addicted to narcotics. 30

31 <u>NEW SECTION.</u> Sec. 295. A new section is added to chapter 46.72A 32 RCW to read as follows:

33 The uniform regulation of business and professions act, chapter 34 18.--- RCW (sections 101 through 125 of this act), governs unlicensed 35 practice, the issuance and denial of licenses, and the discipline of 36 licensees under this chapter.

1 **Sec. 296.** RCW 64.36.040 and 1983 1st ex.s. c 22 s 5 are each 2 amended to read as follows:

If no stop order is in effect and no proceeding is pending under RCW 64.36.100, a <u>complete</u> registration application becomes effective at 3:00 p.m. Pacific Standard Time on the afternoon of the thirtieth calendar day after the filing of the application or the last amendment or at such earlier time as the director determines.

8 **sec. 297.** RCW 64.36.090 and 1987 c 370 s 9 are each amended to 9 read as follows:

10 The director may ((by order deny, suspend, or revoke)) take disciplinary action against a timeshare salesperson's registration or 11 application for registration or a salesperson's license under chapter 12 18.85 RCW who is selling under this chapter, if the director finds that 13 14 the ((order is in the public interest and the)) applicant or registrant has committed unprofessional conduct as described in section 115 of 15 this act. In addition, the director may take disciplinary action if 16 the applicant or registrant: 17

18 (1) Has filed an application for registration as a timeshare 19 salesperson or as a licensee under chapter 18.85 RCW which, as of its 20 effective date, is incomplete in any material respect ((or contains any 21 statement which is, in the light of the circumstances under which it 22 was made, false or misleading with respect to any material fact));

(2) Has violated or failed to comply with any provision of this
chapter or a predecessor act or any rule or order issued under this
chapter or a predecessor act;

26 (3) ((Has been convicted within the past five years of any 27 misdemeanor or felony involving theft, fraud, or any consumer 28 protection statute, or any felony involving moral turpitude;

29 (4))) Is permanently or temporarily enjoined by any court or 30 administrative order from engaging in or continuing any conduct or 31 practice involving any aspect of the timeshare business;

32 (((5))) <u>(4)</u> Has engaged in dishonest or unethical practices in the 33 timeshare, real estate, or camp resort business;

(((6))) (5) Is insolvent either in the sense that the individual's Iiabilities exceed his or her assets or in the sense that the individual cannot meet his or her obligations as they mature; or (((7))) (6) Has not complied with any condition imposed by the
 director or is not qualified on the basis of such factors as training,
 experience, or knowledge of the timeshare business or this chapter.

4 ((The director may by order summarily postpone or suspend 5 registration of the salesperson pending final determination of any 6 proceeding under RCW 64.36.180.))

7 **Sec. 298.** RCW 64.36.100 and 1987 c 370 s 10 are each amended to 8 read as follows:

9 (((1))) The director may ((issue an order denying, suspending, or 10 revoking)) deny or take disciplinary action against any timeshare 11 application or registration if the director finds that the ((order is 12 in the public interest and that)) applicant or registrant has engaged 13 in unprofessional conduct as described in section 115 of this act. In 14 addition, the director may deny or take disciplinary action based on 15 the following conduct, acts, or conditions:

16 ((<del>(a)</del>)) <u>(1)</u> The application, written disclosure, or registration is 17 incomplete ((<del>or contains any statement which is false or misleading</del> 18 with respect to any material fact));

19 (((b) Any provision of this chapter, the permit to market, or any 20 rule or order lawfully issued under this chapter has been violated by 21 the promoter, its affiliates, or any natural person whose signature is 22 required under this chapter;

23 (c)) (2) The activities of the promoter include, or would include, 24 activities which are unlawful or in violation of a law, rule, or 25 ordinance in this state or another jurisdiction;

26 ((<del>(d)</del>)) <u>(3)</u> The timeshare offering has worked or tended to work a 27 fraud on purchasers, or would likely be adverse to the interests or the 28 economic or physical welfare of purchasers;

29 (((e))) (4) The protections and security arrangements to ((assure))30 <u>ensure</u> future quiet enjoyment required under RCW 64.36.130 have not 31 been provided as required by the director for the protection of 32 purchasers $((\div))$  or

(((f))) (5) The operating budget proposed by the promoter or promoter-controlled association appears inadequate to meet operating costs or funding of reserve accounts or fees for a consultant to determine adequacy have not been paid by the promoter.

37 (((2) The director shall promptly notify the applicant or 38 registrant of any order denying, suspending, or revoking registration 1 and of the applicant's or registrant's right to request a hearing 2 within fifteen days of notification. If the applicant or registrant 3 does not request a hearing, the order remains in effect until the 4 director modifies or vacates it.))

5 **Sec. 299.** RCW 64.36.195 and 1987 c 370 s 7 are each amended to 6 read as follows:

7 The director or persons to whom the director delegates such powers may enter into assurances of discontinuance in lieu of issuing a 8 9 statement of charges or a cease and desist order or conducting a hearing under this chapter. The assurances shall consist of a 10 statement of the law in question and an agreement to not violate the 11 12 stated provision. The applicant or registrant shall not be required to admit to any violation of the law, nor shall the assurance be construed 13 14 as such an admission. Violation or breaching of an assurance under 15 this section shall ((be grounds for a suspension, revocation of registration, or imposition of a fine)) constitute unprofessional 16 conduct for which disciplinary action may be taken under sections 113 17 18 and 115 of this act.

19 Sec. 300. RCW 64.36.200 and 1983 1st ex.s. c 22 s 19 are each 20 amended to read as follows:

(1) The director may order any person to cease and desist from an act or practice if it appears that the person is violating or is about to violate any provision of this chapter or any rule or order issued under this chapter.

25 (2) Upon the entry of the temporary order to cease and desist, the director shall promptly notify the recipient of the order that it has 26 27 been entered and the reasons therefor and that if requested in writing 28 by such person within fifteen days after ((receipt)) service of the 29 director's notification, the matter will be scheduled for hearing which shall be held within a reasonable time and in accordance with chapter 30 31 34.05 RCW. The temporary order shall remain in effect until ten days after the hearing is held. 32

(3) If a person does not request a hearing ((within fifteen days
 after receipt of notice of opportunity for hearing)), the order shall
 become final.

36 (4) Unlicensed timeshare activity is subject to section 117 of this
 37 act.

1 Sec. 301. RCW 64.36.220 and 1983 1st ex.s. c 22 s 21 are each
2 amended to read as follows:

3 (1) The attorney general, in the name of the state or the director, 4 may bring an action to enjoin any person from violating any provision 5 of this chapter. Upon a proper showing, the superior court shall grant a permanent or temporary injunction, restraining order, or writ of 6 7 mandamus. The court may make any additional orders or judgments which 8 may be necessary to restore to any person any interest in any money or 9 property, real or personal, which may have been acquired by means of 10 any act prohibited or declared to be unlawful under this chapter. The 11 prevailing party may recover costs of the action, including a 12 reasonable attorney's fee.

(2) The superior court issuing an injunction shall retain
jurisdiction. Any person who violates the terms of an injunction shall
pay a civil penalty of not more than twenty-five thousand dollars.

16 (3) The attorney general, in the name of the state or the director, 17 may apply to the superior court to appoint a receiver or conservator 18 for any person, or the assets of any person, who is subject to a cease 19 and desist order, permanent or temporary injunction, restraining order, 20 or writ of mandamus.

(4) ((Any person who violates any provision of this chapter is 21 subject to a civil penalty not to exceed two thousand dollars for each 22 violation. Civil penalties authorized by this subsection shall be 23 24 imposed in a civil action brought by the attorney general and shall be 25 deposited in the general fund of the state treasury. Any action for 26 recovery of a civil penalty shall be commenced within five years of the date of the alleged violation)) Proceedings for injunctions for 27 28 unlicensed timeshare activity must be conducted under the provisions of 29 <u>section 117 of this act</u>.

30 **Sec. 302.** RCW 64.36.230 and 1983 1st ex.s. c 22 s 22 are each 31 amended to read as follows:

32 (((1))) Any person who violates RCW 64.36.020 is guilty of a gross 33 misdemeanor punishable under chapter 9A.20 RCW. Any person who 34 knowingly violates RCW 64.36.020 or 64.36.210 is guilty of a class C 35 felony punishable under chapter 9A.20 RCW. No indictment or 36 information for a felony may be returned under this chapter more than 37 five years after the alleged violation.

1 (((2) The director may refer evidence concerning violations of this 2 chapter to the attorney general or the proper prosecuting attorney who 3 may, with or without this reference, institute appropriate criminal 4 proceedings.))

5 <u>NEW SECTION.</u> Sec. 303. A new section is added to chapter 64.36 6 RCW to read as follows:

7 The uniform regulation of business and professions act, chapter 8 18.--- RCW (sections 101 through 125 of this act), governs unlicensed 9 practice, the issuance and denial of licenses, and the discipline of 10 licensees under this chapter.

11 **Sec. 304.** RCW 67.08.010 and 1997 c 205 s 2 are each amended to 12 read as follows:

13 (((1))) The department shall have power to issue and ((for cause to 14 revoke, suspend, or deny)) take disciplinary action as provided in 15 section 115 of this act against a license to conduct, hold, or promote 16 boxing, martial arts, or wrestling events or closed circuit telecasts 17 of these events as provided in this chapter and chapter 18.--- RCW 18 (sections 101 through 125 of this act) under such terms and conditions 19 and at such times and places as the department may determine.

20 (((2) In case the department revokes, suspends, or denies any 21 license or issues a fine, such applicant, or license shall be entitled, 22 upon application, to a hearing to be held under chapter 34.05 RCW, the 23 administrative procedure act.))

24 **Sec. 305.** RCW 67.08.015 and 2000 c 151 s 2 are each amended to 25 read as follows:

(1) In the interest of ensuring the safety and welfare of the 26 27 participants, the department shall have power and it shall be its duty to direct, supervise, and control all boxing, martial arts, and 28 wrestling events conducted within this state and an event may not be 29 30 held in this state except in accordance with the provisions of this The department may, in its discretion, issue and for cause, 31 chapter. 32 which includes concern for the safety and welfare of the participants, ((deny, revoke, or suspend)) take any of the actions specified in 33 34 section 113 of this act against a license to promote, conduct, or hold 35 boxing, kickboxing, martial arts, or wrestling events where an

admission fee is charged by any 1 person, club, corporation, 2 organization, association, or fraternal society.

3

(2) All boxing, kickboxing, martial arts, or wrestling events that: 4 (a) Are conducted by any common school, college, or university, whether public or private, or by the official student association 5 thereof, whether on or off the school, college, or university grounds, 6 7 where all the participating contestants are bona fide students enrolled 8 in any common school, college, or university, within or without this 9 state; or

10 (b) Are entirely amateur events promoted on a nonprofit basis or 11 for charitable purposes;

are not subject to the licensing provisions of this chapter. A boxing, 12 13 martial arts, kickboxing, or wrestling event may not be conducted within the state except under a license issued in accordance with this 14 15 chapter and the rules of the department except as provided in this 16 section.

The director shall prohibit events unless all of the 17 (3) contestants are either licensed under this chapter or trained by an 18 19 amateur or professional sanctioning body recognized by the department.

**Sec. 306.** RCW 67.08.017 and 1997 c 205 s 4 are each amended to 20 21 read as follows:

22 In addition to the powers described in sections 104 and 105 of this 23 act, the director or the director's designee has the following 24 authority in administering this chapter:

25 (1) Adopt, amend, and rescind rules as deemed necessary to carry 26 out this chapter;

27 (2) ((Issue subpoenas and administer oaths in connection with an investigation, hearing, or proceeding held under this chapter; 28

29 (3) Take or cause depositions to be taken and use other discovery 30 procedures as needed in an investigation, hearing, or proceeding held under this chapter; 31

(4) Compel attendance of witnesses at hearings; 32

33 (5)) In the course of investigating a complaint or report of unprofessional conduct, conduct practice reviews; 34

35 (((6) Take emergency action ordering summary suspension of a 36 license, or restriction or limitation of the licensee's practice pending proceedings by the director; 37

(7) Use the office of administrative hearings as authorized in
 chapter 34.12 RCW to conduct hearings. However, the director or the
 director's designee shall make the final decision in the hearing;

4 (8))) (3) Enter into contracts for professional services determined
5 to be necessary for adequate enforcement of this chapter;

б

(((9))) (4) Adopt standards of professional conduct or practice;

7 (((10) In the event of a finding of unprofessional conduct by an 8 applicant or license holder, impose sanctions against a license 9 applicant or license holder as provided by this chapter;

(11)) (5) Enter into an assurance of discontinuance in lieu of issuing a statement of charges or conducting a hearing. The assurance shall consist of a statement of the law in question and an agreement not to violate the stated provision. The applicant or license holder shall not be required to admit to any violation of the law, and the assurance shall not be construed as such an admission. Violation of an assurance under this subsection is grounds for disciplinary action; and

17 (((12) Designate individuals authorized to sign subpoenas and 18 statements of charges;

19 (13) Employ the investigative, administrative, and clerical staff
20 necessary for the enforcement of this chapter;

21 (14) Compel the attendance of witnesses at hearings; and

(15))) (6) Establish and assess fines for violations of this
chapter that may be subject to payment from a contestant's purse.

24 **Sec. 307.** RCW 67.08.090 and 1999 c 282 s 6 are each amended to 25 read as follows:

(1) Each contestant for boxing, kickboxing, or martial arts events 26 27 shall be examined within twenty-four hours before the contest by an event physician licensed by the department. The event physician shall 28 29 report in writing and over his or her signature before the event the 30 physical condition of each and every contestant to the inspector present at such contest. No contestant whose physical condition is not 31 32 approved by the event physician shall be permitted to participate in any event. Blank forms for event physicians' reports shall be provided 33 34 by the department and all questions upon such blanks shall be answered in full. The event physician shall be paid a fee and travel expenses 35 36 by the promoter.

37 (2) The department may require that an event physician be present38 at a wrestling event. The promoter shall pay the event physician

present at a wrestling event. A boxing, kickboxing, or martial arts
 event may not be held unless an event physician licensed by the
 department is present throughout the event.

4 (3) Any physician licensed under RCW 67.08.100 may be selected by 5 the department as the event physician. The event physician present at 6 any contest shall have authority to stop any event when in the event 7 physician's opinion it would be dangerous to a contestant to continue, 8 and in such event it shall be the event physician's duty to stop the 9 event.

10 (4) The department may have a participant in a wrestling event 11 examined by an event physician licensed by the department prior to the 12 event. A participant in a wrestling event whose condition is not 13 approved by the event physician shall not be permitted to participate 14 in the event.

15 (5) Each contestant for boxing, kickboxing, martial arts, or wrestling events may be subject to a random urinalysis or chemical test 16 17 within twenty-four hours before or after a contest. In addition to the unprofessional conduct specified in section 115 of this act, an 18 19 applicant or licensee who refuses or fails to submit to the urinalysis 20 or chemical test is subject to disciplinary action under ((RCW 67.08.240)) section 113 of this act. If the urinalysis or chemical 21 test is positive for substances prohibited by rules adopted by the 22 director, the applicant or licensee has engaged in unprofessional 23 24 conduct and disciplinary action ((shall)) may be taken under ((RCW 25 67.08.240)) section 113 of this act.

26 **Sec. 308.** RCW 67.08.100 and 2001 c 246 s 1 are each amended to 27 read as follows:

(1) The department upon receipt of a properly completed application and payment of a nonrefundable fee, may grant an annual license to an applicant for the following: (a) Promoter; (b) manager; (c) boxer; (d) second; (e) wrestling participant; (f) inspector; (g) judge; (h) timekeeper; (i) announcer; (j) event physician; (k) referee; (l) matchmaker; (m) kickboxer; and (n) martial arts participant.

(2) The application for the following types of licenses shall
include a physical performed by a physician, as defined in RCW
67.08.002, which was performed by the physician with a time period
preceding the application as specified by rule: (a) Boxer; (b)

wrestling participant; (c) kickboxer; (d) martial arts participant; and
 (e) referee.

(3) An applicant for the following types of licenses for the sports 3 4 of boxing, kickboxing, and martial arts shall provide annual proof of certification as having adequate experience, skill, and training from 5 an organization approved by the department, including, but not limited 6 7 to, the association of boxing commissions, the international boxing 8 federation, the international boxing organization, the Washington state 9 association of professional ring officials, the world boxing association, the world boxing council, or the world boxing organization 10 for boxing officials, and the united full contact federation for 11 kickboxing and martial arts officials: (a) Judge; (b) referee; (c) 12 inspector; (d) timekeeper; or (e) other officials deemed necessary by 13 the department. 14

15 (4) ((Any license may be revoked, suspended, or denied by the 16 director for a violation of this chapter or a rule adopted by the 17 director.

(5)) No person shall participate or serve in any of the above
 capacities unless licensed as provided in this chapter.

20 (((6))) (5) The referees, judges, timekeepers, event physicians, 21 and inspectors for any boxing event shall be designated by the 22 department from among licensed officials.

23 (((7))) (6) The referee for any wrestling event shall be provided 24 by the promoter and shall be licensed as a wrestling participant.

25 (((+8))) (7) The department shall immediately suspend the license or certificate of a person who has been certified pursuant to RCW 26 27 74.20A.320 by the department of social and health services as a person who is not in compliance with a support order. If the person has 28 29 continued to meet all other requirements for reinstatement during the 30 suspension, reissuance of the license or certificate shall be automatic upon the department's receipt of a release issued by the department of 31 social and health services stating that the licensee is in compliance 32 with the order. 33

(((9))) <u>(8)</u> A person may not be issued a license if the person has an unpaid fine outstanding to the department.

36 (((10))) (9) A person may not be issued a license unless they are 37 at least eighteen years of age.

38 (((11))) (10) This section shall not apply to contestants or 39 participants in events at which only amateurs are engaged in contests and/or fraternal organizations and/or veterans' organizations chartered by congress or the defense department or any recognized amateur sanctioning body recognized by the department, holding and promoting athletic events and where all funds are used primarily for the benefit of their members. Upon request of the department, a promoter, contestant, or participant shall provide sufficient information to reasonably determine whether this chapter applies.

8 **sec. 309.** RCW 67.08.110 and 1999 c 282 s 8 are each amended to 9 read as follows:

10 (1) Any person or any member of any group of persons or corporation promoting boxing events who shall participate directly or indirectly in 11 12 the purse or fee of any manager of any boxers or any boxer and any licensee who shall conduct or participate in any sham or fake boxing 13 14 event ((shall be subject to license suspension, revocation, or fine and 15 such revoked, suspended, or fined licensee shall not be entitled to 16 receive any license issued under this chapter)) has engaged in unprofessional conduct and is subject to the sanctions specified in 17 18 section 113 of this act.

19 (2) A manager of any boxer, kickboxer, or martial arts participant 20 who allows any person or any group of persons or corporation promoting boxing, kickboxing, or martial arts events to participate directly or 21 22 indirectly in the purse or fee, or any boxer, kickboxer, or martial 23 arts participant or other licensee who conducts or participates in any 24 sham or fake boxing, kickboxing, or martial arts event has engaged in 25 unprofessional conduct and is subject to ((disciplinary action under RCW 67.08.240)) the sanctions specified in section 113 of this act. 26

27 **Sec. 310.** RCW 67.08.130 and 1997 c 205 s 13 are each amended to 28 read as follows:

29 Whenever any licensee shall fail to make a report of any event within the time prescribed by this chapter or when such report is 30 unsatisfactory to the department, the director may examine the books 31 32 and records of such licensee; he or she may subpoena and examine under 33 oath any officer of such licensee and such other person or persons as he or she may deem necessary to a determination of the total gross 34 35 receipts from any event and the amount of tax thereon. If, upon the completion of such examination it shall be determined that an 36 additional tax is due, notice thereof shall be served upon the 37

licensee, ((and if such licensee shall fail)) providing the licensee 1 with an opportunity to request a hearing under chapter 34.05 RCW. The 2 failure to request a hearing within twenty days of service of the 3 4 notice constitutes a default, whereupon the director will enter a decision on the facts available. Failure to pay such additional tax 5 within twenty days after service of ((such notice such delinquent)) a 6 7 final order constitutes unprofessional conduct and the licensee 8 ((shall)) may be subject to ((revocation of)) disciplinary action 9 against its license and shall be disqualified from receiving any new 10 license. ((In addition, such licensee shall be liable to this state in the penal sum of one thousand dollars to be collected by the attorney 11 general by civil action in the name of the state in the manner provided 12 13 by law.))

14 **Sec. 311.** RCW 67.08.140 and 1997 c 205 s 14 are each amended to 15 read as follows:

16 Any person, club, corporation, organization, association, fraternal society, participant, or promoter conducting or participating in boxing 17 18 or wrestling events within this state without having first obtained a 19 license therefor in the manner provided by this chapter is in violation of this chapter and shall be guilty of a misdemeanor excepting the 20 events excluded from the operation of this chapter by RCW 67.08.015. 21 22 ((The attorney general, each prosecuting attorney, the department, or 23 any citizen of any county where any person, club, corporation, 24 organization, association, fraternal society, promoter, or participant 25 shall threaten to hold, or appears likely to hold or participate in 26 athletic events in violation of this chapter, may in accordance with 27 the laws of this state governing injunctions, enjoin such person, club, 28 corporation, organization, association, fraternal society, promoter, or 29 participant from holding or participating in the event.))

30 **Sec. 312.** RCW 67.08.180 and 1997 c 205 s 16 are each amended to 31 read as follows:

In addition to the unprofessional conduct specified in section 115 of this act, the following conduct, acts, or conditions constitute unprofessional conduct for which disciplinary action may be taken:

(1) ((It is a violation of this chapter for any promoter or person associated with or employed by any promoter to destroy)) <u>Destruction of</u> any ticket or ticket stub, whether sold or unsold, within three months after the date of any event, by any promoter or person associated with
 or employed by any promoter.

3 (2) ((It is a violation of this chapter for a wrestling participant 4 to deliberately cut himself or herself or otherwise mutilate himself or 5 herself)) The deliberate cutting of himself or herself or other self 6 mutilation by a wrestling participant while participating in a 7 wrestling event.

8 (3) ((The department shall revoke the license of a licensee
9 convicted)) <u>A conviction</u> under chapter 69.50 RCW.

10 (4) ((The director shall revoke the license of a licensee)) <u>Testing</u> 11 positive for illegal use of a controlled substance as defined in RCW 12 69.50.101((, and shall deny the application of an applicant testing 13 positive for a controlled substance as defined in RCW 69.50.101)).

14 (5) The striking of any person that is not a licensed participant 15 at a wrestling event ((constitutes grounds for suspension, fine, 16 revocation, or any combination thereof)).

17 **Sec. 313.** RCW 67.08.300 and 1997 c 205 s 24 are each amended to 18 read as follows:

The director or individuals acting on the director's behalf are immune from suit in an action, civil or criminal, based on ((disciplinary proceedings or other)) official acts performed in the course of their duties in the administration and enforcement of this chapter.

24 <u>NEW SECTION.</u> **Sec. 314.** A new section is added to chapter 67.08 25 RCW to read as follows:

The uniform regulation of business and professions act, chapter 18.--- RCW (sections 101 through 125 of this act), governs unlicensed practice, the issuance and denial of licenses, and the discipline of licensees under this chapter.

30 Sec. 315. RCW 68.05.105 and 1987 c 331 s 10 are each amended to 31 read as follows:

32 <u>In addition to the authority in section 104 of this act, the board</u> 33 has the following authority:

34 (1) To adopt, amend, and rescind such rules as are deemed necessary35 to carry out this title;

(2) ((To investigate all complaints or reports of unprofessional
 conduct as defined in this chapter and to hold hearings;

3 (3) To issue subpoenas and administer oaths in connection with any
 4 investigation, hearing, or proceeding held under this title;

5 (4) To take or cause depositions to be taken and use other 6 discovery procedures as needed in any investigation, hearing, or 7 proceeding held under this title;

(5) To compel attendance of witnesses at hearings;

8

9 (6))) In the course of investigating a complaint, to conduct 10 practice reviews; <u>and</u>

11 (((7) To take emergency action pending proceedings by the board;

12 (8) To use the office of administrative hearings as authorized in 13 chapter 34.12 RCW to conduct hearings. However, the board shall make 14 the final decision;

15 (9) To use consultants or individual members of the board to assist 16 in the direction of investigations and issuance of statements of 17 charges. However, those board members shall not subsequently 18 participate in the hearing of the case;

19 (10) To enter into contracts for professional services determined 20 to be necessary for adequate enforcement of this title;

21 (11) To contract with persons or organizations to provide services 22 necessary for the monitoring and supervision of licensees, or 23 authorities who are for any authorized purpose subject to monitoring by 24 the board;

25 (12)) (3) To adopt standards of professional conduct or 26 practice(( $\dot{\tau}$ 

27 (13) To grant or deny authorities or license applications, and in 28 the event of a finding of unprofessional conduct by an applicant, 29 authority, or license holder, to impose any sanction against a license 30 applicant, authority, or license holder provided by this title;

31 (14) To enter into an assurance of discontinuance in lieu of issuing a statement of charges or conducting a hearing. The assurance 32 shall consist of a statement of the law in question and an agreement to 33 34 not violate the stated provision. The applicant, holder of an 35 authority to operate, or license holder shall not be required to admit 36 to any violation of the law, nor shall the assurance be construed as 37 such an admission. Violation of an assurance under this subsection is grounds for disciplinary action; 38

1 (16) To suspend the license or authority for a fixed or indefinite
2 term;

3 (17) To restrict or limit the license or authority;

4 (18) To censure or reprimand;

5 (19) To cause compliance with conditions of probation for a 6 designated period of time;

7 (20) To fine for each violation of this title, not to exceed one 8 thousand dollars per violation. Funds received shall be placed in the 9 cemetery account;

10

(21) To order corrective action.

Any of the actions under this section may be totally or partly stayed by the board. In determining what action is appropriate, the board must first consider what sanctions are necessary to protect or compensate the public. All costs associated with compliance with orders issued under this section are the obligation of the license or authority holder or applicant)).

17 **Sec. 316.** RCW 68.05.170 and 1987 c 331 s 23 are each amended to 18 read as follows:

(1) Whenever the board finds, after notice and hearing, that any endowment care funds have been invested in violation of this title, it ((shall)) may by written order mailed to the person or body in charge of the fund require the reinvestment of the funds in conformity with this title within the period specified by it which shall be not more than six months. Such period may be extended by the board in its discretion.

(2) The board may bring actions for the preservation and protection of endowment care funds in the superior court of the county in which the cemetery is located and the court shall appoint substitute trustees and make any other order which may be necessary for the preservation, protection, and recovery of endowment care funds, whenever a cemetery authority or the trustees of its fund have:

(a) Transferred or attempted to transfer any property to, or made
 any loan from, the endowment care funds for the benefit of the cemetery
 authority or any director, officer, agent or employee of the cemetery
 authority or trustee of any endowment care funds; or,

(b) Failed to reinvest endowment care funds in accordance with a
 board order issued under subsection ((one)) (1) of this section; or,
 (c) Invested endowment care funds in violation of this title; or,

(d) Taken action or failed to take action to preserve and protect
 the endowment care funds, evidencing a lack of concern therefor; or,

3 (e) Become financially irresponsible or transferred control of the
4 cemetery authority to any person who, or business entity which, is
5 financially irresponsible; or,

6 (f) Is in danger of becoming insolvent or has gone into bankruptcy7 or receivership; or,

8 (g) Taken any action in violation of Title 68 RCW or failed to take 9 action required by Title 68 RCW or has failed to comply with lawful 10 rules((<del>, regulations</del>)) and orders of the board.

(3) Whenever the board or its representative has reason to believe 11 12 that endowment care funds or prearrangement trust funds are in danger 13 of being lost or dissipated during the time required for notice and hearing, it may immediately impound or seize documents, financial 14 15 instruments, or other trust fund assets, or take other actions deemed necessary under the circumstances for the preservation and protection 16 17 of endowment care funds or prearrangement trust funds, including, but not limited to, immediate substitutions of trustees. 18

19 **Sec. 317.** RCW 68.05.235 and 1987 c 331 s 19 are each amended to 20 read as follows:

(1) Each authorized cemetery authority shall within ninety days after the close of its accounting year file with the board upon the board's request a true and accurate statement of its financial condition, transactions, and affairs for the preceding year. The statement shall be on such forms and shall contain such information as required by this chapter and by the board.

(2) The failure to file a statement as required under subsection (1) of this section constitutes unprofessional conduct for which the board ((shall suspend or revoke)) may take disciplinary action against the prearrangement sales license of ((any)) the cemetery authority ((which fails to comply with the request)). In addition, the board may take disciplinary action against any other license held by the cemetery authority.

34 **Sec. 318.** RCW 68.05.259 and 1987 c 331 s 22 are each amended to 35 read as follows:

If any cemetery authority refuses to pay any examination expenses within thirty days of completion of the examination or refuses to pay certain examination expenses in advance as required by the department for cause, the board ((shall revoke)) may take disciplinary action against any existing certificate of authority. Examination expenses incurred in conjunction with a transfer of ownership of a cemetery shall be paid by the selling entity. All examination expense moneys collected by the department shall be paid to the ((department)) program account.

8 **Sec. 319.** RCW 68.05.300 and 1987 c 331 s 25 are each amended to 9 read as follows:

10 ((The board may revoke, suspend, or terminate a certificate of 11 authority or prearrangement sales license if a)) In addition to the 12 unprofessional conduct described in section 115 of this act, the board 13 may take disciplinary action if the cemetery authority:

14 (1) Fails to comply with any provision of this chapter or any15 proper order or regulation of the board;

16 (2) Is found by the board to be in such condition that further 17 execution of prearrangement contracts would be hazardous to purchasers 18 or beneficiaries and the people of this state; or

19 (3) ((Refuses to be examined, or refuses to submit to examination 20 or to produce its accounts, records, and files for examination by the 21 board when required;

(4))) Is found by the board after investigation or receipt of reliable information to be managed by persons who are incompetent or untrustworthy or so lacking in managerial experience as to make the proposed or continued operation hazardous to purchasers, beneficiaries, or the public((; or

27 (5) Is found by the board to use false, misleading, or deceptive
 28 advertisements or sales methods)).

29 **Sec. 320.** RCW 68.05.310 and 1989 c 175 s 124 are each amended to 30 read as follows:

((The board or its authorized representative shall give a cemetery authority notice of its intention to suspend, revoke, or refuse to renew a certificate of authority or a prearrangement sales license, and shall grant the cemetery authority a hearing, in the manner required for adjudicative proceedings under chapter 34.05 RCW, the Administrative Procedure Act, before the order of suspension, revocation, or refusal may become effective.)) No cemetery authority whose prearrangement sales license has been ((suspended, revoked, or refused)) the subject of disciplinary action shall be authorized to enter into prearrangement contracts <u>unless</u> <u>specifically authorized by the board and only upon full compliance with</u> <u>the conditions required by the board</u>. Any prearrangement sale by an unlicensed cemetery authority shall be voidable by the purchaser who shall be entitled to a full refund.

8 **sec. 321.** RCW 68.05.320 and 1979 c 21 s 32 are each amended to 9 read as follows:

(1) The board or its authorized representative may issue and serve upon a cemetery authority a notice of charges if in the opinion of the board or its authorized representative the cemetery authority:

(a) Is engaging in or has engaged in practices likely to endanger
 the future delivery of cemetery merchandise or services, unconstructed
 crypts or niches, or undeveloped graves;

16 (b) Is violating or has violated any statute of the state of 17 Washington or any rule of the board; or

18 (c) Is about to do an act prohibited in (((1)))(a) or (((1)))(b) of 19 this <u>sub</u>section when the opinion is based upon reasonable cause.

(2) The notice shall contain a statement of the facts constituting the alleged violation or practice and shall fix a time and place at which a hearing will be held to determine whether an order to cease and desist should issue against the cemetery authority. The hearing shall be set not earlier than ten nor later than thirty days after service of the notice unless a later date is set by the board or its authorized representative at the request of the cemetery authority.

27 Unless the cemetery authority appears at the hearing by a duly authorized representative it shall be deemed to have consented to the 28 29 issuance of a cease and desist order. In the event of this consent or if upon the record made at the hearing the board finds that any 30 violation or practice specified in the notice of charges has been 31 established, the board may issue and serve upon the cemetery authority 32 33 an order to cease and desist from the violation or practice. The order 34 may require the cemetery authority and its directors, officers, employees, and agents to cease and desist from the violation or 35 36 practice and may require the cemetery authority to take affirmative 37 action to correct the conditions resulting from the violation or 38 practice.

1 (3) A cease and desist order shall become effective at the 2 expiration of ten days after service of the order upon the cemetery 3 authority except that a cease and desist order issued upon consent 4 shall become effective as provided in the order unless it is stayed, 5 modified, terminated, or set aside by action of the board or a 6 reviewing court.

7 (4) The powers of the board under this section are in addition to
8 the power of the board to ((refuse to renew or to revoke or suspend))
9 take disciplinary action against a cemetery authority's prearrangement
10 sales license.

11 **Sec. 322.** RCW 68.05.330 and 1987 c 331 s 27 are each amended to 12 read as follows:

13 Unless specified otherwise in this title, any person who violates 14 or aids or abets any person in the violation of any of the provisions 15 of this title shall be quilty of a class C felony punishable under 16 chapter 9A.20 RCW. A violation shall constitute an unfair practice under chapter 19.86 RCW and shall be grounds for ((revocation of)) 17 18 disciplinary action against the certificate of authority under this chapter and chapter 18. --- RCW (sections 101 through 125 of this act) 19 or ((revocation of)) disciplinary action against the prearrangement 20 sales license under this chapter and chapter 18. --- RCW (sections 101 21 through 125 of this act). Retail installment transactions under this 22 23 chapter shall be governed by chapter 63.14 RCW. The provisions of this 24 chapter shall be cumulative and nonexclusive and shall not affect any 25 other remedy available at law.

26 **Sec. 323.** RCW 68.05.340 and 1987 c 331 s 28 are each amended to 27 read as follows:

28 Whenever the board or its authorized representative determines that 29 a cemetery authority is in violation of this title, other than engaging in unlicensed activity, or that the continuation of acts or practices 30 of the cemetery authority is likely to cause insolvency or substantial 31 32 dissipation of assets or earnings of the cemetery authority's endowment 33 care or prearrangement trust fund or to otherwise seriously prejudice the interests of the purchasers or beneficiaries of prearrangement 34 35 contracts, the board, or its authorized representative, may issue a temporary order requiring the cemetery authority to cease and desist 36 37 from the violation or practice. The order shall become effective upon

service on the cemetery authority and shall remain effective unless set aside, limited, or suspended by a court in proceedings under RCW 68.05.350 or until the board dismisses the charges specified in the notice under RCW 68.05.320 or until the effective date of a cease and desist order issued against the cemetery authority under RCW 68.05.320. Actions for unlicensed activity must be conducted under section 117 of this act.

8 **sec. 324.** RCW 68.05.350 and 1987 c 331 s 29 are each amended to 9 read as follows:

10 Within ten days after a cemetery authority has been served with a 11 temporary cease and desist order <u>issued under RCW 68.05.320</u>, the 12 cemetery authority may apply to the superior court in the county of its 13 principal place of business for an injunction setting aside, limiting, 14 or suspending the order pending completion of the administrative 15 proceedings under RCW 68.05.320.

16 <u>NEW SECTION.</u> Sec. 325. A new section is added to chapter 68.05 17 RCW to read as follows:

The uniform regulation of business and professions act, chapter 19 18.--- RCW (sections 101 through 125 of this act), governs unlicensed 20 practice, the issuance and denial of licenses, and the discipline of 21 licensees under this chapter.

22 **Sec. 326.** RCW 79A.60.480 and 2000 c 11 s 109 are each amended to 23 read as follows:

(1) The department of licensing ((shall)) may issue a whitewater
river outfitter's license to an applicant who submits a completed
application, pays the required fee, and complies with the requirements
of this section.

(2) An applicant for a whitewater river outfitter's license shall
make application upon a form provided by the department of licensing.
The form must be submitted annually and include the following
information:

32 (a) The name, residence address, and residence telephone number, and the business name, address, and telephone number of the applicant; 33 34 Certification that all employees, subcontractors, (b) or independent contractors hired as guides meet training standards under 35 36 RCW 79A.60.430 before carrying any passengers for hire;

1 (c) Proof that the applicant has liability insurance for a minimum 2 of three hundred thousand dollars per claim for occurrences by the 3 applicant and the applicant's employees that result in bodily injury or 4 property damage. All guides must be covered by the applicant's 5 insurance policy;

6 (d) Certification that the applicant will maintain the insurance 7 for a period of not less than one year from the date of issuance of the 8 license; and

9 (e) Certification by the applicant that for a period of not less 10 than twenty-four months immediately preceding the application the 11 applicant:

(i) Has not had a license, permit, or certificate to carry passengers for hire on a river revoked by another state or by an agency of the government of the United States due to a conviction for a violation of safety or insurance coverage requirements no more stringent than the requirements of this chapter; and

(ii) Has not been denied the right to apply for a license, permit, or certificate to carry passengers for hire on a river by another state.

(3) The department of licensing shall charge a fee for eachapplication, to be set in accordance with RCW 43.24.086.

(4) Any person advertising or representing himself or herself as a
 whitewater river outfitter who is not currently licensed is guilty of
 a gross misdemeanor.

(5) The department of licensing shall submit annually a list of
licensed persons and companies to the department of community, trade,
and economic development, tourism promotion division.

(6) If an insurance company cancels or refuses to renew insurance for a licensee, the insurance company shall notify the department of licensing in writing of the termination of coverage and its effective date not less than thirty days before the effective date of termination.

(a) Upon receipt of an insurance company termination notice, the department of licensing shall send written notice to the licensee that on the effective date of termination the department of licensing will suspend the license unless proof of insurance as required by this section is filed with the department of licensing before the effective date of the termination.

1 (b) If an insurance company fails to give notice of coverage 2 termination, this failure shall not have the effect of continuing the 3 coverage.

4 (c) The department of licensing may ((suspend a license under this 5 section)) sanction a license under section 113 of this act if the 6 licensee fails to maintain in full force and effect the insurance 7 required by this section.

8 (7) The state of Washington shall be immune from any civil action 9 arising from the issuance of a license under this section.

10 **Sec. 327.** RCW 79A.60.490 and 2000 c 11 s 111 are each amended to 11 read as follows:

Within five days after conviction for any of the provisions of RCW 12 13 79A.60.430 through 79A.60.480, the court shall forward a copy of the 14 judgment to the department of licensing. After receiving proof of 15 conviction, the department of licensing may ((suspend)) sanction the 16 license of any whitewater river outfitter ((for a period not to exceed one year or until)) under section 113 of this act. Proof of compliance 17 18 with all licensing requirements and correction of the violation under 19 which the whitewater river outfitter was convicted may be considered by the department as mitigating factors when taking disciplinary action. 20

21 <u>NEW SECTION.</u> **Sec. 328.** A new section is added to chapter 79A.60 22 RCW to read as follows:

The uniform regulation of business and professions act, chapter RCW (sections 101 through 125 of this act), governs unlicensed practice, the issuance and denial of licenses, and the discipline of licensees under this chapter.

27

## PART 3

28 <u>NEW SECTION.</u> Sec. 401. The following acts or parts of acts are 29 each repealed:

30 (1) RCW 18.08.450 (Actions against certificate--Discipline--Board's
 31 authority--Procedure) and 1989 c 175 s 59 & 1985 c 37 s 16;

32 (2) RCW 18.39.400 (Disciplinary authority of board--Rules) and 1994
 33 c 17 s 2;

34 (3) RCW 18.39.430 (Statement of charge of violation--Notice--35 Hearing) and 1994 c 17 s 5;

(4) RCW 18.39.440 (Hearings--Procedures--Administrative Procedure 1 2 Act) and 1994 c 17 s 6; 3 (5) RCW 18.39.460 (Actions against license--Exceptions) and 1994 c 4 17 s 8; (6) RCW 18.39.470 (Order--When effective--Stay) and 1994 c 17 s 9; 5 (7) RCW 18.39.480 (Appeal) and 1994 c 17 s 10; 6 7 (8) RCW 18.39.490 (Reinstatement--Hearings--Examination) and 1994 8 c 17 s 11; 9 (9) RCW 18.39.500 (Finding of unprofessional conduct--Order--10 Sanctions--Stay--Costs) and 1994 c 17 s 12; (10) RCW 18.39.510 (Informal disposal--Statement of allegations--11 Summary of evidence--Stipulation--Sanctions--Disclosure--Enforcement) 12 and 2000 c 171 s 11 & 1994 c 17 s 13; 13 14 (11) RCW 18.39.520 (Enforcement of fine) and 1994 c 17 s 14; 15 (12) RCW 18.39.540 (Violation of injunction--Penalties) and 1994 c 16 17 s 16; 17 (13) RCW 18.39.550 (Crime by license, registration, endorsement, or permit holder--Notice by board) and 1994 c 17 s 17; 18 19 (14) RCW 18.43.140 (Injunctive relief, proof--Board's immunity from liability--Prosecutions) and 1959 c 297 s 3; 20 (15) RCW 18.85.251 (Disciplinary action--Procedure--Investigation--21 Hearing) and 1988 c 205 s 7, 1987 c 332 s 11, 1981 c 67 s 22, & 1951 c 22 23 222 s 23; 24 (16) RCW 18.85.360 (Witnesses--Depositions--Fees--Subpoenas) and 25 1997 c 322 s 25 & 1957 c 52 s 49; 26 (17)RCW 18.96.130 (Charges against registrants--Hearings--Findings--Penalties) and 1985 c 18 s 4 & 1969 ex.s. c 158 s 13; 27 (18) RCW 18.140.180 (Hearings--Orders--Judicial review) and 1993 c 28 29 30 s 20 & 1989 c 414 s 22; (19) RCW 18.165.190 (Violations--Statement of charges--Hearings) 30 31 and 1995 c 277 s 37 & 1991 c 328 s 19; (20) RCW 18.165.200 (Application of administrative procedure act to 32 hearings) and 1991 c 328 s 20; 33 34 (21) RCW 18.165.240 (Unlicensed practice--Complaints--Director's 35 authority--Injunctions--Penalty) and 1995 c 277 s 39 & 1991 c 328 s 24; (22) RCW 18.165.250 (Violation of injunction--Penalty) and 1991 c 36 37 328 s 25; (23) RCW 18.165.260 (Immunity) and 1991 c 328 s 26; 38

(24) RCW 18.170.190 (Complaints--Investigation--Immunity) and 1995 1 2 c 277 s 14 & 1991 c 334 s 19; 3 (25) RCW 18.170.200 (Violations--Statement of charges--Hearings) 4 and 1991 c 334 s 20; 5 (26) RCW 18.170.240 (Enforcement of orders for payment of fines) and 1991 c 334 s 24; 6 7 (27) RCW 18.170.250 (Unlicensed practice--Complaints--Director's 8 authority--Injunctions--Penalty) and 1995 c 277 s 16 & 1991 c 334 s 25; 9 (28) RCW 18.170.260 (Violation of injunction--Penalty) and 1991 c 10 334 s 26; (29) RCW 18.170.270 (Immunity) and 1991 c 334 s 27; 11 (30) RCW 18.185.150 (Hearing procedures) and 1993 c 260 s 16; 12 13 (31) RCW 18.185.160 (Enforcement of monetary penalty) and 1993 c 14 260 s 17; 15 (32) RCW 18.185.180 (Civil penalties) and 1993 c 260 s 19; 16 (33) RCW 18.185.190 (Official immunity) and 1993 c 260 s 20; 17 (34) RCW 19.16.360 (Licenses--Denial, suspension, revocation or refusal to renew--Civil penalty--Hearing) and 1977 ex.s. c 194 s 3, 18 19 1973 1st ex.s. c 20 s 4, & 1971 ex.s. c 253 s 27; 20 (35) RCW 19.16.380 (Administrative procedure act--Application) and 1971 ex.s. c 253 s 29; 21 22 (36) RCW 19.16.400 (Investigations or proceedings--Powers of 23 director or designees--Contempt) and 1973 1st ex.s. c 20 s 5 & 1971 24 ex.s. c 253 s 31; 25 (37) RCW 19.105.460 (Investigations--Powers relating to--Proceedings for contempt) and 1982 c 69 s 17; 26 27 (38) RCW 19.138.190 (Investigations--Powers of director, officer) and 1994 c 237 s 16; 28 29 (39) RCW 19.138.210 (Violations--Cease and desist order--Notice--30 Hearing) and 1994 c 237 s 17; 31 (40) RCW 19.138.220 (Enjoining unregistered person--Additional to criminal liability) and 2001 c 44 s 3 & 1994 c 237 s 18; 32 33 (41)RCW 19.138.230 (Violation of injunction--Penalties--Jurisdiction) and 1994 c 237 s 19; 34 35 (42) RCW 19.138.300 (Administrative procedure act governs) and 1994 c 237 s 25; 36 37 (43) RCW 19.158.060 (Failure to register--Penalty) and 1989 c 20 s 38 6;

(44) RCW 64.36.180 (Entry of order--Summary order--Notice--Hearing) 1 2 and 1983 1st ex.s. c 22 s 17; 3 (45) RCW 64.36.190 (Director's powers--Application to superior 4 court to compel compliance) and 1983 1st ex.s. c 22 s 18; (46) RCW 64.36.280 (Administration of chapter--Delegation of 5 powers) and 1983 1st ex.s. c 22 s 27; 6 7 (47) RCW 64.36.300 (Application of chapter 34.05 RCW) and 1983 1st ex.s. c 22 s 30; 8

9 (48) RCW 67.08.120 (Violation of rules--Penalties) and 1999 c 282 10 s 9, 1997 c 205 s 12, 1993 c 278 s 22, 1989 c 127 s 12, & 1933 c 184 s 11 18;

12 (49) RCW 67.08.210 (Unprofessional conduct--Investigation--Notice 13 of charge--Request for hearing--Time of hearing--Notice) and 1997 c 205 14 s 18;

15 (50) RCW 67.08.230 (Fine--Order for payment--Enforcement--Proof of 16 validity) and 1997 c 205 s 20;

17 (51) RCW 67.08.250 (Unlicensed practice or conduct violating 18 chapter--Investigation--Cease and desist orders--Injunction in name of 19 state--Criminal liability not precluded--Penalty) and 1997 c 205 s 22; 20 and

21 (52) RCW 67.08.260 (Violation of injunction--Penalties--22 Jurisdiction) and 1997 c 205 s 23.

23 <u>NEW SECTION.</u> **Sec. 402.** Part headings used in this act are not any 24 part of the law.

25 <u>NEW SECTION.</u> **Sec. 403.** Sections 201 through 401 of this act take 26 effect January 1, 2003.

27 <u>NEW SECTION.</u> Sec. 404. If any provision of this act or its 28 application to any person or circumstance is held invalid, the 29 remainder of the act or the application of the provision to other 30 persons or circumstances is not affected.

--- END ---