Ormsby, and Jinkins

HOUSE BILL 2005

State of Washington64th Legislature2015 Regular SessionBy Representatives Moscoso, Goodman, Pettigrew, Appleton, Orwall,

Read first time 02/06/15. Referred to Committee on Public Safety.

1 AN ACT Relating to creating an office of corrections ombuds; and 2 adding a new chapter to Title 43 RCW.

3 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:

The creation of the office of corrections 4 NEW SECTION. Sec. 1. 5 ombuds is intended to assist in strengthening procedures and 6 practices which lessen the possibility of actions occurring within 7 the department of corrections which may adversely impact the health, safety, welfare, and rehabilitation of offenders, and which will 8 9 effectively reduce the exposure of the department to litigation.

10 NEW SECTION. Sec. 2. There is hereby created an office of corrections ombuds within the office of the governor for the purpose 11 12 of providing information to offenders and their families; promoting 13 public awareness and understanding of the rights and responsibilities of offenders; identifying system issues and responses for 14 the 15 governor and the legislature to act upon; and ensuring compliance 16 with relevant statutes, rules, and policies pertaining to corrections 17 offenders facilities, services, and treatment of under the jurisdiction of the department. 18

19 The ombuds shall report directly to the governor and shall 20 exercise his or her powers and duties independently of the secretary.

p. 1

<u>NEW SECTION.</u> Sec. 3. The definitions in this section apply
 throughout this chapter unless the context clearly requires
 otherwise.

4 (1) "Department" means the department of corrections.

5 (2) "Director" means the director of the office of corrections 6 ombuds.

7 (3) "Offender" means an offender as defined in RCW 9.94A.030 who
8 is under the control of the department or its contracted agencies,
9 entities, or programs.

(4) "Office" means the office of corrections ombuds.

11 (5) "Secretary" means the secretary of the department of 12 corrections.

NEW SECTION. Sec. 4. (1) Subject to confirmation by the senate, the governor shall appoint an ombuds who shall be a person of recognized judgment, independence, objectivity, and integrity, and shall be qualified by training or experience in corrections law and policy. Prior to the appointment, the governor shall consult with, and may receive recommendations from, the appropriate committees of the legislature regarding the selection of the ombuds.

20 (2) The person appointed ombuds shall hold office for a term of 21 three years and shall continue to hold office until reappointed or 22 until his or her successor is appointed. The governor may remove the 23 ombuds only for neglect of duty, misconduct, or inability to perform 24 duties. Any vacancy shall be filled by similar appointment for the 25 remainder of the unexpired term.

(3) The ombuds may employ technical experts and other employees to complete the purposes of this chapter, subject to funding. In the implementation phase during the 2015-2017 biennium, staffing shall be limited to two ombuds, including the director.

30 <u>NEW SECTION.</u> Sec. 5. The ombuds shall:

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(1) Provide to the public as appropriate information on therights and responsibilities of offenders and their family members;

33 (2) Maintain a statewide toll-free telephone number, a collect 34 telephone number to be operated during normal business hours, a web 35 site, and a mailing address for the receipt of complaints and 36 inquiries;

37 (3) Provide information to interested members of the public38 regarding the state's correctional system;

p. 2

1 (4) Monitor the development and implementation of federal, state, and departmental laws, rules, regulations, and policies with respect 2 to corrections facilities in Washington state with a view toward the 3 appropriate health, safety, welfare, and rehabilitation of offenders; 4

(5) Establish a statewide uniform reporting system to collect and 5 б analyze data relating to complaints regarding the department;

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(6) Establish procedures to receive and investigate complaints.

ombuds may initiate and attempt to resolve 8 The (a) an investigation upon his or her own initiative, or upon receipt of a 9 complaint from a legislator, or an offender in the physical custody 10 11 of the department, including offenders who are initially received into the physical custody of the department and transferred to a 12 county facility or facility in another state, and a member of the 13 14 offender's immediate family, regarding:

(i) Decisions; 15

(ii) Administrative actions; 16

17 (iii) Inactions or omissions;

(iv) Policies; 18

(v) Procedures and rules; or 19

(vi) Alleged violations of law 20

21 of the department which may adversely affect the health, safety, welfare, rehabilitation, and rights of offenders. 22

(b) A person is not entitled as a right to be heard by the 23 24 ombuds. The ombuds may decline to investigate any complaint as 25 provided by rules adopted under this chapter.

26 (c) If the ombuds does not investigate a complaint, the ombuds shall notify the complainant of the decision not to investigate and 27 the reasons for the decision. 28

29 (d) The ombuds shall not investigate a complaint from an employee the department that relates to the 30 of employee's employment 31 relationship with the department.

(e) The ombuds may refer complainants and others to appropriate 32 resources, agencies, or departments. 33

(f) The ombuds shall not levy any fees for the submission or 34 investigation of complaints. 35

36 (g) Prior to any person in the custody of the department obtaining ombuds services, the person shall have reasonably pursued a 37 resolution of the complaint through any existing internal grievance, 38 39 administrative, or appellate procedures. This subsection (6)(g) shall 40 not apply to complaints related to threats of bodily harm, including

p. 3

1 but not limited to sexual or physical assaults or the denial of 2 necessary medical treatment.

(h) At the conclusion of an investigation of a complaint, the 3 ombuds shall render a decision on the merits of each complaint and 4 communicate the decision to the complainant and to the department. 5 6 The ombuds shall state the recommendations and reasons if, in the 7 ombuds' opinion, the department or any employee thereof should:

(i) Consider the matter further; 8

(ii) Modify or cancel any action; 9

(iii) Alter a rule, practice, or ruling; 10

11 (iv) Explain in detail the administrative action in question;

(v) Rectify an omission; or 12

(vi) Take any other action. 13

14 (i) If the ombuds so requests, the department shall, within the time specified, inform the ombuds about the action taken on the 15 16 recommendations or the reasons for not complying with them.

17 (j) If the ombuds believes that any action or omission has or continues to pose significant prisoner health, safety, welfare, and 18 rehabilitation issues, the ombuds shall report the finding to the 19 governor and the appropriate committees of the legislature. 20

21 (k) Before announcing a conclusion or recommendation that expressly or by implication criticizes a person or the department, 22 the ombuds shall consult with that person or the department. The 23 ombuds may request to be notified by the department, within a 24 25 specified time, of any action taken on any recommendation presented.

26 (1) The ombuds shall notify the complainant of the actions taken by the office and by the department; 27

(7) Submit annually to the governor and the appropriate 28 29 committees of the legislature by November 1st of each year a report analyzing the work of the office including any recommendations; and 30

31 (8) Adopt rules necessary to implement this chapter and act in 32 accordance with the policies and procedures established by the 33 office.

This section shall not be construed as requiring offenders to 34 file a complaint with the ombuds in order to exhaust available 35 36 administrative remedies for purposes of the prison litigation reform act of 1995, P.L. 104-134. 37

<u>NEW SECTION.</u> Sec. 6. (1) The department shall permit the ombuds 38 or the his or her designee to enter and inspect at any reasonable 39

1 time any correctional facility for the purpose of carrying out his or 2 her duties under this chapter.

3 (2) Upon the ombuds' request, the department shall grant the 4 ombuds or his or her designee the right to access, inspect, and copy 5 all relevant information, records, or documents in the possession or 6 control of the department that the ombuds considers necessary in an 7 investigation of a complaint filed under this chapter; and assist the 8 ombuds in obtaining the necessary releases of those documents which 9 are specifically restricted or privileged for use by the ombuds.

10 (3) If the ombuds or any employee of the office acting as an 11 ombuds who has been delegated in writing the authority granted is 12 denied access to any premises under the control of the secretary, the 13 secretary or the secretary's designee, within twenty-four hours after 14 the denial, shall give the ombuds a written statement of the reason 15 for the denial of access.

16 (4) A state or local government agency or entity that has records 17 that are relevant to a complaint or an investigation conducted by the 18 ombuds shall provide the ombuds with access to the records.

19 (5) The department shall ensure that correspondence from inmates 20 to the ombuds is not reviewed or inspected, except to ensure that 21 such correspondence does not contain contraband.

22 <u>NEW SECTION.</u> **Sec. 7.** (1) The office shall establish 23 confidentiality rules and procedures for all information maintained 24 by the office.

(2) Investigative records of the office are confidential and are exempt from public disclosure under chapter 42.56 RCW during the course of an ongoing investigation. Such records shall not be considered privileged or exempt from discovery in criminal proceedings or in civil litigation if otherwise discoverable under the rules of civil procedure.

31 (3) Whenever in the course of providing ombuds services, the 32 ombuds or a member of the ombuds' staff becomes aware of a criminal 33 act or a threat to the health and safety of any individual or the 34 security of a correctional facility, the ombuds shall notify the 35 secretary and the appropriate facility administrator of such act or 36 threat and the nature and target thereof. <u>NEW SECTION.</u> Sec. 8. (1) An employee of the office is not
 liable for good faith performance of responsibilities under this
 chapter.

4 (2) No discriminatory, disciplinary, or retaliatory action may be 5 taken against an employee of the department, an employee of a 6 contracting agency of the department, an offender, or a member of an 7 offender's immediate family for any communication made, or 8 information given or disclosed, to aid the office in carrying out its 9 responsibilities, unless the communication or information is made, 10 given, or disclosed maliciously or without good faith.

11 (3) A person or the department shall not hinder the lawful 12 actions of the ombuds or employees of the office, or willfully refuse 13 to comply with lawful demands of the office.

14 (4) This section is not intended to infringe on the rights of an 15 employer to supervise, discipline, or terminate an employee for other 16 reasons.

17 <u>NEW SECTION.</u> Sec. 9. Funding for the office shall be provided 18 from the proceeds of the department's institutional offender 19 betterment accounts, after distribution is made to the crime victims' 20 compensation program under RCW 72.09.095.

21 <u>NEW SECTION.</u> **Sec. 10.** Sections 1 through 9 of this act 22 constitute a new chapter in Title 43 RCW.

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