CERTIFICATION OF ENROLLMENT

SECOND SUBSTITUTE HOUSE BILL 1522

Chapter 79, Laws of 2023

68th Legislature 2023 Regular Session

HIGHER EDUCATION—SEXUAL MISCONDUCT—SCHOLARLY AND PROFESSIONAL ASSOCIATIONS

EFFECTIVE DATE: July 23, 2023

Passed by the House March 8, 2023 Yeas 94 Nays 0

LAURIE JINKINS

Speaker of the House of Representatives

Passed by the Senate April 5, 2023 Yeas 48 Nays 0

DENNY HECK

President of the Senate Approved April 13, 2023 9:57 AM

CERTIFICATE

I, Bernard Dean, Chief Clerk of the House of Representatives of the State of Washington, do hereby certify that the attached is **SECOND SUBSTITUTE HOUSE BILL 1522** as passed by the House of Representatives and the Senate on the dates hereon set forth.

BERNARD DEAN

Chief Clerk

FILED

April 13, 2023

JAY INSLEE

Governor of the State of Washington

Secretary of State State of Washington

SECOND SUBSTITUTE HOUSE BILL 1522

Passed Legislature - 2023 Regular Session

State of Washington68th Legislature2023 Regular SessionBy House Appropriations (originally sponsored by Representatives
Pollet, Leavitt, Berry, and Macri)

READ FIRST TIME 02/24/23.

AN ACT Relating to addressing sexual misconduct at scholarly or professional associations; amending RCW 28B.112.040 and 28B.112.080; and creating a new section.

4 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:

5 NEW SECTION. In 2020 the legislature established RCW Sec. 1. 6 28B.112.080 requiring colleges and universities statewide, both 7 public and private, to ask job applicants to declare whether they had 8 been the subject of substantiated findings of sexual misconduct by a current or previous employer, whether they are the subject of current 9 10 investigations of sexual misconduct by their employer, or whether 11 they resigned employment during an ongoing investigation. It requires 12 postsecondary educational institutions to request documentation of 13 substantiated findings or investigations prior to extending an offer 14 of employment.

In academic settings, sexual misconduct can take place outside the context of employment. For example, an employee of one university might harass a student or employee of a different university in a professional setting such as a conference or meeting. A growing number of scholarly associations sponsoring conferences or other events have adopted codes of conduct and investigative procedures to address the problem of sexual misconduct in these contexts. The legislature intends to expand the declaration required of applicants for employment to include substantiated findings by scholarly associations. Further, the legislature intends to expand the requirement to request documentation to include substantiated findings generated by scholarly associations.

6 Sec. 2. RCW 28B.112.040 and 2020 c 335 s 2 are each amended to 7 read as follows:

8 The definitions in this section apply throughout this section and 9 RCW 28B.112.050 through 28B.112.080 unless the context clearly 10 requires otherwise.

11 "Applicant" means a person applying for employment as (1)faculty, instructor, staff, advisor, counselor, coach, athletic 12 department staff, and any position in which the applicant will likely 13 have direct ongoing contact with students in a supervisory role or 14 15 position of authority. "Applicant" does not include enrolled students 16 applying for temporary student employment with the who are postsecondary educational institutions, unless the student is a 17 graduate student applying for a position in which the graduate 18 student will have a supervisory role or position of authority over 19 other students. "Applicant" does not include a person applying for 20 employment as medical staff or for employment with an affiliated 21 22 organization, entity, or extension of a postsecondary educational institution, unless the applicant will have a supervisory role or 23 24 position of authority over students.

(2) <u>"Association" means a scholarly or professional organization</u> or learned society that sponsors activities or events for the benefit of individuals affiliated with postsecondary educational institutions, with a code of conduct forbidding sexual misconduct at such activities or events, and established investigative procedures for allegations that the code of conduct has been violated.

31 (3) "Employee" means a person who is receiving or has received wages as an employee from the postsecondary educational institutions 32 and includes current and former workers, whether the person is 33 classified as an employee, independent contractor, or consultant, and 34 is in, or had, a position with direct ongoing contact with students 35 in a supervisory role or position of authority. "Employee" does not 36 include a person who was employed by the institution in temporary 37 38 student employment while the person was an enrolled student unless 39 the student, at the time of employment, is or was a graduate student

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1 in a position in which the graduate student has or had a supervisory role or authority over other students. "Employee" does not include a 2 person employed as medical staff or with an affiliated organization, 3 entity, or extension of a postsecondary educational institution, 4 unless the employee has or had a supervisory role or position of 5 6 authority over students. A person who would be considered an "employee" under this subsection, remains an "employee" even if the 7 person enrolls in classes under an institution's employee tuition 8 waiver program or similar program that allows faculty, staff, or 9 other employees to take classes. 10

11 (((3))) <u>(4)</u> "Employer" includes postsecondary educational 12 institutions in this or any other state.

13 (((4))) <u>(5)</u> "Investigation" means a procedure initiated in 14 response to a formal complaint, as defined in 34 C.F.R. Sec. 106.30, 15 provided that the procedure fully complies with the provisions of 34 16 <u>C.F.R. Sec. 106.45.</u>

17 <u>(6)</u> "Postsecondary educational institution" means an institution 18 of higher education as defined in RCW 28B.10.016, a degree-granting 19 institution as defined in RCW 28B.85.010, a private vocational school 20 as defined in RCW 28C.10.020, or school as defined in RCW 18.16.020, 21 that participates in the state student financial aid program.

22 ((-(5))) (7) "Sexual misconduct" includes, but is not limited to, 23 unwelcome sexual contact, unwelcome sexual advances, requests for sexual favors, other unwelcome verbal, nonverbal, electronic, or 24 25 physical conduct of a sexual nature, sexual harassment, and any 26 misconduct of a sexual nature that is in violation of the postsecondary educational institution's policies or has been 27 28 determined to constitute sex discrimination pursuant to state or 29 federal law.

30 (((6))) <u>(8)</u> "Student" means a person enrolled at a postsecondary 31 educational institution and for whom educational records are 32 maintained.

33 (9) "Substantiated findings" means a written determination 34 regarding responsibility as described in 34 C.F.R. Sec. 106.45(b)(7) 35 prepared at the conclusion of an investigation, as amended by any 36 appeals process.

37 Sec. 3. RCW 28B.112.080 and 2020 c 335 s 6 are each amended to 38 read as follows:

1 (1) Beginning October 1, 2020, prior to an official offer of 2 employment to an applicant, a postsecondary educational institution 3 shall request the applicant to sign a statement:

4 (a) Declaring whether the applicant is the subject of any 5 substantiated findings of sexual misconduct in any current or former 6 employment or <u>by any association with which the applicant has, or has</u> 7 <u>had, a professional relationship,</u> is currently being investigated 8 for, or has left a position during an investigation into, a violation 9 of any sexual misconduct policy at the applicant's current and past 10 employers, and, if so, an explanation of the situation;

11 (b) Authorizing the applicant's current and past employers <u>or</u> 12 <u>relevant associations</u> to disclose to the hiring institution any 13 sexual misconduct committed by the applicant and making available to 14 the hiring institution copies of all documents in the previous 15 employer's personnel, investigative, or other files relating to 16 sexual misconduct, including sexual harassment, by the applicant; and

17 (c) Releasing the applicant's current and past employers <u>or</u> 18 <u>relevant associations</u>, and employees acting on behalf of that 19 employer <u>or association</u>, from any liability for providing information 20 described in (b) of this subsection.

(2) Beginning July 1, 2021, prior to an official offer of employment to an applicant, a postsecondary educational institution shall:

(a) Request in writing, electronic or otherwise, that the applicant's current and past postsecondary educational institution employers, or relevant associations when a finding has been declared by the applicant, provide the information, if any, described in subsection (1)(b) of this section. The request must include a copy of the declaration and statement signed by the applicant under subsection (1) of this section; and

31 (b) Ask the applicant if the applicant is the subject of any 32 substantiated findings of sexual misconduct, or is currently being 33 investigated for, or has left a position during an investigation 34 into, a violation of any sexual misconduct policy at the applicant's 35 current and past employers, and, if so, an explanation of the 36 situation.

37 (3)(a) Pursuant to (c) of this subsection, after receiving a 38 request under subsection (2)(a) of this section, a postsecondary 39 educational institution shall provide the information requested and 40 make available to the requesting institution copies of documents in

1 the applicant's personnel record relating to substantiated findings 2 of sexual misconduct.

(b) Pursuant to (c) of this subsection, if a postsecondary 3 educational institution has information about substantiated findings 4 of a current or former employee's sexual misconduct in the employee's 5 6 personnel file or employment records, unless otherwise prohibited by law, the institution shall disclose that information to any employer 7 conducting reference or background checks on the current or former 8 employee for the purposes of potential employment, even if the 9 employer conducting the reference or background check does not 10 specifically ask for such information. 11

12 (c) If, by June 11, 2020, a postsecondary educational institution 13 does not have existing procedures for disclosing information 14 requested under this subsection, the institution must establish 15 procedures to begin implementing the disclosure requirements of this 16 subsection no later than July 1, 2021.

17 (4) (a) The postsecondary educational institution or an employee 18 acting on behalf of the institution, who discloses information under 19 this section is presumed to be acting in good faith and is immune 20 from civil and criminal liability for the disclosure.

(b) A postsecondary educational institution is not liable for any cause of action arising from nondisclosure of information by an employee without access to official personnel records who is asked to respond to a reference check.

(c) The duty to disclose information under this section is the responsibility of the postsecondary educational institution to respond to a formal request for personnel records relating to a current or prior employee when requested by another employer.

(5) (a) When disclosing information under this section, the postsecondary educational institution shall keep personal identifying information of the complainant and any witnesses confidential, unless the complainant or witnesses agree to disclosure of their identifying information.

(b) Personal identifying information that reveals the identity of
the complainant and any witnesses is exempt from public disclosure
pursuant to RCW 42.56.375.

37 (6) Beginning October 1, 2020, a postsecondary educational
38 institution may not hire an applicant who does not sign the statement
39 described in subsection (1) of this section.

1 (7) Information received under this section may be used by a 2 postsecondary educational institution only for the purpose of 3 evaluating an applicant's qualifications for employment in the 4 position for which the person has applied.

5 (8) This section does not restrict expungement from a personnel 6 file or employment records of information about alleged sexual 7 misconduct that has not been substantiated.

8 (9) Public institutions of higher education shall share best 9 practices with all faculty and staff who are likely to receive 10 reference check requests about how to inform and advise requesters to 11 contact the institution's appropriate official office for personnel 12 records.

(10) The student achievement council shall convene a work group 13 and report to the legislature by November 30, 2024, regarding the 14 ability of institutions of higher education to consider if applicants 15 or current employees have committed sexual misconduct at meetings or 16 17 conferences of academic and professional associations; and, how institutions of higher education and Washington agencies may 18 encourage adoption of policies and procedures regarding sexual 19 misconduct committed at such association events. 20

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