- (b) Prepare interim and final, and, as requested by the SCC superintendent or governing body, supplementary reports;
- (c) Receive and consider SCC program responses to all reports.
- (4) The IOC team shall be of no fewer than four and no more than six persons.
- (a) At least one member of the IOC team must not be a DSHS employee; and
- (b) At least one member must be a sex offender treatment provider.

[Statutory Authority: Chapter 71.09 RCW, 2000 c 44, 2001 c 286. 02-02-054, § 388-881-025, filed 12/27/01, effective 1/27/02.]

# WAC 388-881-030 External oversight—Ombudsman service. (1) The SCC shall retain an ombudsman service for the purpose of conducting independent, neutral reviews of program conformance with internal SCC policies in the care, control and treatment of residents at the SCC.

- (2) The ombudsman function shall be outside the supervision of the superintendent of the SCC and of the assistant secretary for health and rehabilitation services.
- (3) In performance of the ombudsman function, the individual(s) so employed shall be afforded access to all records and documents normally available to public inspection according to rules and policies of the department and of the state of Washington.

[Statutory Authority: Chapter 71.09 RCW, 2000 c 44, 2001 c 286. 02-02-054, § 388-881-030, filed 12/27/01, effective 1/27/02.]

WAC 388-881-035 External oversight—Investigation of incidents. (1) The Washington state patrol shall investigate incidents which involve SCC residents in accordance with department policy.

- (2) The scope and authority for such investigations shall be determined through an interagency agreement between the department and the Washington state patrol.
- (3) Criteria to determine which incidents justify external investigation shall be approved by the secretary, DSHS.

[Statutory Authority: Chapter 71.09 RCW, 2000 c 44, 2001 c 286. 02-02-054, § 388-881-035, filed 12/27/01, effective 1/27/02.]

# Title 390 WAC PUBLIC DISCLOSURE COMMISSION

| Chapters |   |
|----------|---|
| 390-05   | General policies and definitions.                     |
| 390-16   | Forms for campaign financing reporting—Contributions. |
| 390-17   | Contribution limitations.                             |
| 390-19   | Electronic filing.                                    |
| 390-24   | Forms for reports of financial affairs.               |

## Chapter 390-05 WAC GENERAL POLICIES AND DEFINITIONS

WAC

390-05-400

Changes in dollar amounts.

WAC 390-05-400 Changes in dollar amounts. Pursuant to the requirement in RCW 42.17.690 that the commission biennially revise the dollar amounts found in Initiative 134 to reflect changes in economic conditions, the following revisions are made:

| Code Section | Subject Matter                            | Amount Enacted or Last Revised | 2001 Revision |
|--------------|---|--------------------------------|---------------|
| .020         | Definition of "Independent                |                                |               |
|              | Expenditure"                              | \$600                          | \$625         |
| .125         | Reimbursement of candidate for loan to    |                                |               |
|              | own campaign                              | \$3,500                        | \$3,800       |
| .180(1)      | Report—                                   |                                |               |
|              | Applicability of provisions to            |                                |               |
|              | Persons who made contributions            | \$12,000                       | \$12,500      |
|              | Persons who made independent              |                                | e e e         |
|              | expenditures                              | \$600                          | \$625         |
| .640(1)      | Contribution Limits—                      |                                |               |
|              | Candidates for state leg. office          | \$600                          | \$625         |
| •            | Candidates for other state office         | \$1,200                        | \$1,250       |
| .640(2)      | Contribution Limits—                      |                                |               |
|              | State official up for recall or pol comm. | •                              |               |
|              | supporting recall—                        |                                |               |
|              | State Legislative Office                  | \$600                          | \$625         |
|              | Other State Office                        | \$1,200                        | \$1,250       |
| .640(3)      | Contribution Limits—                      |                                |               |
|              | Contributions made by political parties   |                                |               |
|              | and caucus committees                     |                                |               |
|              | State parties and caucus committees       | .60 per voter                  | .64 per voter |
|              | County and leg. district parties          | .30 per voter                  | .32 per voter |
|              | Limit for all county and leg. district    | 20                             | 22            |
|              | parties to a candidate                    | .30 per voter                  | .32 per voter |

| Code Section | Subject Matter                                | Amount Enacted or Last Revised | 2001 Revision |
|--------------|---|--------------------------------|---------------|
| .640(4)      | Contribution Limits—                          |                                |               |
|              | Contributions made by pol. parties and car    | acus                           |               |
|              | committees to state official up for recall of | r                              |               |
|              | committee supporting recall                   |                                |               |
|              | State parties and caucuses                    | .60 per voter                  | .64 per voter |
|              | County and leg. district parties              | .30 per voter                  | .32 per voter |
|              | Limit for all county and leg. district partie | S                              |               |
|              | to state official up for recall or pol. comm  |                                |               |
|              | supporting recall                             | .30 per voter                  | .32 per voter |
| .640 (6)     | Limits on contributions to political parties  | -                              | -             |
|              | and caucus committees                         |                                |               |
|              | To caucus committee                           | \$600                          | \$625         |
|              | To political party                            | \$3,000                        | \$3,200       |
| .740         | Contribution must be made by                  |                                |               |
|              | written instrument                            | \$55                           | \$60          |

[Statutory Authority: RCW 42.17.370 and 42.17.690. 01-22-050, § 390-05-400, filed 10/31/01, effective 1/1/02. Statutory Authority: RCW 42.17.370(1). 00-04-058, § 390-05-400, filed 1/28/00, effective 3/1/00. Statutory Authority: RCW 42.17.690. 98-08-069, § 390-05-400, filed 3/30/98, effective 5/1/98; 96-04-021, § 390-05-400, filed 1/30/96, effective 3/1/96.]

390-16-190

#### Chapter 390-16 WAC

FORMS FOR CAMPAIGN FINANCING REPORTING—CONTRIBUTIONS

Electronic filing. [Statutory Authority: RCW 42.17.080(7). 96-04-020, § 390-16-190, filed 1/30/96, effective 3/1/96.] Repealed by 02-01-016, filed 12/7/01, effective 1/7/02. Statutory Authority: RCW 42.17.370(1).

| WAC        |  |
|------------|--|
| 390-16-011 | Forms—Registration statement for political committees.                           |
| 390-16-012 | Forms—Registration statement for candidates.                                     |
| 390-16-031 | Forms for statement of contributions deposit.                                    |
| 390-16-034 | Additional reporting requirements.   |
| 390-16-041 | Forms—Summary of total contributions and expendi-                                |
|            | tures.   |
| 390-16-071 | Annual report of major contributors and persons making independent expenditures. |
| 390-16-105 | Mini campaign reporting—Eligibility.   |
| 390-16-111 | Mini campaign reporting—Special fund raising events.                             |
| 390-16-115 | Mini campaign reporting—Conditions for granting use.                             |
| 390-16-120 | Repealed.  |
| 390-16-125 | Mini campaign reporting—Exceeding limitations.                                   |
| 390-16-150 | Repealed.  |
| 390-16-155 | Repealed.  |
| 390-16-190 | Repealed.  |

#### DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

| 390-16-120 Abbreviated campaign reporting—Times and plac filing reports C-1, C-1pc and C-4abb. [Statu       |       |
|---|-------|
| Authority: RCW 42.17.370. 90-16-083, § 390-16-<br>filed 7/31/90, effective 8/31/90; 89-20-068, § 390        |       |
| 120, filed 10/4/89, effective 11/6/89. Statutory Auity: RCW 42.17.370(1). 86-04-071 (Order 86-0             |       |
| 390-16-120, filed 2/5/86; 79-08-046 (Order 79-0   | 3), § |
| 390-16-120, filed 7/19/79; Order 91, § 390-16-120, 7/22/77; Order 62, § 390-16-120, filed 8/26/             |       |
| Repealed by 01-22-051, filed 10/31/01, effective 1/3  | 1/02. |
| Statutory Authority: RCW 42.17.370 and 42.17.69   |       |
| 390-16-150 Mini campaign reporting. [Statutory Authority: F   |       |
| 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-  |       |
| filed 2/5/86; 85-15-020 (Order 85-03), § 390-16-  |       |
| filed 7/9/85; 82-14-016 (Order 82-04), § 390-16-  |       |
| filed 6/28/82; Order 91, § 390-16-150, filed 7/22   |       |
| Repealed by 01-10-052, filed 4/26/01, effective 6/1   | 1/01. |
| Statutory Authority: RCW 42.17.370.   | _     |
| 390-16-155 Mini campaign reporting—Exceeding limitations. [utory Authority: RCW 42.17.370. 90-16-083, § 390 |       |
| 155, filed 7/31/90, effective 8/31/90, 89-20-068, §   |       |
| 16-155, filed 10/4/89, effective 11/4/89. Statu   |       |
| Authority: RCW 42.17.370(1). 86-04-071 (Order   |       |
| 01), § 390-16-155, filed 2/5/86; 82-14-016 (Order   |       |
| 04), § 390-16-155, filed 6/28/82; Order 91, § 390   |       |
| 155, filed 7/22/77.] Repealed by 01-22-051, 1   | iled  |
| 10/31/01, effective 1/1/02. Statutory Authority: R  | .CW   |
| 42.17.370 and 42.17.690.  |       |

WAC 390-16-011 Forms—Registration statement for political committees. The official form for providing the statement of organization by political committees for designating a campaign treasurer and depository and for reporting information required to qualify for mini campaign finance reporting is designated "C-1pc," revised 6/01. Copies of this form are available at the Commission Office, 711 Capitol Way, Room 206, P.O. Box 40908, Olympia, Washington 98504-0908. Any attachments shall be on 8-1/2" x 11" white paper.

| PO BOX 409<br>OLYMPIA W<br>(360) 753-11   | L WAY RM 206 PO  | itical Comn<br>gistration  | nittee   | <b>C1</b> <sub>(6//01)</sub>                                 | PC  |   |
|---|--|--|--|--|---|---|
| Committee Name (Show entire official  | name.)   |  |  | Acronym:   |   |   |
| <u> </u>  |  | <del></del>  | ···  | Telephone: (   | )   |   |
| Mailing Address   |  |  |  | 5000 (   | ١   |   |
| City  | Cour   | nty  | Zip + 4  | Fax: (   |   |   |
| NEW OR AMENDED REGISTRATION   | ?  | COMMITTEE STATU  | S  | E-mail:  |   |   |
| NEW. Complete entire form.  AMENDS previous report. Complete  | ele entire form.   |  | oing; not established in anticij<br>n year only. Date of general     |  |   | )   |
| What is the purpose or description of   | the committee?   |  | ,,,/   |  |   |   |
| ☐ Bona Fide Political Party Commit or specify here the names of the ca  |  | nty central committee or leg   | islative district committee. If                                      | you are not supp   | orting the entire party tick  | ket, attach a list                        |
| Ballot Committee - Initiative, Bond   | , Levy, Recall, etc. Nam   | e or description of ballot me  | easure:  |  | Ballot Number   | FOR AGAINST                               |
| Other Political Committee - PAC, name:  | caucus committee, polit  | ical club, etc. If committee i   | s related or affiliated with a b                                     | usiness, associat  | ion, union or similar entit   | y, specify                                |
| 2. Related or affiliated committees. Lis 3. How much do you plan to spend duribelow. (If your committee status if no box is checked you are obi  MINI REPORTING Mini Reporting is selected. Ithan \$300 in the aggregate. | ng this entire election ca<br>s continuing, estimate s<br>igated to use Full Repo<br>No more than \$3,500 will | mpaign, including the prima<br>pending on a calendar year<br>orting. See instruction man<br>be raised or spent <u>and</u> no n | pasis.)  nuals for information about  FULL REPO  nore  Full Reportin | t reports require  | nate, choose one of the rid<br>d and changing reportion<br>ne frequent, detailed cam                        | ng options.                               |
| 4. Campaign Manager's or Media Conta  | act's Name and Address   |  |  |  | Telephone Number:   |   |
|   |  |  |  |  | ( )   |   |
| 5. Treasurer's Name and Address (List   | deputy treasurers on at  | ached sheet.)  | Continued on atta  | ached sheet  | Daytime Telephone Nur   | nber:                                     |
|   |  |  |  |  | ( )   |   |
| 6. Committee Officers. List name, title,  | and address. Continue  | on attached sheet if necess  | ary. See reverse for definitio                                       | n of "officer,"  | ☐ Continued   | d on attached sheet                       |
| 7. Campaign Bank or Depository  |  |  | Branch   |  | City  |   |
| Campaign books must be open to the<br>between 8 a.m. and 8 p.m.; if the eigi<br>appointment between 8 a.m. and 8 p<br>Street Address, Room  | nth day is a legal holiday<br>m. Specify location and  | - two consecutive hours on   | the seventh day between 8  | a.m. and 8 p.m.;<br>box or an out-of                         | and (b) on the other weel   | kdays by                                  |
| In order to make an appointment,  |  |  | )  | Contification  | neif, that this statement   | ie truo nometate                          |
| 9. Eligibility to Give to State Office Cacontribution to a state office candidat \$10 or more from at least ten person:  A check here indicates your aw Absence of a check mark mear candidates (legislative and state)   | e, your committee must<br>s registered to vote in W<br>areness of and pledge to<br>as your committee does      | nave received contributions<br>ashington State.<br>o comply with this provision.<br>not qualify to give to state of            | of and correct to th<br>Committee Tr                                 | Gertification. To<br>the best of my know<br>reasurer's Signa |   | Date                                      |
| Need campaign finance forms and Please check one of the following bo:  I already have forms and instructions forms and instructions f   | kes.<br>tions.   |  | Public Disclosure Commissine proper forms and instruction            | on to Cons. C  | Distribution of This Repor<br>PRIGINAL – Public Disclo<br>POPY – County Elections<br>POPY – Your own record | osure Commission<br>office (Auditor)<br>s |



Please consult PDC instruction manuals when completing this report.

Reporting requirements are contained in and governed by RCW 42.17 and WAC 390.

#### Who Must File

Persons, committees, organizations or groups that receive contributions or make expenditures in support of or opposition to: candidates in jurisdictions of 5,000 or more registered voters as of the last general election; statewide ballot issues; or local ballot issues in jurisdictions with 1,000 or more registered voters as of the last general election.

#### When To File

Within 2 weeks of organizing a committee or first expecting to receive contributions or make expenditures, whichever occurs first. (Committees that organize within three weeks of an election must file within three business days of forming or of expecting to receive contributions or make expenditures.)

File an amended C-1pc form within 10 calendar days of any material change to the registration information furnished previously. For single election-year only committees, a material change includes providing or modifying the list of candidates the committee is supporting or opposing.

Continuing political committees using Mini Reporting must also file a C-1pc annually in January. Reports are considered filed as of the postmark date or date hand-delivered to PDC.

#### Where To File

Send the **original to PDC** at the above address. Send a **copy to County Auditor** (county elections office) of the county in which the committee headquarters is located. If there is no headquarters, send to the County Auditor of the county in which the treasurer resides. Keep a copy as part of the committee's records.

### "Officer" of a Political Committee - Definition

Officer of a political committee includes the following persons:

- the treasurer,
- any person designated as an officer on the C-1pc registration statement, and
- any person who alone or in conjunction with other persons makes contribution, expenditure, strategic or policy decisions on behalf of the committee. (WAC 390-05-245)

Contact PDC or County Elections Office for Instruction Manuals and Reporting Forms or look under the "Filer Assistance" menu category on PDC's Web Site: www.pdc.wa.gov

[Statutory Authority: RCW 42.17.370. 01-10-049, § 390-16-011, filed 4/26/01, effective 6/1/01. Statutory Authority: RCW 42.17.370(1). 00-22-050, § 390-16-011, filed 10/27/00, effective 11/27/00; 99-22-083, § 390-16-011, filed 11/2/99, effective 12/3/99. Statutory Authority: RCW 42.17.390. 94-05-011, § 390-16-011, filed 2/3/94, effective 3/6/94. Statutory Authority: RCW 42.17.370. 93-15-004, § 390-16-011, filed 7/7/93, effective 8/7/93; 92-18-002, § 390-16-011, filed 8/20/92, effective 9/20/92; 91-22-033, § 390-16-011, filed 10/30/91, effective 11/30/91; 89-20-068, § 390-16-011, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-011, filed 2/5/86; 82-11-026 (Order 82-03), § 390-16-011, filed 5/10/82; 82-02-007 (Order 81-04), § 390-16-011, filed 12/28/81; Order 91, § 390-16-011, filed 7/22/77; Order 62, § 390-16-011, filed 8/26/75; Order 60, § 390-16-011, filed 7/16/75.]

WAC 390-16-012 Forms—Registration statement for candidates. The official form for providing the statement of organization by candidates and candidate's committees, for designating a campaign treasurer and depository and for reporting information required to qualify for mini campaign finance reporting is designated "C-1," revised 6/01. Copies of this form are available at the Commission Office, 711 Capitol Way, Room 206, P.O. Box 40908, Olympia, Washington, 98504-0908. Any attachments shall be on 8-1/2" x 11" white paper.

| PUBLIC DISCLOS   | URE COMMISSION 711 CAPITOL WAY RM 208 PO 80X 49808 OLYMPIA WA 98504-9908 (380) 783-1111 Toll Free 1-877-801-2828 | Candid<br>Registi   |   |               | <b>C1</b> (6/01) |   |
|--|--|---|---|---------------|------------------|---|
| Candidate's Name (Give car   | ndidate's full name.)  |   |   |               |                  | Telephone Numbers   |
| Candidate's Committee Nam  | e (Do not abbreviate.)   |   |   |               |                  | ( )   |
| Mailing Address  |  |   | <del> </del>  |               |                  | Fax Number  |
| City   | · · · · · · · · · · · · · · · · · · ·  | County  |   | Zip + 4       |                  | E-Mail Address  |
| What office are you running  | ng for?  | Legislative   | District, County or City  |               | Position No.     | Do you now hold this office?  Yes No  |
| 2. Political party (if partisan o  | office)  |   |   | 3. Date of g  | eneral or speci  | al election   |
|  | elow. If no box is checked yo  |   |   |               |                  | ased on that estimate, choose one of<br>or information about reports required   |
| and local voters   | REPORTING: In addition to my to<br>pamphlets. I will not accept more<br>REPORTING: I will use the Full           | e than \$300 in the agg   | regate from any contributor   | except mysell |                  | g any charges for inclusion in state<br>y law.  |
| 5. Treasurer's Name and Ad   | dress. Candidate may be treasu   | rer. List deputy treasu   | urers on attached sheet.  | Continued on  | altached sheet   | Daytime Telephone Number  |
|  |  |   |   |               |                  | ( )   |
|  |  |   |   |               | 1                |   |
| 7. Campaign Bank or Depos  | itory  |   | Branch  |               |                  | City  |
| 8. Related or Affiliated Politic   | eal Committees. List name, addr  | ess and relationship.   | <u> </u>  |               |                  |   |
| Continued on attached sheet  9. Campaign books must be open to the public, except on a weekend or legal holiday, during the eight days before the election: (a) on the eighth day for two consecutive hours between 8 a.m. and 8 p.m.; if the eighth day is a legal holiday – two consecutive hours on the seventh day between 8 a.m. and 8 p.m.; and (b) on the other weekdays, by appointment between 8 a.m. and 8 p.m. Specify location and hours below. It is not acceptable to provide a post office box or an out-of-area address.  Street Address, Room Number, City  Hours [Two consecutive hours; see 9(a)] |  |   |   |               |                  |   |
| 10. CERTIFICATION:   | ntment, contact the campaign at  |   |   |               |                  | 100 100 100 100 100 100 100 100 100 100   |
| I certify that this report is true, complete and correct to the best of my knowledge.  Candidate's Signature  Date   |  |   |   |               |                  |   |
|  |  | \$  | Marin Contract por  |               | <u> </u>         | · · · · · · · · · · · · · · · · · · ·   |
| Statement (F-1) unless a d  I already have final  I am using Mini Re filed my Financial /  | =  | ith PDC. Check all b<br>sure forms and instruc<br>ed the other campaign<br>iditional F-1 forms. | oxes that apply.  Itions.  disclosure forms. In additions  s forms) |               | ady CC           | stribution of This Report:  RIGINAL — Public Disclosure Commission  DPY — County Elections Office (Auditor)  DPY — Your own records  te: City candidates contact City Clerk to  e if local filing is required.) |

SEE INSTRUCTIONS ON REVERSE



Please consult PDC instruction manuals when completing this report.

Reporting requirements are contained in and governed by RCW 42.17 and WAC 390.

#### Who Must File

Candidates who seek

- state office (legislative or statewide executive),
- a state supreme court or state court of appeals position.
- local office in jurisdictions having 5,000 or more registered voters as of the last general election or in jurisdictions covering an entire county.

#### When To File

Within 2 weeks of becoming a candidate. A person becomes a candidate for PDC purposes when he or she first does any of the following:

- receives contributions, makes expenditures, or reserves space or facilities with intent to promote his or her candidacy;
- purchases commercial advertising space or broadcast time to promote his or her candidacy;
- authorizes another person to take one of these above actions on his or her behalf;
- announces publicly that he or she is seeking office; or
- files a declaration of candidacy with the appropriate elections official.

File an amended registration within 10 days of a material change to information provided on previously filed C-1. Reports are considered filed as of the postmark date or date hand-delivered to PDC.

#### Where To File

Send the original to PDC at the above address. Send a copy to County Auditor (county elections office) of the county in which the candidate resides. Candidates for city offices are advised to contact their City Clerk to learn if local filing is required by local ordinance. Keep a copy as part of the campaign's records.

## "Officer" of a Candidate's Committee – Definition

Officer of a candidate's authorized committee or officer of a candidate's committee includes the following persons:

- · the treasurer,
- any person designated as an officer on the C-1 registration statement,
   and
- any person who alone or in conjunction with other persons makes contribution, expenditure, strategic or policy decisions on behalf of the committee. (WAC 390-05-245)

Contact PDC or County Elections Office for Instruction Manuals and Reporting Forms or look under the "Filer Assistance" menu category on PDC's Web Site: www.pdc.wa.gov

[Statutory Authority: RCW 42.17.370.01-10-054, § 390-16-012, filed 4/26/01, effective 6/1/01. Statutory Authority: RCW 42.17.370(1). 00-22-051, § 390-16-012, filed 10/27/00, effective 11/27/00; 99-22-084, § 390-16-012, filed 11/2/99, effective 12/3/99. Statutory Authority: RCW 42.17.390. 94-05-011, § 390-16-012, filed 2/3/94, effective 3/6/94. Statutory Authority: RCW 42.17.370. 93-15-004, § 390-16-012, filed 7/7/93, effective 8/7/93; 92-18-002, § 390-16-012, filed 8/20/92, effective 9/20/92; 89-20-068, § 390-16-012, filed 10/4/89, effective 11/4/89.]

WAC 390-16-031 Forms for statement of contributions deposit. The official form for statement of contributions deposit is designated "C-3," revised 1/02. Copies of this form are available at the Commission Office, Room 206, Evergreen Plaza Building, Olympia, Washington 98504. Any paper attachments shall be on 8-1/2" x 11" white paper.

| PUBLIC   | DISCLOSURE COMMISSION 711 CAPITOL WAY RM 206 PO BOX 40908 OLYMPIA WA 90504-0908 (360) 753-1111 TOLL FREE 1-877-601-2828 | MON         | I RECE<br>ETARY<br>TRIBUT                                 |  |   | (1/02)      | 3            | Т  | HIS SPACE FOR OFFICE USE  |
|--|---|-------------|---|--|---|-------------|--------------|--|---|
| Candidate  | or Committee Name (Do not abbreviate. Us  | e full nam  | e.)   |  |   |             |              |  |   |
| Mailing Ad   | dress   |             |   |  |   |             | <del>-</del> | -  |   |
| City   | Zij   | p + 4       |   | Office Sought (cand  | lidates                                 | 5)          |              | Election   | on Date   |
| 1. MONET   | ARY CONTRIBUTIONS DEPOSITED IN AC   | COUNT       |   |  |   |             |              |  |   |
| Date<br>Received   |   |             |   |  |   |             |              | Amount   | Total   |
|  | a. Anonymous  |             |   |  |   |             | \$           |  | \$  |
| Carlotter - Second Seco | b. Candidate's personal funds deposited   | l in the ba | nk (include ca  | andidate loans in 1c)  |   |             |              | and the second s |   |
|  | c. Loans, notes, security agreements. A   |             |   |  |   |             |              |  | Annual control of the life page and a supplication of the land of |
| the designation of the same of the same of   |   |             |   |  |   |             |              |  |   |
|  | d. Miscellaneous receipts (interest, refur  |             |   |  |   |             |              |  |   |
| 2. CONTR   | e. Small contributions \$25.00 or less not<br>BUTIONS OVER \$25.00  | titemized   | and number  | of persons giving(   | perso                                   | ns)         | -            |  |   |
| Date<br>Received   | Contributor's Name, Address, City, Sta  | te, Zip     |   | ons of more than \$100:*<br>'s Name, City and State  | P<br>R<br>I                             | G<br>E<br>N |              | Amount   | Aggregate* Total  |
|  |   |             |   |  |   | <u> </u>    |              |  |   |
|  |   |             |   |  |   |             | \$           |  | \$  |
|  |   |             | Occupation  |  | *************************************** |             | 1            |  |   |
|  |   |             |   |  |   |             |              |  |   |
|  |   |             |   |  |   |             | \$           |  | \$  |
|  |   |             |   |  |   |             |              |  |   |
|  |   |             | Occupation  |  | T                                       | _           |              |  |   |
|  |   |             |   |  | <u> </u>                                | L           |              |  |   |
|  |   |             | ela la mente del seguinto de Promocio de la mente de Prim |  |   | **********  | \$           |  | Þ   |
|  |   |             | Occupation  |  |   | r           | ļ            |  |   |
|  |   |             |   |  |   |             |              |  |   |
|  |   |             |   |  |   |             | \$           |  | \$  |
|  |   |             | Occupation  |  |   |             |              |  |   |
|  |   |             |   |  |   |             |              |  |   |
|  |   |             |   |  |   |             | \$           |  | <br> \$   |
|  |   | -           |   | en ppa maj di Apanton Marina, kijaan Harina (1879) Maka kada (1886-1885) Marina (1886-1885) Marina (1886-1885) |   |             |              |  |   |
|  |   |             | Occupation  |  | Sub-te                                  |             |              |  |   |
|  | ☐ Check here if additional  |             |   | Amo  | unt fr                                  | om          |              |  |   |
|  | pages are attached  FUNDS RECEIVED AND DEPOSITED OR C  arts 1 and 2 above. Enter this amount in line                    |             |   | attache<br>NT  | ed pa                                   | ges         |              |  | *See reverse for<br>details.  |
| 4. Date of I   |   | i, odieti   | als A to C4.  | I certify that this report is t  | rue an                                  | d cor       | nplet        | e to the best  | I<br>of my knowledge  |
|  |   |             |   | Treasurer's Signature  |   |             |              |  | Date  |
|  | ,   |             |   |  |   |             |              |  |   |
| Treasurer's  | : Daytime Telephone No.: ( )  | -,          |   |  |   |             |              |  |   |

## Page 2

Reporting requirements are contained in and governed by chapters 42.17 RCW and 390-16 WAC.

Consult PDC instruction manuals when completing this report.

#### CONTRIBUTIONS OF MORE THAN \$100

When an <u>individual</u> gives the campaign <u>more than \$100</u> in the aggregate, that person's employer must be identified by name, city, state and the person's occupation must also be disclosed. Once an individual gives more than \$100, occupation and employer information will appear on every report showing additional contributions from the individual.

<u>For all candidates</u> – when an individual gives more than \$100 in the aggregate from the beginning of the campaign, show occupation and employer information.

<u>For Single Election Political Committees (e.g., ballot issue committees)</u> – when an individual gives more than \$100 in the aggregate from the beginning of the campaign, show occupation and employer.

<u>For Continuing Political Committees (e.g., party committees & PACs)</u> – when an individual gives more than\$100 in the aggregate from the beginning of the calendar year, show occupation and employer.

#### PRIMARY/GENERAL ELECTION

<u>Candidates for legislative or state executive office</u> must specify in Part 2 of the C-3 form whether a contribution is designated for the primary or the general election. If a contribution is for the primary election, put a "X" in the PRI box; if it counts toward the contributor's general election limit, put an "X" in the GEN box. If one check is used to make both a primary and a general election contribution, use two separate contributor blocks — one each for the primary and general donations. See instruction manual for example.

<u>Local and judicial office candidates, political committees and continuing political committees</u> – primary and general election designations not required; disregard these boxes.

#### AGGREGATE TOTAL

The total put in the Aggregate Total column for each contributor will depend on who is filing the report. See below.

<u>Legislative or State Executive Candidates</u>: Show the total given for each election. If the contributor is giving a primary election contribution, the Aggregate Total figure is the total of that person's primary election contributions. If the GEN box is checked, the Aggregate Total is the contributor's general election total. (Only your campaign records and PDC's computer records will keep track of the grand total for both elections.)

Local and Judicial Candidates: Show the total given since the beginning of the campaign.

<u>Political Committees Organized for One Election Only</u>: Show the total given since the beginning of the campaign.

<u>Continuing Political Committees</u>: Show the total given since the beginning of the calendar year.

#### RECEIPTS CONTINUATION SHEET (Attachment to C-3 Form)

|                |   |   |             |  | Page   |                     |
|----------------|---|---|-------------|--|--|---------------------|
| Candidate or C | ommittee Name (Do not abbreviate. Use full name.) |   |             |  | Deposit  | Date                |
|                | TOUG OVER AGE OF                                  |   |             | 1                                      | 1  |                     |
| 2. CONTRIBUT   | IONS OVER \$25.00                                 |   | P           | G                                      |  |                     |
| Date Received  | Contributor's Name, Address, City, State, Zip     | Contributions of more than \$100:*<br>Employer's Name, City and State | R           | E<br>N                                 | Amount   | Aggregate<br>Total* |
|                |   |   | L           | <u> </u>                               | -  |                     |
|                |   |   |             |  | \$   | \$                  |
|                |   | Occupation  |             |  |  |                     |
|                |   |   | T           |  |  |                     |
|                |   |   | L           |  |  |                     |
|                | *   |   |             |  |  |                     |
|                |   | Occupation  | <del></del> |  | -  |                     |
|                |   |   |             |  | -  |                     |
|                |   |   |             |  |  |                     |
|                |   | Occupation  |             |  |  |                     |
|                |   |   |             |  |  | <u></u>             |
|                |   |   |             | <u> </u>                               |  |                     |
|                |   |   |             |  |  |                     |
|                | · · · · · · · · · · · · · · · · · · ·             | Occupation  |             | <del></del>                            |  |                     |
|                |   |   | L           |  |  |                     |
|                |   |   |             |  |  |                     |
|                |   | Occupation  |             |  | Į.   |                     |
| ***            |   | Occupation  | T           | T                                      |  |                     |
|                |   |   | L           | ــــــــــــــــــــــــــــــــــــــ |  |                     |
|                |   |   |             |  |  |                     |
|                |   | Occupation  | <del></del> | ,                                      |  |                     |
| ,              |   |   |             |  |  |                     |
|                |   |   |             |  |  |                     |
|                |   | Convertion  |             |  |  |                     |
|                |   | Occupation  | T           | Π                                      |  |                     |
|                |   |   |             | L                                      | 1  |                     |
|                |   |   |             |  |  |                     |
|                |   | Occupation  |             |  |  |                     |
|                |   |   |             |  |  |                     |
|                |   |   |             |  |  |                     |
|                |   |   |             |  |  |                     |
|                |   | Occupation  | <del></del> | 1                                      | <del>                                     </del> | <del></del>         |
|                |   | ·   |             | <u> </u>                               |  |                     |
|                |   |   |             |  |  |                     |
|                |   | Occupation  |             |  |  |                     |
| -              |   |   |             |  |  |                     |
|                |   |   |             |  |  |                     |
|                |   |   |             |  |  |                     |
|                | <u></u>   | Occupation  |             |  | 1  |                     |

[Statutory Authority: RCW 42.17.370(1). 02-01-014, § 390-16-031, filed 12/7/01, effective 1/7/02. Statutory Authority: RCW 42.17.390. 94-05-011, § 390-16-031, filed 2/3/94 effective 3/6/94. Statutory Authority: RCW 42.17.370. 93-09-002, § 390-16-031, filed 4/8/93, effective 5/9/93; 89-20-068, § 390-16-031, filed 10/4/89, effective 11/5/89. Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-031, filed 2/5/86; 84-05-018 (Order 84-01), § 390-16-031, filed 2/10/84; 82-11-026 (Order 82-03), § 390-16-031, filed 5/10/82; 82-02-007 (Order 81-04), § 390-16-031, filed 12/28/81; 81-14-038 (Order 81-02), § 390-16-031, filed 6/29/81; Order 62, § 390-16-031, filed 8/26/75; Order 60, § 390-16-031, filed 7/16/75.]

WAC 390-16-034 Additional reporting requirements. Pursuant to RCW 42.17.090, each report required under RCW 42.17.080 shall disclose, in addition to the name and address of each person who has made one or more contributions in the aggregate amount of more than one hundred dollars, the occupation and the name and address of the person's employer.

Page Total

[Statutory Authority: RCW 42.17.370(1). 02-01-014, § 390-16-034, filed 12/7/01, effective 1/7/02; 96-05-001, § 390-16-034, filed 2/7/96, effective 3/9/96. Statutory Authority: RCW 42.17.370. 93-24-003, § 390-16-034, filed 11/18/93, effective 12/19/93.]

WAC 390-16-041 Forms—Summary of total contributions and expenditures. (1) The official form for reports of contributions and expenditures by candidates and political committees who use the "full" reporting option is designated "C-4," revised 1/02, and includes Schedule A, revised 1/193, Schedule B, revised 1/02, Schedule C, revised 3/93, and Schedule L, revised 1/02.

(2) Copies of these forms are available at the Commission Office, 711 Capitol Way, Room 206, P.O. Box 40908, Olympia, Washington 98504-0908. Any paper attachments shall be on 8-1/2" x 11" white paper.

|  | PSURE COMMISSION<br>711 CAPITOL WAY RM 206<br>PO BOX 40908<br>OLYMPIA WA 98504-0908<br>(360) 753-1111<br>TOLL FREE 1-877-601-2828 | RECEIPTS                                       | CAMPAIGN SUMMARY<br>RECEIPTS & EXPENDITURES       |   |                | PDC           | OFFICE USE                            |
|--|---|--|---|---|----------------|---------------|---------------------------------------|
| Candidate or Committ                   | ee Name (Do not abbreviate. Ir  | iclude full name)                              |   |   |                |               |                                       |
| Mailing Address                        |   |  |   | City  |                |               |                                       |
| Zip + 4                                | Office Sought (Candidates)  |  | Election Date                                     | *For PACs, Part                                   |                |               |                                       |
| Report<br>Period<br>Covered            | From (last C-4) To  | (end of period)                                | Final Report?  Yes \( \simega \) No \( \simega \) | expenditure (i.e., contribution) suppo candidate? | an expense r   | not considere | d a                                   |
| RECEIPTS                               |   |  |   | *See reverse                                      |                | Yes 🗌         | No 🗌                                  |
| Previous total ca<br>(if beginning a n | ash and in kind contributions (Fr<br>ew campaign or calendar year,  | om line 8, last C-4)<br>see instruction bookle | et)   |   | \$             | 6             | 0                                     |
| 2. Cash received (                     | From line 2, Schedule A)  |  |   | ···· \$   |                |               |                                       |
| 3. In kind contribut                   | ions received (From line 1, Sch   | edule B)                                       |   |   |                |               |                                       |
|  | n kind contributions received thi   |  |   |   |                |               |                                       |
| 5. Loan principal re                   | epayments made (From line 2, S  | Schedule L)                                    |   | (   | , –            |               |                                       |
|  | om line 1 or 3, Schedule C)   |  |   |   |                |               |                                       |
|  | this period (Combine line 5 & 6   |  |   | •   |                |               |                                       |
|  | n kind contributions during cam   |  |   |   |                |               |                                       |
|  |   |  | •   |   |                |               | · · · · · · · · · · · · · · · · · · · |
|  | ments due (From line 2, Sched   | ule B)   |   |   |                | ,             |                                       |
| 10. Brovious total or                  | ash and in kind expenditures (Fr  | om line 17 last C (1)                          |   |   |                |               |                                       |
| (If beginning a n                      | ew campaign or calendar year,   | see instruction bookle                         | et)   | ••••••••  |                |               |                                       |
| 11. Total cash expe                    | nditures (From line 4, Schedule   | A)   |   | ••••  |                |               |                                       |
| 12. In kind expenditu                  | ures (goods & services) (From li  | ne 1, Schedule B)                              |   |   |                |               |                                       |
| 13. Total cash and i                   | n kind expenditures made this p   | eriod (Line 11 plus lin                        | e 12)   |   | <u> </u>       |               |                                       |
| 14. Loan principal re                  | epayments made (From line 2, S  | Schedule L)                                    |   | (   | )_             |               |                                       |
| 15. Corrections (Fro                   | m line 2 or 3, Schedule C)  |  | Show + or   | (-)   |                |               |                                       |
| 16. Net adjustments                    | this period (Combine lines 14 8   | k 15)  |   | Show  | + or (-)       |               |                                       |
| 17. Total cash and i                   | n kind expenditures during cam  | paign (Combine lines                           | 10, 13 and 16)                                    | ***********                                       |                |               |                                       |
| CANDIDATES ONLY                        |   | CASH SUMMARY                                   |   |   |                |               |                                       |
| Won                                    | Name not<br>Lost Unopposed on ballot  | 18. Cash on hand                               | (Line 8 minus line                                | 17)   |                |               |                                       |
| Primary election                       |   | (Line 18 should equa                           | al your bank account bala                         | ance(s) plus your petly cash                      | balance.]      |               |                                       |
| General election  Treasurer's Daytime  | Telephone No.:  | 19. Liabilities: (Su                           | ım of loans and de                                | bts owed)   |                | (             | )                                     |
| ( )                                    | Total Priority (1911)   | 20. Balance (Surp                              | lus or deficit) (Line                             | 18 minus line 19)                                 | ······         |               |                                       |
|  | rtify that the information herein and o   |  |   |   | est of my know |               |                                       |
| Candidate's Signature                  | Dat   | e T  | reasurer's Signatur                               | re .  |                |               | Date                                  |
|  |   |  |   |   | SEE INIST      | BUCTIONS (    | N REVERSE                             |

[2002 WAC Supp-page 2057]

| Page 2 | <b>C4</b> | CAMPAIGN SUMMARY<br>RECEIPTS &<br>EXPENDITURES |
|--------|-----------|--|
|        | (1/02)    | k -  |

Please consult PDC instruction manuals when completing this report.

Reporting requirements are contained in and governed by RCW 42.17 and WAC 390.

#### WHO MUST FILE

Each candidate and political committee using Full Reporting.

#### **FILING DATES**

- File with C-1 or C-1pc (Registration form) if you received contributions or made expenditures before registering.
- File on the 10th of each month if contributions or expenditures are over \$200 since last C-4
  was filed. (These 10th-of-the-month reports are not required if another C-4 must be filed
  during that month. See #3 below.)
- For each primary, general and special election in which the candidate or political committee makes an expenditure, file
  - 21 days prior to the election
  - 7 days prior to the election
  - 10th of the first month after the election -- see note below

(Note: Not required after primary election from candidates who will be in the general election or from continuing political committees.)

4) <u>File final report</u> when campaign is finished or committee closes operation. Often, this coincides with the primary or general post-election, 10th-of-the-month report.

All reports are considered filed as of the postmark date or the date hand-delivered to PDC.

#### WHERE TO SEND REPORTS

Send original C-4 reports, along with all schedules and attachments, to PDC. Candidates send a duplicate copy to their County Auditor (County Elections Department). Political committees send a copy to County Auditor of the county in which their headquarters is located or, if no headquarters, the county in which their treasurer resides.

Candidates for city offices, city ballot issue committees and other political committees who give to city candidates or ballot issue committees should check with city clerk regarding any local filing requirements.

#### \*FOR ALL PACS, POLITICAL PARTIES & CAUCUS POLITI-CAL COMMITTEES

The question posted near the top of the first page of this form regarding independent expenditures applies to ALL POLITICAL COMMITTEES required to file C-4 reports, except ballot issue committees that neither contribute to candidates nor make independent expenditures regarding them and candidate committees (because they are prohibited from making expenditures that are not directly related to their own campaigns).

All other Political Committees and PACs must indicate whether they made any independent expenditures supporting or opposing one or more candidates for state or local office.

If the response is "yes," the independent expenditure(s) MUST be itemized on the appropriate schedule (either Schedule A, or Part 3 of Schedule B), showing:

- · the date of the expense;
- the name and address of the vendor or recipient of the funds;
- if using Schedule A, an "i" in the Code column;
- the name and office sought of the candidate supported or opposed;
- an indication of support or opposition; and
- a brief description of the expense (e.g., brochure mailed to absentee voters).

| CACH | RECEIPTS | AMD | EXPENDITURE |
|------|----------|-----|-------------|
| UMON | neveirið |     | EAPERDIIUME |

PDC form C4A (11/93) \*\* f

| SCHEDULE | Λ       |
|----------|---------|
| to C4    | (11/93) |

|   | previate. Use full name.)  |  |  |  |  |  |
|---|--|--|--|--|--|--|
| . CASH RECEIPTS (Contributions  | ) which have been reported on C3. I  | ist each deposit made  | e since last C4                                  | report was submitted.  |  | The state of the s |
| Date of deposit   | Amount Date of deposit   | •  | Amount   | Date of deposit  | Amo                                      | unt Total deposits   |
|   |  |  | ,  |  |  |  |
|   |  |  |  |  |  |  |
| . TOTAL CASH RECEIPTS   |  |  |  |  | Fater also B 0                           |  |
| CODES FOR CLASSIFYING   | The state of the s |  |  |  | Enter also on line 2                     |  |
| tee, identify the candida<br>2) When reporting payments<br>3) If expenditures are made<br>tion, use code "V" and page 12. | tind or earmarked contributions ate or committee in the Descripints to vendors for travel expens de directly or indirectly to compprovide the following information and period, and cumulative total   | tion block;<br>les, identify the trav<br>ensate a person or<br>n on an attached sh | velier and tra<br>entity for sol<br>neet: name a | vel purpose in the Desc<br>iciting signatures on a s<br>nd address of each per | ription block; and tatewide initiative o | r referendum peti-   |
|   | C - Contributions (m   | onetary, in-kind & tr  | ransfers)  | P - Postage  | , Mailing Permits                        |  |
|   | I - Independent Exp  | enditures  | ,  | S - Surveys  | and Polls                                |  |
| CODE<br>DEFINITIONS   | L - Literature, Broch<br>B - Broadcast Adver   |  |  |  | ing Event Expense<br>ccommodations, M    |  |
| ON REVERSE  | N - Newspaper and  | •  | ina  |  | ment/Consulting S                        |  |
|   | O - Other Advertising  | g (yard signs, butto   |  | W - Wages,   | Salaries, Benefits                       |  |
| 3. EXPENDITURES   | V - Voter Signature (  | Sathering  |  | G - General  | Operation and Ove                        | rhead  |
| 4   - A-  |  | Triilli, dovertising   | agency or cr                                     | ecit card company, and   | an a list of detailed e                  | expenses or copies   |
| , , , , , , , , , , , , , , , , , , ,   | upporting the payment.<br>Vendor or Reciplent<br>Name and Address)   | Code   | agency or cr                                     | Purpose of Expense<br>and/or Description                                       |  | Amount   |
| Date Paid (I  |  |  | agency or cr                                     | Purpose of Expense   |  |  |
| Date Paid (I  | Vendor or Recipient<br>Name and Address)   | Code   | agency or cr                                     | Purpose of Expense and/or Description  |  |  |
| Date Paid (I  | Vendor or Recipient<br>Name and Address)   | Code   | agency or cr                                     | Purpose of Expense and/or Description  |  |  |
| Date Paid (I  | Vendor or Recipient<br>Name and Address)   | Code   | agency of cr                                     | Purpose of Expense and/or Description  |  |  |
| Date Paid (I  | Vendor or Recipient<br>Name and Address)   | Code   | agency of cr                                     | Purpose of Expense and/or Description  |  |  |
| Date Paid (I  | Vendor or Recipient<br>Name and Address)   | Code   | agency of cr                                     | Purpose of Expense and/or Description  |  |  |
| Date Paid (I  | Vendor or Recipient<br>Name and Address)   | Code   | agency of cr                                     | Purpose of Expense and/or Description  |  |  |
| Date Paid (I  | Vendor or Recipient<br>Name and Address)   | Code   | agency of cr                                     | Purpose of Expense and/or Description  |  |  |
| Date Paid (I  | Vendor or Recipient<br>Name and Address)   | Code   | agency of cr                                     | Purpose of Expense and/or Description  |  |  |
| Date Paid (I  | Vendor or Recipient<br>Name and Address)   | Code   | agency of cr                                     | Purpose of Expense and/or Description N/A                                      |  | Amount   |

CODE DEFINITIONS ON REVER

#### **EXPENDITURE CODE DEFINITIONS AND USES**

(for use on Schedule A and Schedule B, Item 3)

- C MONETARY, IN-KIND AND EARMARKED CONTRIBUTIONS your campaign legally makes to other camapigns. Put a "C" in the Code column, in the Description column specify who was benefited and, if in-kind, what was purchased.
- I INDEPENDENT EXPENDITURES (those expenditures that benefit other candidates or committees but are made independently of them). Put an "I" in the Code column and fully describe purpose.
- LITERATURE. Use "L" for expenditures made for the preparation and production of campaign literature and printed solicitations, including expenditures for mailing lists, design, photography, copy, layout, printing and reproduction. Use "P" for literature mailing costs.
- B BROADCAST ADVERTISING. Use "B" for expenditures associated with the production and purchase of radio and television advertising.
- N NEWSPAPER & PERIODICAL ADVERTIS-ING. Use "N" for expenditures associated with the production and purchase of advertising in newspapers, periodicals and other publications.
- O OTHER ADVERTISING. Use "O" for expenditures associated with the production and purchase of advertising on billboards, yard signs and campaign paraphernalia such as buttons, bumper stickers, T-shirts, etc.
- V VOTER SIGNATURE GATHERING. Use "V" for expenditures made directly or indirectly to compensate a person or entity for soliciting or procuring signatures on a statewide initiative or referendum petition. Attach itemization of each such payment.
- P POSTAGE. Use "P" for expenditures for stamps, postage, United Parcel Service, Federal Express and direct mail services (postage only). Use "L" for design and other production costs associated with producing campaign literature.

- F FUNDRAISING EVENTS. Use "F" for expenditures associated with holding a fundraiser, including payments to restaurants, hotels, caterers, other food and refreshment vendors, entertainers and speakers. Use "L" for expenditures for printed matter produced in connection with fundraising events.
- S SURVEYS AND POLLS. Use "S" for expenditures associated with designing or producing polls, reports on election trends, voter surveys, telemarketing, telephone banks, GOTV drives, etc.
- TRAVEL, ACCOMMODATIONS, MEALS. Use "T" for expenditures associated with travel. If vendor has been paid directly, identify the traveller in Description column. If travel payment was made to credit card company or traveller (for out-of-pocket expenses), itemize expenses on separate sheet and attach to Schedule A.
- MANAGEMENT AND CONSULTING SER-VICES. Use "M" for salaries, fees and commissions paid to campaign management companies and contract consultants, including law firms, whether the person is retained or formally employed by the campaign (for tax withholding purposes).
- W WAGES, SALARIES, BENEFITS. Use "W" for expenditures associated with hiring campaign employees and other freelance workers who provide miscellaneous services other than campaign management or consulting.
- G GENERAL OPERATION AND OVERHEAD.

  Use "G" for general campaign operating expenses and overhead, including filing fees, miscellaneous campaign expenses, headquarters rental, utilities, and purchase or rental of office equipment and furniture for the campaign.

## IN KIND CONTRIBUTIONS, PLEDGES, ORDERS, DEBTS, OBLIGATIONS

SCHEDULE TO C4

| Candidate o                | r Committee Name (Do not abbreviate. Use full na | me.)  |                      |                    | Report Date   |
|----------------------------|--|---|----------------------|--------------------|---|
| 4 IN KIND C                | ONTRIBUTIONS RECEIVED (goods, services           | discounts etc.)   |                      |                    | · _ · _ · _   |
| Date<br>Received           | Contributor's Name and Address                   | , discounts, etc.)  Description of  Contribution                                | Fair Market<br>Value | Aggregate<br>Total | P   G   If more than \$100, Employer Name, City, State & Occup.   |
|                            |  |   |                      |                    | Occupation  |
|                            |  |   |                      |                    |   |
|                            |  |   |                      |                    | Occupation  |
|                            |  |   |                      |                    |   |
|                            |  |   |                      |                    | Occupation  |
|                            | :  | TOTAL<br>on line 3 and line 12 of<br>C4)  |                      |                    | - Company   |
| 2. PLEDGES                 | RECEIVED BUT NOT YET PAID. List each p           |   | <b>)</b> .           |                    |   |
| Date Notified<br>of Pledge | Name and Address of Pledge N                     | <i>f</i> laker  | Fair Market<br>Value | Aggregate<br>Total | P G If more than \$100,<br>Employer Name, City,<br>State & Occup. |
|                            |  |   |                      |                    |   |
|                            |  |   | -                    | <u> </u>           | Occupation  |
|                            |  |   |                      |                    |   |
|                            | Check here if additional and all other           | ude new pledges above<br>er outstanding pledges.)<br>iter also on line 9 of C4) | \$                   |                    | Occupation  |
| 3. ORDERS                  | PLACED, DEBTS, OBLIGATIONS. (Give esti           | mate if actual amount not   | known. Exclude       | loans. Report      | loans on Schedule L.)   |
| Expenditure<br>Date        | Vendor's/Recipient's Name and A                  |   | Amount Owed          |                    |   |
|                            |  |   | \$                   |                    |   |
|                            |  |   |                      |                    |   |
|                            |  |   | \$                   |                    |   |
|                            |  |   |                      |                    |   |
|                            |  |   | \$                   |                    |   |
|                            |  |   |                      |                    |   |
|                            |  |   | <b>  \$</b><br>      |                    |   |
|                            |  |   | \$                   |                    |   |
| :                          |  |   | \$                   |                    |   |
|                            | Check here if additional                         | TOTAL   |                      | <b>-</b>  .        |   |
|                            |  | Include in line 19 of C4)   | \$                   |                    |   |
|                            |  |   |                      |                    | CODE DEFINITIONS ON REVERSE                                       |

#### **EXPENDITURE CODE DEFINITIONS AND USES**

(for use on Schedule A and Schedule B, Item 3)

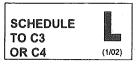
- C MONETARY, IN-KIND AND EARMARKED CONTRIBUTIONS your campaign legally makes to other campaigns. Put a "C" in the Code column, in the Description column specify who was benefited and, if in-kind, what was purchased.
- I INDEPENDENT EXPENDITURES (those expenditures that benefit other candidates or committees but are made independently of them). Put an "I" in the Code column and fully describe purpose.
- L LITERATURE. Use "L" for expenditures made for the preparation and production of campaign literature and printed solicitations, including expenditures for mailing lists, design, photography, copy, layout, printing and reproduction. Use "P" for literature mailing costs.
- B BROADCAST ADVERTISING. Use "B" for expenditures associated with the production and purchase of radio and television advertising.
- N NEWSPAPER & PERIODICAL ADVERTISING. Use "N" for expenditures associated with the production and purchase of advertising in newspapers, periodicals and other publications.
- O OTHER ADVERTISING. Use "O" for expenditures associated with the production and purchase of advertising on billboards, yard signs and campaign paraphernalia such as buttons, bumper stickers, Tshirts, etc.
- V VOTER SIGNATURE GATHERING. Use "V" for expenditures made directly or indirectly to compensate a person or entity for soliciting or pro-curing signatures on a statewide initiative or referendum petition. Attach itemization of each such payment.
- P POSTAGE. Use "P" for expenditures for stamps, postage, United Parcel Service, Federal Express and direct mail services (postage only). Use "L" for design and other production costs associated with producing campaign literature.

- F FUNDRAISING EVENTS. Use "F" for expenditures associated with holding a fundraiser, including payments to restaurants, hotels, caterers, other food and refreshment vendors, entertainers and speakers. Use "L" for expenditures for printed matter produced in connection with fundraising events.
- S SURVEYS AND POLLS. Use "S" for expenditures associated with designing or producing polls, reports on election trends, voter surveys, telemarketing, telephone banks, GOTV drives, etc.
- T TRAVEL, ACCOMMODATIONS, MEALS. Use "T" for expenditures associated with travel. If vendor has been paid directly, identify the traveler in Description column. If travel payment was made to credit card company or traveler (for out-of-pocket expenses), itemize expenses on separate sheet and attach to Schedule A.
- M MANAGEMENT AND CONSULTING SER-VICES. Use "M" for salaries, fees and commissions paid to campaign management companies and contract consultants, including law firms, whether the person is retained or formally employed by the campaign (for tax withholding purposes).
- W WAGES, SALARIES, BENEFITS. Use "W" for expenditures associated with hiring campaign employees and other freelance workers who provide miscellaneous services other than campaign management or consulting.
- G GENERAL OPERATION AND OVERHEAD. Use "G" for general campaign operating expenses and overhead, including filing fees, miscellaneous campaign expenses, headquarters rental, utilities, and purchase or rental of office equipment and furniture for the campaign.

|                                    | CORRECTIONS   |  |                  | to C4   |                          |
|------------------------------------|---|--|------------------|---|--------------------------|
| Candidate or Committee N           | ame (Do not abbreviate. Use full name.)                   | ************************************** |                  | De  | ate                      |
| 1. CONTRIBUTIONS A                 | ND RECEIPTS (Include mathematical corrections.)           |  |                  |   |                          |
| Date of report                     | Contributor's name or description of correction           |  | Amount reported  | Corrected<br>amount                           | Difference<br>(+ or -)   |
|                                    |   |  |                  |   |                          |
| ·                                  |   |  |                  |   |                          |
|                                    |   |  |                  |   |                          |
|                                    |   |  |                  | ·   |                          |
|                                    |   |  |                  |   |                          |
|                                    |   |  |                  |   | 1                        |
|                                    |   |  |                  |   |                          |
|                                    |   |  |                  | ions to contributions<br>f C4. Show + or (-). |                          |
|                                    | clude mathematical corrections.)                          | ······································ |                  |   |                          |
| Date of report                     | Vendor's 's name or description of correction             |  | Amount reported  | Corrected<br>amount                           | Difference<br>(+ or -)   |
|                                    |   |  |                  |   |                          |
|                                    |   |  |                  |   |                          |
|                                    |   | ·                                      |                  |   |                          |
|                                    |   | l                                      | Total correction | ons to expenditures                           |                          |
| 3. REFUNDS FROM V                  | ENDORS. The below listed amounts have been received as re |  |                  | of C4. Show + or (-).                         | efund has been deposited |
| and reported on C3  Date of refund | report, Line 1d.<br>Source/person makir                   |  | ·                | . ,   | Amount of refund         |
|                                    |   |  |                  |   |                          |
|                                    |   |  |                  |   |                          |
|                                    |   |  |                  |   |                          |
|                                    |   |  |                  | Total refunds                                 |                          |
| 4                                  |   | F"A                                    | ( ) 11-          | e 6 & line 15 of C4.                          | 1                        |

| LOANS                | }  |   | DULE                         | ·  |              |
|----------------------|--|---|------------------------------|--|--------------|
| See Instructi        | ons and Example on reverse   | TO C  |                              |  |              |
| Candidate o          | r Committee Name   |   |                              | Report Da  | ate          |
| 1. MONETA            | RY OR IN-KIND LOAN RECEIVED. Loans are considered  | d contributions and a                         | re subject to any applic     | able limit.  |              |
| Date<br>Loaned       | Lender's Name and Address P G R E I N  | Amount of Loan                                | . Annual Interest<br>Rate    | Repayment<br>Schedule  | Date Due     |
| <b>15</b>            | lead to the lead of the lead o |   |                              | If Total Contributed is<br>Show Lender's Occu<br>Name, City & State o    | pation and   |
| II Mone              | tary loan, also include this amount on line 1c, C3 report.  If in-kind loan, itemize in Part 1 of Schedule B.  |   |                              |  |              |
| Name and Ac          | Idress of Each Loan Endorser, Co-Signer P G R E I N  | Amount Liable For<br>(Same as Loan<br>Amount) | Aggregate Total              | If Total Contributed is<br>Show Endorser's Oct<br>Name, City, & State of | cupation and |
| ☐ Continued          | on attached sheet  |   |                              |  |              |
|                      | YMENTS. Candidates may be repaid no more than amount   | loaned or permitted                           | by WAC 390-05-400, w         | hichever is less. See  | instruction  |
| manual.<br>Date Paid | Lender's Name and Address  | Principal Paid                                | Interest Paid                | Total Payment  | Balance Owed |
|                      |  |   |                              | ŧ .  |              |
|                      |  |   |                              |  |              |
|                      |  |   |                              |  |              |
|                      | Total Principal Pald> Enter also on lines 5 and 14, C-4 report   | **************************************        |                              |  |              |
|                      | Ent  | er as an expenditure                          | Total Payments on Schedule A |  |              |
| 3. LOANS F           | ORGIVEN.   |   |                              |  |              |
| Date                 | Lender's Name and Address  | Original Amount                               | Principal Repaid             | Amount Forgiven  | Balance Owed |
|                      |  |   |                              |  |              |
| 4. LOANS S           | TILL OWED. List each loan that has previously been reported  | ed and still has a bal                        | ance due.                    | Principal Repaid   |              |
| Date                 | Lender's Name and Address  |   | Original Amount              | or Forgiven  | Amount Owed  |
|                      |  |   |                              |  |              |
|                      |  |   |                              |  |              |
|                      |  |   |                              |  |              |
|                      |  |   |                              |  |              |
|                      | <del>,</del>   |   |                              | Subtotal   |              |
|                      |  |   | New Loans Received           | -  |              |
|                      |  |   | include in total             | Total Loans Owed   |              |
|                      | ☐ Continued on attached sheet.   |   | modue in total (             | nume is on interpoli   | <del></del>  |

#### LOANS



Please consult PDC instruction manuals when completing this schedule. Reporting requirements are contained in and governed by RCW 42.17 and WAC 390.

WHO MUST FILE Each candidate and political committee using full reporting that receives one or more campaign loans.

#### **FILING DATES**

When a monetary loan is received by the campaign, complete Part 1 and file the Schedule L with the C-3 report that corresponds with the loan's deposit into the account. Use a separate schedule for each loan received.

When an in-kind loan is received, complete Part 1 and file Schedule L along with the Schedule B (to the C-4) that itemizes the in-kind contribution.

When a loan is paid or forgiven, in whole or in part, complete Part 2 and/or Part 3 and file the Schedule L with the C-4 covering the period when the payment or forgiveness occurred.

When one or more loans remain unpaid, complete Part 4 and file the schedule with each C-4 report until all loans are repaid in full or forgiven. (The same schedule may be used to show loan payments, forgiveness information and to show which loans remain unpaid.)

|                                | ⊫xamp                 | le .  |   | SCHEDULE                          |  |  |
|--------------------------------|-----------------------|---|---|-----------------------------------|--|--|
|                                | LOANS                 |   |   | TO C3<br>OR C4                    | (1/02)   |  |
|                                | Candidate o           | x Committee Name<br>ian Adams for State Representative  |   |                                   | Report Date  | e<br>/22/XXXX                              |
|                                | 1 MONETA              | RY OR IN-KIND LOAN RECEIVED. Loans are consider   | ed contributions and                          | ere cublect to any anni           | coble limit  |  |
| LOAN RECEIVED                  | Date<br>Loaned        | Lender's Name and Address P G R E   |   | Annual Interest<br>Rate           | Repayment<br>Schedule  | Date Due                                   |
| (Information would             | 2/12/XXXX             | Tyler Adams X   | \$ 500.00                                     | 12%                               | \$100/month  | Not fixed                                  |
| appear on separate Schedule L) |                       | PÓ Box 123<br>Olympia, WA   |   |                                   | If Total Contributed is a<br>Show Lender's Occupa<br>City, & State of Employ<br>Accountant; Best | more than \$100,<br>ation and Name,<br>yer |
| Ocheddie Ly                    | if mone               | tary loan, also include this amount on line 1c, C3 —> report. If in-kind loan, itemize in Part 1 of Schedule B. | \$ 500.00                                     |                                   | Firm; Tacoma, W  |  |
|                                |                       | P G   |   | T                                 | 1.02.00  |  |
|                                | Name and A            | ddress of Each Loan Endorser, Co-Signer P G R E I N   | Amount Liable For<br>(Same as Loan<br>Amount) | Aggregate Total                   | If Total Contributed is a<br>Show Endorser's Occu-<br>Name, City, & State of                     | upation and                                |
|                                | Continue              | on attached sheet   |   |                                   |  |  |
|                                | -                     | <del></del>   |   | <u> </u>                          | <u> </u>   |  |
|                                | 2. LOAN PA<br>manual. | YMENTS. Candidates may be repaid no more than amoun   | it loaned or permitted                        | by WAC 390-05-400,                | which ever is less. See i  | nstruction                                 |
| LOAN PAYMENTS                  | Date Paid             | Lender's Name and Address   | Principal Paid                                | Interest Paid                     | Total Payment  | Balance Owed                               |
|                                | 3/30/XXXX             | Tyler Adams<br>PO Box 123, Olympia, WA  | \$ 100.00                                     | \$ 10.00                          | \$ 110.00  | \$ 400.00                                  |
|                                | 3/31/XXXX             | Michael Murray<br>201 Westway Rd, Tacoma, WA  | 100.00  | \$ 0                              | 100.00   | 250,00                                     |
|                                |                       | Total Principal Paid  Enter also on lines 5 and 14, C-4 report  | \$ 200,00                                     | Z-tal Saurana                     |  |  |
|                                |                       | Er  | nter as an expenditur                         | Total Payments<br>e on Schedule A | \$ 210,00  | ]  |
|                                | 3. LOANS F            | ORGIVEN.  |   |                                   |  | -  |
| LOANS FORGIVEN                 | Date                  | Lender's Name and Address   | Original Amount                               | Principal Repaid                  | Amount Forgiven  | Balance Owed                               |
| ŕ                              | 3/15/XXXX             | Kelly Adams<br>2222 Riverfront Rd, Olympia, WA  | \$ 250.00                                     | \$ 0                              | \$ 150.00  | \$ 100.00                                  |
|                                | 4. LOANS S            | TILL OWED. List each loan that has previously been report   | ted and still has a be                        | iance due.                        |  | 1  |
| LOANS STILL OWED               | Date<br>1/22/XXXX     | Lender's Name and Address Tyler Adams PO Box 123, Olympia, WA   |   | Original Amount<br>\$ 500.00      | Principal Repald<br>or Forgiven<br>\$ 100,00   | Amount Owed<br>\$ 400,00                   |
|                                | 2/12/XXXX             | Michael Murray<br>201 Westway Rd, Tacoma, WA  |   | 350.00                            | 100.00   | 250,00                                     |
|                                | 3/01/XXXX             | Kelly Adams<br>2222 Riverfront Rd, Olympia, WA  |   | 250.00                            | 150.00   | 100.00                                     |
|                                | 3/11/XXXX             | K.M. Lawrence<br>PO Box 3456, Olympia, WA   |   | 1,000.00                          | 0  | 1,000.00                                   |
|                                |                       |   |   |                                   | Subtotal   | \$ 1,750.00                                |
|                                |                       |   | Ne  | ew Loans Received (and            | d listed in item 1 above)  | \$ 0                                       |
|                                |                       | Continued on attached sheet.  |   | include in tot                    | Total Loans Owed<br>tal on line 19, C-4 report   | \$ 1,750.00                                |
|                                |                       | 1   |   |                                   |  |  |

[Statutory Authority: RCW 42.17.370(1), 02-01-014, § 390-16-041, filed 12/7/01, effective 1/7/02; 99-22-082, § 390-16-041, filed 11/2/99, effective 12/3/99, Statutory Authority: RCW 42.17.370(1) and 42.17.090 (1)(k). 97-06-085, § 390-16-041, filed 3/3/97, effective 4/3/97. Statutory Authority: RCW 42.17.370(1) and 42.17.090 (1)(k). 97-06-085, § 390-16-041, filed 3/3/97, effective 4/3/97. Statutory Authority: RCW 42.17.390. 94-05-011, § 390-16-041, filed 2/3/94, effective 3/6/94. Statutory Authority: RCW 42.17.370. 93-09-002, § 390-16-041, filed 4/8/93, effective 5/9/93; 92-18-002, § 390-16-041, filed 8/20/92, effective 9/20/92; 92-05-080, § 390-16-041, filed 2/18/92, effective 3/20/92; 91-22-033, § 390-16-041, filed 10/30/91, effective 3/20/92 tive 11/30/91; 90-16-083, \$ 390-16-041, filed 7/31/90, effective 8/31/90; 89-20-068, \$ 390-16-041, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86-08-030 (Order 86-02), \$ 390-16-041, filed 3/26/86; 86-04-071 (Order 86-01), \$ 390-16-041, filed 2/5/86; 84-05-018 (Order 84-01), \$ 390-16-041, filed 3/26/86; \$ 390-16-041, filed 041, filed 2/10/84; 82-11-026 (Order 82-03), § 390-16-041, filed 5/10/82; 82-02-007 (Order 81-04), § 390-16-041, filed 12/28/81; Order 91, § 390-16-041, filed 7/22/77; Order 62, § 390-16-041, filed 8/26/75; Order 60, § 390-16-041, filed 7/16/75.]

Reviser's note: RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.

WAC 390-16-071 Annual report of major contributors and persons making independent expenditures. (1) Any person, other than an individual (a) who made contributions to state office candidates and statewide ballot proposition committees totaling more than the aggregate amount during the preceding calendar year for contributions referenced in WAC 390-05-400, code section .180(1), or (b) who made independent expenditures regarding state office candidates and statewide ballot propositions totaling more than the aggregate amount during the preceding calendar year for independent expenditures referenced in WAC 390-05-400, code section .180(1), shall file with the commission an annual report required pursuant to RCW 42.17.180. This report shall not be required of a lobbyist employer filing an annual L-3 report pursuant to RCW 42.17.180 or of a candidate's authorized committee or a political committee provided the information has been properly reported pursuant to RCW 42.17.080 and .090.

(2) The report is entitled "Special Political Expenditures" and is designated "C-7" revised 1/02.

| PUBLIC DISCLO                  | DSURE COMMISSION<br>741 capitol Way RM 208<br>PO 80X 40908<br>0LYMPIA WA 96504-0908<br>(360) 753-1111<br>TOLL FREE 1-877-601-2828 | Special Politi                 | cal Expenditures   | <b>C7</b>    | P<br>D   |
|--------------------------------|---|--------------------------------|--|--------------|--|
| Name (Use complete comp        | pany, association, union or entity  | rname.)                        |  | 1/02         | C  |
| Attention (Identify person to  | whom inquiries about the inter  | mation below should be directe | ed.)   | 1/02         | 0<br>  F<br>  F  |
|                                |   |                                |  |              | c  |
| Mailing Address                |   |                                | Telephone  |              | E<br>U   |
| City                           |   | State                          | ( )<br>Zip + 4   |              | S<br>E   |
|                                |   |                                |  |              |  |
|                                | r for the types of activitie  |                                |  |              | entity made and accrued during approriate. Follow the directions |
| Summary of Expenditures        | ì   |                                |  |              | Amount   |
|                                | candidates for legislative or<br>nmittees supporting or oppo  |                                | committees supporting or oppos<br>ures. Also complete Item 8.            | sing         |  |
| a. Aggregate contribution      | •   |                                |  |              |  |
|                                |   |                                | sponsored by the employer, shown<br>oe again included as part of this re |              |  |
| Name of PAC                    |   |                                |  | _            |  |
|                                | es supporting or opposing a<br>gregate amount. Also comp  |                                | tatewide executive office or a stat                                      | ewide        |  |
|                                | led to legislators, state offici  |                                | xpenses (including meals, lodgin<br>lembers of their immediate famil     |              |  |
|                                | half of legislators, state offic<br>e legislator or official. Show  |                                | endents for the purpose of influer<br>emplete Item 13.                   | ncing,       |  |
|                                | ed to lobbying state officials,<br>zing each expense. Show da   |                                | to, through or on behalf of a regis<br>amount.                           | stered       |  |
|                                |   |                                | 7 Total Day autoble From   |              |  |
|                                |   |                                | 7. Total Reportable Expe<br>(Items 2 t                                   |              |  |
| Itemized Expenditures          |   |                                |  |              |  |
|                                | er \$25 to a legistative or sta<br>opposing a statewide ballo   |                                | didate, a committee formed to su   | pport or opp | oose one of these candidates or a                                |
|                                | Name of Recipient   |                                | Amount   |              | Date   |
|                                |   |                                |  |              |  |
|                                |   |                                |  |              |  |
|                                |   |                                |  |              |  |
|                                | ······································  |                                | TOWN OF THE MANAGEMENT AS A ST.  |              |  |
|                                |   |                                |  |              |  |
|                                |   |                                |  |              |  |
|                                |   |                                |  |              |  |
|                                |   |                                |  |              |  |
| Information continued on att   | ached names   |                                |  |              |  |
| PDC · C-7 · 1 4 · 1 20 · · · · | worker payer  |                                |  |              | CONTINUE ON REVERSE  |

#### **Campaign Financing Reporting**

| <ol> <li>Independent expenditures in support of or opportunistructions for definition of "independent expendent"</li> </ol>                                   | sition to a) a legislative oditure."                    | or statewide execut                        | ive offic            | e candidate or b) a statewide ballot measure. See   |
|---|---|--|----------------------|---|
| Candidate's Name, Office Soug<br>Ballot Measure & Brief Des   | ht & Party or<br>cription                               | Amou                                       | ınt                  | Date and Description of Expense<br>(Note if Support or Oppose)                                      |
|   |   |  |                      | ·   |
|   |   |  |                      |   |
|   |   |  |                      |   |
|   |   |  |                      |   |
|   |   |  |                      |   |
| ☐ Information continued on attached pages   |   |  |                      |   |
| 10. Entertainment, gifts, tickets, passes, transportation officials, state employees and members of their   |   | including meals, lo                        | dging a              | nd related expenses) provided to legislators, state   |
| Name and Title  | mmediate tamiles.                                       | Cost                                       | or                   | Date and Description of Entertainment,  |
|   |   | Valu                                       |                      | Gift or Travel  |
|   |   |  |                      |   |
|   |   |  |                      |   |
|   |   |  |                      |   |
|   |   |  |                      |   |
|   |   |  |                      |   |
| Information continued on attached pages   |   | .  |                      |   |
| 11.Compensation of \$1,500 or more during the precandidates for state office and each member of   | ceding calendar year for e<br>their immediate families  | employment or profe                        | essional             | services paid to state elected officials, successful  |
| Name  | Relationship to Candida<br>Official, if Family Mem      |  |                      | Description of Consideration or Services Exchanged for Compensation                                 |
|   | Official, it Family Well                                | Del (Code                                  | "                    | Exchanged for Compensation  |
|   |   |  |                      |   |
|   |   |  |                      |   |
|   | •   |  |                      |   |
| Information continued on attached pages   |   |  | i                    |   |
| 12.Compensation of \$1,500 or more during the pre-<br>association or other entity in which state elected<br>directorship or ownership interest of 10% or more | d official, successful state                            | professional service<br>candidate or mer   | ces paid<br>mber of  | d to any corporation, partnership, joint venture, their immediate family holds office, partnership, |
| Firm Name   | Person's Name   | Amoui<br>(Code                             |                      | Description of Consideration or Services<br>Exchanged for Compensation                              |
|   |   | (Code                                      | ''                   | Exchanged for Compensation  |
|   |   |  |                      |   |
| :   |   |  |                      |   |
|   |   |  | İ                    |   |
| Information continued on attached pages   |   |  |                      |   |
| 13.Any expenditure, not otherwise reported, made d<br>immediate family, if made to honor, influence or  | irectly or indirectly to a s<br>benefit the person beca | tate elected official<br>use of his or her | , succes<br>official | ssful candidate for state office or member of their position.                                       |
| Name  |   | Amou                                       | nt [                 | Date and Description of Expense   |
|   |   |  |                      |   |
|   |   |  |                      |   |
|   |   |  | ĺ                    |   |
| Information continued on attached pages   |   |  |                      |   |
| 14.This report must be certified by the presiden  | t, secretary-treasurer o                                | similar officer o                          | report               | ting entity.  |
| Certification: I certify that this report is true, con<br>the best of my knowledge.   | nplete and correct to                                   | Signature of Office                        | er                   | Date  |
| Printed Name and Title of Officer:  |   |  |                      |   |

[Statutory Authority: RCW 42.17.370(1). 02-01-015, § 390-16-071, filed 12/7/01, effective 1/7/02. Statutory Authority: RCW 42.17.370(1) and 42.17.180 (1)(h). 98-01-062, § 390-16-071, filed 12/11/97, effective 1/11/98. Statutory Authority: RCW 42.17.390. 95-01-074A, § 390-16-071, filed 12/16/94, effective 1/16/95; 94-11-016, § 390-16-071, filed 5/5/94, effective 6/5/94.]

- WAC 390-16-105 Mini campaign reporting—Eligibility. (1) A candidate or candidate's authorized committee, as those terms are defined in RCW 42.17.020, shall not be required to comply with the provisions of RCW 42.17.060 through 42.17.090 except as otherwise prescribed in WAC 390-16-038, 390-16-115, and 390-16-125 when neither aggregate contributions nor aggregate expenditure[s] exceed the amount of the candidate's filing fee provided by law plus a sum not to exceed three thousand five hundred dollars and no contribution or contributions from any [person] other than the candidate within such aggregate exceed three hundred dollars. However, a bona fide political party may pay the candidate's filing fee provided by law without that payment disqualifying that candidate from eligibility under this section.
- (2) A political committee, as that term is defined in RCW 42.17.020, shall not be required to comply with the provisions of RCW 42.17.060 through 42.17.090 except as otherwise prescribed in WAC 390-16-038, 390-16-115, and 390-16-125 when neither aggregate contributions nor aggregate expenditures exceed three thousand five hundred dollars and no contribution or contributions from any person exceed three hundred dollars.
- (3) A continuing political committee, as that term is defined in RCW 42.17.020, shall not be required to comply with the provisions of RCW 42.17.060 through 42.17.090 except as otherwise prescribed in WAC 390-16-038, 390-16-115, and 390-16-125 when neither aggregate contributions nor aggregate expenditures during a calendar year exceed three thousand five hundred dollars and no contribution[s] or contributions from any person exceed three hundred dollars.

[Statutory Authority: RCW 42.17.370. 01-10-050, § 390-16-105, filed 4/26/01, effective 6/1/01. Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-105, filed 2/5/86; Order 91, § 390-16-105, filed 7/22/77; Order 62, § 390-16-105, filed 8/26/75.]

Reviser's note: RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.

WAC 390-16-111 Mini campaign reporting—Special fund raising events. The term "any person" as used in WAC 390-16-105 does not mean a fund raising activity conducted pursuant to RCW 42.17.067. Candidates and committees using mini reporting as provided in chapter 390-16 WAC shall not be limited to receiving three hundred dollars from a fund raising event provided that the payments from any person do not exceed three hundred dollars from all fund raising conducted during a campaign or calendar year as provided in WAC 390-16-105.

[Statutory Authority: RCW 42.17.370. 01-10-051, § 390-16-111, filed 4/26/01, effective 6/1/01; 89-20-068, § 390-16-111, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-111, filed 2/5/86; Order 77, § 390-16-111, filed 6/2/76.]

WAC 390-16-115 Mini campaign reporting—Conditions for granting use. The exemptions allowed in WAC 390-16-105 shall be granted to a candidate or political committee, including a continuing political committee, only upon compliance with the following conditions.

(1) A candidate shall, within fourteen days of first:

- (a) Receiving contributions, making expenditures, reserving space or facilities or purchasing commercial advertising space or broadcast time to promote his or her candidacy:
- (b) Giving his or her consent to another person to take on behalf of the candidate any of the action in (a) of this subsection; or
- (c) Announcing publicly or filing a declaration of candidacy with the appropriate elections official,
- file the C-1 registration statement with the commission and his or her county elections office. The statement must declare that the candidate will not exceed the contribution or expenditure limits set out in WAC 390-16-105.
- (2) A political committee shall, within fourteen days after its organization or after the date when it first has the expectation of receiving contributions or making expenditures in any election campaign, whichever is earlier, file the C-1pc registration statement with the commission and with the appropriate county elections office as specified below:
- (a) For a political committee, the elections office of the county in which the treasurer resides, unless the treasurer resides out-of-state, in which case the elections office of Thurston County; or
- (b) For a continuing political committee, the elections office of the county in which the committee's in-state office or headquarters is located; if there is no in-state office or headquarters, the elections office of the county in which the committee treasurer resides, unless the treasurer resides out-of-state, in which case the elections office of Thurston County.
- (3) The statement filed under subsection (2) of this section shall declare that the political committee will not exceed the contribution or expenditure limits set out in WAC 390-16-105.
- (4) In addition to complying with subsections (2) and (3) of this section, a continuing political committee shall also file a C-1pc between January 1 and January 31 for each year in which the committee intends to use the mini reporting system. Failure to file a new registration statement during January will automatically terminate the committee's entitlement to use the mini reporting system until such time as a new C-1pc is filed.
- (5) A candidate or political committee shall keep current records in sufficient detail to allow the candidate or political committee to make reports otherwise required by RCW 42.17.040 through 42.17.090 in the event that the filing of such reports becomes necessary as a result of exceeding the contribution or expenditure limitation pursuant to the provisions of WAC 390-16-125.
- (6) A candidate or political committee treasurer shall, during the eight days immediately preceding the date of the election, maintain records of contributions and expenditures current within one business day. These records shall be open for public inspection during the hours designated on the registration statement at the principal campaign headquarters or, if there is no campaign headquarters, at a local address of the campaign treasurer or such other place as may be authorized by the commission.
- (7) The records of contributions and expenditures shall be available for audit or examination by representatives of

the public disclosure commission at any time upon request from the commission.

[Statutory Authority: RCW 42.17.370 and 42.17.690. 01-22-051, § 390-16-115, filed 10/31/01, effective 1/1/02. Statutory Authority: RCW 42.17.370. 89-20-068, § 390-16-115, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-115, filed 2/5/86; 82-14-016 (Order 82-04), § 390-16-115, filed 6/28/82; Order 62, § 390-16-115, filed 8/26/75.]

WAC 390-16-120 Repealed. See Disposition Table at beginning of this chapter.

WAC 390-16-125 Mini campaign reporting—Exceeding limitations. Whenever there is reason to believe that any of the limitations specified in WAC 390-16-105 or 390-16-111 will or may be exceeded, the candidate or committee may apply to the commission for authorization to change reporting options.

- (1) If the application is made more than thirty days prior to the date of the election, the application will be considered approved without further action by the commission if the person making application submits:
- (a) A PDC form C-1 or C-1pc indicating the intention of using the full reporting system provided by RCW 42.17.040 42.17.090;
- (b) A PDC form C-3 and form C-4 with appropriate Schedules disclosing all contributions and expenditures reportable under RCW 42.17.090 for the election campaign or in the case of continuing political committees for the calendar year.
- (c) A statement affirming that all known candidates for the office being sought have been notified personally of the application stating the manner and date of such notification. In the case of a ballot proposition, the statement shall affirm that the committee treasurer of all committees identifiable from the records of the county elections officer or public disclosure commission to be opposing or supporting the proposition have been notified personally of the application stating the manner and date of such notification.
- (2) If the application is made within thirty days of the date of the election, the application shall be approved only by authorization of the commission executive director.
- (a) Prior to such approval being granted, the executive director shall determine that the application contains those documents shown in subsection (1)(a), (b) and (c) above.
- (b) The commission staff shall investigate why the applicable requirements were not complied with in the first instance and whether or not the probability of exceeding such limitations was reasonably foreseeable. If the investigation shows that the declaration by the candidate, committee or other person filed under WAC 390-16-115 was made in good faith and that the probability of exceeding such limitations was not reasonably foreseeable, the executive director will approve the reporting option change conditioned upon full future compliance with all applicable requirements of chapter 42.17 RCW.
- (3) When one candidate or committee on either side of an election campaign has been approved to change reporting options under subsection (1) above, all other candidates

and/or committees may change reporting options by meeting the requirements of subsection (1)(a), (b) and (c).

(4) Any person who knowingly or negligently causes or permits the limitations specified in these regulations to be exceeded shall be deemed to have violated the applicable provisions of RCW 42.17.040 - 42.17.090.

[Statutory Authority: RCW 42.17.370 and 42.17.690. 01-22-051, § 390-16-125, filed 10/31/01, effective 1/1/02. Statutory Authority: RCW 42.17.370. 92-18-002, § 390-16-125, filed 8/20/92, effective 9/20/92; 92-05-079, § 390-16-125, filed 2/18/92, effective 3/20/92; 90-16-083, § 390-16-125, filed 7/31/90, effective 8/31/90; 89-20-068, § 390-16-125, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-125, filed 2/5/86; Order 91, § 390-16-125, filed 7/22/77; Order 67, § 390-16-125, filed 1/16/76; Order 62, § 390-16-125, filed 8/26/75.]

WAC 390-16-150 Repealed. See Disposition Table at beginning of this chapter.

WAC 390-16-155 Repealed. See Disposition Table at beginning of this chapter.

WAC 390-16-190 Repealed. See Disposition Table at beginning of this chapter.

## Chapter 390-17 WAC CONTRIBUTION LIMITATIONS

WAC 390-17-302

Contributions after the primary election.

WAC 390-17-302 Contributions after the primary election. (1) Pursuant to RCW 42.17.640(1), the date of the primary is the last day for making primary-related contributions unless a state office candidate loses in the primary, that candidate's authorized committee has insufficient funds to pay debts outstanding as of the date of the primary, and the contributions are used to satisfy this outstanding debt.

- (2) For purposes of the contribution limit in RCW 42.17.640, any contribution made up to thirty days after the primary election pursuant to RCW 42.17.640(1) is aggregated with contributions made on or before the date of the primary from the same contributor and any person with whom that contributor shares a limit under RCW 42.17.660 and WAC 390-16-309 and 390-16-311.
- (3) The day following the primary election is considered the first day of the thirty-day period during which contributions may be made to state office candidates who lose in the primary election and who have outstanding primary debts.
- (4) For purposes of RCW 42.17.640(1), "outstanding primary debts," "outstanding debts" and "debts outstanding" all mean:
- (a) Unpaid primary-election related debts incurred on or before the date of the primary by the authorized committee of a candidate who lost the primary election for a state office; and
- (b) Reasonable costs associated with activities of the losing candidate's authorized committee necessary to retire the primary-related debts it incurred on or before the date of the primary. Examples of such reasonable costs include:
- (i) Necessary administrative expenses (office space rental, staff wages, taxes, supplies, telephone and computer

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costs, postage, and the like) for activities actually and directly related to retiring the committee's debt; and

- (ii) Necessary expenses actually and directly related to the fund-raising activities undertaken to retire the debt, as long as all persons solicited for contributions are notified that the contributions are subject to that contributor's primary election limit for that losing candidate.
- (5) Nothing in this section is to be construed as authorizing contributors to make, or state office candidates who lose the primary to receive, contributions that are used for a purpose not specifically authorized by RCW 42.17.640(1), including use for some future election or as surplus funds.
- (6) All contributions received in excess of the sum needed to satisfy outstanding primary debts shall be returned to the original contributors in an amount not to exceed the amount contributed in accordance with the first in, first out accounting principle wherein the most recent contribution received is the first to be returned until all excess funds are returned to contributors.

[Statutory Authority: RCW 42.17.370 and 42.17.690. 01-22-050, § 390-17-302, filed 10/31/01, effective 1/1/02.]

## **Chapter 390-19 WAC ELECTRONIC FILING**

# WAC 390-19-010 Intent of electronic filing. 390-19-020 Electronic filing—Mandatory filing. 390-19-030 Electronic filing—Reporting threshold. 390-19-040 Electronic filing—Verification and amendments. 390-19-050 Electronic filing—Exceptions.

WAC 390-19-010 Intent of electronic filing. (1) The public disclosure commission (PDC) was created and empowered by initiative of the people to provide timely and meaningful public access to information about the financing of political campaigns, lobbyist expenditures, and the financial affairs of public officials and candidates, and to insure compliance with contribution limits and other campaign finance restrictions.

(2) Full and prompt access to the political finance data filed by persons subject to the law is best realized through wide-spread use of electronic filing alternatives. The Washington state legislature has mandated that certain filers submit their PDC reports electronically. The commission encourages all persons required to report under the disclosure law to utilize the electronic filing alternatives provided by the PDC.

[Statutory Authority: RCW 42.17.370. 01-22-052, § 390-19-010, filed 10/31/01, effective 1/1/02.]

## WAC 390-19-020 Electronic filing—Mandatory filing. (1) RCW 42.17.3691 mandates that persons satisfying the qualifying criteria in that section file all contribution and expenditure reports by electronic means.

(2) Persons filing by electronic means shall register with the PDC and receive a filer identification number and password. Filers must have a current C-1 Candidate Registration Statement or a C-1pc Committee Registration Statement with original signature on file with the PDC prior to receiving a filer identification number. Forms C-1 and C-1pc may not be filed electronically.

- (3) A filer subject to RCW 42.17.3691 shall file all PDC C-3 and C-4 reports and all appropriate schedules electronically in compliance with subsection (5) of this section.
- (4) Any filer required to file electronically, but who files on paper, is in violation of RCW 42.17.3691 and may be subject to enforcement action unless the filer is a candidate who has sought and been granted an exception from electronic filing under WAC 390-19-050.
- (5) A filer subject to electronic filing shall file reports using one of the following:
- (a) The Washington Electronic Disclosure System (WEDS) provided free-of-charge by the PDC;
- (b) Software that creates electronic files that conform to the Political Disclosure Standard Electronic Reporting Format provided by the PDC; or
- (c) Any other electronic filing application provided or approved by the PDC.

[Statutory Authority: RCW 42.17.370. 01-22-052, § 390-19-020, filed 10/31/01, effective 1/1/02.]

# WAC 390-19-030 Electronic filing—Reporting threshold. (1) Beginning with the reporting periods that start on or after January 1, 2002, the "electronic reporting threshold" that requires electronic filing of all contribution and expenditure reports is met when a candidate or political committee has expended \$25,000 or more in the preceding calendar year or expects to expend \$25,000 or more in the current calendar year. The electronic reporting threshold is \$10,000 effective January 1, 2004.

- (2) "Expects to expend" is defined as when any one of the following first occurs:
- (a) A filer meets or exceeds the electronic reporting threshold;
- (b) A filer's expenditures during the last election for the same office sought met or exceeded the electronic reporting threshold;
- (c) A filer's expenditures meet or exceed one-quarter of the electronic reporting threshold in the first calendar quarter of the current calendar year;
- (d) A filer's expenditures meet or exceed one-half of the electronic reporting threshold in the first half of the current calendar year; or
- (e) A filer otherwise projects that the electronic reporting threshold will be met.
- (3) The following expenditures or transactions are excluded from the electronic reporting threshold calculation:
- (a) Expenditures made to pay outstanding debts carried forward from a previous election; and
- (b) Surplus funds disposed of in accordance with RCW 42.17.095.
- (4) Candidate committees or political committees supporting or opposing ballot propositions that meet, exceed or expect to meet or exceed the electronic reporting threshold shall report electronically for the duration of the campaign.
- (5) Beginning with the reporting periods that start on or after January 1, 2002, a report that is filed with the commission electronically need not also be filed with the county auditor or elections officer.

[Statutory Authority: RCW 42.17.370. 01-22-052, § 390-19-030, filed 10/31/01, effective 1/1/02.]

WAC 390-19-040 Electronic filing—Verification and amendments. (1) An electronic report is filed when it is received and validated by the public disclosure commission (PDC) computer system. The PDC shall notify the filer that the electronic report has been received.

- (2) An electronic report is timely filed if received on or before 11:59 p.m. Pacific Time on the prescribed filing date.
- (3) An electronic report that is infected with a virus, damaged, or is improperly formatted is not properly filed with the PDC and shall be rejected.
- (4) To amend an electronically filed report, the filer shall electronically refile the entire report.

[Statutory Authority: RCW 42.17.370. 01-22-052, § 390-19-040, filed 10/31/01, effective 1/1/02.]

WAC 390-19-050 Electronic filing—Exceptions. (1) The commission may make exceptions on a case-by-case basis for candidates whose authorized committees lack the technological ability to file reports electronically.

(2) A candidate seeking an exception under RCW 42.17.3691 shall file with the PDC a written statement of reasons why the authorized committee lacks the ability to file reports electronically.

[Statutory Authority: RCW 42.17.370. 01-22-052, § 390-19-050, filed 10/31/01, effective 1/1/02.]

#### Chapter 390-24 WAC

#### FORMS FOR REPORTS OF FINANCIAL AFFAIRS

WAC

390-24-200

Descriptions of real property.

WAC 390-24-200 Descriptions of real property. (1) For the purposes of reporting real property as required by RCW 42.17.241 [(1)](h)-(k), the filer shall list the street address of each parcel, the assessor's parcel number, the abbreviated legal description appearing on property tax statements, or the complete legal description.

(2) Each property description shall be followed by the name of the county in which the property is located.

[Statutory Authority: RCW 42.17.370. 01-10-053, § 390-24-200, filed 4/26/01, effective 6/1/01. Statutory Authority: RCW 42.17.370(1). 88-20-029 (Order 88-04), § 390-24-200, filed 9/29/88; 86-08-030 (Order 86-02), § 390-24-200, filed 3/26/86; Order 63, § 390-24-200, filed 9/10/75.]

**Reviser's note:** RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.

#### Title 391 WAC

## PUBLIC EMPLOYMENT RELATIONS COMMISSION

| Chapters |   |
|----------|---|
| 391-08   | Rules of practice and procedure—Public employment relations commission. |
| 391-25   | Representation case rules.  |
| 391-35   | Unit clarification case rules.  |
| 391-45   | Unfair labor practice case rules.                                       |
| 391-55   | Impasse resolution rules.   |
| 391-65   | Grievance arbitration rules.  |
| 391-95   | Union security dispute rules.   |

#### Chapter 391-08 WAC

#### RULES OF PRACTICE AND PROCEDURE—PUBLIC EMPLOYMENT RELATIONS COMMISSION

WAC

391-08-001

Application and scope of chapter 391-08 WAC.

WAC 391-08-001 Application and scope of chapter 391-08 WAC. Chapter 391-08 WAC has been added to the Washington Administrative Code by the public employment relations commission pursuant to the authority of section 12, chapter 288, Laws of 1975 1st ex. sess. (RCW 41.59.110); and sections 7, 14 and 20, chapter 296, Laws of 1975 1st ex. sess. (RCW 41.58.050, 28B.52.080 and 41.56.090, respectively), to promulgate comprehensive and uniform rules for practice and procedure before the agency. This chapter sets forth general rules applicable to all types of proceedings before the agency, and should be read in conjunction with the provisions of:

- (1) Chapter 10-08 WAC, which contains the model rules of procedure promulgated by the chief administrative law judge to regulate adjudicative proceedings under chapters 391-25, 391-35, 391-45 and 391-95 WAC, except:
- (a) WAC 10-08-035, which is replaced by detailed requirements in WAC 391-25-070, 391-25-090, 391-35-050, 391-45-050, and 391-95-110;
- (b) WAC 10-08-050, which relates to office of administrative hearings procedures inapplicable to proceedings before the public employment relations commission;
- (c) WAC 10-08-083, which is replaced by detailed requirements in WAC 391-08-010;
- (d) WAC 10-08-110, which is replaced by detailed requirements in WAC 391-08-120;
- (e) WAC 10-08-120, which is replaced by detailed requirements in WAC 391-08-040, 391-08-300 and 391-08-310;
- (f) WAC 10-08-140, which is limited by WAC 391-08-040, 391-08-300 and 391-08-310;
- (g) WAC 10-08-150, which is limited by WAC 391-08-315;
- (h) WAC 10-08-211, which is replaced by WAC 391-08-640 and detailed requirements in WAC 391-25-390, 391-25-391, 391-25-590, 391-25-630, 391-25-650, 391-25-660, 391-

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