Chapter 391-65 WAC **GRIEVANCE ARBITRATION RULES**

WAC		391-65-535	Marine employees—Arbitration in the absence of a
391-65-001 391-65-002	Scope—Contents—Other rules. Sequence and numbering of rules—Special provisions.		party. [Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-
391-65-010	Grievance arbitration—Who may submit.		050 (Order 80-9), § 391-65-535, filed 9/30/80, effective
391-65-030	Grievance arbitration—Request for grievance arbitration—Service.		11/1/80.] Repealed by 83-24-036 (Order 83-06), filed 12/1/83, effective 1/1/84. Statutory Authority: RCW
391-65-050	Grievance arbitration—Contents of request.		34.04.022, 41.58.050, 41.56.090, 41.59.110 and 28B.52.080.
391-65-070 391-65-072	Grievance arbitration—Appointment of staff arbitrator. Special provision—Educational employees.	391-65-540	Marine employees—Closing of hearing. [Statutory
391-65-073	Special provision—Educational employees. Special provision—Academic employees.		Authority: RCW 28B.52.080, 41.56.040, 41.58.050,
391-65-075	Special provision—Marine employees.		41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-540, filed 9/30/80, effective 11/1/80.] Repealed
391-65-090	Grievance arbitration—Designation of panel of arbitrators.		by 83-24-036 (Order 83-06), filed 12/1/83, effective 1/1/84. Statutory Authority: RCW 34.04.022, 41.58
391-65-110	Grievance arbitration—Conduct of proceedings.		050, 41.56.090, 41.59.110 and 28B.52.080.
391-65-130 391-65-150	Grievance arbitration—Award. Grievance arbitration—Expenses.	391-65-545	Marine employees—Examiner decision. [Statutory
391-03-130	DISPOSITION OF SECTIONS FORMERLY		Authority: RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-545, filed 9/30/80, effective 11/1/80.] Repealed
	CODIFIED IN THIS CHAPTER		by 83-24-036 (Order 83-06), filed 12/1/83, effective 1/1/84. Statutory Authority: RCW 34.04.022, 41.58.
391-65-074	Special provisions—Marine employees. [Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050,	391-65-550	050, 41.56.090, 41.59.110 and 28B.52.080.
	41.59.110 and 47.64.040. 80-14-050 (Order 80-9), §	391-03-330	Marine employees—Petition for review of examiner decision. [Statutory Authority: RCW 28B.52.080,
	391-65-074, filed 9/30/80, effective 11/1/80.] Repealed		41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-
	by 88-12-057 (Order 88-09), filed 5/31/88. Statutory		050 (Order 80-9), § 391-65-550, filed 9/30/80, effective
	Authority: RCW 28B.52.080, 41.58.050, 41.56.090 and 41.59.110.		11/1/80.] Repealed by 83-24-036 (Order 83-06), filed 12/1/83, effective 1/1/84. Statutory Authority: RCW
391-65-094	Special provision—Marine employees. [Statutory		34.04.022, 41.58.050, 41.56.090, 41.59.110 and
	Authority: RCW 28B.52.080, 41.56.040, 41.58.050,		28B.52.080.
	41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-094, filed 9/30/80, effective 11/1/80.] Repealed	391-65-555	Marine employees—Commission action. [Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050,
	by 88-12-057 (Order 88-09), filed 5/31/88. Statutory		41.59.110 and 47.64.040. 80-14-050 (Order 80-9), §
	Authority: RCW 28B.52.080, 41.58.050, 41.56.090 and		391-65-555, filed 9/30/80, effective 11/1/80.] Repealed
391-65-500	41.59.110.		by 83-24-036 (Order 83-06), filed 12/1/83, effective
391-03-300	Marine employees—Grievance arbitration. [Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050,		1/1/84. Statutory Authority: RCW 34.04.022, 41.58.050, 41.56.090, 41.59.110 and 28B.52.080.
	41.59.110 and 47.64.040. 80-14-050 (Order 80-9), §	391-65-560	Marine employees—Grievance arbitration remedies.
	391-65-500, filed 9/30/80, effective 11/1/80.] Repealed		[Statutory Authority: RCW 28B.52.080, 41.56.040,
	by 83-24-036 (Order 83-06), filed 12/1/83, effective 1/1/84. Statutory Authority: RCW 34.04.022, 41.58		41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order
	050, 41.56.090, 41.59.110 and 28B.52.080.		80-9), § 391-65-560, filed 9/30/80, effective 11/1/80.] Repealed by 83-24-036 (Order 83-06), filed 12/1/83,
391-65-510	Marine employees—Intervention and consolidation of		effective 1/1/84. Statutory Authority: RCW 34.04.022,
	proceedings. [Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-		41.58.050, 41.56.090, 41.59.110 and 28B.52.080.
	050 (Order 80-9), § 391-65-510, filed 9/30/80, effective	Reviser's	note: Chapter 15, Laws of 1983 recreates the marine
	11/1/80.] Repealed by 83-24-036 (Order 83-06), filed		mission, Title 316 WAC, and transfers the authority for the
	12/1/83, effective 1/1/84. Statutory Authority: RCW		of chapter 47.64 RCW to that agency. Title 391 WAC will
	34.04.022, 41.58.050, 41.56.090, 41.59.110 and 28B.52.080.	reflect some of t	the changes resulting from this statutory revision.
391-65-515	Marine employees—Conduct of grievance arbitration		
	proceedings. [Statutory Authority: RCW 28B.52.080,	WAC 391-65-001 Scope—Contents—Other rules. This chapter governs proceedings before the public employ-	
	41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-515, filed 9/30/80, effective		
	11/1/80.] Repealed by 83-24-036 (Order 83-06), filed	ment relations commission relating to arbitration of griev-	
	12/1/83, effective 1/1/84. Statutory Authority: RCW	ance disputes	s arising out of the interpretation or application
	34.04.022, 41.58.050, 41.56.090, 41.59.110 and 28B.52.080.		e bargaining agreement under all chapters of the
391-65-525	Marine employees—Hearing. [Statutory Authority:	Revised Code of Washington (RCW) administered by the	
22 2 30 020	RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040, 80.14.050 (Order 80-9), 8.391-65-525, filed	commission. The provisions of this chapter should be read in	

Revised Code of Washington (RCW) administered by the commission. The provisions of this chapter should be read in conjunction with the provisions of:

- (1) Chapter 391-08 WAC, which contains rules of practice and procedure applicable to all types of proceedings before the public employment relations commission, and which also replaces some provisions of chapter 10-08 WAC.
- (2) Chapter 391-25 WAC, which regulates representation proceedings.
- (3) Chapter 391-35 WAC, which regulates unit clarification proceedings and contains some well-established unit

(2/15/12)[Ch. 391-65 WAC-p. 1]

47.64.040. 80-14-050 (Order 80-9), § 391-65-525, filed

9/30/80, effective 11/1/80.] Repealed by 83-24-036 (Order 83-06), filed 12/1/83, effective 1/1/84. Statutory

Authority: RCW 34.04.022, 41.58.050, 41.56.090,

Marine employees—Order of proceedings and evidence. [Statutory Authority: RCW 28B.52.080, 41.56.-

040, 41.58.050, 41.59.110 and 47.64.040. 80-14-050

Order 80-9), § 391-65-530, filed 9/30/80, effective 11/1/80.] Repealed by 83-24-036 (Order 83-06), filed 12/1/83, effective 1/1/84. Statutory Authority: RCW 34.04.022, 41.58.050, 41.56.090, 41.59.110 and

41.59.110 and 28B.52.080.

391-65-530

determination standards in a subchapter of rules beginning at WAC 391-35-300.

- (4) Chapter 391-45 WAC, which regulates unfair labor practice proceedings.
- (5) Chapter 391-55 WAC, which regulates the resolution of impasses in collective bargaining.
- (6) Chapter 391-95 WAC, which regulates union security nonassociation proceedings.

[Statutory Authority: RCW 28B.52.080, 41.56.090, 41.59.110, 41.58.050, 41.06.340, 41.76.060. 03-03-064, § 391-65-001, filed 1/14/03, effective 2/14/03. Statutory Authority: RCW 28B.52.080, 41.56.090, 41.59.110, 41.58.050. 01-14-009, § 391-65-001, filed 6/22/01, effective 8/1/01; 99-14-060, § 391-65-001, filed 7/1/99, effective 8/1/99. Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-001, filed 9/30/80, effective 11/1/80.]

WAC 391-65-002 Sequence and numbering of rules—Special provisions. This chapter of the Washington Administrative Code is designed to regulate proceedings under a number of different chapters of the Revised Code of Washington. General rules are set forth in sections with numbers divisible by ten. Where a deviation from the general rule is required for conformity with a particular statute, that special provision is set forth in a separate rule numbered as follows:

- (1) Special provisions relating to chapter 41.56 RCW (Public Employees' Collective Bargaining Act) and to chapter 53.18 RCW (port employees) are set forth in WAC sections numbered one digit greater than the general rule on that subject matter.
- (2) Special provisions relating to chapter 41.59 RCW (Educational Employment Relations Act) are set forth in WAC sections numbered two digits greater than the general rule on that subject matter.
- (3) Special provisions relating to chapter 28B.52 RCW (Collective Bargaining—Academic Personnel in Community Colleges) are set forth in WAC sections numbered three digits greater than the general rule on that subject matter.
- (4) Special provisions relating to chapter 47.64 RCW (Marine employees—Public employment relations) are set forth in WAC sections numbered four digits greater than the general rule on that subject matter.
- (5) Special provisions relating to chapter 41.80 RCW (Personnel System Reform Act) are set forth in WAC sections numbered six digits greater than the general rule on that subject matter.
- (6) Special provisions relating to chapter 41.76 RCW (faculty at public four-year institutions of higher education) are set forth in WAC sections numbered seven digits greater than the general rule on that subject matter.
- (7) Special provisions relating to chapter 49.39 RCW (symphony musicians) and chapter 49.08 RCW (private sector and other employees) are set forth in WAC sections numbered nine digits greater than the general rule on that subject matter.

[Statutory Authority: RCW 28B.52.080, 41.56.090, 41.58.050, 41.58.065, 41.59.110, 41.76.060, 41.80.130, and 49.39.060. 12-05-066, § 391-65-002, filed 2/15/12, effective 3/17/12. Statutory Authority: RCW 28B.52.080, 41.56.090, 41.58.050, 41.59.110, 41.76.060, 41.80.130, and 49.39.060. 10-20-172, § 391-65-002, filed 10/6/10, effective 11/6/10. Statutory Authority RCW 28B.52.080, 41.56.090, 41.59.110, 41.58.050, 41.06.340, 41.76.060. 03-03-064, § 391-65-002, filed 1/14/03, effective 2/14/03. Statutory Authority: RCW 28B.52.080, 41.56.090, 41.59.110 and 41.58.050. 99-14-060, §

391-65-002, filed 7/1/99, effective 8/1/99. Statutory Authority: RCW 34.04.022, 41.58.050, 41.56.090, 41.59.110 and 28B.52.080. 83-24-036 (Order 83-06), § 391-65-002, filed 12/1/83, effective 1/1/84. Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-002, filed 9/30/80, effective 11/1/80.]

WAC 391-65-010 Grievance arbitration—Who may submit. Where there is an agreement to arbitrate, a request for appointment of an arbitrator to hear and determine issues arising out of the interpretation or application of a collective bargaining agreement may be submitted by the employer, the exclusive representative or their agents or by the parties jointly.

[Statutory Authority: RCW 28B.52.080, 41.56.090, 41.59.110, 41.58.050 and 41.56.125. 99-14-060, § 391-65-010, filed 7/1/99, effective 8/1/99. Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-010, filed 9/30/80, effective 11/1/80.]

WAC 391-65-030 Grievance arbitration—Request for grievance arbitration—Service. A request for appointment of a grievance arbitrator may be made in writing or by electronic telefacsimile transmission. The request shall be on a form furnished by the commission or prepared by the party or parties submitting the request in conformance with WAC 391-65-050. The original request shall be submitted to the commission's Olympia office, as required by WAC 391-08-120(2). If the request is not submitted jointly the party submitting the request shall serve a copy, as required by WAC 391-08-120 (3) and (4), on the other party to the collective bargaining agreement under which the dispute arises.

[Statutory Authority: RCW 28B.52.080, 41.56.090, 41.59.110, 41.58.050 and 41.56.125. 99-14-060, § 391-65-030, filed 7/1/99, effective 8/1/99. Statutory Authority: RCW 28B.52.080, 41.56.090, 41.59.110, 41.58.050, 34.50.413 and 41.56.125. 96-07-105, § 391-65-030, filed 3/20/96, effective 4/20/96. Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-030, filed 9/30/80, effective 11/1/80.]

WAC 391-65-050 Grievance arbitration—Contents of request. Each request for appointment of a grievance arbitrator shall contain:

- (1) Information identifying the parties to the dispute, including:
- (a) The name, address and telephone number of the employer and the name, address and telephone number of its principal representative;
- (b) The name, address and telephone number of the employee organization and the name, address and telephone number of its principal representative;
 - (c) The employer's principal business;
- (d) A copy of the current or most recent applicable collective bargaining agreement;
- (e) A description of the bargaining unit involved, specifying inclusions and exclusions;
 - (f) The number of employees in the bargaining unit;
- (g) The agreement of the party or parties making the request to accept the decision of the arbitrator as final and binding; and
- (h) The agreement of the party or parties making the request that there shall be no strike or lockout on the matters submitted to arbitration.

[Ch. 391-65 WAC—p. 2] (2/15/12)

- (2) Identification of the grievance to be resolved in arbitration.
 - (3) Designation of the request as:
- (a) A request for appointment of a member of the agency staff as arbitrator; or
- (b) A request for the submission of a list containing a specified number of names from the dispute resolution panel created by WAC 391-55-110.
- (4) The name(s), signature(s) and, if any, title(s) of the representative(s) of the requesting party (parties), and the date(s) of the signature(s).

[Statutory Authority: RCW 28B.52.080, 41.56.090, 41.59.110, 41.58.050 and 41.56.125. 99-14-060, § 391-65-050, filed 7/1/99, effective 8/1/99. Statutory Authority: RCW 28B.52.080, 41.56.090, 41.59.110, 41.58.050, 34.05.413 and 41.56.125. 96-07-105, § 391-65-050, filed 3/20/96, effective 4/20/96. Statutory Authority: RCW 28B.52.080, 41.58.050, 41.56.090 and 41.59.110. 88-12-057 (Order 88-09), § 391-65-050, filed 5/31/88. Statutory Authority: RCW 28B.52.080, 41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-050, filed 9/30/80, effective 11/1/80.]

WAC 391-65-070 Grievance arbitration—Appointment of staff arbitrator. Upon concurrence of the parties or upon the submission of a joint request, a member of the agency staff shall be assigned as grievance arbitrator. The parties shall not be permitted to select a grievance arbitrator from a list of agency staff members, or to exercise a right of rejection on appointments made under this section; but may jointly express a preference for appointment of one or more staff members as their arbitrator, and their request shall be considered in making the assignment. Upon the submission of a request by one party for the appointment of a member of the agency staff as grievance arbitrator, the agency shall determine whether the other party to the collective bargaining agreement concurs in the appointment of a staff arbitrator. In the absence of concurrence, the agency shall notify the requesting party of the lack of concurrence and shall close the case if concurrence is not provided within a reasonable time.

[Statutory Authority: RCW 28B.52.080, 41.56.090, 41.59.110, 41.58.050 and 41.56.125. 00-14-048, § 391-65-070, filed 6/30/00, effective 8/1/00; 99-14-060, § 391-65-070, filed 7/1/99, effective 8/1/99. Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-070, filed 9/30/80, effective 11/1/80.]

WAC 391-65-072 Special provision—Educational employees. The agency does not appoint members of the agency staff as arbitrators in grievance arbitration proceedings under chapter 41.59 RCW.

[Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-072, filed 9/30/80, effective 11/1/80.]

WAC 391-65-073 Special provision—Academic employees. The agency does not appoint members of the agency staff as arbitrators in grievance arbitration proceedings under chapter 28B.52 RCW.

[Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-073, filed 9/30/80, effective 11/1/80.]

WAC 391-65-075 Special provision—Marine employees. Grievance arbitration cases filed under chapter 47.64 RCW shall be mediated by agency staff under chapter

391-55 WAC. Absent settlement, the grievance arbitration proceeding may be conducted by the marine employees' commission in a manner consistent with this chapter.

[Statutory Authority: RCW 41.58.050 and 41.58.065. 12-05-066, § 391-65-075, filed 2/15/12, effective 3/17/12.]

WAC 391-65-090 Grievance arbitration—Designation of panel of arbitrators. Upon the request of a party, the agency shall furnish a list of members of the dispute resolution panel. Names shall be referred and an arbitrator shall be selected under WAC 391-55-120.

[Statutory Authority: RCW 28B.52.080, 41.56.090, 41.59.110, 41.58.050 and 41.56.125. 99-14-060, § 391-65-090, filed 7/1/99, effective 8/1/99. Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-090, filed 9/30/80, effective 11/1/80.]

WAC 391-65-110 Grievance arbitration—Conduct of proceedings. The arbitrator assigned or selected shall conduct the arbitration proceedings in the manner provided in the collective bargaining agreement under which the dispute arises, subject to the following:

- (1) Arbitration cases handled by members of the agency staff shall be kept in the public files of the agency.
- (2) Except as provided in subsections (1) and (2) of this section, all arbitrators shall maintain compliance with the "Code of Professional Responsibility for Arbitrators of Labor-Management Disputes" as last amended with approval of the Federal Mediation and Conciliation Service.
- (3) Rulings, actions, and decisions issued by staff arbitrators under this chapter shall not be subject to appeal to the commission or the marine employees' commission.

[Statutory Authority: RCW 28B.52.080, 41.56.090, 41.58.050, 41.58.065, 41.59.110, 41.76.060, 41.80.130, and 49.39.060. 12-05-066, § 391-65-110, filed 2/15/12, effective 3/17/12. Statutory Authority: RCW 28B.52.080, 41.56.090, 41.58.050, 41.59.110, 41.76.060, 41.80.080. 08-04-059, § 391-65-110, filed 1/31/08, effective 4/1/08. Statutory Authority: RCW 28B.52.080, 41.56.090, 41.59.110, 41.58.050, 41.06.340, 41.76.060 and 41.56.125. 03-03-064, § 391-65-110, filed 1/14/03, effective 2/14/03. Statutory Authority: RCW 28B.52.080, 41.56.090, 41.59.110, 41.58.050 and 41.56.125. 99-14-060, § 391-65-110, filed 7/1/99, effective 8/1/99; 96-07-105, § 391-65-110, filed 3/20/96, effective 4/20/96. Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-110, filed 9/30/80, effective 11/1/80.]

WAC 391-65-130 Grievance arbitration—Award.

Any arbitrator assigned or selected under this chapter for a dispute involving public employees shall, after sending the arbitration award to the parties, submit a copy to the executive director.

[Statutory Authority: RCW 28B.52.080, 41.56.090, 41.59.110, 41.58.050 and 41.56.125. 99-14-060, § 391-65-130, filed 7/1/99, effective 8/1/99;96-07-105, § 391-65-130, filed 3/20/96, effective 4/20/96. Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-130, filed 9/30/80, effective 11/1/80.]

WAC 391-65-150 Grievance arbitration—Expenses.

Each party shall pay the expenses of presenting its own case and the expenses and fees of its member, if any, of an arbitration panel. The expenses of witnesses shall be paid by the party producing them. The commission shall pay the salary and expenses of a staff member assigned under WAC 391-65-070, but the commission shall not pay any costs for recording and/or transcription of proceedings, or any other

(2/15/12) [Ch. 391-65 WAC—p. 3]

expenses of the proceedings. The parties shall pay the fees and expenses of a dispute resolution panel member selected under WAC 391-65-090, as provided in WAC 391-55-120.

[Statutory Authority: RCW 28B.52.080, 41.56.090, 41.58.050, 41.59.110, 41.76.060, 41.80.080. 08-04-059, § 391-65-150, filed 1/31/08, effective 4/1/08. Statutory Authority: RCW 28B.52.080, 41.56.090, 41.59.110, 41.58.050 and 41.56.125. 99-14-060, § 391-65-150, filed 7/1/99, effective 8/1/99. Statutory Authority: RCW 28B.52.080, 41.56.040, 41.58.050, 41.59.110 and 47.64.040. 80-14-050 (Order 80-9), § 391-65-150, filed 9/30/80, effective 11/1/80.]

[Ch. 391-65 WAC—p. 4] (2/15/12)