Title 460 WAC

FINANCIAL INSTITUTIONS, DEPARTMENT OF (SECURITIES DIVISION)

Chapters		460-16-150	Other documents required for registration by coordina-
460-10A	Definitions.		tion. [Order 11, § 460-16-150, filed 3/3/72. Formerly WAC 308-132-184.] Repealed by Order 304, filed
460-11A	Multijurisdictional disclosure system.		2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-12A	Fraudulent and other prohibited prac-	460-16-210	Nonvoting stock. [Order 10, § 460-16-210, filed
460 16 A	tices.		11/12/71. Formerly WAC 308-132-310.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter
460-16A 460-17A	General rules.		460-16A WAC.
460-17A 460-18A	Small company offering registration. Notice filings for federal covered securi-	460-16-220	Cheap stock. [Order 10, § 460-16-220, filed 11/12/71.
	ties.		Formerly WAC 308-132-340.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-20B	Broker-dealer registration.	460-16-260	Options and warrants. [Order 10, § 460-16-260, filed
460-21B 460-21C	Broker-dealer practices. Broker-dealer services at financial institu-		11/12/71.] Repealed by Order 304, filed 2/28/75, effec-
400-21C	tions.	460-16-270	tive 4/1/75. See chapter 460-16A WAC. Standards for options and warrants. [Order 10, § 460-
460-22B	Salespersons of broker-dealers.	400 10 270	16-270, filed 11/12/71.] Repealed by Order 304, filed
460-23B	Salespersons for issuers.	460.46.220	2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-24A	Investment advisers.	460-16-320	Quarterly reports. [Order 11, § 460-16-320, filed 3/3/72. Formerly WAC 308-132-174.] Repealed by Order 304,
460-25A	Use of senior designations.		filed 2/28/75, effective 4/1/75. See chapter 460-16A
460-28A	Advertisements.		WAC.
460-32A	Real estate related securities programs.	460-16-350	Financial statements. [Order 11, § 460-16-350, filed 3/3/72. Formerly WAC 308-132-176.] Repealed by
460-33A	Regulations concerning securities involv-		Order 304, filed 2/28/75, effective 4/1/75. See chapter
	ing mortgages, trust deeds or property		460-16A WAC.
	sales contracts.	460-16-390	Notice of termination of offering—Change of officers.
460-42A	Exempt securities.		[Order 11, § 460-16-390, filed 3/3/72. Formerly WAC 308-132-090.] Repealed by Order 304, filed 2/28/75,
460-44A	Exempt transactions.		effective 4/1/75. See chapter 460-16A WAC.
460-52A	Nonprofit organizations.	460-16-400	Promoter's investment. [Order 10, § 460-16-400, filed
460-60A	Financial statements and reports—Con-		11/12/71. Formerly WAC 308-132-180.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter
460 644	tents and filing requirements.		460-16A WAC.
460-64A 460-80	Capital requirements—Definitions. Franchise registration.	460-16-410	Promotional securities—Standard. [Order 11, § 460-16-410, filed 3/3/72. Formerly WAC 308-132-110.]
400-80	r ranchise registration.		
460-82	Broker.		Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-82	Broker.	460-16-420	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard.
460-82		460-16-420	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75,
460-82	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10	460-16-420 460-16-430	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. For-
460-82	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS		Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304,
460-82	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040,		Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. For-
460-82	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS		Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed
460-82 460-10-040	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC.	460-16-430	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by
460-82	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11,	460-16-430	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed
460-82 460-10-040	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC.	460-16-430	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. For-
460-82 460-10-040	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-	460-16-430 460-16-440	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304,
460-82 460-10-040	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Chapter 460-16	460-16-430 460-16-440	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. For-
460-82 460-10-040	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC.	460-16-430 460-16-440	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Voting rights. [Order 11, § 460-16-460, filed 3/3/72.
460-82 460-10-040	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Chapter 460-16 GENERAL RULES FOR ISSUANCE Opinion of counsel. [Order 11, § 460-16-050, filed	460-16-440 460-16-450	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Voting rights. [Order 11, § 460-16-460, filed 3/3/72. Formerly WAC 308-132-060.] Repealed by Order 304, Formerly WAC 308-132-060.] Repealed by Order 304,
460-10-040 460-10-100	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Chapter 460-16 GENERAL RULES FOR ISSUANCE Opinion of counsel. [Order 11, § 460-16-050, filed 3/3/72. Formerly WAC 308-132-080.] Repealed by	460-16-440 460-16-450	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Voting rights. [Order 11, § 460-16-460, filed 3/3/72.
460-10-040 460-10-100	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Chapter 460-16 GENERAL RULES FOR ISSUANCE Opinion of counsel. [Order 11, § 460-16-050, filed	460-16-440 460-16-450	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Voting rights. [Order 11, § 460-16-460, filed 3/3/72. Formerly WAC 308-132-060.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Voting rights. [Order 11, § 460-16-460, filed 3/3/72. Formerly WAC 308-132-060.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order
460-10-040 460-10-100	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Chapter 460-16 GENERAL RULES FOR ISSUANCE Opinion of counsel. [Order 11, § 460-16-050, filed 3/3/72. Formerly WAC 308-132-080.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Bond on treasurer. [Order 11, § 460-16-060, filed	460-16-440 460-16-450 460-16-460	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Voting rights. [Order 11, § 460-16-460, filed 3/3/72. Formerly WAC 308-132-060.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-112-500, filed 3/3/72. Formerly WAC 308-112-500.
460-10-040 460-10-100 460-16-050	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Chapter 460-16 GENERAL RULES FOR ISSUANCE Opinion of counsel. [Order 11, § 460-16-050, filed 3/3/72. Formerly WAC 308-132-080.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Bond on treasurer. [Order 11, § 460-16-060, filed 3/3/72. Formerly WAC 308-132-070.] Repealed by	460-16-440 460-16-450 460-16-460	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Voting rights. [Order 11, § 460-16-460, filed 3/3/72. Formerly WAC 308-132-060.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-10-040 460-10-100 460-16-050	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Chapter 460-16 GENERAL RULES FOR ISSUANCE Opinion of counsel. [Order 11, § 460-16-050, filed 3/3/72. Formerly WAC 308-132-080.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Bond on treasurer. [Order 11, § 460-16-060, filed	460-16-440 460-16-450 460-16-460	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Voting rights. [Order 11, § 460-16-460, filed 3/3/72. Formerly WAC 308-132-060.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Release of funds from impound. [Order 11, § 460-16-610.]
460-10-040 460-10-100 460-16-050	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Chapter 460-16 GENERAL RULES FOR ISSUANCE Opinion of counsel. [Order 11, § 460-16-050, filed 3/3/72. Formerly WAC 308-132-080.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Bond on treasurer. [Order 11, § 460-16-060, filed 3/3/72. Formerly WAC 308-132-070.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Subscription agreement. [Order 11, § 460-16-080, filed Subscription agreement. [Order 11, § 460-16-080, filed	460-16-430 460-16-440 460-16-450 460-16-460 460-16-510	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Voting rights. [Order 11, § 460-16-460, filed 3/3/72. Formerly WAC 308-132-060.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Release of funds from impound. [Order 11, § 460-16-520, filed 3/3/72. Formerly WAC 308-132-172.]
460-10-040 460-10-100 460-16-050 460-16-060	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Chapter 460-16 GENERAL RULES FOR ISSUANCE Opinion of counsel. [Order 11, § 460-16-050, filed 3/3/72. Formerly WAC 308-132-080.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Bond on treasurer. [Order 11, § 460-16-060, filed 3/3/72. Formerly WAC 308-132-070.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Subscription agreement. [Order 11, § 460-16-080, filed 3/3/72. Formerly WAC 308-132-186.] Repealed by	460-16-430 460-16-440 460-16-450 460-16-460 460-16-510	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Voting rights. [Order 11, § 460-16-460, filed 3/3/72. Formerly WAC 308-132-060.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Release of funds from impound. [Order 11, § 460-16-610.]
460-10-040 460-10-100 460-16-050 460-16-060	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Chapter 460-16 GENERAL RULES FOR ISSUANCE Opinion of counsel. [Order 11, § 460-16-050, filed 3/3/72. Formerly WAC 308-132-080.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Bond on treasurer. [Order 11, § 460-16-060, filed 3/3/72. Formerly WAC 308-132-070.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Subscription agreement. [Order 11, § 460-16-080, filed Subscription agreement. [Order 11, § 460-16-080, filed	460-16-430 460-16-440 460-16-450 460-16-460 460-16-510	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Voting rights. [Order 11, § 460-16-460, filed 3/3/72. Formerly WAC 308-132-060.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Release of funds from impound. [Order 11, § 460-16-520, filed 3/3/72. Formerly WAC 308-132-172.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-10-040 460-10-100 460-16-050 460-16-060	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Chapter 460-16 GENERAL RULES FOR ISSUANCE Opinion of counsel. [Order 11, § 460-16-050, filed 3/3/72. Formerly WAC 308-132-080.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Bond on treasurer. [Order 11, § 460-16-060, filed 3/3/72. Formerly WAC 308-132-070.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Subscription agreement. [Order 11, § 460-16-080, filed 3/3/72. Formerly WAC 308-132-186.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Offering circular. [Order 11, § 460-16-100, filed 3/3/72.	460-16-430 460-16-440 460-16-450 460-16-460 460-16-510	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Voting rights. [Order 11, § 460-16-460, filed 3/3/72. Formerly WAC 308-132-060.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Release of funds from impound. [Order 11, § 460-16-520, filed 3/3/72. Formerly WAC 308-132-172.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Release of funds from impound. [Order 11, § 460-16-520, filed 3/3/72. Formerly WAC 308-132-172.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.
460-10-040 460-10-100 460-16-050 460-16-060 460-16-080	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Chapter 460-16 GENERAL RULES FOR ISSUANCE Opinion of counsel. [Order 11, § 460-16-050, filed 3/3/72. Formerly WAC 308-132-080.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Bond on treasurer. [Order 11, § 460-16-060, filed 3/3/72. Formerly WAC 308-132-070.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Subscription agreement. [Order 11, § 460-16-080, filed 3/3/72. Formerly WAC 308-132-186.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Offering circular. [Order 11, § 460-16-080, filed 3/3/72. Formerly WAC 308-132-186.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Offering circular. [Order 11, § 460-16-100, filed 3/3/72. Formerly WAC 308-132-100.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.	460-16-430 460-16-440 460-16-450 460-16-460 460-16-510 460-16-520	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Voting rights. [Order 11, § 460-16-460, filed 3/3/72. Formerly WAC 308-132-060.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Release of funds from impound. [Order 11, § 460-16-520, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Release of funds from impound. [Order 11, § 460-16-520, filed 3/3/72. Formerly WAC 308-132-172.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Chapter 460-20 BROKER-DEALERS
460-10-040 460-10-100 460-16-050 460-16-060 460-16-080	Broker. DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE Chapter 460-10 DEFINITIONS Definitions—Costs of selling. [Order 11, § 460-10-040, filed 3/3/72. Formerly WAC 308-132-020.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Definitions—Recognized securities manuals. [Order 11, § 460-10-100, filed 3/3/72. Formerly WAC 308-132-178.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-10A WAC. Chapter 460-16 GENERAL RULES FOR ISSUANCE Opinion of counsel. [Order 11, § 460-16-050, filed 3/3/72. Formerly WAC 308-132-080.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Bond on treasurer. [Order 11, § 460-16-060, filed 3/3/72. Formerly WAC 308-132-070.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Subscription agreement. [Order 11, § 460-16-080, filed 3/3/72. Formerly WAC 308-132-186.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Offering circular. [Order 11, § 460-16-100, filed 3/3/72.	460-16-430 460-16-440 460-16-450 460-16-460 460-16-510	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Reimbursement for promotion expense—Standard. [Order 11, § 460-16-420, filed 3/3/72. Formerly WAC 308-132-120.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Escrow. [Order 11, § 460-16-430, filed 3/3/72. Formerly WAC 308-132-030.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Consent to transfer. [Order 11, § 460-16-440, filed 3/3/72. Formerly WAC 308-132-040.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Waivers. [Order 11, § 460-16-450, filed 3/3/72. Formerly WAC 308-132-050.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Voting rights. [Order 11, § 460-16-460, filed 3/3/72. Formerly WAC 308-132-060.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Rules relating to impound—Impound of funds. [Order 11, § 460-16-510, filed 3/3/72. Formerly WAC 308-132-170.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Release of funds from impound. [Order 11, § 460-16-520, filed 3/3/72. Formerly WAC 308-132-172.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC. Release of funds from impound. [Order 11, § 460-16-520, filed 3/3/72. Formerly WAC 308-132-172.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-16A WAC.

[Title 460 WAC—p. 1]

11116 400	Title 400 WAC. Securities Di	ivision (Financia	ii institutions)
460-20-120	130.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC. Minimum net capital requirement rule—Definitions. [Order 11, § 460-20-120, filed 3/3/72. Formerly WAC 308-132-132.] Repealed by Order 304, filed 2/28/75,	460-20A-100	Minimum net capital requirement for broker-dealers. [Order 304, § 460-20A-100, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.
460-20-130	effective 4/1/75. See chapter 460-20A WAC. Minimum net capital requirement rule—Exceptions. [Order 11, § 460-20-130, filed 3/3/72. Formerly WAC 308-132-134.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC.	460-20A-105	Net capital defined. [Order 304, § 460-20A-105, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.
460-20-200	Rules relating to broker-dealers—Records required of dealers. [Order 11, § 460-20-200, filed 3/3/72. Formerly WAC 308-132-162.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC.	460-20A-200	Books and records of broker-dealers. [Order 304, § 460-20A-200, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW
460-20-300	Rules relating to broker-dealers—Unethical conduct. [Order 11, § 460-20-300, filed 3/3/72. Formerly WAC 308-132-164.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC.	460-20A-205	21.20.070 and 21.20.450. Preservation of records. [Order 304, § 460-20A-205, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective
460-20-320 460-20-400	Rules relating to broker-dealers—Switching mutual funds. [Order 11, § 460-20-320, filed 3/3/72. Formerly WAC 308-132-166.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC. Rules relating to broker-dealers—Salesman for only one	460-20A-210	8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450. Notice of changes by broker-dealers. [Statutory Authority: RCW 21.20.450. 85-23-063 (Order SDO-220-85), 2460.200.2010. Float 11/10/95: 85.16.069 (Order SDO-200-85).
460-20-410	issuer. [Order 11, § 460-20-400, filed 3/3/72. Formerly WAC 308-132-180.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC. Rules relating to broker-dealers—Part-time salesman.		§ 460-20A-210, filed 11/19/85; 85-16-068 (Order SDO- 128-85), § 460-20A-210, filed 8/1/85; Order 304, § 460- 20A-210, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW
100 20 110	[Order 11, § 460-20-410, filed 3/3/72. Formerly WAC 308-132-182.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-20A WAC.	460-20A-215	21.20.070 and 21.20.450. Notice of complaint. [Order 304, § 460-20A-215, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective
	Chapter 460-20A BROKER-DEALERS AND SALESMEN		8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.
460-20A-005	Definitions. [Order 304, § 460-20A-005, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.	460-20A-220	Salesperson registration and examination. [Statutory Authority: RCW 21.20.070 and 21.20.450. 89-17-077 (Order SD0-123-89), § 460-20A-220, filed 8/17/89, effective 9/17/89. Statutory Authority: RCW 21.20
460-20A-008	Fraudulent practices of broker-dealers and sales agents. [Statutory Authority: RCW 21.20.010, 21.20.110 and 21.20.450. 89-17-079 (Order SDO-126-89), § 460-20A-008, filed 8/17/89, effective 9/17/89.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.		070, 21.20.080, 21.20.340 and 21.20.450. 88-17-011 (Order SDO-047-88), § 460-20A-220, filed 8/8/88. Statutory Authority: RCW 21.20.450. 85-23-063 (Order SDO-220-85), § 460-20A-220, filed 11/19/85; 85-16-068 (Order SDO-128-85), § 460-20A-220, filed 8/1/85; 82-02-033 (Order SDO-149-81), § 460-20A-220, filed
460-20A-010	Churning. [Order 304, § 460-20A-010, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.		12/31/81; 80-04-037 (Order SDO-37-80), § 460-20A-220, filed 3/19/80; Order 304, § 460-20A-220, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective
460-20A-015	Confirmation of transactions. [Order 304, § 460-20A-015, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.	460-20A-225	8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450. Exemptions from salesmen examinations. [Order 304, § 460-20A-225, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 88-17-011 (Order
460-20A-020	Disclosure of control of issuer. [Order 304, § 460-20A-020, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.	460-20A-230	SDÖ-047-88), filed 8/8/88. Statutory Authority: RCW 21.20.450. Broker-dealer registration and examination. [Statutory Authority: RCW 21.20.070 and 21.20.450. 89-17-077 (Order SD0-123-89), § 460-20A-230, filed 8/17/89,
460-20A-025	Disclosure of interest in distributions. [Order 304, § 460-20A-025, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.		effective 9/17/89. Statutory Authority: RCW 21.20070, 21.20.080, 21.20.340 and 21.20.450. 88-17-011 (Order SDO-047-88), § 460-20A-230, filed 8/8/88. Statutory Authority: RCW 21.20.450. 85-23-063 (Order SDO-220-85), § 460-20A-230, filed 11/19/85; 85-16-
460-20A-030	Record of transactions in discretionary accounts. [Order 304, § 460-20A-030, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.		068 (Order SDO-128-85), \$ 460-20A-230, filed 8/1/85; 82-02-033 (Order SDO-149-81), \$ 460-20A-230, filed 12/31/81; Order 342, \$ 460-20A-230, filed 9/29/75; Order 304, \$ 460-20A-230, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by
460-20A-035	Control of the market. [Order 304, § 460-20A-035, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.	460-20A-235	95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450. Condominium salesmen and broker-dealers. [Order 304, § 460-20A-235, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026,
460-20A-045	Transmission or maintenance of payments received in connection with underwritings. [Order 304, § 460-20A-045, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.	460-20A-400	filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450. Dual representation and affiliation. [Statutory Authority: RCW 21.20.450. 90-09-058, § 460-20A-400, filed 4/17/90, effective 5/18/90; 85-23-063 (Order SDO-220-85), § 460-20A-400, filed 11/19/85; 85-16-068 (Order SDO-240-400), filed 11
460-20A-050	Disclosure and other requirements when extending or arranging credit in certain transactions. [Order 304, § 460-20A-050, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.		SDO-128-85), § 460-20A-400, filed 8/1/85; Order 342, § 460-20A-400, filed 9/29/75; Order 304, § 460-20A-400, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.

[Title 460 WAC—p. 2] (6/13/12)

[Title 460 WAC—p. 3]

460-20A-405	Receipt of both securities sales commission and investment adviser fees. [Statutory Authority: RCW 21.20.450. 85-03-042 (Order SDO-1-85), § 460-20A-405, filed 1/11/85.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.	460-24-210	300.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC. Compensation. [Order 11, § 460-24-210, filed 3/3/72. Formerly WAC 308-132-310.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.
460-20A-410	Part-time salesman or investment adviser salesman. [Order 342, § 460-20A-410, filed 9/29/75; Order 304, § 460-20A-410, filed 2/28/75, effective 4/1/75. Formerly chapter 460-20 WAC.] Repealed by 95-16-026, filed	460-24-220	Authority of investment adviser. [Order 11, § 460-24-220, filed 3/3/72. Formerly WAC 308-132-340.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.
460-20A-415	7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450. Broker-dealer financial statement. [Order 304, § 460-20A-415, filed 2/28/75, effective 4/1/75. Formerly	460-24-300	Inequitable and fraudulent practices. [Order 11, § 460-24-300, filed 3/3/72. Formerly WAC 308-132-320.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.
	chapter 460-20 WAC.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory Authority: RCW 21.20.070 and 21.20.450.		Chapter 460-28 ADVERTISING
460-20A-420	Dishonest or unethical business practices—Broker-dealers. [Statutory Authority: RCW 21.20.010, 21.20.110 and 21.20.450. 89-17-079 (Order SDO-126-89), § 460-20A-420, filed 8/17/89, effective 9/17/89. Statutory	460-28-010	Advertising. [Order 11, § 460-28-010, filed 3/3/72.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-28A WAC.
	Authority: RCW 21.20.450. 85-02-023 (Order SDO-202-84), § 460-20A-420, filed 12/27/84.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory	RE	Chapter 460-31A EAL ESTATE PROGRAMS EXCEEDING FIVE MILLION DOLLARS
460-20A-425	Authority: RCW 21.20.070 and 21.20.450. Dishonest or unethical business practices—Salespersons. [Statutory Authority: RCW 21.20.010, 21.20.110 and 21.20.450. 89-17-079 (Order SDO-126-89), § 460-	460-31A-410	Application. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-410, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
	20A-425, filed 8/17/89, effective 9/17/89. Statutory Authority: RCW 21.20.450. 85-02-023 (Order SDO- 202-84), § 460-20A-425, filed 12/27/84.] Repealed by 95-16-026, filed 7/21/95, effective 8/21/95. Statutory	460-31A-415	Definitions. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-415, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
	Authority: RCW 21.20.070 and 21.20.450. Chapter 460-24 INVESTMENT ADVISERS	460-31A-420	Experience of sponsor. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-420, filed 11/21/83.] Repealed by 91-04-012, filed
460-24-010	Investment advisers—Where rules apply. [Order 11, § 460-24-010, filed 3/3/72. Formerly WAC 308-132-200.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.	460-31A-425	1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Net worth of sponsor. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-425. Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-425. Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-425. Statutory Authority: RCW 21.20.450. Statutory Authority: RCW 21.20.450.
460-24-030	Use of the term "investment counsel." [Order 11, § 460-24-030, filed 3/3/72. Formerly WAC 308-132-330.] Repealed by Order 304, filed 2/28/75, effective 4/1/75.	460-31A-430	425, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Reports to administrator. [Statutory Authority: RCW
460-24-050	See chapter 460-24A WAC. Use of the term "investment counsel"—Examination required. [Order 11, § 460-24-050, filed 3/3/72. Formerly WAC 308-132-210.] Repealed by Order 304,		21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-430, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-24-060	filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC. Use of the term "investment counsel"—Financial statements required of investment advisers. [Order 11, § 460-	460-31A-435	Liability of sponsor. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-435, filed 11/21/83.] Repealed by 91-04-012, filed
	24-060, filed 3/3/72. Formerly WAC 308-132-220.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.	460-31A-440	1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Suitability standards for the participants. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-
460-24-100	Advertising—General policy. [Order 11, § 460-24-100, filed 3/3/72. Formerly WAC 308-132-230.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 4/6/24A WAC 344 Fig. 3/24 PM.	460 214 445	215-83), § 460-31A-440, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-24-110	ter 460-24A WAC. Advertising—Matters of general application. [Order 11, § 460-24-110, filed 3/3/72. Formerly WAC 308-132-240.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.	460-31A-445	Sales to appropriate persons. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-445, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-24-120	Advertising—Opinions and conclusions. [Order 11, § 460-24-120, filed 3/3/72. Formerly WAC 308-132-250.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.	460-31A-450	Maintenance of record of suitability. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-450, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Author-
460-24-130	Advertising—Descriptive superlatives. [Order 11, § 460-24-130, filed 3/3/72. Formerly WAC 308-132-260.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.	460-31A-455	ity: RCW 21.20.450. Minimum investment of participant. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-455, filed 11/21/83.] Repealed by 91-04-
460-24-140	Advertising—Guarantees of success. [Order 11, § 460-24-140, filed 3/3/72. Formerly WAC 308-132-270.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.	460-31A-460	012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Fees, compensation and expenses. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), §
460-24-150	Advertising—Selected past recommendations. [Order 11, § 460-24-150, filed 3/3/72. Formerly WAC 308-132-280.] Repealed by Order 304, filed 2/28/75, effec-	460 214 465	460-31A-460, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-24-160	tive 4/1/75. See chapter 460-24A WAC. Advertising—Refunds. [Order 11, § 460-24-160, filed 3/3/72. Formerly WAC 308-132-290.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-24A WAC.	460-31A-465	Organization and offering expenses. [Statutory Authority: RCW 21.20.450, 83-23-087 (Order SDO-215-83), § 460-31A-465, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-24-200	Records required of investment advisers. [Order 11, § 460-24-200, filed 3/3/72. Formerly WAC 308-132-	460-31A-470	Investment in properties. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-

(6/13/12)

	470, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW		215-83), § 460-31A-555, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory
460-31A-475	21.20.450. Program management fee. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-475, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-31A-560	Authority: RCW 21.20.450. Completion bond requirements. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-560, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-31A-480	Promotional interest. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-480, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-31A-565	Requirement for real property appraisal. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-565, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-31A-485	Real estate commissions on resale. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-485, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-31A-570	Nonspecified property programs. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-570, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-31A-490	Property management fee. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-490, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-31A-575	Minimum capitalization. [Statutory Authority: RCW 21.20.450, 83-23-087 (Order SDO-215-83), § 460-31A-575, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-31A-495	Insurance services. [Statutory Authority: RCW 21.20450. 83-23-087 (Order SDO-215-83), § 460-31A-495, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20450.	460-31A-580	Experience of sponsor. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-580, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-31A-500	Sales, leases, loans, and related programs. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-500, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-31A-585	Statement of investment objectives. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-585, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-31A-505	Exchange of limited partnership interests. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-505, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-31A-590	Period of offering and expenditure of proceeds. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-590, filled 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-31A-510	Exclusive agreement. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-510, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-31A-595 460-31A-600	Special reports. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-595, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Assessments. [Statutory Authority: RCW 21.20.450.
460-31A-515	Sales commissions on reinvestment or distribution. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-515, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91.	460-31A-605	83-23-087 (Order SDO-215-83), § 460-31A-600, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Multiple programs. [Statutory Authority: RCW
460-31A-520	Statutory Authority: RCW 21.20.450. Expenses of the program. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-520, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW	460-31A-610	21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-605, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Rights and obligations of participants—Meetings. [Stat-
460-31A-525	21.20.450. Reimbursement of costs. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-525, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW	460-31A-615	utory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-610, filled 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Voting rights of limited partners. [Statutory Authority:
460-31A-530	21.20.450. Other services by sponsor. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-530, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW	460-31A-620	RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-615, filed 1/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Reports to holders of limited partnership interests. [Stat-
460-31A-535	21.20.450. Rebates, kickbacks and reciprocal arrangements. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-535, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91.	460-31A-625	utory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-620, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Access to records. [Statutory Authority: RCW 21.20
460-31A-540	Statutory Authority: RCW 21.20.450. Commingling. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-540, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-31A-630	450. 83-23-087 (Order SDO-215-83), § 460-31A-625, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20450. Admission of participants. [Statutory Authority: RCW
460-31A-545	Investments in other programs. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-545, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority:		21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-630, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-31A-550	RCW 21.20.450. Lending practices. [Statutory Authority: RCW 21.20450. 83-23-087 (Order SDO-215-83), § 460-31A-550, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20	460-31A-635	Redemption of program interests. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-635, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-31A-555	450. Development or construction contract. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-	460-31A-640	Transferability of program interests. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-640, filed 11/21/83.] Repealed by 91-04-

[Title 460 WAC—p. 4] (6/13/12)

460-31A-645	012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Assessments and defaults. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-		215-83), § 460-31A-730, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
	645, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW		Chapter 460-32 RULES FOR LIMITED PARTNERSHIPS
460-31A-650	21.20.450. Sales literature. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-650, filed	460-32-010	Preamble. [Order 10, § 460-32-010, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.
460-31A-655	11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Group meetings. [Statutory Authority: RCW 21.20.450.	460-32-020	Definitions. [Order 10, § 460-32-020, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.
	83-23-087 (Order SDO-215-83), § 460-31A-655, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-32-030	Investors, stockholders, officers, directors. [Order 10, § 460-32-030, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A
460-31A-660	Contents of prospectus. [Statutory Authority: RCW 21.20.450.83-23-087 (Order SDO-215-83), § 460-31A-660, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW	460-32-040	WAC. Duration. [Order 10, § 460-32-040, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.
460-31A-665	21.20.450. Use of forecasts. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-665, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effec-	460-32-050	Dissolution. [Order 10, § 460-32-050, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.
460-31A-670	tive 2/25/91. Statutory Authority: RCW 21.20.450. Forecasts for specified property programs. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-	460-32-060	Promotional interests. [Order 10, § 460-32-060, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.
	215-83), § 460-31A-670, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-32-070	Participation in profits. [Order 10, § 460-32-070, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.
460-31A-675	Realistic forecasts. [Statutory Authority: RCW 21.20-450. 83-23-087 (Order SDO-215-83), § 460-31A-675, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91,	460-32-080	Transferability. [Order 10, § 460-32-080, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.
460-31A-680	effective 2/25/91. Statutory Authority: RCW 21.20450. Material information. [Statutory Authority: RCW	460-32-090	Affiliates-fees, commissions. [Order 10, § 460-32-090, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.
400 3171 000	21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-680, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW	460-32-100	Real estate transactions. [Order 10, § 460-32-100, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.
460-31A-685	21.20.450. Presentation of forecasts. [Statutory Authority: RCW	460-32-110	Limited partnership assessments. [Order 10, § 460-32-110, filed 11/12/71.] Repealed by Order 304, filed
	21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-685, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-32-120	2/28/75, effective 4/1/75. See chapter 460-32A WAC. Default. [Order 10, § 460-32-120, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.
460-31A-690	Additional disclosures and limitations. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-690, filed 11/21/83.] Repealed by	460-32-130	Promissory notes. [Order 10, § 460-32-130, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.
460-31A-695	91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Forecasts for unimproved property programs. [Statutory	460-32-140	Finders fees. [Order 10, § 460-32-140, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.
	Authority: RCW 21.20.450. 83-23-087 (Order SDO- 215-83), § 460-31A-695, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory	460-32-150 460-32-160	Disclaimer. [Order 10, § 460-32-150, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC. Obligations. [Order 10, § 460-32-160, filed 11/12/71.]
460-31A-700	Authority: RCW 21.20.450. Fiduciary duty. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-700, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effec-	460-32-170	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC. Surrounding property. [Order 10, § 460-32-170, filed
460-31A-705	tive 2/25/91. Statutory Authority: RCW 21.20.450. Deferred payments. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-	460-32-180	11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC. Financial disclosures. [Order 10, § 460-32-180, filed
	705, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-32-190	11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC. Impound. [Order 10, § 460-32-190, filed 11/12/71.]
460-31A-710	Reserves. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-215-83), § 460-31A-710, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effec-	460-32-200	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC. Trust accounts. [Order 10, § 460-32-200, filed
460-31A-715	tive 2/25/91. Statutory Authority: RCW 21.20.450. Reinvestment of cash flow and proceeds on disposition of property. [Statutory Authority: RCW 21.20.450. 83-	460-32-210	11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC. Insurance. [Order 10, § 460-32-210, filed 11/12/71.]
	23-087 (Order SDO-215-83), § 460-31A-715, filed 11/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-32-220	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC. Offering circular disclosures. [Order 10, § 460-32-220,
460-31A-720	Financial information required on application. [Statutory Authority: RCW 21.20.180(8) and 21.20.210(14). 83-23-087 (Order SDO-215-83), § 460-31A-720, filed 1/21/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-32-230	filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC. Exceptions. [Order 10, § 460-32-230, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-32A WAC.
460-31A-725	Opinions of counsel. [Statutory Authority: RCW 21.20.450.83-23-087 (Order SDO-215-83), § 460-31A-725, filed 11/21/83.] Repealed by 91-04-012, filed	460.041.015	Chapter 460-34A OIL AND GAS PROGRAMS
460-31A-730	1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Provisions of the partnership agreement. [Statutory Authority: RCW 21.20.450. 83-23-087 (Order SDO-	460-34A-010	Application. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-010, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

[Title 460 WAC—p. 5]

Title 460

460-34A-015	Definitions. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-015, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-34A-095	Cash redemption values. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-095, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW
460-34A-020	Net worth, experience and investment requirements of sponsor. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-020, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-34A-100	21.20.450. Future exchange. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-100, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20
460-34A-025	Participants suitability standards. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-025, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-34A-105	450. Reinvestment of revenues. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-105, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW
460-34A-030	Minimum investment. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-030, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-34A-110	21.20.450. Distribution of revenues. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-110, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW
460-34A-035	Fees, compensation and expenses. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-035, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-34A-112	21.20.450. Selling of units. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-112, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effec- tive 2/25/91. Statutory Authority: RCW 21.20.450.
460-34A-037	Organization and offering expenses, and management fees. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-037, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91.	460-34A-115	Sales materials and marketing restrictions. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-115, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-34A-040	Statutory Authority: RCW 21.20.450. Promotional compensation. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-040, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-34A-120	Contents of the prospectus. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-120, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-34A-045	21.20.450. Program expenses. [Statutory Authority: RCW 21.20.450.83-19-035 (Order SDO-181-83), § 460-34A-045, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20-450.	460-34A-125	Financial information required on applications. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-125, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-34A-050	Transactions with affiliates. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-050, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-34A-130	Opinions of counsel. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-130, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-34A-055	Farm-outs. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-055, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-34A-135	Liability and indemnification. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-135, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-34A-060	Rights and obligations of participants. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-060, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-34A-200	Regulation B filings. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-200, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW
460-34A-065	Assessability and defaults. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-065, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW		21.20.450. Chapter 460-36 FOR REAL ESTATE INVESTMENT TRUSTS
460-34A-070	21.20.450. Voting rights of limited partners. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-070, filed 9/14/83.] Repealed by 91-04-012,	460-36-010	Preamble. [Order 10, § 460-36-010, filed 11/12/71. Formerly WAC 308-132-136.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.
460-34A-075	filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Minimum program capital. [Statutory Authority: RCW 21.20.250 and 21.20.450. 83-19-035 (Order SDO-181-	460-36-100	Trustees. [Order 10, § 460-36-100, filed 11/12/71. Formerly WAC 308-132-140.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.
460 244 080	83), § 460-34A-075, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-36-110	Self dealing. [Order 10, § 460-36-110, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.
460-34A-080	Temporary investment of proceeds. [Statutory Authority: RCW 21.20.250 and 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-080, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-36-120 460-36-130	Fees and expenses. [Order 10, § 460-36-120, filed 11/12/71. Formerly WAC 308-132-152.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC. Leverage. [Order 10, § 460-36-130, filed 11/12/71.]
460-34A-085	Return of unused proceeds. [Statutory Authority: RCW 21.20.250 and 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-085, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Author-	460-36-140	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC. Minimum capital. [Order 10, § 460-36-140, filed 11/12/71. Formerly WAC 308-132-146.] Repealed by
460-34A-090	ity: RCW 21.20.450. Deferred payments. [Statutory Authority: RCW 21.20.450. 83-19-035 (Order SDO-181-83), § 460-34A-090, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-36-150	Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC. Other limitations. [Order 10, § 460-36-150, filed 11/12/71. Formerly WAC 308-132-158.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.

[Title 460 WAC—p. 6] (6/13/12)

460-36-160	Advisory contract. [Order 10, § 460-36-160, filed 11/12/71. Formerly WAC 308-132-150.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.	460-36A-110	Trustees. [Statutory Authority: RCW 21.20.450. 83-19-036 (Order SDO-180-83), § 460-36A-110, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-36-170	Reports and meetings. [Order 10, § 460-36-170, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.	460-36A-115	Investment policy. [Statutory Authority: RCW 21.20.450, 83-19-036 (Order SDO-180-83), § 460-36A-115, filed 9/14/83.] Repealed by 91-04-012, filed
460-36-180	Application to prior filings. [Order 10, § 460-36-180, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-36A WAC.	460-36A-120	1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Liability of shareholders. [Statutory Authority: RCW
]	Chapter 460-36A REAL ESTATE INVESTMENT TRUSTS		21.20.450. 83-19-036 (Order SDO-180-83), § 460-36A-120, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW
460-36A-010	Amendment of declaration of trust. [Order 304, § 460-		21.20.450.
400 30/1 010	36A-010, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.	460-36A-125	Reports and meetings. [Statutory Authority: RCW 21.20.450. 83-19-036 (Order SDO-180-83), § 460-36A-125, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-36A-015	Investment policy. [Order 304, § 460-36A-015, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.	460-36A-130	Special meetings. [Statutory Authority: RCW 21.20450. 83-19-036 (Order SDO-180-83), § 460-36A-130, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20
460-36A-020	Minimum net capital. [Order 304, § 460-36A-020, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by 83-19-036 (Order SDO-180-83),	460-36A-135	450. Inspection of records. [Statutory Authority: RCW 21.20.450. 83-19-036 (Order SDO-180-83), § 460-36A-
460-36A-025	filed 9/14/83. Statutory Authority: RCW 21.20.450. Annual expenses. [Order 304, § 460-36A-025, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by 83-19-036 (Order SDO-180-83),	460-36A-140	135, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Distributions. [Statutory Authority: RCW 21.20.450.
460-36A-030	filed 9/14/83. Statutory Authority: RCW 21.20.450. Investment and activities. [Order 304, § 460-36A-030, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by 83-19-036 (Order SDO-180-83),		83-19-036 (Order SDO-180-83), § 460-36A-140, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-36A-035	filed 9/14/83. Statutory Authority: RCW 21.20.450. Period of investment advisory contract. [Order 304, § 460-36A-035, filed 2/28/75, effective 4/1/75. Formerly	460-36A-145	Change in declaration of trust. [Statutory Authority: RCW 21.20.450. 83-19-036 (Order SDO-180-83), § 460-36A-145, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority:
	chapter 460-36 WAC.] Repealed by 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.	460-36A-150	RCW 21.20.450. Termination of REIT. [Statutory Authority: RCW 21.20.450. 83-19-036 (Order SDO-180-83), § 460-36A-
460-36A-040	Number and election of trustees. [Order 304, § 460-36A-040, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority:	460-36A-155	150, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450. Advisory contract. [Statutory Authority: RCW 21.20
460-36A-045	RCW 21.20.450. Removal of trustees. [Order 304, § 460-36A-045, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by 83-19-036 (Order SDO-180-83), filed 0/14/82. Statutory Authority: PCW 21.20.450.		450. 83-19-036 (Order SDO-180-83), § 460-36A-155, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20-450.
460-36A-050	filed 9/14/83. Statutory Authority: RCW 21.20.450. Terms and conditions of securities. [Order 304, § 460-36A-050, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority:	460-36A-160	Adviser compensation. [Statutory Authority: RCW 21.20.450. 83-19-036 (Order SDO-180-83), § 460-36A-160, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-36A-055	RCW 21.20.450. Annual meetings. [Order 304, § 460-36A-055, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.	460-36A-165	Total expenses. [Statutory Authority: RCW 21.20.450. 83-19-036 (Order SDO-180-83), § 460-36A-165, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-36A-060	Annual reports. [Order 304, § 460-36A-060, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.	460-36A-170	Leverage. [Statutory Authority: RCW 21.20.450. 83-19-036 (Order SDO-180-83), § 460-36A-170, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-36A-065	Inspection of records. [Order 304, § 460-36A-065, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.	460-36A-175	Minimum capital. [Statutory Authority: RCW 21.20450. 83-19-036 (Order SDO-180-83), § 460-36A-175, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20450.
460-36A-070	Disclosure on distribution. [Order 304, § 460-36A-070, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.	460-36A-180	Appraisal. [Statutory Authority: RCW 21.20.450. 83-19-036 (Order SDO-180-83), § 460-36A-180, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.
460-36A-075	Termination of trust. Order 304, § 460-36A-075, filed 2/28/75, effective 4/1/75. Formerly chapter 460-36 WAC.] Repealed by 83-19-036 (Order SDO-180-83), filed 9/14/83. Statutory Authority: RCW 21.20.450.	460-36A-185	Indemnification. [Statutory Authority: RCW 21.20450. 83-19-036 (Order SDO-180-83), § 460-36A-185, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20
460-36A-100	Definitions of terms. [Statutory Authority: RCW 21.20.450. 83-19-036 (Order SDO-180-83), § 460-36A-100, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-36A-190	450. Other limitations. [Statutory Authority: RCW 21.20450. 83-19-036 (Order SDO-180-83), § 460-36A-190, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20
460-36A-105	Fairness of REIT offerings. [Statutory Authority: RCW 21.20.450. 83-19-036 (Order SDO-180-83), § 460-36A-105, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.	460-36A-195	450. Implementation. [Statutory Authority: RCW 21.20.450. 83-19-036 (Order SDO-180-83), § 460-36A-195, filed 9/14/83.] Repealed by 91-04-012, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450.

[Title 460 WAC—p. 7]

	Chapter 460-40A	460-46A-055	Voting rights of common stock. [Statutory Authority:
460-40A-015	INVESTMENT COMPANIES Prohibition on promotional shares. [Order 304, § 460-40A-015, filed 2/28/75, effective 4/1/75.] Repealed by		RCW 21.20.450 and 21.20.320(9). 91-04-011, § 460-46A-055, filed 1/25/91, effective 2/25/91.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory
460-40A-020	98-01-071, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.	460-46A-060	Authority: RCW 21.20.450, 21.20.320(9). Promoter—Definition. [Statutory Authority: RCW 21.20.320(9) and 21.20.450. 82-20-068 (Order SDO-
400-40A-020	Prohibition on options. [Order 304, § 460-40A-020, filed 2/28/75, effective 4/1/75.] Repealed by 98-01-071, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.		116-82), § 460-46A-060, filed 10/5/82.] Repealed by 89-07-042 (Order SDO-035-89), filed 3/13/89. Statutory Authority: RCW 21.20.320(9) and 21.20.450.
460-40A-025	Selling expenses. [Order 304, § 460-40A-025, filed 2/28/75, effective 4/1/75.] Repealed by 96-11-018, filed 5/6/96, effective 6/6/96. Statutory Authority: RCW 21.20.450.	460-46A-061	Availability of corporate limited offering exemption for debt offerings—Debt service requirements. [Statutory Authority: RCW 21.20.450 and 21.20.320(9). 91-04-011, § 460-46A-061, filed 1/25/91, effective 2/25/91.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00.
460-40A-030	Management fee. [Order 304, § 460-40A-030, filed 2/28/75, effective 4/1/75.] Repealed by 79-09-028 (Order SD-57-79), filed 8/14/79. Statutory Authority: RCW 21.20.450.	460-46A-065	Statutory Authority: RCW 21.20.450, 21.20.320(9). Availability of corporate limited offering exemption for debt offerings not meeting the debt service requirements
460-40A-040	Insurance plan. [Order 304, § 460-40A-040, filed 2/28/75, effective 4/1/75.] Repealed by 98-01-071, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.		of WAC 460-46A-060. [Statutory Authority: RCW 21.20.450 and 21.20.320(9). 91-04-011, § 460-46A-065, filed 1/25/91, effective 2/25/91.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).
	Chapter 460-46A	460-46A-070	Cheap and promotional shares—Definition. [Statutory Authority: RCW 21.20.320(9) and 21.20.450. 82-20-
460-46A-010	Corporate limited offering exemption—Conditions to		068 (Order SDO-116-82), § 460-46A-070, filed 10/5/82.] Repealed by 89-07-042 (Order SDO-035-89),
	be met. [Statutory Authority: RCW 21.20.450, 21.20320 (1), (9) and (17) and 21.20.340(11). 90-09-059, § 460-46A-010, filed 4/17/90, effective 5/18/90. Statutory	460-46A-071	filed 3/13/89. Statutory Authority: RCW 21.20.320(9) and 21.20.450. Availability of corporate limited offering exemption for
	Authority: RCW 21.20.320(9) and 21.20.450. 89-07-042 (Order SDO-035-89), § 460-46A-010, filed		offerings of preferred stock. [Statutory Authority: RCW 21.20.450 and 21.20.320(9). 91-04-011, § 460-46A-
	3/13/89; 82-20-068 (Order SDO-116-82), § 460-46A-010, filed 10/5/82.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW		071, filed 1/25/91, effective 2/25/91.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).
460-46A-020	21.20.450, 21.20.320(9). Availability of exemption. [Statutory Authority: RCW	460-46A-072	Prohibited practices with regard to preferred stock. [Statutory Authority: RCW 21.20.450 and 21.20.320
	21.20.450 and 21.20.320(9). 91-04-011, § 460-46A-020, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and		(9). 91-04-011, § 460-46A-072, filed 1/25/91, effective 2/25/91.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20
	21.20.340(11), 90-09-059, § 460-46A-020, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW	460-46A-080	320(9). Stock options. [Statutory Authority: RCW 21.20.320(9)
	21.20.320(9) and 21.20.450. 86-18-012 (Order SDO-114-86), § 460-46A-020, filed 8/22/86; 83-15-025 (Order SDO-95-83), § 460-46A-020, filed 7/15/83; 82-	100 1011 000	and 21.20.450. 83-15-025 (Order SDO-95-83), § 460-46A-080, filed 7/15/83; 82-20-068 (Order SDO-116-82), § 460-46A-080, filed 10/5/82.] Repealed by 89-07-
	20-068 (Order SDO-116-82), § 460-46A-020, filed 10/5/82.] Repealed by 00-04-095, filed 2/2/00, effective		042 (Order SDO-035-89), filed 3/13/89. Statutory Authority: RCW 21.20.320(9) and 21.20.450.
460 464 025	3/4/00. Statutory Authority: RCW 21.20.450, 21.20320(9).	460-46A-085	Inapplicability of cheap and promotional share, and stock option, restrictions. [Statutory Authority: RCW
460-46A-025	No sales commission. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340 (11). 90-09-059, § 460-46A-025, filed 4/17/90, effective		21.20.320(9) and 21.20.450. 83-15-025 (Order SDO-95-83), § 460-46A-085, filed 7/15/83; 82-20-068 (Order SDO-116-82), § 460-46A-085, filed 10/5/82.] Repealed
	5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. 82-20-068 (Order SDO-116-82), § 460-46A-		by 89-07-042 (Order SDO-035-89), filed 3/13/89. Statutory Authority: RCW 21.20.320(9) and 21.20.450.
	025, filed 10/5/82.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).	460-46A-090	Disclosure document. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340
460-46A-030	Affiliate—Definition. [Statutory Authority: RCW 21.20.320(9) and 21.20.450. 82-20-068 (Order SDO-		(11). 90-09-059, § 460-46A-090, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. 89-07-042 (Order SDO-035-89), § 460-46A-
	116-82), § 460-46A-030, filed 10/5/82.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory		090, filed 3/13/89; 86-18-012 (Order SDO-114-86), \$ 460-46A-090, filed 8/22/86; 83-15-025 (Order SDO-95-
460-46A-040	Authority: RCW 21.20.450, 21.20.320(9). Maximum number of purchasers under exemption. [Statutory Authority: RCW 21.20.450 and 21.20.320		83), \$ 460-46A-090, filed 7/15/83; 82-20-068 (Order SDO-116-82), \$ 460-46A-090, filed 10/5/82.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory
	(9). 91-04-011, § 460-46A-040, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450, 21.20	460-46A-091	Authority: RCW 21.20.450, 21.20.320(9). Advertisements. [Statutory Authority: RCW 21.20.320
	320 (1), (9) and (17) and 21.20.340(11). 90-09-059, § 460-46A-040, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. 87-15-		(9) and 21.20.450. 83-15-025 (Order SDO-95-83), § 460-46A-091, filed 7/15/83.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority:
	084 (Order SDO-80-87), § 460-46A-040, filed 7/20/87; 82-20-068 (Order SDO-116-82), § 460-46A-040, filed	460-46A-092	RCW 21.20.450, 21.20.320(9). Financial statements. [Statutory Authority: RCW
	10/5/82.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20320(9).		21.20.320(9) and 21.20.450. 89-07-042 (Order SDO-035-89), § 460-46A-092, filed 3/13/89.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory
460-46A-050	Promotional shares. [Statutory Authority: RCW 21.20450. 96-11-015, § 460-46A-050, filed 5/6/96, effective	460-46A-095	Authority: RCW 21.20.450, 21.20.320(9). Price of shares. [Statutory Authority: RCW 21.20.450
	6/6/96; 95-17-068, § 460-46A-050, filed 8/16/95, effective 9/16/95. Statutory Authority: RCW 21.20.320(9)		and 21.20.320(9). 91-04-011, § 460-46A-095, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20, 455, 21.20, 220 (1). (0) and (17) and 21.20, 240
	and 21.20.450. 91-04-011, § 460-46A-050, filed 1/25/91, effective 2/25/91; 89-07-042 (Order SDO-035-89), § 460-46A-050, filed 3/13/89; 82-20-068 (Order		21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340 (11). 90-09-059, § 460-46A-095, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and
	SDO-116-82), § 460-46A-050, filed 10/5/82.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory		21.20.450. 89-07-042 (Order SDO-035-89), \$ 460-46A-095, filed 3/13/89; 83-15-025 (Order SDO-95-83), \$
	Authority: RCW 21.20.450, 21.20.320(9).		460-46A-095, filed 7/15/83; 82-20-068 (Order SDO-

[Title 460 WAC—p. 8] (6/13/12)

[Title 460 WAC—p. 9]

460-46A-100	116-82), § 460-46A-095, filed 10/5/82.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9). Time purchase of shares under corporate limited offering exemption. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). 90-09-059, § 460-46A-100, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450.		340(11). 90-09-059, § 460-46A-165, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. 82-20-068 (Order SDO-116-82), § 460-46A-165, filed 10/5/82.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9). Chapter 460-48A
	82-20-068 (Order SDO-116-82), § 460-46A-100, filed 10/5/82.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).	460-48A-020	Filing of restricted real estate securities application. [Order 342, § 460-48A-020, filed 9/29/75.] Repealed by 79-09-028 (Order SD-57-79), filed 8/14/79. Statutory
460-46A-105	Maximum and minimum offering amounts. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). 90-09-059, § 460-46A-105, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. 89-07-042 (Order SDO-	460-48A-030	Authority: RCW 21.20.450. Filing of completion report. [Order 342, § 460-48A-030, filed 9/29/75.] Repealed by 79-09-028 (Order SD-57-79), filed 8/14/79. Statutory Authority: RCW 21.20.450.
	035-89), § 460-46A-105, filed 3/13/89; 82-20-068 (Order SDO-116-82), § 460-46A-105, filed 10/5/82.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).	460-48A-040	Restricted real estate salesmen. [Order 342, § 460-48A-040, filed 9/29/75.] Repealed by 79-09-028 (Order SD-57-79), filed 8/14/79. Statutory Authority: RCW 21.20.450.
460-46A-110	Moneys to be deposited in escrow account—Period of escrow and of offering. [Statutory Authority: RCW 21.20.450 and 21.20.320(9). 91-04-011, § 460-46A-110, filed 1/25/91, effective 2/25/91. Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and	460-48A-050	Examinations for restricted real estate securities. [Order 342, § 460-48A-050, filed 9/29/75.] Repealed by 79-09-028 (Order SD-57-79), filed 8/14/79. Statutory Authority: RCW 21.20.450.
	21.20.340(11). 90-09-059, § 460-46A-110, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. 89-07-042 (Order SDO-		Chapter 460-60 FILING OF ANNUAL FINANCIAL REPORTS FOR INTRASTATE OFFERINGS
	035-89), § 460-46A-110, filed 3/13/89; 82-20-068 (Order SDO-116-82), § 460-46A-110, filed 10/5/82.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).	460-60-001	General. [Order 10, § 460-60-001, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
460-46A-115	Report of sales. [Statutory Authority: RCW 21.20.320 (9) and 21.20.450. 86-18-012 (Order SDO-114-86), § 460-46A-115, filed 8/22/86.] Repealed by 00-04-095,	460-60-010 460-60-100	Definitions. [Order 10, § 460-60-010, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC. Qualifications of accountants. [Order 10, § 460-60-100,
460-46A-120	filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9). Start up management compensation prohibited. [Statutory Authority: Application of 21.20.450, 82	460-60-110	filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC. Accountants' certificates. [Order 10, § 460-60-110, filed
	tory Authority: RCW 21.20.320(9) and 21.20.450. 82-20-068 (Order SDO-116-82), § 460-46A-120, filed 10/5/82.] Repealed by 89-07-042 (Order SDO-035-89), filed 3/13/89. Statutory Authority: RCW 21.20.320(9) and 21.20.450.	460-60-120	11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC. Certification by foreign government auditors. [Order 10, § 460-60-120, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A
460-46A-145	Restrictions on transferability. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340(11). 90-09-059, § 460-46A-145, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320 (9) and 21.20.450. 89-07-042 (Order SDO-035-89), §	460-60-130	WAC. Certification of financial statements of persons other than the registrant. [Order 10, § 460-60-130, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effec-
	460-46A-145, filed 3/13/89; 82-20-068 (Order SDO- 116-82), § 460-46A-145, filed 10/5/82.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).	460-60-140	tive 4/1/75. See chapter 460-60A WAC. Certification of financial statements by more than one accountant. [Order 10, § 460-60-140, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
460-46A-150	Suitability of investors. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.340 (11), 90-09-059, § 460-46A-150, filed 4/17/90, effective (18/00). Statute via Authority: 10.000 (10.200).	460-60-200	Form, order, and terminology. [Order 10, § 460-60-200, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
	5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450. 89-07-042 (Order SDO-035-89), § 460-46A-150, filed 3/13/89; 86-18-012 (Order SDO-114-86), § 460-46A-150, filed 8/22/86; 82-20-068 (Order SDO-116-82), § 460-46A-150, filed 10/5/82.] Repealed by	460-60-205	Generally accepted accounting principles. [Order 10, § 460-60-205, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
460-46A-155	00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9). Attorney's opinion. [Statutory Authority: RCW 21.20.450, 21.20.320(9).	460-60-210 460-60-215	Items not material. [Order 10, § 460-60-210, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/11/75. See chapter 460-60A WAC. Inapplicable captions and omission of unrequired or
	450, 21.20.320 (1), (9) and (17) and 21.20.340(11). 90-09-059, § 460-46A-155, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and		inapplicable financial statements. [Order 10, § 460-60-215, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
	21.20.450. 89-07-042 (Order SDO-035-89), § 460-46A-155, filed 3/13/89; 83-15-025 (Order SDO-95-83), § 460-46A-155, filed 7/15/83; 82-20-068 (Order SDO-116-82), § 460-46A-155, filed 10/5/82.] Repealed by	460-60-220	Omission of substantially identical notes. [Order 10, § 460-60-220, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
460-46A-160	00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9). Signing and verification of information in disclosure document. [Statutory Authority: RCW 21.20.450,	460-60-225	Omission of names of certain subsidiaries. [Order 10, § 460-60-225, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
	21.20.320 (Î), (9) and (17) and 21.20.340(11). 90-09-059, § 460-46A-160, filed 4/17/90, effective 5/18/90. Statutory Authority: RCW 21.20.320(9) and 21.20.450.	460-60-230	Additional information. [Order 10, § 460-60-230, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
	82-20-068 (Order SDO-116-82), § 460-46A-160, filed 10/5/82.] Repealed by 00-04-095, filed 2/2/00, effective 3/4/00. Statutory Authority: RCW 21.20.450, 21.20.320(9).	460-60-235	Changes in accounting principles and practices and retroactive adjustments of accounts. [Order 10, § 460-60-235, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
460-46A-165	Annual reports to stockholders. [Statutory Authority: RCW 21.20.450, 21.20.320 (1), (9) and (17) and 21.20.	460-60-240	Summary of accounting principles and practices. [Order 10, § 460-60-240, filed 11/12/71.] Repealed by Order
(6/12/12)			ITitle 460 WAC n 01

(6/13/12)

Title 460 WAC: Securities Division (Financial Institutions)

460-60-245	304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC. Conversion of items in foreign currencies. [Order 10, §	460-60-500	Schedule I. Marketable securities—Other security investments. [Order 10, § 460-60-500, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75.
	460-60-245, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	460-60-505	See chapter 460-60A WAC. Schedule II. Amounts due from directors, officers, and principal holders of equity securities other than affili-
460-60-250	Valuation and qualifying reserves. [Order 10, § 460-60-250, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.		ates. [Order 10, § 460-60-505, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
460-60-255	Basis of determining amounts—Book value. [Order 10, § 460-60-255, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	460-60-510	Schedule III. Investments in securities of affiliates. [Order 10, § 460-60-510, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
460-60-260	Current assets. [Order 10, § 460-60-260, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	460-60-515	Schedule IV. Indebtedness of affiliates. [Order 10, § 460-60-515, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A
460-60-265	Current liabilities. [Order 10, § 460-60-265, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	460-60-520	WAC. Schedule V. Property, plant, and equipment. [Order 10, § 460-60-520, filed 11/12/71.] Repealed by Order 304,
460-60-270	Reacquired evidences of indebtedness. [Order 10, § 460-60-270, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A	460-60-525	filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC. Schedule VI. Reserves for depreciation, depletion, and
460-60-275	WAC. Reacquired shares. [Order 10, § 460-60-275, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.		amortization of property, plant, and equipment. [Order 10, § 460-60-525, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
460-60-280	Discount on capital shares. [Order 10, § 460-60-280, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	460-60-530	Schedule VII. Intangible assets. [Order 10, § 460-60-530, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
460-60-285	Commitments. [Order 10, § 460-60-285, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	460-60-535	Schedule VIII. Reserves for depreciation and amortization of intangible assets. [Order 10, § 460-60-535, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effec-
460-60-290	General notes to balance sheets. [Order 10, § 460-60-290, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	460-60-540	tive 4/1/75. See chapter 460-60A WAC. Schedule IX. Bonds, mortgages, and similar debt. [Order 10, § 460-60-540, filed 11/12/71.] Repealed by
460-60-295	General notes to profit and loss statements. [Order 10, § 460-60-295, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	460-60-545	Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC. Schedule X. Indebtedness to affiliates—Not current. [Order 10, § 460-60-545, filed 11/12/71.] Repealed by
460-60-300	Consolidated and combined statements. [Order 10, § 460-60-300, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A	460-60-550	Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC. Schedule XI. Guarantees of securities of other issuers.
460 60 210	WAC.		[Order 10, § 460-60-550, filed 11/12/71.] Repealed by
460-60-310	Consolidated statements of the registrant and its subsidiaries. [Order 10, § 460-60-310, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	460-60-555	Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC. Schedule XII. Reserves. [Order 10, § 460-60-555, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effec-
460-60-320	Group statements of subsidiaries not consolidated. [Order 10, § 460-60-320, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter	460-60-560	tive 4/1/75. See chapter 460-60A WAC. Schedule XIII. Capital shares. [Order 10, § 460-60-560, filed 11/12/71.] Repealed by Order 304, filed 2/28/75,
460-60-330	460-60A WAC. Statement as to principle of consolidation or combination followed. [Order 10, § 460-60-330, filed 11/12/71.]	460-60-565	effective 4/1/75. See chapter 460-60A WAC. Schedule XIV. Warrants or rights. [Order 10, § 460-60-565, filed 11/12/71.] Repealed by Order 304, filed
460-60-340	Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC. Reconcilitation of investment of parent in subsidiaries	460-60-570	2/28/75, effective 4/1/75. See chapter 460-60A WAC. Schedule XV. Other securities. [Order 10, § 460-60-570, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.
	and fifty-percent owned persons and equity of parent in their net assets. [Order 10, § 460-60-340, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	460-60-575	Schedule XVI. Supplementary income or loss information. [Order 10, § 460-60-575, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chap-
460-60-350	Reconciliation of dividends received from, and earnings of, unconsolidated subsidiaries. [Order 10, § 460-60-350, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	460-60-580	ter 460-60A WAC. Schedule XVII. Income from dividends. [Order 10, § 460-60-580, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A
460-60-360	Minority interests. [Order 10, § 460-60-360, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	DD 0444 4 740	WAC. Chapter 460-65A
460-60-370	Intercompany items and transactions. [Order 10, § 460-60-370, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	460-65A-010	NS ON PROCEDURES RELATED TO THE ENTRY OF ORDERS Grounds for issuance of stop order pursuant to RCW
460-60-400	Balance sheets for commercial and industrial companies. [Order 10, § 460-60-400, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	100 0011 010	21.20.200. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. 83-03-024 (Order SDO-6-83), § 460-65A-010, filed 1/13/83.] Repealed by 98-01-072, filed 12/12/97, effective 1/12/98. Statutory
460-60-420	Statement of income (loss). [Order 10, § 460-60-420, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	460-65A-020	Authority: RCW 21.20.450. Grounds for issuance of cease and desist orders pursuant to RCW 21.20.390. [Statutory Authority: RCW 21.20
460-60-440	Statement of source and application of funds. [Order 10, § 460-60-440, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.		450, 21.20.200, 21.20.390 and 21.20.325. 83-03-024 (Order SDO-6-83), § 460-65A-020, filed 1/13/83.] Repealed by 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.
460-60-460	What schedules are to be filed. [Order 10, § 460-60-460, filed 11/12/71.] Repealed by Order 304, filed 2/28/75, effective 4/1/75. See chapter 460-60A WAC.	460-65A-030	Grounds for denial, suspension and revocation of exemption. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. 83-03-024 (Order
ITitle 460 WAC	101		(6/12/12)

[Title 460 WAC—p. 10] (6/13/12)

	SDO-6-83), § 460-65A-030, filed 1/13/83.] Repealed by 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.	460-70-045	Records to be preserved by commodity broker-dealers. [Statutory Authority: RCW 21.20.400 and 21.30.320. 87-02-044 (Order SDO-137-86), § 460-70-045, filed
460-65A-040	Grounds for denial, condition or revocation exemption pursuant to RCW 21.20.325. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325.	460-70-050	1/6/87.] Repealed by 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400. Denial, revocation, and suspension of registration. [Stat-
	83-03-024 (Order SDO-6-83), § 460-65A-040, filed 1/13/83.] Repealed by 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.	400-70-030	utory Authority: RCW 21.20.400 and 21.30.350. 87-02-044 (Order SDO-137-86), § 460-70-050, filed 1/6/87.] Repealed by 98-17-058, filed 8/17/98, effective 9/17/98.
460-65A-100	Summary procedure. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. 83-03-024 (Order SDO-6-83), § 460-65A-100, filed 1/13/83.]	460-70-060	Statutory Authority: RCW 21.30.400. Promotional materials to be filed, materials permitted without filing and prohibited materials. [Statutory
460 654 105	Repealed by 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.		Authority: RCW 21.20.400. 87-02-044 (Order SDO-137-86), § 460-70-060, filed 1/6/87.] Repealed by 98-
460-65A-105	Summary order—Hearing. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. 83-03-024 (Order SDO-6-83), § 460-65A-105, filed 1/13/83.]		17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.
	Repealed by 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.		Chapter 460-90 CAMPING CLUBS
460-65A-110	Summary hearing—Appearance before the director. [Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. 83-03-024 (Order SDO-6-83), § 460-65A-110, filed 1/13/83.] Repealed by 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority:	460-90-100	Camping club registration applications. [Order 12, § 460-90-100, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-010.
460-65A-115	RCW 21.20.450. Requests for hearing on summary order time limits.	460-90-110	Camping club registration exhibits. [Order 453-DOL, § 460-90-110, filed 10/5/77; Order 12, § 460-90-110, filed
	[Statutory Authority: RCW 21.20.450, 21.20.200, 21.20.390 and 21.20.325. 83-03-024 (Order SDO-6-83), § 460-65A-115, filed 1/13/83.] Repealed by 98-01-072,		4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-020.
460-65A-125	filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450. Nonsummary procedure. [Statutory Authority: RCW	460-90-120	Signing of application. [Order 12, § 460-90-120, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83),
400-03A-123	21.20.450, 21.20.200, 21.20.390 and 21.20.325. 83-03-024 (Order SDO-6-83), § 460-65A-125, filed 1/13/83.]	460-90-122	filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-030. Consent to service process. [Order 12, § 460-90-122,
	Repealed by 98-01-072, filed 12/12/97, effective 1/12/98. Statutory Authority: RCW 21.20.450.	400-90-122	filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105530.
	Chapter 460-70 COMMODITY BROKER-DEALERS	460-90-125	Availability of campsites. [Order 12, § 460-90-125, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-
460-70-005	Net capital requirements for commodity broker-dealers. [Statutory Authority: RCW 21.20.400 and 21.30.300.	460 00 120	83), filed 3/2/83. Statutory Authority: RCW 19.105530.
460 70 010	87-02-044 (Order SDO-137-86), § 460-70-005, filed 1/6/87.] Repealed by 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.	460-90-130	Membership contract. [Order 453-DOL, § 460-90-130, filed 10/5/77; Order 12, § 460-90-130, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 2/2/82. Statistical Authority. PCW 10.105-520.
460-70-010	Commodity broker-dealer notice of net capital defi- ciency. [Statutory Authority: RCW 21.20.400 and 21.30.300. 87-02-044 (Order SDO-137-86), § 460-70-	460-90-140	3/2/83. Statutory Authority: RCW 19.105.530. Financial statements. [Order 453-DOL, § 460-90-140, filed 10/5/77; Order 12, § 460-90-140, filed 4/25/72.]
	21.30.300. 87-02-044 (Older SDO-137-00), § 400-70- 010, filed 1/6/87.] Repealed by 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.		Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-040.
460-70-015	Bond requirements for commodity broker-dealers and commodity sales representatives. [Statutory Authority:	460-90-150	Management fees. [Order 12, \$ 460-90-150, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83),
	RCW 21.20.400 and 21.30.300. 87-02-044 (Order SDO-137-86), § 460-70-015, filed 1/6/87.] Repealed by 98-17-058, filed 8/17/98, effective 9/17/98. Statutory	460-90-160	filed 3/2/83. Statutory Authority: RCW 19.105.530. Certificates of local authorities. [Order 12, § 460-90-160, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW
460-70-020	Authority: RCW 21.30.400. Application for registration and post-effective requirements for a commodity broker-dealer and commodity	460-90-170	19.105.530. Change of development plans. [Order 12, § 460-90-170,
	sales representatives. [Statutory Authority: RCW 21.20.400 and 21.30.230. 87-02-044 (Order SDO-137-86), § 460-70-020, filed 1/6/87.] Repealed by 98-17-	400 70 170	filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105530.
460 70 025	058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.	460-90-180	Amendments. [Order 12, § 460-90-180, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed
460-70-025	Financial statements for commodity broker-dealers. [Statutory Authority: RCW 21.20.400 and 21.30.310. 87-02-044 (Order SDO-137-86), § 460-70-025, filed 1/6/87.] Repealed by 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW 21.30.400.	460-90-190	3/2/83. Statutory Authority: RCW 19.105.530. Approval not endorsement. [Order 12, § 460-90-190, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105530. Later promulgation, see WAC 460-90A-050.
460-70-030	Segregation of accounts by commodity broker-dealers. [Statutory Authority: RCW 21.20.400. 87-02-044 (Order SDO-137-86), § 460-70-030, filed 1/6/87.] Repealed by 98-17-058, filed 8/17/98, effective 9/17/98.	460-90-200	Notice of termination of sale. [Order 12, § 460-90-200, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-
460-70-035	Statutory Authority: RCW 21.30.400. Confirmations. [Statutory Authority: RCW 21.20.400	460-90-300	060. Receipt of offering circular. [Order 453-DOL, § 460-90-
	and 21.30.320. \$7-02-044 (Order SDO-137-86), \$ 460-70-035, filed 1/6/87.] Repealed by 98-17-058, filed 8/17/98, effective 9/17/98. Statutory Authority: RCW		300, filed 10/5/77; Order 12, § 460-90-300, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.
460-70-040	21.30.400. Records required of commodity broker-dealers. [Statu-	460-90-310	Offering circular. [Order 453-DOL, § 460-90-310, filed 10/5/77; Order 12, § 460-90-310, filed 4/25/72.]
	tory Authority: RCW 21.20.400 and 21.30.320. 87-02-044 (Order SDO-137-86), § 460-70-040, filed 1/6/87.] Repealed by 98-17-058, filed 8/17/98, effective 9/17/98.	460-90-320	Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Required information in offering circular. [Order 12, §
	Statutory Authority: RCW 21.30.400.	.00 70 320	460-90-320, filed 4/25/72.] Repealed by 83-06-076

[Title 460 WAC—p. 11]

	(Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.	460-90A-018	Material events that are amendments requiring notice and a filing fee. [Statutory Authority: RCW 43.24.086.
460-90-330	Sequence of presentation. [Order 453-DOL, § 460-90-330, filed 10/5/77; Order 12, § 460-90-330, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.		90-06-051, § 460-90A-018, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530. 85-19-093 (Order 133 R), § 460-90A-018, filed 9/18/85; 85-12-021 (Order RE 131), § 460-90A-018, filed 5/29/85.]
460-90-400	Imposition of impound. [Order 12, § 460-90-400, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.		Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-040.
460-90-410	Special impound. [Order 12, § 460-90-410, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.	460-90A-020	Camping club contract registration exhibits. [Statutory Authority: RCW 19.105.320(1). 83-06-076 (Order SDO-40-83), § 460-90A-020, filed 3/2/83. Formerly
460-90-420	Presumption of impound. [Order 12, § 460-90-420, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.		WAC 460-90-110.] Repealed by 85-12-021 (Order RÉ 131), filed 5/29/85. Statutory Authority: RCW 19.105530.
460-90-430	Depositary. [Order 12, § 460-90-430, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-080.	460-90A-022	Exemptions from registration—Noncommercial resale contract offerings. [Statutory Authority: RCW 19.105.530. 85-12-021 (Order RE 131), § 460-90A-022, filed 5/29/85.] Repealed by 91-01-082, filed 12/17/90,
460-90-440	Purchase receipts. [Order 12, § 460-90-440, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.	460-90A-025	effective 1/17/91. Statutory Authority: RCW 19.105 130. Later promulgation, see WAC 308-420-050. Statement of record—Filings and information required
460-90-450	Operation of impound condition. [Order 12, § 460-90-450, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-090.		upon application for registration of start up camp resort projects and contract offerings. [Statutory Authority: RCW 19.105.530, 19.105.320 and 19.105.380. 85-12-021 (Order RE 131), § 460-90A-025, filed 5/29/85.] Repealed by 91-01-082, filed 12/17/90, effective
460-90-460	Release of a portion of the impound. [Order 12, § 460-90-460, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.	460-90A-027	1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-060. The public offering statement—Form, content, and preparation. [Statutory Authority: RCW 19.105.530]
460-90-470	Cost of selling. [Order 12, § 460-90-470, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.		and 19.105.320 (1)(b). 85-12-021 (Order RE 131), § 460-90A-027, filed 5/29/85.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority:
460-90-480	Release of impounds. [Order 12, § 460-90-480, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530.	460-90A-030	RCW 19.105.130. Later promulgation, see WAC 308-420-070. Signing of application and the permit. [Statutory
460-90-490	Later promulgation, see WAC 460-90A-100. Fee for impound. [Order 12, § 460-90-490, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-105.		Authority: RCW 19.105.530. 85-19-093 (Order 133 R), § 460-90A-030, filed 9/18/85; 85-12-021 (Order RE 131), § 460-90A-030, filed 5/29/85. Statutory Authority: RCW 19.105.320(1). 83-06-076 (Order SDO-40-83), § 460-90A-030, filed 3/2/83. Formerly WAC 460-
460-90-500	Advertising. [Order 12, § 460-90-500, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later	460.004.022	90-120.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-070.
460-90-510	promulgation, see WAC 460-90A-140. Renewals. [Order 453-DOL, § 460-90-510, filed 10/5/77.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Later promulgation, see WAC 460-90A-110.	460-90A-032	The public offering statement—Delivery to prospective purchasers. [Statutory Authority: RCW 43.24.086. 90-06-051, § 460-90A-032, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530. 85-19-093 (Order 133 R), § 460-90A-032, filed 9/18/85. Statutory
460-90-900	Application form. [Order 12, § 460-90-900, filed 4/25/72.] Repealed by 83-06-076 (Order SDO-40-83), filed 3/2/83. Statutory Authority: RCW 19.105.530. Chapter 460-90A		Authority: RCW 19.105.530 and 19.105.370. 85-12-021 (Order RE 131), § 460-90A-032, filed 5/29/85.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later
CAMPI	NG CLUBS—CONTRACTS—RESALE, ETC.	460-90A-035	promulgation, see WAC 308-420-090. Purchaser cancellations of contracts—Prompt refund of
460-90A-005	Organization. [Statutory Authority: RCW 43.24.086. 90-06-051, § 460-90A-005, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530. 85-12-021 (Order RE 131), § 460-90A-005, filed 5/29/85.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-010.		funds and consideration. [Statutory Authority: RCW 43.24.086. 90-06-051, § 460-90A-035, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530. 85-19-093 (Order 133 R), § 460-90A-035, filed 9/18/85.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-100.
460-90A-010	Camping club contract registration application. [Statutory Authority: RCW 19.105.320(1), 83-06-076 (Order SDO-40-83), \$ 460-90A-010, filed 3/2/83. Formerly WAC 460-90-100.] Repealed by 85-12-021 (Order RE 131), filed 5/29/85. Statutory Authority: RCW 19.105.	460-90A-040 460-90A-045	Financial statements. [Statutory Authority: RCW 19.105.320(1). 83-06-076 (Order SDO-40-83), § 460-90A-040, filed 3/2/83. Formerly WAC 460-90-140.] Repealed by 85-12-021 (Order RE 131), filed 5/29/85. Statutory Authority: RCW 19.105.530. Financial statements and information. [Statutory
460-90A-015	530. Definitions. [Statutory Authority: RCW 19.105.530. 85-19-093 (Order 133 R), § 460-90A-015, filed 9/18/85; 85-12-021 (Order RE 131), § 460-90A-015, filed 5/29/85.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130.	400-7071-043	Authority: RCW 19.105.530 and 19.105.320 (1)(a). 85-19-093 (Order 133 R), § 460-90A-045, filed 9/18/85.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-110.
460-90A-017	tive 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-020. Reporting events that shall require that the operator keep written disclosures current. [Statutory Authority: RCW 43.24.086. 90-06-051, § 460-90A-017, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105	460-90A-050	Registration not endorsement. [Statutory Authority: RCW 19.105.320(1). 83-06-076 (Order SDO-40-83), § 460-90A-050, filed 3/2/83. Formerly WAC 460-90-190.] Repealed by 85-19-093 (Order 133 R), filed 9/18/85.
	530. 85-19-093 (Order 133 R), § 460-90A-017, filed 9/18/85.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-030.	460-90A-055	Written disclaimer of endorsement. [Statutory Authority: RCW 19.105.530 and 19.105.320(1). 85-19-093 (Order 133 R), § 460-90A-055, filed 9/18/85.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statu-

[Title 460 WAC—p. 12] (6/13/12)

460 004 060	tory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-120.	460-90A-140
460-90A-060	Notice of termination of sales. [Statutory Authority: RCW 19.105.320(1). 83-06-076 (Order SDO-40-83), \$460-90A-060, filed 3/2/83. Formerly WAC 460-90-200.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later	
460-90A-070	promulgation, see WAC 308-420-130. Receipt of written disclosures. [Statutory Authority:	460-90A-145
	RCW 19.105.530. 85-19-093 (Order 133 R), § 460-90A-070, filed 9/18/85. Statutory Authority: RCW 19.105.320(1). 83-06-076 (Order SDO-40-83), § 460-90A-070, filed 3/2/83.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-	460-90A-150
460-90A-080	140. Depository. [Statutory Authority: RCW 19.105.320(1). 83-06-076 (Order SDO-40-83), § 460-90A-080, filed 3/2/83. Formerly WAC 460-90-430.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see	400-90A-130
460-90A-090	WAC 308-420-150. Operation of impound condition. [Statutory Authority:	
460.004.100	RCW 43.24.086. 90-06-051, § 460-90A-090, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530. 85-19-093 (Order 133 R), § 460-90A-090, filed 9/18/85. Statutory Authority: RCW 19.105.320 (1). 83-06-076 (Order SDO-40-83), § 460-90A-090, filed 3/2/83. Formerly WAC 460-90-450.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-160.	
460-90A-100	Release of impounds. [Statutory Authority: RCW 19.105.530. 85-19-093 (Order 133 R), § 460-90A-100, filed 9/18/85. Statutory Authority: RCW 19.105.320 (1). 83-06-076 (Order SDO-40-83), § 460-90A-100, filed 3/2/83. Formerly WAC 460-90-480.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-170.	
460-90A-105	Fee for impound. [Statutory Authority: RCW 19.105320(1). 83-06-076 (Order SDO-40-83), § 460-90A-105, filed 3/2/83. Formerly WAC 460-90-490.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-180.	
460-90A-110	Renewals. [Statutory Authority: RCW 19.105.320(1). 83-06-076 (Order SDO-40-83), § 460-90A-110, filed 3/2/83. Formerly WAC 460-90-510.] Repealed by 85-12-021 (Order RE 131), filed 5/29/85. Statutory Authority: RCW 19.105.530.	
460-90A-115	Renewals. [Statutory Authority: RCW 43.24.086. 90-06-051, \$ 460-90A-115, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530. 85-19-093 (Order 133 R), \$ 460-90A-115, filed 9/18/85; 85-12-021 (Order RE 131), \$ 460-90A-115, filed 5/29/85.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-190.	
460-90A-120	Salesperson registration. [Statutory Authority: RCW 19.105.440(3). 83-06-076 (Order SDO-40-83), § 460-90A-120, filed 3/2/83.] Repealed by 85-12-021 (Order RE 131), filed 5/29/85. Statutory Authority: RCW 19.105.530.	
460-90A-122	Salesperson registrations. [Statutory Authority: RCW 43.24.086. 90-06-051, § 460-90A-122, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.530 and 19.105.440(3). 85-12-021 (Order RE 131), § 460-90A-122, filed 5/29/85.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-200.	
460-90A-125	Salesperson registration—For persons in the business of offering resale contracts. [Statutory Authority: RCW 19.105.530 and 19.105.440(3). 85-12-021 (Order RE 131), § 460-90A-125, filed 5/29/85.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130.	
460-90A-130	Request for withdrawal of camping club property. [Statutory Authority: RCW 19.105.530. 83-06-076 (Order SDO-40-83), § 460-90A-130, filed 3/2/83.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-210.	

Advertisements. [Statutory Authority: RCW 19.105.-530 and 19.105.360. 85-12-021 (Order RE 131), § 460-90A-140, filed 5/29/85. Statutory Authority: RCW 19.105.320(1). 83-06-076 (Order SDO-40-83), § 460-90A-140, filed 3/2/83. Formerly WAC 460-90-500.] Repealed by 91-01-082, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-220. Fees and charges. [Statutory Authority: RCW 43.24-086. 90-06-051, § 460-90A-145, filed 3/2/90, effective 4/2/90. Statutory Authority: RCW 19.105.411. 89-01-082 (Order PM 807), § 460-90A-145, filed 12/17/90, effective 1/17/91. Statutory Authority: RCW 19.105.130. Later promulgation, see WAC 308-420-240. Resale by salesperson for commission of camping club contracts exempt form registration. [Statutory Authority: RCW 19.105.440(3). 83-06-076 (Order SDO-40-83), § 460-90A-150, filed 3/2/83.] Repealed by 85-12-021 (Order RE 131), filed 5/29/85. Statutory Authority: RCW 19.105.530.

(6/13/12)[Title 460 WAC—p. 13]