## Chapter 388-101 WAC

# CERTIFIED COMMUNITY RESIDENTIAL SERVICES AND SUPPORTS

WAC		388-101-4340	Reporting final findings.
	DEFINITIONS	388-101-4350 388-101-4360	Notice—Service complete. Notice—Proof of service.
388-101-3000	Definitions.	300 101 4300	rvoice Troof of service.
	CERTIFICATION AND MONITORING	DIS	SPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER
388-101-3010 388-101-3020 388-101-3050 388-101-3060 388-101-3070 388-101-3080 388-101-3100 388-101-3110 388-101-3110 388-101-3140 388-101-3160 388-101-3160 388-101-3160	Certified community residential services and supports. Compliance. Application required. Application for initial certification. Change of ownership. Notice for change of ownership. The department may deny—Application. The department must deny—Application. Certification—Initial. Certification—Regular. Certification evaluation. Complaint investigation. State and federal access to program. Plan of correction. Access to certification evaluation report and plan of correction. Group training home. Department approval of group training home status.  ADMINISTRATIVE REQUIREMENTS	388-101-1010 388-101-1020	What is the purpose of this chapter? [WSR 05-05-077, recodified as § 388-101-1010, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-010, filed 10/26/01, effective 1/1/02. WSR 99-19-104, recodified as § 388-820-010, filed 9/20/99, effective 9/20/99. Statutory Authority: Chapters 18.88A and 71A.12 RCW. WSR 96-10-076 (Order 3978), § 275-26-010, filed 5/1/96, effective 6/1/96. Statutory Authority: RCW 71A.12.080. WSR 91-17-005 (Order 3230), § 275-26-010, filed 8/9/91, effective 9/9/91. Statutory Authority: Chapter 72.33 RCW. WSR 83-05-017 (Order 1945), § 275-26-010, filed 2/9/83.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. What definitions apply to this chapter? [Statutory Authority: RCW 71A.12.030, 71A.12.080, and Title 71A RCW. WSR 05-10-086, § 388-101-1020, filed 5/3/05, effective 6/3/05. WSR 05-05-077, recodified as § 388-101-1020, filed 2/15/05, effective 2/15/05. Statusers RCW.
388-101-3202 388-101-3230	Background checks—National fingerprint background checks.  Group homes.		tory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-020, filed 11/15/04, effective 12/16/04. Statutory Authority:
388-101-3250	Background checks—Requirements for service providers.		RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-020, filed 1/29/04, effective 2/29/04.
388-101-3255	Background checks—Provisional hire—Pending results.		Statutory Authority: Title 71A RCW. WSR 01-22-020, \$ 388-820-020, filed 10/26/01, effective 1/1/02; WSR 01-104 respirators \$ 288, \$20,020, \$11d, 0/20/00
388-101-3259	Long-term care worker requirements.  INCIDENT REPORTING		99-19-104, recodified as § 388-820-020, filed 9/20/99, effective 9/20/99. Statutory Authority: RCW 71A.12.080. WSR 91-17-005 (Order 3230), § 275-26-020, filed 8/9/91, effective 9/9/91. Statutory Authority:
388-101-4150 388-101-4160 388-101-4170	Mandated reporting to the department.  Mandated reporting to law enforcement.  Mandated reporting policies and procedures.  REMEDIES		020, filed 8/9/91, effective 9/9/91. Statutory Authority: Chapter 72.33 RCW. WSR 86-08-003 (Order 2349), § 275-26-020, filed 3/20/86; WSR 83-05-017 (Order 1945), § 275-26-020, filed 2/9/83.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory
388-101-4175 388-101-4180 388-101-4185 388-101-4190 388-101-4200 388-101-4205	Remedies—General.  Remedies—Consideration for imposing remedies. Remedies—Circumstances resulting in enforcement remedies.  Remedies—Specific provisional certification. Remedies—Specific—Decertification. Remedies—Specific—Suspend department referrals (stop placement).	388-101-1100	Authority: Chapter 71A.12 RCW.  Abuse and neglect reporting requirements. [Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 06-09-090 and 06-11-103, § 388-101-1100, filed 4/19/06 and 5/17/06, effective 10/1/06. WSR 05-05-077, recodified as § 388-101-1100, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW.
388-101-4215 388-101-4225	Remedies—Specific—Limited suspension of department referrals (stop placement) for clients with specified needs.  Remedies—Specific—Civil penalties.		WSR 04-23-070, § 388-820-440, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-440, filed 10/26/01, effective 1/1/02.] Repealed by WSR 06-18-038, filed 8/29/06, effective 10/1/06. Statutory Authority: RCW
388-101-4235 INFORI	Remedies—Civil fine grid.  MAL DISPUTE RESOLUTION AND APPEALS	388-101-1101	71A.12.030, 71A.12.080, and chapter 71A.12 RCW. Abuse and neglect reporting requirements. [Statutory
388-101-4240 388-101-4250 388-101-4260	Informal dispute resolution. Administrative review. Appeal rights.		Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 06-18-038, § 388-101-1101, filed 8/29/06, effective 10/1/06.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Author-
388-101-4265 388-101-4269 388-101-4270 388-101-4280 388-101-4290	INDIVIDUAL  Investigation of reports. Individual defined. Notice to individual of preliminary findings. Notice to others of preliminary findings. Disputing a preliminary finding.	388-101-1105	ity: Chapter 71A.12 RCW. Investigation of mandated reports. [Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 06-09-090 and 06-11-103, § 388-101-1105, filed 4/19/06 and 5/17/06, effective 10/1/06.] Repealed by WSR 06-18-038, filed 8/29/06, effective 10/1/06. Statutory Authority: RCW 71A.12.030, 71A.12.030, and heaves 71A.12.030
388-101-4300 388-101-4310 388-101-4320 388-101-4330	Disclosure of investigative and finding information. Hearing procedures to dispute a preliminary finding. Appeal of the initial order. Finalizing a preliminary finding.	388-101-1106	71A.12.080, and chapter 71A.12 RCW. Investigation of mandated reports. [Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 06-18-038, § 388-101-1106, filed 8/29/06,

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	effective 10/1/06.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.		WSR 06-09-090 and 06-11-103, § 388-101-1140, filed 4/19/06 and 5/17/06, effective 10/1/06.] Repealed by WSR 06-18-038, filed 8/29/06, effective 10/1/06. Statu-
388-101-1110	Notice of an initial finding. [Statutory Authority: RCW		tory Authority: RCW 71A.12.030, 71A.12.080, and
	71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 06-09-090 and 06-11-103, § 388-101-1110, filed	388-101-1141	chapter 71A.12 RCW. Finalizing an initial finding. [Statutory Authority: RCW
	4/19/06 and 5/17/06, effective 10/1/06.] Repealed by WSR 06-18-038, filed 8/29/06, effective 10/1/06. Statu-		71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 06-18-038, § 388-101-1141, filed 8/29/06, effec-
	tory Authority: RCW 71A.12.030, 71A.12.080, and		tive 10/1/06.] Repealed by WSR 08-02-022, filed
388-101-1111	chapter 71A.12 RCW. Notice of an initial finding. [Statutory Authority: RCW		12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
	71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 06-18-038, § 388-101-1111, filed 8/29/06, effec-	388-101-1145	Reporting final findings. [Statutory Authority: RCW
	tive 10/1/06.] Repealed by WSR 08-02-022, filed		71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 06-09-090 and 06-11-103, § 388-101-1145, filed
	12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.		4/19/06 and 5/17/06, effective 10/1/06.] Repealed by WSR 06-18-038, filed 8/29/06, effective 10/1/06. Statu-
388-101-1115	Reporting initial findings. [Statutory Authority: RCW		tory Authority: RCW 71A.12.030, 71A.12.080, and
	71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 06-09-090 and 06-11-103, § 388-101-1115, filed	388-101-1146	chapter 71A.12 RCW. Reporting final findings. [Statutory Authority: RCW
	4/19/06 and 5/17/06, effective 10/1/06.] Repealed by WSR 06-18-038, filed 8/29/06, effective 10/1/06. Statu-		71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 06-18-038, § 388-101-1146, filed 8/29/06, effec-
	tory Authority: RCW 71A.12.030, 71A.12.080, and		tive 10/1/06.] Repealed by WSR 08-02-022, filed
388-101-1116	chapter 71A.12 RCW. Reporting initial findings. [Statutory Authority: RCW		12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
	71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 06-18-038, § 388-101-1116, filed 8/29/06, effec-	388-101-1180	What are residential services? [WSR 05-05-077, recodified as \$ 388, 101, 1180, filed 2/15/05 offertive 2/15/05
	tive 10/1/06.] Repealed by WSR 08-02-022, filed		fied as § 388-101-1180, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080,
	12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.		and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-030, filed 11/15/04, effective 12/16/04. Statutory
388-101-1120	Disputing an initial finding. [Statutory Authority: RCW		Authority: RCW 71A.12.030 and chapter 71A.12 RCW.
	71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 06-09-090 and 06-11-103, § 388-101-1120, filed		WSR 04-04-043, § 388-820-030, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW.
	4/19/06 and 5/17/06, effective 10/1/06.] Repealed by WSR 06-18-038, filed 8/29/06, effective 10/1/06. Statu-		WSR 01-22-020, § 388-820-030, filed 10/26/01, effective 1/1/02; WSR 99-19-104, recodified as § 388-820-
	tory Authority: RCW 71A.12.030, 71A.12.080, and		030, filed 9/20/99, effective 9/20/99. Statutory Author-
388-101-1121	chapter 71A.12 RCW. Disputing an initial finding. [Statutory Authority: RCW		ity: RCW 71A.12.080. WSR 91-17-005 (Order 3230), § 275-26-022, filed 8/9/91, effective 9/9/91. Statutory
	71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 06-18-038, § 388-101-1121, filed 8/29/06, effec-		Authority: RCW 34.05.220 (1)(a) and 71.12.030 [71A.12.030]. WSR 90-04-074 (Order 2997), § 275-26-
	tive 10/1/06.] Repealed by WSR 08-02-022, filed		022, filed 2/5/90, effective 3/1/90. Statutory Authority:
	12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.		Chapter 72.33 RCW. WSR 83-05-017 (Order 1945), § 275-26-022, filed 2/9/83.] Repealed by WSR 08-02-
388-101-1125	Disclosure of investigative and finding information. [Statutory Authority: RCW 71A.12.030, 71A.12.080,		022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
	and chapter 71A.12 RCW. WSR 06-09-090 and 06-11-	388-101-1190	Who certifies residential services? [WSR 05-05-077,
	103, § 388-101-1125, filed 4/19/06 and 5/17/06, effective 10/1/06.] Repealed by WSR 06-18-038, filed		recodified as § 388-101-1190, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030,
	8/29/06, effective 10/1/06. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW.		71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-040, filed 11/15/04, effective 12/16/04.
388-101-1126	Disclosure of investigative and finding information.		Statutory Authority: Title 71A RCW. WSR 01-22-020,
	[Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 06-18-038, § 388-101-		§ 388-820-040, filed 10/26/01, effective 1/1/02; WSR 99-19-104, recodified as § 388-820-040, filed 9/20/99,
	1126, filed 8/29/06, effective 10/1/06.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statu-		effective 9/20/99. Statutory Authority: RCW 71A.12080. WSR 91-17-005 (Order 3230), § 275-26-050, filed
	tory Authority: Chapter 71A.12 RCW.		8/9/91, effective 9/9/91. Statutory Authority: Chapter
388-101-1130	Hearing procedures to dispute an initial finding. [Statutory Authority: RCW 71A.12.030, 71A.12.080, and		72.33 RCW. WSR 83-05-017 (Order 1945), § 275-26-050, filed 2/9/83.] Repealed by WSR 08-02-022, filed
	chapter 71A.12 RCW. WSR 06-09-090 and 06-11-103,		12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
	§ 388-101-1130, filed 4/19/06 and 5/17/06, effective 10/1/06.] Repealed by WSR 06-18-038, filed 8/29/06,	388-101-1200	Where are residential services provided? [WSR 05-05-
	effective 10/1/06. Statutory Authority: RCW 71A.12030, 71A.12.080, and chapter 71A.12 RCW.		077, recodified as § 388-101-1200, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030 and
388-101-1131	Hearing procedures to dispute an initial finding. [Statutory Authority: RCW 71A.12.030, 71A.12.080, and		chapter 71A.12 RCW. WSR 04-04-043, § 388-820-050, filed 1/29/04, effective 2/29/04. Statutory Authority:
	chapter 71A.12 RCW. WSR 06-18-038, § 388-101-		Title 71A RCW. WSR 01-22-020, § 388-820-050, filed
	1131, filed 8/29/06, effective 10/1/06.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statu-		10/26/01, effective 1/1/02. WSR 99-19-104, recodified as § 388-820-050, filed 9/20/99, effective 9/20/99. Stat-
200 101 1125	tory Authority: Chapter 71A.12 RCW.		utory Authority: RCW 71A.12.080. WSR 91-17-005
388-101-1135	Appeal of the administrative law judge's initial order on a finding. [Statutory Authority: RCW 71A.12.030,		(Order 3230), § 275-26-060, filed 8/9/91, effective 9/9/91. Statutory Authority: Chapter 72.33 RCW. WSR
	71A.12.080, and chapter 71A.12 RCW. WSR 06-09-090 and 06-11-103, § 388-101-1135, filed 4/19/06 and		83-05-017 (Order 1945), § 275-26-060, filed 2/9/83.] Repealed by WSR 08-02-022, filed 12/21/07, effective
	5/17/06, effective 10/1/06.] Repealed by WSR 06-18-	200 101 1205	2/1/08. Statutory Authority: Chapter 71A.12 RCW.
	038, filed 8/29/06, effective 10/1/06. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12	388-101-1205	Where are crisis diversion services provided? [WSR 05-07-138, recodified as § 388-101-1205, filed 3/22/05,
388-101-1136	RCW. Appeal of the administrative law judge's initial order on		effective 3/22/05. Statutory Authority: RCW 71A.12030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-
300 101 1130	a finding. [Statutory Authority: RCW 71A.12.030,		820-056, filed 1/29/04, effective 2/29/04.] Repealed by
	71A.12.080, and chapter 71A.12 RCW. WSR 06-18-038, § 388-101-1136, filed 8/29/06, effective 10/1/06.]		WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
	Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-1210	Who may receive residential services? [WSR 05-05-077, recodified as § 388-101-1210, filed 2/15/05, effec-
388-101-1140	Finalizing an initial finding. [Statutory Authority: RCW		tive 2/15/05. Statutory Authority: RCW 71A.12.030 and
	71A.12.030, 71A.12.080, and chapter 71A.12 RCW.		chapter 71A.12 RCW. WSR 04-04-043, § 388-820-060,

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filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-060, filed 10/26/01, effective 1/1/02. WSR 99-19-104, recodified as § 388-820-060, filed 9/20/99, effective 9/20/99. Stat-utory Authority: RCW 71A.12.080. WSR 91-17-005 (Order 3230), § 275-26-070, filed 8/9/91, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW 588-101-1220, filed 5/3/05, effective 6/3/05. WSR 05-05-077, recodified as § 388-101-1220, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-070, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-070, filed 10/26/01, effective 1/1/02. WSR 99-19-104, recodified as § 388-820-070, filed 9/20/99, effective 9/20/99, Statutory Authority: RCW 71A 12 080 tive 9/20/99. Statutory Authority: RCW 71A.12.080. WSR 91-17-005 (Order 3230), § 275-26-072, filed 8/9/91, effective 9/9/91.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. How must service providers assist clients in regulating water temperature? [WSR 05-05-077, recodified as § 388-101-1230, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-076, filed 1/29/04, effective 2/29/04.] Repealed by WSR 08-02-022, filed 1/2/21/07, effective 2/1/08. Stanton, Authority. Chapter 71A.12 RCW What are supported living services? [WSR 05-05-077, recodified as § 388-101-1240, filed 2/15/05, effective

9/9/91. Statutory Authority: Chapter 72.33 RCW. WSR 83-05-017 (Order 1945), § 275-26-070, filed 2/9/83.] Repealed by WSR 08-02-022, filed 12/21/07, effective What physical and safety requirements exist for residential services? [Statutory Authority: RCW 71A.12.030, 71A.12.080, and Title 71A RCW. WSR 05-10-086, § 388-101-1220, filed 5/3/05, effective 6/3/05. WSR 05-

388-101-1230

12/21/07, effective 2/1/08. Statutory Authority: Chapter

388-101-1240

388-101-1220

recodified as § 388-101-1240, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-080, filed 10/26/01, effective 1/1/02. WSR 99-19-104, recodified as § 388-820-080, filed 9/20/99, effective 9/20/99. Statutory Authority: Chapters 18.88A and 71A.12 RCW. WSR 96-10-076 (Order 3978), § 275-26-074, filed 5/1/96, effective 6/1/96.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A 12 RCW. 2/1/08. Statutory Authority: Chapter 71A.12 RCW

388-101-1250

What are crisis diversion services? [WSR 05-05-077, recodified as § 388-101-1250, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-086, filed 1/29/04, effective 2/29/04.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.

388-101-1260

What are group homes? [Statutory Authority: RCW 71A.12.030, 71A.12.080, and Title 71A RCW. WSR 05-10-086, § 388-101-1260, filed 5/3/05, effective 6/3/05. WSR 05-05-077, recodified as § 388-101-1260, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-090, filed 11/15/04, effective 12/16/04. Statutory Authority: RCW 71A.12.-030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-090, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-090, filed 10/26/01, effective 1/1/02; WSR 99-19-104, recodified as § 388-820-090, filed 9/20/99, effective 9/20/99. Statutory Authority: Chapters 18.88A and 71A.12 RCW. WSR 96-10-076 (Order 3978), § 275-26-076, filed 5/1/96, effective 6/1/96.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.

388-101-1400

When must a service provider document a client's refusal to participate in services? [Statutory Authority: RCW 71A.12.030, 71A.12.080, and Title 71A RCW. WSR 05-10-086, § 388-101-1400, filed 5/3/05, effective 6/3/05. WSR 05-05-077, recodified as § 388-101tive 6/3/05. WSR 05-05-0/7, recodified as § 388-101-1400, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-100, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-100, filed 10/26/01, effective 1/1/02. WSR 99-19-104, recodified as § 388-820-100, filed 9/20/99, effective 9/20/99. Statutory Authority: RCW 71A.12.080. WSR 91-17-005 (Order 3230), § 275-26-087, filed 8/9/91, effective 9/9/91.] Repealed by WSR

08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.

388-101-1410

May a service provider offer services to nonclients in the same household as clients? [WSR 05-05-077, recodified as § 388-101-1410, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-110, filed 10/26/01, effective 1/1/02. 22-020, \$360-620-110, filed 10/20/01, effective 1/102. WSR 99-19-104, recodified as \$388-820-110, filed 9/20/99, effective 9/20/99. Statutory Authority: Chapter 72.33 RCW. WSR 83-05-017 (Order 1945), \$275-26-097, filed 2/9/83.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71.4.12 RCW 71A.12 RCW.

388-101-1420

Who pays for a client's residential services? [Statutory Authority: RCW 71A.12.030, 71A.12.080, and Title 71A RCW. WSR 05-10-086, \$ 388-101-1420, filed 5/3/05, effective 6/3/05. WSR 05-05-077, recodified as \$ 388-101-1420, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, \$ 388-820-120, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-120, filed 10/26/01, effective 1/1/02. WSR 99-19-104, recodified as § 388-820-120, filed 9/20/99, effective 9/20/99. Statutory Authority: RCW 71A.12.080. WSR 91-17-005 (Order 3230), § 275-26-107, filed 8/9/91, effective 9/9/91.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.

388-101-1430

tory Authority: Chapter 71A.12 RCW. When may a service provider receive initial set-up funds from DSHS? [WSR 05-05-077, recodified as § 388-101-1430, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-130, filed 10/26/01, effective 1/1/02. WSR 99-19-104, recodified as § 388-820-130, filed 9/20/99, effective 9/20/99. Statutory Authority: RCW 71A.12.080. WSR 91-17-005 (Order 3230), § 275-26-115, filed 8/9/91, effective 9/9/91.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12. effective 2/1/08. Statutory Authority: Chapter 71A.12

388-101-1440

What are the different types of certification? [WSR 05-05-077, recodified as § 388-101-1440, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12. 030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-140, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-140, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.

388-101-1460

When may RCS grant initial certification to an agency? [WSR 05-05-077, recodified as § 388-101-1460, filed tive 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-150, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 ŔCW

388-101-1470

How does an agency apply for initial certification? [Statutory Authority: RCW 71A.12.030, 71A.12.080, and Title 71A RCW. WSR 05-10-086, § 388-101-1470, filed 5/3/05, effective 6/3/05. WSR 05-05-077, recodified as § 388-1010-1470, filed 2/15/05, effective 2/15/05. fied as § 388-1010-1470, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-160, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-160, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Stytytory Authority: Chapter 71A.12 RCW. 2/1/08. Statutory Authority: Chapter 71A.12 RCW.

388-101-1480

What happens after an agency receives initial certifica-ity: Chapter 71A.12 RCW

388-101-1490

May initial certification be extended for a service provider? [WSR 05-05-077, recodified as § 388-101-1490, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-180, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A

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	RCW. WSR 01-22-020, § 388-820-180, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.		Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-260, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
388-101-1500	How does a service provider receive regular certification? [WSR 05-05-077, recodified as § 388-101-1500, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-190, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-190, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed	388-101-1620 388-101-1630	What are the requirements for personnel policies? [WSR 05-05-077, recodified as § 388-101-1620, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-270, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.  What nondiscrimination requirements must agencies
388-101-1510	12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. How often are reviews and evaluations done for service	366-101-1030	and service providers meet? [WSR 05-05-077, recodified as § 388-101-1630, filed 2/15/05, effective 2/15/05.
300 101 1310	providers? [WSR 05-05-077, recodified as § 388-101-1510, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-200, filed 11/15/04,	388-101-1640	Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-280, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. What staffing requirements must service providers
	effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-200, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	300-101-1040	meet? [WSR 05-05-077, recodified as § 388-101-1640, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-290, filed 11/15/04,
388-101-1520	What occurs during review and evaluation? [WSR 05-05-077, recodified as § 388-101-1520, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-210, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR		effective 12/16/04. Statutory Authority: RCW 71A.12030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-290, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-290, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
	01-22-020, § 388-820-210, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-1650	May clients instruct and support other clients? [WSR 05-05-077, recodified as § 388-101-1650, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12
388-101-1530	May service providers disagree with evaluation findings? [WSR 05-05-077, recodified as § 388-101-1530, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-220, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A	200 101 1770	030 and chapter 71A. 12 RCW. WSR 04-04-043, § 388-820-300, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-300, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
	RCW. WSR 01-22-020, § 388-820-220, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-1660	Who needs background checks? [WSR 05-05-077, recodified as § 388-101-1660, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070. § 388-820-310. Filed 11/15/04 effective 12/16/04
388-101-1540	May a service provider receive provisional certification? [WSR 05-05-077, recodified as § 388-101-1540, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-230, filed 11/15/04, effective 12/16/04. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-230, filed 1/29/04, effective 2/29/04. Statutory		070, § 388-820-310, filed 11/15/04, effective 12/16/04. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-310, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-310, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
	Authority: Title 71A RCW. WSR 01-22-020, § 388-820-230, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-1670	What are the minimum requirements for staff employed by service providers? [Statutory Authority: RCW 71A.12.030, 71A.12.080, and Title 71A RCW. WSR 05-10-086, § 388-101-1670, filed 5/3/05, effective
388-101-1550	When may RCS decertify a service provider? [WSR 05-05-077, recodified as § 388-101-1550, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-240, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-240, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07,		6/3/05. WSR 05-05-077, recodified as § 388-101-1670, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-320, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-320, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
388-101-1600	effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. What are administrators of service providers required to	388-101-1680	What staff training is required? [WSR 05-05-077, recodified as § 388-101-16800, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030,
388-101-1000	what are administrators of service providers required to do? [WSR 05-05-077, recodified as § 388-101-1600, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-250, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-250, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.		71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-330, filed 11/15/04, effective 12/16/04. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-330, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-330, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
388-101-1610	What type of administrative documents are service providers required to have? [WSR 05-05-077, recodified as § 388-101-1610, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-260, filed 11/15/04, effective 12/16/04. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-260, filed 1/29/04, effective 2/29/04.	388-101-1690	How often must performance reviews be conducted for staff of service providers? [WSR 05-05-077, recodified as § 388-101-1690, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-340, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-340, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-

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388-101-1700	022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-1790	12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
388-101-1700	When must service providers have staff-coverage schedules approved by DDD? [WSR 05-05-077, recodified as § 388-101-1700, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-350, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-350, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-	386-101-1790	Who must service providers notify in emergencies? [WSR 05-05-077, recodified as § 388-101-1790, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-430, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-430, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed
388-101-1710	022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. What happens when a service provider's ownership	388-101-1800	12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. What are client services? [WSR 05-05-077, recodified
366-101-1710	changes? [WSR 05-05-077, recodified as § 388-101-1710, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-360, filed 11/15/04,	366-101-1600	as § 388-101-1800, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-450, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective
200 101 1720	effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-360, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-1810	2/1/08. Statutory Authority: Chapter 71A.12 RCW. What health and safety support may a service provider offer to a client? [WSR 05-05-077, recodified as § 388-101-1810, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-
388-101-1720	When may a client's service provider change? [WSR 05-05-077, recodified as § 388-101-1720, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-370, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed	388-101-1820	820-460, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. What support may a service provider offer to a client to increase personal power and choices? [WSR 05-05-077,
388-101-1730	12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.  Are clients' records considered confidential? [WSR 05-05-077, recodified as § 388-101-1730, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW.		recodified as § 388-101-1820, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-470, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12
	WSR 01-22-020, § 388-820-380, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-1830	RCW. What support may a service provider offer to increase a client's competence and self-reliance? [WSR 05-05-077, recodified as § 388-101-1830, filed 2/15/05, effective
388-101-1740	How long does a service provider need to keep client records? [WSR 05-05-077, recodified as § 388-101-1740, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-390, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-		2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-480, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
388-101-1750	02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. What information do service providers need to keep in	388-101-1840	How may service providers assist clients in gaining positive recognition? [WSR 05-05-077, recodified as § 388-101-1840, filed 2/15/05, effective 2/15/05. Statutory
	client records? [Statutory Authority: RCW 71A.12.030, 71A.12.080, and Title 71A RCW. WSR 05-10-086, § 388-101-1750, filed 5/3/05, effective 6/3/05. WSR 05-05-077, recodified as § 388-101-1750, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.	388-101-1850	Authority: Title 71A RCW. WSR 01-22-020, § 388-820-490, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. What support may a service provider offer to increase
	030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-400, filed 11/15/04, effective 12/16/04. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-400, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-400, filed	300-101-1030	the positive relationships in the client's life? [WSR 05-05-077, recodified as § 388-101-1850, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-500, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter
	10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-1860	71A.12 RCW.  How may a service provider assist clients with becoming integrated into their community? [WSR 05-05-077,
388-101-1760	What information do crisis diversion service providers need to keep in client records? [WSR 05-05-077, recodified as § 388-101-1760, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-405, filed 11/15/04, effective 12/16/04.		recodified as § 388-101-1860, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-510, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
	Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-405, filed 1/29/04, effective 2/29/04.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-1870	What is an individual service plan/plan of care (ISP/POC) for clients? [WSR 05-05-077, recodified as § 388-101-1870, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter
388-101-1770	Do service providers need to keep client's property records? [WSR 05-05-077, recodified as § 388-101-1770, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-410, filed 1/29/04, effective		71A.12 RCW. WSR 04-23-070, § 388-820-520, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-520, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
	2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-410, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-1880	Who is responsible for completing and overseeing a client's ISP/POC? [WSR 05-05-077, recodified as § 388-101-1880, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-530, filed
388-101-1780	Are there requirements for record entries? [WSR 05-05-077, recodified as § 388-101-1780, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-420, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed		11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-530, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.

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388-101-1890	Who may participate in creating a client's ISP/POC? [WSR 05-05-077, recodified as § 388-101-1890, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW.		22-020, § 388-820-620, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
	WSR 04-23-070, § 388-820-540, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW.	388-101-2080	What information must the IFP include? [WSR 05-05-077, recodified as § 388-101-2080, filed 2/15/05, effec-
	WSR 01-22-020, § 388-820-540, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter		tive 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-630, filed 11/15/04, effective 12/16/04.
388-101-1900	71A.12 RCW. How often must the ISP/POC be reviewed? [WSR 05-05-077, recodified as § 388-101-1900, filed 2/15/05,		Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-630, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective
	effective 2/15/05. Statutory Authority: RCW 71A.12030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-550, filed 11/15/04, effective	388-101-2090	2/1/08. Statutory Authority: Chapter 71A.12 RCW. How does a service provider manage client funds? [WSR 05-05-077, recodified as § 388-101-2090, filed
	12/16/04. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-550, filed 1/29/04, effective 2/29/04. Statutory Authority:		2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-640, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-
	Title 71A RCW. WSR 01-22-020, § 388-820-550, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-	200 101 2100	022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
388-101-2000	022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. What plans must crisis diversion service providers	388-101-2100	What documentation must service providers keep to protect a client's financial interests? [WSR 05-05-077, recodified as § 388-101-2100, filed 2/15/05, effective
	develop? [WSR 05-05-077, recodified as § 388-101-2000, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR		2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-650, filed 11/15/04, effective 12/16/04.
	04-04-043, § 388-820-555, filed 1/29/04, effective 2/29/04.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12		Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-650, filed 1/29/04, effective 2/29/04. Statutory Authority: Title
388-101-2010	RCW. What is an individual instruction and support plan (IISP) for clients? [WSR 05-05-077, recodified as § 388-101-		71A RĆW. WSR 01-22-020, § 388-820-650, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Author-
	2010, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-560, filed 11/15/04,	388-101-2110	ity: Chapter 71A.12 RCW. How are a client's funds transferred when they are man-
	effective 12/16/04. Statutory Authority: RCW 71A.12030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-		aged by a service provider? [WSR 05-05-077, recodified as § 388-101-2110, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020,
	820-560, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-560, filed 10/26/01, effective 1/1/02.] Repealed by		§ 388-820-660, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
388-101-2020	WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. Who may participate in developing the IISP for each cli-	388-101-2120	How does a service provider handle loans to a client? [WSR 05-05-077, recodified as § 388-101-2120, filed 2/15/05, effective 2/15/05. Statutory Authority: Title
	ent? [WSR 05-05-077, recodified as § 388-101-2020, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-570, filed		71A RCW. WSR 01-22-020, § 388-820-670, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Author-
	10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-2130	ity: Chapter 71A.12 RCW. When must a service provider pay a client? [WSR 05-05-077, recodified as § 388-101-2130, filed 2/15/05,
388-101-2030	Who oversees the IISP for each client? [WSR 05-05-077, recodified as § 388-101-2030, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW.		effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-680, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed
	WSR 01-22-020, § 388-820-580, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter	388-101-2140	12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. What must service providers do to support a client's
388-101-2040	71A.12 RCW. May a service provider manage a client's funds? [WSR 05-05-077, recodified as § 388-101-2040, filed 2/15/05,	300 101 2140	health? [WSR 05-05-077, recodified as § 388-101-2140, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-
	effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-590, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed		04-043, § 388-820-690, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-690, filed 10/26/01, effective 1/1/02.]
200 101 2050	12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	200 101 2150	Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
388-101-2050	May a service provider hold bankbooks and bankcards for a client? [WSR 05-05-077, recodified as § 388-101-2050, filed 2/15/05. effective 2/15/05. Statutory Author-	388-101-2150	May a client refuse health care services? [Statutory Authority: RCW 71A.12.030, 71A.12.080, and Title 71A RCW. WSR 05-10-086, § 388-101-2150, filed
	ity: RCW 71A.12.030 and chapter 71A.12 RCW. WSR 04-04-043, § 388-820-600, filed 1/29/04, effective 2/29/04. Statutory Authority: Title 71A RCW. WSR 01-		5/3/05, effective 6/3/05. WSR 05-05-077, recodified as § 388-101-2150, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 200-200.
	22-020, § 388-820-600, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12	200 101 51 5	388-820-700, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
388-101-2060	RCW. May a service provider combine agency and client funds? [WSR 05-05-077, recodified as § 388-101-2060,	388-101-2160	When may client funds be used for health services? [WSR 05-05-077, recodified as § 388-101-2160, filed 2/15/05, effective 2/15/05. Statutory Authority: Title
	filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-610, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-		71A RCW. WSR 01-22-020, § 388-820-710, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Author-
388-101-2070	022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.  Does the service provider need to develop an individual	388-101-2300	ity: Chapter 71A.12 RCW. Client transportation. [Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW.
	financial plan (IFP) for clients? [WSR 05-05-077, recodified as § 388-101-2070, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-		WSR 06-18-038, § 388-101-2300, filed 8/29/06, effective 10/1/06. WSR 05-05-077, recodified as § 388-101-2300, filed 2/15/05, effective 2/15/05. Statutory Author-

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	ity: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-720, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-720, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter	388-101-2410	tive 3/22/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-730, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.  What training is required before staff are qualified to
388-101-2310	71A.12 RCW. What training is required before staff are qualified to perform delegated tasks? [WSR 05-05-077, recodified as § 388-101-2310, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-740, filed 10/26/01, effective 1/1/02.] Decodified by WSR 05-07-138, filed 3/22/05, effective 3/22/05. Recodified as § 388-101-2410.		perform delegated tasks? [Statutory Authority: RCW 71A.12.030, 71A.12.080, and Title 71A RCW. WSR 05-10-086, § 388-101-2410, filed 5/3/05, effective 6/3/05. WSR 05-07-138, recodified as § 388-101-2410, filed 3/22/05, effective 3/22/05. WSR 05-05-077, recodified as § 388-101-2310, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-740, filed 10/26/01, effective 1/1/2014 (1972)
388-101-2320	Do nursing assistants need to comply with department of health requirements? [WSR 05-05-077, recodified as § 388-101-2320, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-750, filed 10/26/01, effective 1/1/02.] Decodi-	388-101-2420	1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.  Do nursing assistants need to comply with department of health requirements? [WSR 05-07-138, recodified as
388-101-2330	fied by WSR 05-07-138, filed 3/22/05, effective 3/22/05. Recodified as § 388-101-2420.  May an agency or service provider contest a RCS decision? [WSR 05-05-077, recodified as § 388-101-2330, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-880, filed 11/15/04, ffective 12/16/04. Statutory Authority: Title 714.		§ 388-101-2420, filed 3/22/05, effective 3/22/05. WSR 05-05-077, recodified as § 388-101-2320, filed 2/15/05, effective 2/15/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-750, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
	effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-880, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-2430	Who is authorized to provide consent for a client's receiving health care? [WSR 05-07-138, recodified as § 388-101-2430, filed 3/22/05, effective 3/22/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-760, filed 10/26/01, effective 1/1/02.]
388-101-2340	When does an administrative review conference occur? [WSR 05-05-077, recodified as § 388-101-2340, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-890, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-890, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-2440	Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. What rights do nursing assistants have concerning the delegation of nursing care tasks? [WSR 05-07-138, recodified as § 388-101-2440, filed 3/22/05, effective 3/22/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-770, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12
388-101-2350	May an administrative review conference be conducted by telephone? [WSR 05-05-077, recodified as § 388-101-2350, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-900, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-900, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Author-	388-101-2450 388-101-2460	RCW.  Are nursing assistants liable for errors while doing nursing care tasks? [WSR 05-07-138, recodified as § 388-101-2450, filed 3/22/05, effective 3/22/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-780, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.  What happens if unqualified staff do a nursing task?
388-101-2360	ity: Chapter 71A.12 RCW. What happens during the administrative review conference? [WSR 05-05-077, recodified as § 388-101-2360, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-910, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-910, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed	388-101-2470	[WSR 05-07-138, recodified as § 388-101-2460, filed 3/22/05, effective 3/22/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-790, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. What technical assistance may service providers get from DSHS for nurse delegation requirements? [WSR 05-07-138, recodified as § 388-101-2470, filed 3/22/05,
388-101-2370	12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.  May an agency or service provider contest the decision from the administrative review conference? [WSR 05-05-077, recodified as § 388-101-2370, filed 2/15/05,		effective 3/22/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-800, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
	effective 2/15/05. Statutory Authority: RCW 71A.12030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-920, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-920, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-2480	What happens when DSHS finds a service provider in violation of nurse delegation requirements? [WSR 05-07-138, recodified as § 388-101-2480, filed 3/22/05. effective 3/22/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-810, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.
388-101-2380	Does RCS make exceptions to the requirements in this chapter? [WSR 05-05-077, recodified as § 388-101-2380, filed 2/15/05, effective 2/15/05. Statutory Authority: RCW 71A.12.030, 71A.12.080, and chapter 71A.12 RCW. WSR 04-23-070, § 388-820-930, filed 11/15/04, effective 12/16/04. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-930, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed	388-101-2490 388-101-2500	May a service provider have a chance to correct violations before being fined? [WSR 05-07-138, recodified as § 388-101-2490, filed 3/22/05, effective 3/22/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-820, filed 10/26/01, effective 11/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. May civil fines be imposed during technical assistance
388-101-2400	12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW. Who may delegate nursing care tasks? [WSR 05-07-138, recodified as § 388-101-2400, filed 3/22/05, effec-	200 201 2009	visits? [WSR 05-07-138, recodified as § 388-101-2500, filed 3/22/05, effective 3/22/05. Statutory Authority: Title 71A RCW. WSR 01-22-020, § 388-820-830, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-

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	022, filed 12/21/07, effective 2/1/08. Statutory Author-		3245, filed 6/24/14, effective 7/25/14. Statutory Author-
388-101-2510	ity: Chapter 71A.12 RCW. How does DSHS impose a civil fine? [WSR 05-07-138,		ity: RCW 71A.12.030 and [71A.12].080. WSR 12-02-048, § 388-101-3245, filed 12/30/11, effective 1/30/12.
366-101-2310	recodified as § 388-101-2510, filed 3/22/05, effective		Statutory Authority: RCW 71A.12.080, chapter 74.39A
	3/22/05. Statutory Authority: Title 71A RCW. WSR 01-		RCW. WSR 10-16-084, § 388-101-3245, filed 7/30/10,
	22-020, § 388-820-840, filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07,		effective 1/1/11.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-
	effective 2/1/08. Statutory Authority: Chapter 71A.12		0065.
200 101 2520	RCW.	388-101-3253	National fingerprint-based background checks—
388-101-2520	When is payment due for a civil fine? [WSR 05-07-138, recodified as § 388-101-2520, filed 3/22/05, effective		Required. [Statutory Authority: RCW 71A.12.080, chapter 74.39A RCW. WSR 10-16-084, § 388-101-
	3/22/05. Statutory Authority: Title 71A RCW. WSR 01-		3253, filed 7/30/10, effective 1/1/11.] Repealed by WSR
	22-020, § 388-820-850, filed 10/26/01, effective		12-02-048, filed 12/30/11, effective 1/30/12. Statutory
	1/1/02.] Repealed by WSR 08-02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12	388-101-3258	Authority: RCW 71A.12.030 and [71A.12].080. Training requirements. [Statutory Authority: RCW
	RCW.	300 101 3230	71A.12.030 and [71A.12].080. WSR 12-02-048, § 388-
388-101-2530	May a service provider disagree with DSHS findings of		101-3258, filed 12/30/11, effective 1/30/12. Statutory
	a violation? [WSR 05-07-138, recodified as § 388-101-2530, filed 3/22/05, effective 3/22/05. Statutory Author-		Authority: RCW 71A.12.080, chapter 74.39A RCW. WSR 10-16-084, § 388-101-3258, filed 7/30/10, effec-
	ity: Title 71A RCW. WSR 01-22-020, § 388-820-860,		tive 1/1/11.] Decodified by WSR 16-14-058, filed
	filed 10/26/01, effective 1/1/02.] Repealed by WSR 08-		6/30/16, effective 8/1/16. Recodified as § 388-101D-0085.
	02-022, filed 12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.	388-101-3260	Staff training. [Statutory Authority: Chapter 71A.12
388-101-2540	May a service provider contest a civil fine? [WSR 05-		RCW. WSR 08-02-022, § 388-101-3260, filed
	07-138, recodified as § 388-101-2540, filed 3/22/05, effective 3/22/05. Statutory Authority: Title 71A RCW.		12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as §
	WSR 01-22-020, § 388-820-870, filed 10/26/01, effec-		388-101D-0090.
	tive 1/1/02.] Repealed by WSR 08-02-022, filed	388-101-3270	Staff training before working alone with clients. [Statu-
	12/21/07, effective 2/1/08. Statutory Authority: Chapter 71A.12 RCW.		tory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3270, filed 12/21/07, effective 2/1/08.]
388-101-3040	Residential services contract. [Statutory Authority:		Decodified by WSR 16-14-058, filed 6/30/16, effective
	Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-	200 101 2200	8/1/16. Recodified as § 388-101D-0095.
	3040, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recod-	388-101-3280	Staff training within four weeks of employment. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022,
	ified as § 388-101D-0020.		§ 388-101-3280, filed 12/21/07, effective 2/1/08.]
388-101-3190	Service provider responsibilities. [Statutory Authority:		Decodified by WSR 16-14-058, filed 6/30/16, effective
	Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3190, filed 12/21/07, effective 2/1/08.] Decodified by	388-101-3290	8/1/16. Recodified as § 388-101D-0100. Staff training within six months of employment. [Statu-
	WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recod-		tory Authority: Chapter 71A.12 RCW. WSR 08-02-022,
388-101-3200	ified as § 388-101D-0025. Staffing requirements. [Statutory Authority: Chapter		§ 388-101-3290, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective
388-101-3200	71A.12 RCW. WSR 08-02-022, § 388-101-3200, filed		8/1/16. Recodified as § 388-101D-0105.
	12/21/07, effective 2/1/08.] Decodified by WSR 16-14-	388-101-3300	Staff training to be current. [Statutory Authority: Chap-
	058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0030.		ter 71A.12 RCW. WSR 08-02-022, § 388-101-3300, filed 12/21/07, effective 2/1/08.] Decodified by WSR
388-101-3205	Liability insurance required. [Statutory Authority: RCW		16-14-058, filed 6/30/16, effective 8/1/16. Recodified as
	71A.12.080. WSR 10-03-065, § 388-101-3205, filed	200 101 2202	§ 388-101D-0110.
	1/15/10, effective 2/15/10.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as §	388-101-3302	Certified community residential services and supports—General training requirements. [Statutory Authority:
	388-101D-0035.		RCW 71A.12.030 and [71A.12].080. WSR 12-02-048,
388-101-3206	Liability insurance required—Commercial general liability insurance or business liability insurance coverage.		§ 388-101-3302, filed 12/30/11, effective 1/30/12. Statutory Authority: RCW 71A.12.080, chapter 74.39A
	[Statutory Authority: RCW 71A.12.080. WSR 10-03-		RCW. WSR 10-16-084, § 388-101-3302, filed 7/30/10,
	065, § 388-101-3206, filed 1/15/10, effective 2/15/10.]		effective 1/1/11.] Decodified by WSR 16-14-058, filed
	Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0040.		6/30/16, effective 8/1/16. Recodified as § 388-101D-0115.
388-101-3207	Liability insurance required—Professional liability	388-101-3310	Approval of staff-coverage schedules. [Statutory
	insurance coverage. [Statutory Authority: RCW		Authority: Chapter 71A.12 RCW. WSR 08-02-022, §
	71A.12.080. WSR 10-03-065, § 388-101-3207, filed 1/15/10, effective 2/15/10.] Decodified by WSR 16-14-		388-101-3310, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16.
	058, filed 6/30/16, effective 8/1/16. Recodified as §		Recodified as § 388-101D-0120.
388-101-3210	388-101D-0045. Administrative documents. [Statutory Authority: Chap-	388-101-3320	Client rights. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3320, filed
300-101-3210	ter 71A.12 RCW. WSR 08-02-022, § 388-101-3210,		12/21/07, effective 2/1/08.] Decodified by WSR 16-14-
	filed 12/21/07, effective 2/1/08.] Decodified by WSR		058, filed 6/30/16, effective 8/1/16. Recodified as §
	16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0050.	388-101-3330	388-101D-0125. Treatment of clients. [Statutory Authority: Chapter
388-101-3220	Administrator responsibilities and training. [Statutory	366-101-3330	71A.12 RCW. WSR 08-02-022, § 388-101-3330, filed
	Authority: RCW 71A.12.030 and [71A.12].080. WSR		12/21/07, effective 2/1/08.] Decodified by WSR 16-14-
	12-02-048, § 388-101-3220, filed 12/30/11, effective 1/30/12. Statutory Authority: RCW 71A.12.080, chap-		058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0130.
	ter 74.39A RCW. WSR 10-16-084, § 388-101-3220,	388-101-3340	Subcontracting. [Statutory Authority: Chapter 71A.12
	filed 7/30/10, effective 1/1/11. Statutory Authority:		RCW. WSR 08-02-022, § 388-101-3340, filed
	Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3220, filed 12/21/07, effective 2/1/08.] Decodified by		12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as §
	WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recod-		388-101D-0135.
388 101 2240	ified as § 388-101D-0055. Policies and procedures. [Statutory Authority: Chapter	388-101-3350	Residential guidelines. [Statutory Authority: Chapter
388-101-3240	71A.12 RCW. WSR 08-02-022, § 388-101-3240, filed		71A.12 RCW. WSR 08-02-022, § 388-101-3350, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-
	12/21/07, effective 2/1/08.] Decodified by WSR 16-14-		058, filed 6/30/16, effective 8/1/16. Recodified as §
	058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0060.	388-101-3360	388-101D-0140. Client services. [Statutory Authority: Chapter 71A.12
388-101-3245	Background check—General. [Statutory Authority:	300-101-3300	RCW. WSR 08-02-022, § 388-101-3360, filed
	Chapter 71A.12 RCW. WSR 14-14-030, § 388-101-		12/21/07, effective 2/1/08.] Decodified by WSR 16-14-
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388-101-3370	058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0145. Client health services support. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3370, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recod-	388-101-3510	Ongoing updating of the individual instruction and support plan. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3510, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0230.
388-101-3372	ified as § 388-101D-0150. Medical devices. [Statutory Authority: RCW 71A.12080. WSR 10-03-065, § 388-101-3372, filed 1/15/10, effective 2/15/10.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0155.	388-101-3520	Shared expenses and client related funds. [Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-3520, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3520, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16.
388-101-3375	Nurse delegation. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3375, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0160.	388-101-3530	Recodified as § 388-101D-0235. Individual financial plan. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3530, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as §
388-101-3380	Client transportation. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3380, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0165.	388-101-3540	388-101D-0240.  Managing client funds. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3540, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as §
388-101-3390	Physical and safety requirements. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3390, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0170.	388-101-3545	388-101D-0245. Using client funds for health services. [Statutory Authority: RCW 71A.12.030 and [71A.12].080. WSR 12-02-048, § 388-101-3545, filed 12/30/11, effective 1/30/12. Statutory Authority: Chapter 71A.12 RCW.
388-101-3400	Services to nonclients. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3400, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0175.	388-101-3550	WSR 08-02-022, § 388-101-3545, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0250.  Reconciling and verifying client accounts. [Statutory
388-101-3410	Community protection clients and other clients in the same household. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3410, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0180.	388-101-3560	Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3550, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0255. Combining service provider and client funds. [Statutory London 1987]
388-101-3420	Client refusal to participate in services. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3420, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0185.	388-101-3570	Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3560, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0260. Client bankbooks and bankcards. [Statutory Authority: Chapter 71A-12 RCW. WSR 08-03-032-5-388-101
388-101-3430	Changes in client service needs—Nonemergent. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3430, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0190.	388-101-3580	Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3570, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0265.  Client financial records. [Statutory Authority: Chapter The Company of the Compan
388-101-3440	Changes in client service needs—Emergent. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3440, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0195.	388-101-3590	71A.12 RCW. WSR 08-02-022, § 388-101-3580, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0270.  Transferring client funds. [Statutory Authority: Chapter
388-101-3450	Service provider refusal to serve a client. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3450, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0200.	388-101-3600	71A.12 RCW. WSR 08-02-022, § 388-101-3590, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0275.  Client loans. [Statutory Authority: Chapter 71A.12]
388-101-3460	Individual support plan. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3460, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0205.	388-101-3610	RCW. WSR 08-02-022, § 388-101-3600, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0280.  Client reimbursement. [Statutory Authority: Chapter
388-101-3470	Development of the individual instruction and support plan. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3470, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0210.	388-101-3620	71A.12 RCW. WSR 08-02-022, § 388-101-3610, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0285.  Client payment. [Statutory Authority: Chapter 71A.12
388-101-3480	Documentation of the individual instruction and support plan. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3480, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16,		RCW. WSR 08-02-022, § 388-101-3620, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0290.
388-101-3490	effective 8/1/16. Recodified as § 388-101D-0215. Implementation of the individual instruction and support plan. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3490, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0220.	388-101-3630	Medication services—General. [Statutory Authority: RCW 71A.12.030 and [71A.12].080. WSR 14-10-028, § 388-101-3630, filed 4/28/14, effective 5/29/14. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3630, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0295.
388-101-3500	Accessibility of the individual instruction and support plan. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3500, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0225.	388-101-3640	Medication—Types of support. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3640, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0300.

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## **Community Residential Services and Supports**

388-101-3650	Medication—Self-administration. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3650, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0305.	388-101-3810	Contents of client records. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3810, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0385.
388-101-3660	Medication assistance. [Statutory Authority: RCW 71A.12.030 and [71A.12].080. WSR 14-10-028, § 388-101-3660, filed 4/28/14, effective 5/29/14. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3660, filed 12/21/07, effective 2/1/08.] Decod-	388-101-3820	Client's property records. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3820, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0390.
388-101-3670	ified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0310. Medication administration—Nurse delegation. [Statu-	388-101-3830	Record entries. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3830, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-
	tory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3670, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective	388-101-3840	058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0395. Positive behavior support. [Statutory Authority: Chapter
388-101-3680	8/1/16. Recodified as § 388-101D-0315. Medication administration. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3680,		71A.12 RCW. WSR 08-02-022, § 388-101-3840, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as §
	filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0320.	388-101-3850	388-101D-0400. Functional assessment. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3850, filed
388-101-3690	Medication refusal. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3690, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-		12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0405.
388-101-3700	058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0325.  Storage of medications. [Statutory Authority: Chapter	388-101-3860	Positive behavior support plan. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3860, filed 12/21/07, effective 2/1/08.] Decodified by
	71A. I2 RCW. WSR 08-02-022, § 388-101-3700, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as §	388-101-3870	WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0410. Client protection. [Statutory Authority: Chapter 71A.12
388-101-3710	388-101D-0330. Medication organizers. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3710, filed		RCW. WSR 08-02-022, § 388-101-3870, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as §
	12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0335.	388-101-3880	388-101D-0415. Group home providers. [Statutory Authority: RCW 71A.12.030 and [71A.12].080. WSR 14-10-028, § 388-
388-101-3720	Medications—Documentation. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3720, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0340.		101-3880, filed 4/28/14, effective 5/29/14. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3880, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0420.
388-101-3730	Disposal of medications. [Statutory Authority: RCW 71A.12.030 and [71A.12].080. WSR 14-10-028, § 388-101-3730, filed 4/28/14, effective 5/29/14. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3730, filed 12/21/07, effective 2/1/08.] Decod-	388-101-3890	Restrictive procedures. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3890, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0425.
388-101-3740	ified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0345. Psychoactive medication assessment. [Statutory	388-101-3900	Restrictive procedures approval. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3900, filed 12/21/07, effective 2/1/08.] Decodified by
	Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3740, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16.	388-101-3910	WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0430. Physical intervention systems. [Statutory Authority:
388-101-3750	Recodified as § 388-101D-0350. Psychoactive medication treatment plan. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3750, filed 12/21/07, effective 2/1/08.] Decod-		Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3910, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0435.
388-101-3760	ified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0355.	388-101-3920	Physical interventions. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3920, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-
388-101-3700	Psychoactive medication monitoring. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3760, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16.	388-101-3930	058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0440. Restrictive physical interventions. [Statutory Authority:
388-101-3770	Recodified as § 388-101D-0360.  Psychoactive medications—Other. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-	300 101 3730	Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3930, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recod-
	3770, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0365.	388-101-3940	ified as § 388-101D-0445. Physical intervention training. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-
388-101-3780	Confidentiality of client records. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3780, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified 8: 328-101. 0270.	388-101-3950	3940, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0450.  Mechanical and chemical restraints. [Statutory Authority Chemical 12 DCW WSD 98 52 222 \$ 389 101
388-101-3790	ified as § 388-101D-0370. Charging for searching and duplicating records. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3790, filed 12/21/07, effective 2/1/08.]		ity: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3950, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0455.
388-101-3800	Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0375. Retention of client records. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3800,	388-101-3960	Monitoring physical and mechanical restraints. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3960, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective
	filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0380.	388-101-3970	8/1/16. Recodified as § 388-101D-0460. Community protection—Approval. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-

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	3970, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0465.
388-101-3980	Community protection—Policies and procedures. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3980, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0470.
388-101-3990	Community protection—Treatment team meetings. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3990, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0475.
388-101-4000	Community protection—Staff training [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4000, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0480.
388-101-4010	Community protection—Treatment plan. [Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-4010, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4010, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0485.
388-101-4020	Community protection—Client records. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4020, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0490.
388-101-4030	Community protection—Client transportation. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4030, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0495.
388-101-4040	Community protection—Program residential location. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4040, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0500.
388-101-4050	Community protection—Reducing a client's restrictions. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4050, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0505.
388-101-4060	Community protection—Leaving the program against treatment team advice. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4060, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0510.
388-101-4070	Crisis diversion—Access to services. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4070, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0515.
388-101-4080	Crisis diversion bed services—Location. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4080, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0520.
388-101-4090	Crisis diversion bed services—Services and activities. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4090, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0525.
388-101-4100	Crisis diversion bed services—Treatment plan. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4100, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective
388-101-4110	8/1/16. Recodified as § 388-101D-0530. Crisis diversion bed and support service providers—Client records. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4110, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0535.
388-101-4120	Crisis diversion bed services—Client records. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4120, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0540.
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388-101-4130	Crisis diversion support services—Location. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4130, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0545.
388-101-4140	Crisis diversion support services—Services and activities. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4140, filed 12/21/07, effective 2/1/08.] Decodified by WSR 16-14-058, filed 6/30/16, effective 8/1/16. Recodified as § 388-101D-0550.
388-101-4210	Community protection program—Circumstances resulting in enforcement remedies. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4210, filed 12/21/07, effective 2/1/08.] Repealed by WSR 16-18-040, filed 8/30/16, effective 9/30/16. Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW.
388-101-4220	Community protection program—Authorized enforcement remedies. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4220, filed 12/21/07, effective 2/1/08.] Repealed by WSR 16-18-040, filed 8/30/16, effective 9/30/16. Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW.
388-101-4230	Community protection program—Considerations for imposing remedies. [Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4230, filed 12/21/07, effective 2/1/08.] Repealed by WSR 16-18-040, filed 8/30/16, effective 9/30/16. Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW.

#### **DEFINITIONS**

## WAC 388-101-3000 Definitions. "Abandonment"

means action or inaction by a person or entity with a duty of care for a vulnerable adult that leaves the vulnerable person without the means or ability to obtain necessary food, clothing, shelter, or health care.

"Abuse" means the willful action or inaction that inflicts injury, unreasonable confinement, intimidation, or punishment of a vulnerable adult.

In instances of abuse of a vulnerable adult who is unable to express or demonstrate physical harm, pain, or mental anguish, the abuse is presumed to cause physical harm, pain, or mental anguish.

Abuse includes sexual abuse, mental abuse, physical abuse, and personal exploitation of a vulnerable adult, and improper use of restraint against a vulnerable adult which have the following meanings:

- (1) "Sexual abuse" means any form of nonconsensual sexual conduct, including but not limited to unwanted or inappropriate touching, rape, sodomy, sexual coercion, sexually explicit photographing, and sexual harassment. Sexual abuse also includes any sexual conduct between a staff person, who is not also a resident or client, of a facility or a staff person of a program authorized under chapter 71A.12 RCW, and a vulnerable adult living in that facility or receiving service from a program authorized under chapter 71A.12 RCW, whether or not it is consensual.
- (2) "Physical abuse" means the willful action of inflicting bodily injury or physical mistreatment. Physical abuse includes, but is not limited to, striking with or without an object, slapping, pinching, choking, kicking, shoving or prodding.
- (3) "Mental abuse" means a willful verbal or nonverbal action that threatens, humiliates, harasses, coerces, intimidates, isolates, unreasonably confines, or punishes a vulnerable adult. Mental abuse may include ridiculing, yelling, or swearing.

(8/30/16) [Ch. 388-101 WAC p. 11]

- (4) "Personal exploitation" means an act of forcing, compelling, or exerting undue influence over a vulnerable adult causing the vulnerable adult to act in a way that is inconsistent with relevant past behavior, or causing the vulnerable adult to perform services for the benefit of another.
- (5) "Improper use of restraint" means the inappropriate use of chemical, physical, or mechanical restraints for convenience or discipline or in a manner that:
- (a) Is inconsistent with federal or state licensing or certification requirements for facilities, hospitals, or programs authorized under chapter 71A.12 RCW;
  - (b) Is not medically authorized; or
  - (c) Otherwise constitutes abuse under this section.
- "Associated with the applicant" means any person listed on the application as a partner, officer, director, or majority owner of the applying entity, or who is the spouse or domestic partner of the applicant.
- "Case manager" means the division of developmental disabilities case resource manager or social worker assigned to a client.
- "Certification" means a process used by the department to determine if an applicant or service provider complies with the requirements of this chapter and is eligible to provide certified community residential services and support to clients.
- "Chaperone agreement" means a plan or agreement that describes who will supervise a community protection program client when service provider staff is not present. This plan or agreement is negotiated with other agencies and individuals who support the client, including the client's legal representative and family.
- "Chemical restraint" means the administration of any drug to manage a vulnerable adult's behavior in a way that reduces the safety risk to the vulnerable adult or others, has a temporary effect of restricting the vulnerable adult's freedom of movement, and is not standard treatment for the vulnerable adult's medical or psychiatric condition.
- "Client" means a person who has a developmental disability as defined in RCW 71A.10.020(4) and who also has been determined eligible to receive services by the division of developmental disabilities under chapter 71A.16 RCW. For purposes of informed consent and decision making requirements, the term "client" includes the client's legal representative to the extent of the representative's legal authority.
- "Client services" means instruction and support services that service providers are responsible to provide as identified in the client's individual support plan.
- "Consent" means express written consent granted after the vulnerable adult or his or her legal representative has been fully informed of the nature of the services to be offered and that the receipt of services is voluntary.
- "Crisis diversion" means temporary crisis residential services and supports provided to clients at risk of psychiatric hospitalization and authorized by the division of developmental disabilities.
- "Crisis diversion bed services" means crisis diversion that is provided in a residence maintained by the service provider
- "Crisis diversion support services" means crisis diversion that is provided in the client's own home.

- "Department" means the Washington state department of social and health services.
- "Financial exploitation" means the illegal or improper use, control over, or withholding of the property, income, resources, or trust funds of the vulnerable adult by any person or entity for any person's or entity's profit or advantage other than the vulnerable adult's profit or advantage. Some examples of financial exploitation are given in RCW 74.34.020(6).
- "Functional assessment" means a comprehensive evaluation of a client's challenging behavior(s). This evaluation is the basis for developing a positive behavior support plan.
- "Group home" means a residence that is licensed as either an assisted living facility or an adult family home by the department under chapters 388-78A or 388-76 WAC. Group homes provide community residential instruction, supports, and services to two or more clients who are unrelated to the provider.
- "Group training home" means a certified nonprofit residential facility that provides full-time care, treatment, training, and maintenance for clients, as defined under RCW 71A.22.020(2).
- "Immediate" or "immediately" means within twentyfour hours for purposes of reporting abandonment, abuse, neglect, or financial exploitation of a vulnerable adult.
- "Immediate risk", "immediate threat", or "imminent danger" means serious physical harm to or death of a client or serious threat to a client's life, health, or safety.
- "Individual financial plan" means a plan describing how a client's funds will be managed when the service provider is responsible for managing any or all of the client's funds.
- "Individual instruction and support plan" means a plan developed by the service provider and the client. The individual instruction and support plan:
- (1) Uses the information and assessed needs documented in the individual support plan to identify areas the client would like to develop;
- (2) Includes client goals for instruction and support that will be formally documented during the year; and
- (3) Must contain or refer to other applicable support or service information that describes how the client's health and welfare needs are to be met (e.g. individual financial plan, positive behavior support plan, cross system crisis plan, individual support plan, individual written plan, client-specific instructions).
- "Individual support plan" means a document that authorizes and identifies the division of developmental disabilities paid services to meet a client's assessed needs.
- "Instruction" means goal oriented teaching that is designed for acquiring and enhancing skills.
- "Instruction and support services staff" means longterm care workers of the service provider whose primary job function is the provision of instruction and support services to clients. Instruction and support services staff must also include employees of the service provider whose primary job function is the supervision of instruction and support services staff. In addition, both applicants, prior to initial certification, and administrators, prior to assuming duties, who may provide instruction and support services to clients must be considered instruction and support services staff for the purposes of the applicable training requirements.

[Ch. 388-101 WAC p. 12] (8/30/16)

"Legal representative" means a person's legal guardian, a person's limited guardian when the subject matter is within the scope of the limited guardianship, a person's attorney at law, a person's attorney in fact, or any other person who is authorized by law to act for another person.

"Long-term care workers" include all persons who provide paid, hands-on personal care services for the elderly or persons with disabilities, including but not limited to individual providers of home care services, direct care workers employed by home care agencies, providers of home care services to persons with developmental disabilities under Title 71A RCW, all direct care workers in state-licensed assisted living facilities, adult family homes, respite care providers, direct care workers employed by community residential service businesses, and any other direct care worker providing home or community-based services to the elderly or persons with functional disabilities or developmental disabilities.

"Managing client funds" means that the service provider:

- (1) Has signing authority for the client;
- (2) Disperses the client's funds; or
- (3) Limits the client's access to funds by not allowing funds to be spent.

"Mechanical restraint" means any device attached or adjacent to the vulnerable adult's body that he or she cannot easily remove that restricts freedom of movement or normal access to his or her body. "Mechanical restraint" does not include the use of devices, materials, or equipment that are medically authorized and used in a manner that is consistent with federal or state licensing or certification requirements for facilities, hospitals, or programs authorized under chapter 71A.12 RCW.

"Medication administration" means the direct application of a prescribed medication whether by injection, inhalation, ingestion, or other means, to the body of the client by an individual legally authorized to do so.

"Medication assistance" means assistance with self-administration of medication rendered by a nonpractitioner to a client receiving certified community residential services and supports in accordance with chapter 69.41 RCW and chapter 246-888 WAC.

"Medication service" means any service provided by a certified community residential services and support provider related to medication administration or medication assistance provided through nurse delegation and medication assistance.

"Minimal" means a violation that results in little or no negative outcome or little or no potential harm for a client.

"Moderate" means a violation that results in negative outcome or actual or potential harm for a client.

"Negative outcome" includes any negative effect on the client's physical, mental, or psychosocial well-being, including but limited to the client's safety, quality of life or quality of care.

## "Neglect" means:

- (1) A pattern of conduct or inaction by a person or entity with a duty of care that fails to provide the goods and services that maintain physical or mental health of a vulnerable adult, or that fails to avoid or prevent physical or mental harm or pain to a vulnerable adult; or
- (2) An act or omission by a person or entity with a duty of care that demonstrates a serious disregard of consequences

of such a magnitude as to constitute a clear and present danger to the vulnerable adult's health, welfare, or safety, including but not limited to conduct prohibited under RCW 9A.42.100.

"Physical intervention" means the use of a manual technique intended to interrupt or stop a behavior from occurring. This includes using physical restraint to release or escape from a dangerous or potentially dangerous situation.

"Physical restraint" means the application of physical force without the use of any device, for the purpose of restraining the free movement of a vulnerable adult's body. "Physical restraint" does not include briefly holding without undue force on a vulnerable adult in order to calm or comfort him or her, or holding a vulnerable adult's hand to safely escort him or her from one area to another.

"Psychoactive" means possessing the ability to alter mood, anxiety level, behavior, cognitive processes, or mental tension, usually applied to pharmacological agents.

"Psychoactive medications" means medications prescribed to improve or stabilize mood, mental status or behavior. Psychoactive medications include anti-psychotics/neuroleptics, atypical antipsychotics, antidepressants, stimulants, sedatives/hypnotics, and antimania and antianxiety drugs.

"Qualified professional" means a person with at least three years' experience working with individuals with developmental disabilities and as required by RCW 71A.12.-220(12).

"Recurring" or "repeated" means that the department has cited the service provider for a violation of licensing laws or rules and one or more of the following is present:

- (1) The department previously imposed an enforcement remedy for a violation of the same law, rule, or for substantially the same problem within the preceding twenty-four months: or
- (2) The department cited a violation of the same law, rule, or for substantially the same problem on two occasions within the preceding twenty-four months.

"Restrictive procedure" means any procedure that restricts a client's freedom of movement, restricts access to client property, requires a client to do something which he/she does not want to do, or removes something the client owns or has earned.

"Risk assessment" means an assessment done by a qualified professional and as required by RCW 71A.12.230.

"Serious" means a violation that results in one or more negative outcomes and significant actual harm to a client that does not constitute imminent danger. It also means there is reasonable predictability of recurring actions, practices, situations or incidents with potential for causing significant harm to a client.

"Severity" means the seriousness of a violation as determined by the actual or potential negative outcomes for clients and subsequent actual or potential for harm. Negative outcomes include any negative effect on the client's physical, mental, or psychosocial well-being (i.e., safety, quality of life, quality of care).

"Service provider" means a person or entity certified by the department who delivers services and supports to meet a client's identified needs. The term includes the state operated living alternative (SOLA) program.

(8/30/16) [Ch. 388-101 WAC p. 13]

"Support" means assistance a service provider gives a client based on needs identified in the individual support plan.

"Supported living" means instruction, supports, and services provided by service providers to clients living in homes that are owned, rented, or leased by the client or their legal representative.

"Treatment team" means the program participant and the group of people responsible for the development, implementation, and monitoring of the person's individualized supports and services. This group may include, but is not limited to, the case manager, therapist, the service provider, employment/day program provider, and the person's legal representative and/or family, provided the person consents to the family member's involvement.

"Uncorrected deficiency" means the department has cited a violation of WAC or RCW following any type of certification evaluation or complaint investigation and the violation remains uncorrected at the time the department makes a subsequent inspection for the specific purpose of verifying whether such violation has been corrected.

#### "Vulnerable adult" includes a person:

- (1) Sixty years of age or older who has the functional, mental, or physical inability to care for himself or herself; or
  - (2) Found incapacitated under chapter 11.88 RCW; or
- (3) Who has a developmental disability as defined under RCW 71A.10.020; or
  - (4) Admitted to any facility; or
- (5) Receiving services from home health, hospice, or home care agencies licensed or required to be licensed under chapter 70.127 RCW; or
  - (6) Receiving services from an individual provider.

"Willful" means the deliberate, or nonaccidental, action or inaction by an individual that he/she knew or reasonably should have known could cause a negative outcome, including harm, injury, pain, or anguish.

[Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW. WSR 16-18-040, § 388-101-3000, filed 8/30/16, effective 9/30/16. Statutory Authority: RCW 71A.12.030 and [71A.12].080. WSR 14-10-028, § 388-101-3000, filed 4/28/14, effective 5/29/14; WSR 12-02-048, § 388-101-3000, filed 12/30/11, effective 1/30/12. Statutory Authority: RCW 71A.12.080, chapter 74.39A RCW. WSR 10-16-084, § 388-101-3000, filed 7/30/10, effective 1/1/11. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3000, filed 12/21/07, effective 2/1/08.]

## CERTIFICATION AND MONITORING

WAC 388-101-3010 Certified community residential services and supports. In order for a person or entity to deliver client instruction and support services under this chapter the person or entity must:

- (1) Be certified by the department; and
- (2) Be granted a contract by the department.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3010, filed 12/21/07, effective 2/1/08.]

## **WAC 388-101-3020 Compliance.** The service provider must be in compliance with:

(1) All the requirements of this chapter. Except that, the licensing requirements for adult family homes and assisted living facilities supersede this chapter if the requirements

under respective chapters 388-76 and 388-78A WAC conflict with this chapter;

- (2) The laws governing this chapter, including chapter 71A.12 and 71A.22 RCW;
  - (3) The requirements of chapter 74.34 RCW;
- (4) The department's residential services contract. Except that, the requirements of this chapter supersede any conflicting requirements with the contract, or appendices to the contract; and
- (5) Other relevant federal, state and local laws, requirements, and ordinances.

[Statutory Authority: RCW 71A.12.030 and [71A.12].080. WSR 14-10-028, § 388-101-3020, filed 4/28/14, effective 5/29/14. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3020, filed 12/21/07, effective 2/1/08.]

## WAC 388-101-3030 Application required. (1) A person or entity must complete an application before the department will consider initial certification; and

(2) The service provider must complete an application before the department will consider a request for change of ownership as detailed in WAC 388-101-3060.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3030, filed 12/21/07, effective 2/1/08.]

## WAC 388-101-3050 Application for initial certification. (1) To apply for initial certification an applicant must submit to the department:

- (a) A letter of intent that includes:
- (i) Contact information;
- (ii) Geographical area of service; and
- (iii) Type of service provided, including group home, supported living, community protection, or group training home.
- (b) A completed and signed application on forms designated by the department;
- (c) All attachments specified in the application and any other information the department may request including but not limited to:
  - (i) Administrator resumes;
  - (ii) Statements of financial stability;
  - (iii) Professional references;
- (iv) Relevant experiences and qualifications of the individual or agency; and
- (v) Assurances the applicant will not discriminate against any client or employee.
- (d) A copy of the license if applying for certification as a group home;
  - (e) The name of the administrator of the program; and
  - (f) The department background authorization form for:
  - (i) The applicant;
  - (ii) Anyone associated with the applicant; and
- (iii) The individual or individuals designated to serve as administrator of the proposed program.
- (2) The applicant must submit a revised application, if any information on the application changes before the initial certification is issued.
- (3) The department will only process a completed application.

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- (4) Each person named in the application for initial certification is considered separately and jointly by the depart-
- (5) Based on the documentation received, the department will notify the applicant in writing regarding the department's certification decision.
- (6) The applicant must comply with additional requirements identified in this chapter if intending to support community protection clients.

[Statutory Authority: RCW 71A.12.030 and [71A.12].080. WSR 12-02-048, § 388-101-3050, filed 12/30/11, effective 1/30/12. Statutory Authority: RCW 71A.12.080, chapter 74.39A RCW. WSR 10-16-084, § 388-101-3050, filed 7/30/10, effective 1/1/11. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3050, filed 12/21/07, effective 2/1/08.]

- **WAC 388-101-3060 Change of ownership.** (1) To apply for a change of ownership, an applicant must submit an application and the required reports and documents to the department when there is a change of:
  - (a) The business entity ownership; or
  - (b) The form of legal organization.
- (2) When applying for a change of ownership, an applicant may be required to provide any or all items listed in WAC 388-101-3050.
- (3) For group homes, applicants must also meet the applicable change of ownership requirements found in:
- (a) WAC 388-76-10105 for licensed adult family homes;
- (b) WAC 388-78A-2770 through 388-78A-2787 for licensed assisted living facilities.
- (4) If the applicant is not a current service provider, the applicant must apply for initial certification.

[Statutory Authority: RCW 71A.12.030 and [71A.12].080. WSR 14-10-028, § 388-101-3060, filed 4/28/14, effective 5/29/14. Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-3060, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3060, filed 12/21/07, effective 2/1/08.]

## WAC 388-101-3070 Notice for change of ownership. The current service provider must notify:

- (1) The department in writing sixty days before a change of ownership; and
- (2) Clients or their legal representatives in writing thirty days before a change of ownership.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3070, filed 12/21/07, effective 2/1/08.]

- WAC 388-101-3080 The department may deny— **Application.** Under WAC 388-101-3090, the department is required to deny an application for initial certification or change of ownership under certain circumstances. The department may also deny an application if any person named in the application has:
- (1) Shown a lack of the understanding, character, ability, or emotional stability that is necessary to meet the identified needs of vulnerable adults:
- (2) Had a contract terminated or a certification or license revoked or denied by the department, or has been subjected to department enforcement actions;
- (3) Had an out-of-state contract or license involving the provision of services to children or vulnerable adults terminated, revoked or denied or has been the subject of an

enforcement action related to the out-of-state contract or license:

- (4) Obtained or attempted to obtain a license or certification by fraudulent means or misrepresentation;
- (5) Relinquished or been denied a license or license renewal to operate a home or facility that was licensed for the care of children or vulnerable adults;
- (6) Refused to permit authorized department representatives to interview clients or to have access to client records;
- (7) Been convicted of a drug-related conviction within the past five years without evidence of rehabilitation, unless denial is required under WAC 388-101-3090; or
- (8) Been convicted of an alcohol-related conviction within the past five years without evidence of rehabilitation;
- (9) Been convicted of any felony that the department determines is reasonably related to the competency of the person to be involved in the ownership or operation of the service provider.

[Statutory Authority: Chapter 71A.12 RCW. WSR 14-14-030, § 388-101-3080, filed 6/24/14, effective 7/25/14. Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-3080, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3080, filed 12/21/07, effective 2/1/08.]

## WAC 388-101-3090 The department must deny— **Application.** (1) The department must deny an application for initial certification or change of ownership if any person named in the application has:

- (a) A disqualifying criminal conviction or pending criminal charge under chapter 388-113 WAC.
- (b) Been convicted of a crime in any federal or state court, and the department determines that the conviction is equivalent to a disqualifying conviction under chapter 388-113 WAC.
- (2) The department must also deny an application for initial certification or change of ownership if any person named in the application has a disqualifying negative action. The following are considered to be disqualifying negative actions:
- (a) A court has issued a permanent restraining order or order of protection, either active or expired, against the person that was based upon abuse, neglect, financial exploitation, or mistreatment of a child or vulnerable adult;
  - (b) The person is a registered sex offender;
- (c) The person is on a registry based upon a final finding of abuse, neglect or financial exploitation of a vulnerable adult, unless the finding was made by adult protective services prior to October 2003;
- (d) A founded finding of abuse or neglect of a child was made against the person, unless the finding was made by child protective services prior to October 1, 1998;
- (e) The individual was found in any dependency action to have sexually assaulted or exploited any child or to have physically abused any child;
- (f) The individual was found by a court in a domestic relations proceeding under Title 26 RCW, or under any comparable state or federal law, to have sexually abused or exploited any child or to have physically abused any child;
- (g) The person has had a contract or license denied, terminated, revoked, or suspended due to abuse, neglect, finan-

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cial exploitation, or mistreatment of a child or vulnerable adult: or

(h) The person has relinquished a license or terminated a contract because an agency was taking an action against the individual related to alleged abuse, neglect, financial exploitation or mistreatment of a child or vulnerable adult.

[Statutory Authority: Chapter 71A.12 RCW. WSR 14-14-030, § 388-101-3090, filed 6/24/14, effective 7/25/14. Statutory Authority: RCW 71A.12.-080. WSR 10-03-065, § 388-101-3090, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3090, filed 12/21/07, effective 2/1/08.]

- WAC 388-101-3100 Certification—Initial. (1) The department may approve the individual or entity for initial certification when the individual or entity complies with the requirements of this chapter. The department may:
- (a) Grant initial certification for up to one hundred and eighty days of the effective date of the residential services contract; and
- (b) Extend initial certification for an additional period up to one hundred and eighty days.
- (2) If an applicant does not receive a residential services contract, initial certification will be valid for up to one year.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3100, filed 12/21/07, effective 2/1/08.]

- WAC 388-101-3110 Certification—Regular. (1) The department may approve the service provider for regular certification when the service provider complies with the requirements of this chapter and the residential services contract. The department may:
- (a) Grant certification to a service provider for up to two years; and
- (b) Extend regular certification for an additional period up to one hundred and eighty days.
- (2) If a service provider does not comply with the certification requirements, the department may provisionally certify or decertify a service provider.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3110, filed 12/21/07, effective 2/1/08.]

- **WAC 388-101-3130 Certification evaluation.** (1) The department may conduct an on-site certification evaluation of each service provider at any time, but at least once every two years.
- (2) During certification evaluations the service provider's administrator or designee must:
- (a) Cooperate with department representatives during the on-site visit;
- (b) Provide all contractor records, client records, and other relevant information requested by the department representatives:
- (c) Ensure the service provider's administrator or designee is available during any visit to respond to questions or issues identified by department representatives; and
- (d) Ensure the service provider's administrator or designee is present at the exit conference.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3130, filed 12/21/07, effective 2/1/08.]

WAC 388-101-3140 Complaint investigation. The department may conduct unannounced complaint investigations to determine the service provider's compliance with this chapter, the residential services contract, and applicable laws and requirements.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3140, filed 12/21/07, effective 2/1/08.]

## WAC 388-101-3150 State and federal access to program. The service provider must:

- (1) Allow any state or federal department or agency to conduct audits, evaluations, or complaint investigations related to this program or to clients served in this program;
- (2) Allow department representatives to review a client's records and activities at any time to see if the service provider continues to address the clients' needs for instruction and support activities;
- (3) Allow the department representatives' access to clients, the client's legal representative and family members;
- (4) Cooperate with department representatives in the performance of official duties; and
- (5) Allow access to clients and client records by an advocacy group if the advocacy group has access authority under state or federal law.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3150, filed 12/21/07, effective 2/1/08.]

## WAC 388-101-3160 Plan of correction. The service provider must:

- (1) Submit a signed plan of correction to the department according to established department processes and timelines; and
  - (2) Include in the plan of correction:
- (a) What the service provider did or will do to correct each deficiency;
- (b) How the service provider will prevent future problems of this type;
- (c) Who will be responsible for monitoring the corrections to ensure the problems do not recur; and
  - (d) When lasting correction will be achieved.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3160, filed 12/21/07, effective 2/1/08.]

WAC 388-101-3165 Access to certification evaluation report and plan of correction. The service provider must make the certification evaluation report and related plan of correction available to anyone upon request.

[Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-3165, filed 1/15/10, effective 2/15/10.]

- WAC 388-101-3170 Group training home. After the effective date of this chapter a person or entity desiring to become a group training home must:
- (1) Complete an application on forms and attachments designated by the department; and
  - (2) Currently be:
- (a) Certified as a community residential services and support provider;
- (b) Licensed as an adult family home under chapter 70.128 RCW; and

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(c) A nonprofit business in accordance with state and federal law.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3170, filed 12/21/07, effective 2/1/08.]

WAC 388-101-3180 Department approval of group training home status. The department will consider, at a minimum, the following when determining whether to approve or deny an application for group training home status:

- (1) The needs of the program;
- (2) Available funding;
- (3) The information received from the applicant;
- (4) The certification history of the applicant;
- (5) The licensing history of the applicant; and
- (6) The capacity of the home.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3180, filed 12/21/07, effective 2/1/08.]

## ADMINISTRATIVE REQUIREMENTS

- WAC 388-101-3202 Background checks—National fingerprint background checks. (1) Administrators and all caregivers who are hired on or after January 1, 2016, and are not disqualified by the Washington state name and date of birth background check, must complete a national fingerprint background check and follow department procedures.
- (2) After receiving the results of the national fingerprint background check the service provider must not employ, directly or by contract, an administrator, employee, volunteer, student or subcontractor who has a disqualifying criminal conviction or pending charge for a disqualifying crime under chapter 388-113, or that is a disqualifying negative action under WAC 388-78A-2470 or WAC 388-76-10180.
- (3) The service provider may accept a copy of the national fingerprint background check results letter and any additional information from the department's background check central unit from an individual who previously completed a national fingerprint check through the department's background check central unit, provided the national fingerprint background check was completed after January 7, 2012.

[Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW. WSR 16-18-040, § 388-101-3202, filed 8/30/16, effective 9/30/16.]

- WAC 388-101-3230 Group homes. A service provider who is a licensed adult family home or assisted living facility must:
- (1) Provide care and services in accordance with this chapter and with licensing requirements under chapters 388-76 and 388-78A WAC respectively;
- (2) Comply with client rights requirements in chapter 70.129 RCW and this chapter;
- (3) Comply with the home's licensing requirements if there is a conflict with requirements in this chapter; and
- (4) Comply with this chapter if the requirement is over and above the home's licensing requirements.

[Statutory Authority: RCW 71A.12.030 and [71A.12].080. WSR 14-10-028, § 388-101-3230, filed 4/28/14, effective 5/29/14. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3230, filed 12/21/07, effective 2/1/08.]

- WAC 388-101-3250 Background checks—Requirements for service providers. (1) Service providers must follow the background check requirements described in chapter 388-113 WAC and in this chapter. In the event of an inconsistency, this chapter applies.
- (2) The service provider must obtain background checks from the department for all administrators, employees, volunteers, students, and subcontractors who may have unsupervised access to clients.
- (3) The service provider must not allow the following persons to have unsupervised access to clients until the service provider receives the department's background check results:
  - (a) Administrators;
  - (b) Employees;
  - (c) Volunteers or students; and
  - (d) Subcontractors.
- (4) If the department's background check results show that an administrator, employee, volunteer, student, or subcontractor has any of the following, then the service provider must prevent that person from having unsupervised access to clients:
- (a) A disqualifying conviction or pending criminal charge under chapter 388-113 WAC; or
- (b) A disqualifying negative action under WAC 388-101-3090.
- (5) If the background check results show any of the following, then the service provider must conduct a character, suitability, and competence review before allowing the person unsupervised access to clients:
- (a) The person has a conviction or pending criminal charge, but the conviction or criminal charge is not disqualifying under WAC 388-113-0020;
- (b) The person has a conviction or pending criminal charge that meets one of the exceptions listed in WAC 388-113-0040; or
- (c) Any of the circumstances described in WAC 388-101-3080 apply to the individual.
- (6) When a service provider receives the results of a person's background check, the service provider must:
- (a) Inform the person of the results of the background
- (b) Inform the person that they may request a copy in writing of the results of the background check. If requested, a copy of the background check results must be provided within ten working days of the request; and
- (c) Notify the department and other appropriate licensing or certification agency of any person resigning or terminated as a result of having a conviction record.
- (7) The service provider must renew the Washington state background check for each administrator, employee, volunteer, student, or subcontractor of a service provider. The service provider must at least every thirty-six months keep current background check results for each administrator, employee, volunteer, student, or subcontractor of a service provider.
- (8) Licensed assisted living facilities or adult family homes must adhere to the current regulations in this chapter and in the applicable licensing laws.
- (9) All applicants for certification must have a background check.

(8/30/16) [Ch. 388-101 WAC p. 17]

[Statutory Authority: Chapter 71A.12 RCW. WSR 14-14-030, § 388-101-3250, filed 6/24/14, effective 7/25/14. Statutory Authority: RCW 71A.12.030 and [71A.12].080. WSR 12-02-048, § 388-101-3250, filed 12/30/11, effective 1/30/12. Statutory Authority: RCW 71A.12.080, chapter 74.39A RCW. WSR 10-16-084, § 388-101-3250, filed 7/30/10, effective 1/11/11. Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-3250, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-3250, filed 12/21/07, effective 2/1/08.]

- WAC 388-101-3255 Background checks—Provisional hire—Pending results. Persons identified in WAC 388-101-3250 and who have lived in Washington state less than three years, or who are otherwise required to complete a national fingerprint-based background check, may be hired for a one hundred twenty-day provisional period when:
- (1) The person is not disqualified based on the initial results of the background check from the department; and
- (2) A national fingerprint-based background check is pending.

[Statutory Authority: Chapter 71A.12 RCW. WSR 14-14-030, § 388-101-3255, filed 6/24/14, effective 7/25/14. Statutory Authority: RCW 71A.12.030 and [71A.12].080. WSR 12-02-048, § 388-101-3255, filed 12/30/11, effective 1/30/12. Statutory Authority: RCW 71A.12.080, chapter 74.39A RCW. WSR 10-16-084, § 388-101-3255, filed 7/30/10, effective 1/1/11.]

- WAC 388-101-3259 Long-term care worker requirements. Beginning January 1, 2016, all staff employed as long-term care workers as defined by RCW 74.39A.009 (17)(a) are required to meet all the training requirements in the following:
- (1) Chapter 388-112 WAC, if the service provider is also licensed as an adult family home or assisted living facility.
- (2) Chapter 388-829 WAC, if the service provider is certified only.

[Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW. WSR 16-18-040, § 388-101-3259, filed 8/30/16, effective 9/30/16.]

### INCIDENT REPORTING

- WAC 388-101-4150 Mandated reporting to the department. Service providers, administrators, owners, and staff:
- (1) Are mandated reporters and must meet the requirements of chapter 74.34 RCW;
- (2) Must make mandated reports to the department's centralized toll free complaint telephone number or fax number immediately when:
- (a) There is reasonable cause to believe that a vulnerable adult, as defined in chapter 74.34 RCW, has been abandoned, abused, neglected, or financially exploited; or
- (b) There is a reason to suspect physical or sexual assault.
- (3) Must also make written and oral reports to the department as specified in the provider's residential services contract:
- (4) Must protect the alleged victim and others from further abuse, neglect, abandonment, and financial exploitation; and
- (5) May have their certification and/or contract terminated if they fail to report such incidents.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4150, filed 12/21/07, effective 2/1/08.]

- WAC 388-101-4160 Mandated reporting to law enforcement. Service providers, administrators, owners, and staff must immediately report to the appropriate law enforcement agency if there is reason to suspect that any of the following has occurred:
- (1) Sexual assault: Any alleged or suspected sexual assault:
- (2) Physical assault (nonclient to client): Any suspected physical assault as well as any act that causes fear of imminent harm; and
- (3) Physical assault (client to client): Any suspected physical assault that causes bodily injury requiring more than first aid, or in the event of:
- (a) Injuries that appear on the back, face, head, neck, chest, breasts, groin, inner thigh, buttock, genital, or anal area:
  - (b) Fractures;
  - (c) Choking attempts;
- (d) Patterns of physical assault between the same vulnerable adults or involving the same vulnerable adults;
- (e) A reasonable cause to believe that an act has caused fear of imminent harm; and
- (f) Any incident, regardless of injury, if requested by the client, his/her legal representative, or family member.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4160, filed 12/21/07, effective 2/1/08.]

- WAC 388-101-4170 Mandated reporting policies and procedures. (1) The service provider must develop, train on and implement written policies and procedures for:
- (a) Immediately reporting mandated reporting incidents to:
  - (i) The department and law enforcement;
- (ii) Appropriate persons within the service provider's agency as designated by the service provider; and
  - (iii) The alleged victim's legal representative.
  - (b) Protecting clients;
  - (c) Preserving evidence when necessary; and
  - (d) Initiating an outside review or investigation.
- (2) The service provider must not have or implement any policies or procedures that interfere with a mandated reporter's obligation to report.

[Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-4170, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4170, filed 12/21/07, effective 2/1/08.]

#### REMEDIES

- WAC 388-101-4175 Remedies—General. (1) The department may take one or more of the following actions in any case which the department finds that a service provider is noncompliant with the requirements of this chapter, the department's residential services contract, the requirements of chapter 74.34 RCW or other relevant federal, state and local laws, requirements or ordinances:
- (a) Require a service provider to implement a plan of correction approved by the department and to cooperate with subsequent monitoring of the service provider's progress;
- (b) Impose reasonable conditions on a service provider's certification such as correction within a time specified in the

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statement of deficiency, training, and limits on the type of client the service provider may serve;

- (c) Impose civil penalties;
- (d) Suspend the service provider from accepting clients with specified needs by imposing a limited suspension of department referrals (stop placement);
  - (e) Suspend department referrals (stop placement);
  - (f) Refuse to certify a prospective service provider;
- (g) Decertify or refuse to renew the certification of the service provider.
- (2) The enforcement actions and penalties authorized in this section are not exclusive or exhaustive and nothing in this section prohibits the department from taking any action authorized in statue or rule or under the terms of a contract with the service provider.

[Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW. WSR 16-18-040, § 388-101-4175, filed 8/30/16, effective 9/30/16.]

WAC 388-101-4180 Remedies—Consideration for imposing remedies. (1) The department may select enforcement actions proportional to the seriousness of harm or threat of harm to clients served by service providers.

- (2) The department may select a more severe enforcement action for violations that are:
  - (a) Uncorrected;
  - (b) Repeated;
  - (c) Pervasive; or
- (d) Present a serious threat to the health, safety, or welfare of clients served by the service provider.

[Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW. WSR 16-18-040, § 388-101-4180, filed 8/30/16, effective 9/30/16.]

WAC 388-101-4185 Remedies—Circumstances resulting in enforcement remedies. The department may impose the enforcement remedies described in this chapter when the service provider:

- (1) Failed or refused to comply with the health and safety related requirements of this chapter, chapter 74.34 RCW or the rules adopted under these chapters;
- (2) Failed or refused to cooperate with the certification process;
- (3) Prevented or interfered with a certification, inspection, or complaint investigation by the department;
- (4) Failed to comply with any applicable requirements regarding vulnerable adults under chapter 74.34 RCW;
- (5) Knowingly, or with reason to know, made a false statement of material fact related to certification or contracting with the department, or in any matter under investigation by the department;
- (6) Failed to submit a plan of correction for approval by the department;
- (7) Failed to implement the plan or plans of correction or failed to make a correction imposed under WAC; or
  - (8) Failed to cooperate with subsequent monitoring.

[Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW. WSR 16-18-040, § 388-101-4185, filed 8/30/16, effective 9/30/16.]

WAC 388-101-4190 Remedies—Specific provisional certification. (1) The department may impose a provisional certification, not to exceed one hundred eighty days, if any

service provider does not comply with requirements of this chapter, other applicable laws and rules, or the residential services contract.

- (2) At the end of provisional certification the department may:
- (a) Approve the service provider for regular certification if the service provider has complied with certification requirements; or
- (b) Decertify the service provider and terminate the residential services contract if the service provider has not complied with all certification requirements.

[Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW. WSR 16-18-040, § 388-101-4190, filed 8/30/16, effective 9/30/16. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4190, filed 12/21/07, effective 2/1/08.]

WAC 388-101-4200 Remedies—Specific—Decertification. The department may decertify a service provider at any time for noncompliance with the requirements of this chapter, the department's residential services contract, the requirements of chapter 74.34 RCW, or other relevant federal, state and local laws, requirements or ordinances.

[Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW. WSR 16-18-040, § 388-101-4200, filed 8/30/16, effective 9/30/16. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4200, filed 12/21/07, effective 2/1/08.]

WAC 388-101-4205 Remedies—Specific—Suspend department referrals (stop placement). (1) The department may suspend referrals to the service provider for noncompliance with the requirements of this chapter, the department's residential services contract, the requirements of chapter 74.34 RCW, or other relevant federal, state, and local laws.

- (2) Once the department suspends referrals, the service provider may not admit new referrals until the department lifts its suspension.
- (3) The department may lift its suspension if it finds the following:
- (a) The service provider has corrected the deficiencies that necessitated the suspension of the department referrals; and
- (b) The service provider has shown the capacity to maintain the corrective action addressed by the suspension of department referrals.
- (4) After a department finding of a violation for which a suspension of department referrals has been imposed, the department must make an on-site revisit of the service provider within fifteen working days from the deficiency correction date documented on an acceptable plan of correction. If the deficiency correction date had occurred prior to the department being notified, the department must make an on-site revisit within fifteen working days from the date the department receives the acceptable plan of correction from the service provider.
- (5) If during the service provider's suspension period, the department finds a new violation that it reasonably believes will result in a new suspension or limited suspension of department referrals, the service provider's current suspension will remain in effect until the department imposes the new suspension or limited suspension of department referrals.

(8/30/16) [Ch. 388-101 WAC p. 19]

[Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW. WSR 16-18-040, § 388-101-4205, filed 8/30/16, effective 9/30/16.]

- WAC 388-101-4215 Remedies—Specific—Limited suspension of department referrals (stop placement) for clients with specified needs. (1) The department may order a limited suspension of department referrals and prohibit the accepting of clients with specified needs if the service provider is noncompliant with the requirements of this chapter, the department's residential services contract, chapter 74.34 RCW, or other relevant federal, state, and local laws.
- (2) Once the department orders a limited suspension, the service provider may not accept any clients with specified needs, or at a specific site, until the department lifts its limited suspension.
- (3) The department may lift the limited suspension of department referrals if it finds the following:
- (a) The service provider has corrected the deficiencies that necessitated the limited suspension of department referrals; and
- (b) The service provider has shown the capacity to maintain the corrective action addressed by the limited suspension of department referrals.
- (4) After a department finding of a violation for which a limited suspension of department referrals has been imposed, the department must make an on-site revisit of the service provider within fifteen working days from the deficiency correction date documented on an acceptable plan of correction. If the deficiency correction date had occurred prior to the department being notified, the department must make an on-site revisit within fifteen working days from the date the

department receives the acceptable plan of correction from the service provider.

(5) If during the service provider's suspension period, the department finds a new violation that it reasonably believes will result in a new suspension or limited suspension of department referrals, the service provider's current suspension will remain in effect until the department imposes the new suspension or limited suspension of department referrals.

[Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW. WSR 16-18-040, § 388-101-4215, filed 8/30/16, effective 9/30/16.]

WAC 388-101-4225 Remedies—Specific—Civil penalties. (1) The department may impose civil penalties if the service provider:

- (a) Fails to implement the plan or plans of correction;
- (b) Fails to make a correction when conditions have been placed on a service provider's certification; or
- (c) Fails to cooperate with the department's subsequent monitoring.
- (2) The department may impose civil penalties from the compliance date identified in the approved plan of correction or the statement of deficiencies of:
  - (a) Up to one hundred dollars per day per violation; and
  - (b) Up to three thousand dollars per violation.
- (3) If the service provider fails to submit a plan of correction for approval by the department, the department may impose civil penalties starting ten days after the service provider received the statement of deficiencies.

[Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW. WSR 16-18-040, § 388-101-4225, filed 8/30/16, effective 9/30/16.]

WAC 388-101-4235 Remedies—Civil fine grid. The department will consider the tiered sanction grid below when imposing civil fine remedies:

NO HARM	NO HARM MINIMAL or MODERATE H		SERIOUS HARM		IMMINENT DANGER and/or IMMEDIATE THREAT
Repeat/Uncorrected	Initial	Repeat/ Uncorrected	Initial	Repeat/ Uncorrected	Any Violation
Civil fine of up to \$100 per violation	Civil fine up to \$100 per day per viola- tion not to exceed \$500 per violation	Civil fine up to \$100 per day per violation not to exceed \$1000 per violation	Civil fine up to \$100 per day per viola- tion not to exceed \$2000 per violation	Civil fine up to \$100 per day per violation not to exceed \$3000 per violation	Civil fine up to \$100 per day per violation not to exceed \$3000 per viola- tion

[Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW. WSR 16-18-040, § 388-101-4235, filed 8/30/16, effective 9/30/16.]

#### INFORMAL DISPUTE RESOLUTION AND APPEALS

WAC 388-101-4240 Informal dispute resolution. (1) When a service provider disagrees with the department's finding of a violation or certification action under this chapter, the service provider may request an informal dispute resolution meeting with the department.

(2) The service provider must make a written request to the department for an informal dispute resolution meeting within ten working days of receipt of the written notice of the department's final report of findings and/or certification action.

- (3) The service provider must submit a written statement identifying the challenged action, and include specifically the issues and regulations involved.
- (4) Except for the imposition of civil penalties, the effective date of enforcement actions may not be delayed or suspended pending any hearing or informal dispute resolution process.

[Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW. WSR 16-18-040, § 388-101-4240, filed 8/30/16, effective 9/30/16. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4240, filed 12/21/07, effective 2/1/08.]

[Ch. 388-101 WAC p. 20] (8/30/16)

- WAC 388-101-4250 Administrative review. (1) A service provider may request an administrative review of a certification action within twenty-eight days of receipt of the written notice of the department's certification action.
- (2) The service provider must make the request in writing and must:
  - (a) Sign the request;
- (b) Identify the challenged decision and the date it was made:
- (c) State specifically the issues and regulations involved and the grounds for the disagreement; and
- (d) Include with the request copies of any supporting documentation for the service provider's position.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4250, filed 12/21/07, effective 2/1/08.]

## WAC 388-101-4260 Appeal rights. (1) A service provider:

- (a) May contest a decision made by the department pursuant to chapter 71A.12 RCW and according to the provisions of chapters 34.05 RCW and 388-02 WAC;
- (b) Must file any request for a hearing with the office of administrative hearings at the mailing address specified in the notice of imposition of an enforcement remedy; and
- (c) Must make the request within twenty-eight days of receipt of the written notice of the department's certification action.
- (2) Except for the imposition of civil penalties, certification actions are effective immediately upon notice and will continue pending any hearing.

[Statutory Authority: Chapters 71A.12, 74.34, and 74.39A RCW. WSR 16-18-040, § 388-101-4260, filed 8/30/16, effective 9/30/16. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4260, filed 12/21/07, effective 2/1/08.]

#### ABUSE-NEGLECT FINDING - INDIVIDUAL

- WAC 388-101-4265 Investigation of reports. (1) The department may investigate allegations of abandonment, abuse, neglect, or financial exploitation of a client.
- (2) The department investigation may include an investigation of allegations about one or more of the following:
  - (a) A service provider;
  - (b) Anyone associated with a service provider; or
  - (c) A client receiving services under this chapter.

[Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4265, filed 12/21/07, effective 2/1/08.]

WAC 388-101-4269 Individual defined. As used in WAC 388-101-4270 through 388-101-4340, the term "individual" means anyone used by the service provider to provide services to clients who is alleged to have abandoned, abused, neglected, or financially exploited a client. "Individual" includes but is not limited to administrators, employees, contractors, subcontractors, volunteers, and students.

[Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-4269, filed 1/15/10, effective 2/15/10.]

WAC 388-101-4270 Notice to individual of preliminary findings. (1) The department will serve notice of the preliminary finding as provided in WAC 388-101-4350.

(2) The department may establish proof of service as provided in WAC 388-101-4360.

[Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-4270, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4270, filed 12/21/07, effective 2/1/08.]

# WAC 388-101-4280 Notice to others of preliminary findings. Consistent with confidentiality requirements concerning the client, witnesses, and reporter, the department may provide notification of a preliminary finding to:

- (1) Other divisions within the department;
- (2) The agency or program identified under RCW 74.34.068 with which the individual was associated as an employee, volunteer or contractor;
- (3) The employer or program that is currently associated with the individual;
  - (4) Law enforcement;
- (5) Other entities as authorized by law and this chapter including investigative authorities consistent with chapter 74.34 RCW; and
  - (6) The appropriate licensing agency.

[Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-4280, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4280, filed 12/21/07, effective 2/1/08.]

## WAC 388-101-4290 Disputing a preliminary finding.

- (1) An individual may request an administrative hearing to challenge a preliminary finding made by the department.
- (2) The request must be made in writing to the office of administrative hearings.
- (3) The office of administrative hearings must receive the individual's written request for a hearing within thirty calendar days of the date written on the notice of the preliminary finding.
  - (4) The written request for a hearing must include:
- (a) The full legal name, current address and phone number of the individual;
- (b) A brief explanation of why the individual disagrees with the preliminary finding;
- (c) A description of any assistance needed in the administrative appeal process by the individual, including a foreign language or sign language interpreter or any reasonable accommodation for a disability; and
  - (d) The individual's signature.

[Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-4290, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4290, filed 12/21/07, effective 2/1/08.]

# WAC 388-101-4300 Disclosure of investigative and finding information. (1) Confidential information about clients and mandated reporters received from the department may only be used by the individual to challenge findings through the appeal process. It may only be shared with persons who are involved in the appeal.

(2) Confidential information such as the name and other personal identifying information of the reporter, witnesses, or the client will be redacted from documents unless release of that information is consistent with chapter 74.34 RCW and other applicable state and federal laws.

(8/30/16) [Ch. 388-101 WAC p. 21]

[Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-4300, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4300, filed 12/21/07, effective 2/1/08.]

- WAC 388-101-4310 Hearing procedures to dispute a preliminary finding. (1) Chapters 34.05 and 74.34 RCW, chapter 388-02 WAC, and the provisions of this chapter govern any appeal regarding a preliminary finding. In the event of a conflict between the provisions of this chapter and chapter 388-02 WAC, the provisions of this chapter shall prevail.
- (2) The administrative law judge shall determine whether the preliminary finding is supported by a preponderance of the evidence.

[Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-4310, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4310, filed 12/21/07, effective 2/1/08.]

- WAC 388-101-4320 Appeal of the initial order. (1) If the individual or the department disagrees with the administrative law judge's decision, either party may challenge this decision by filing a petition for review with the department's board of appeals under chapters 34.05 RCW and 388-02 WAC.
- (2) If the department appeals the administrative law judge's decision, the department will not modify the finding in the department's records until a final hearing decision is issued.

[Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-4320, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4320, filed 12/21/07, effective 2/1/08.]

## WAC 388-101-4330 Finalizing a preliminary finding.

- (1) A preliminary finding becomes a final finding when:
- (a) The department gives the individual notice of the preliminary finding under WAC 388-101-4270 and the individual does not request an administrative hearing;
  - (b) The administrative law judge:
- (i) Dismisses the appeal following withdrawal of the appeal or default; or
- (ii) Issues an initial order upholding the finding and the individual fails to appeal the initial order to the department's board of appeals; or
- (c) The board of appeals issues a final order upholding the finding.
- (2) The final finding is permanent and will only be removed from the department's records if it is rescinded following judicial review.

[Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-4330, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4330, filed 12/21/07, effective 2/1/08.]

- WAC 388-101-4340 Reporting final findings. (1) The department will report a final finding of abandonment, abuse, neglect, or financial exploitation within ten working days to the following:
- (a) The individual against whom the final finding was made:
- (b) The service provider that was associated with the individual during the time of the incident;
- (c) The service provider that is currently associated with the individual against whom the final finding was made, if known;

- (d) The appropriate licensing, contracting, or certification authority; and
- (e) Any federal or state registry or list of individuals found to have abandoned, abused, neglected, or financially exploited a vulnerable adult.
- (2) The findings may be disclosed to the public upon request subject to applicable public disclosure laws.

[Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-4340, filed 1/15/10, effective 2/15/10. Statutory Authority: Chapter 71A.12 RCW. WSR 08-02-022, § 388-101-4340, filed 12/21/07, effective 2/1/08.]

## WAC 388-101-4350 Notice—Service complete. Service of the department notices is complete when:

- (1) Personal service is made:
- (2) The notice is addressed to the service provider or to the individual at his or her last known address, and deposited in the United States mail;
- (3) The notice is faxed and the department receives evidence of transmission;
- (4) Notice is delivered to a commercial delivery service with charges prepaid; or
- (5) Notice is delivered to a legal messenger service with charges prepaid.

[Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-4350, filed 1/15/10, effective 2/15/10.]

## WAC 388-101-4360 Notice—Proof of service. The department may establish proof of service by any of the following:

- (1) A declaration of personal service;
- (2) An affidavit or certificate of mailing to the service provider or to the individual to whom the notice is directed;
- (3) A signed receipt from the person who accepted the certified mail, the commercial delivery service, or the legal messenger service package; or
  - (4) Proof of fax transmission.

[Statutory Authority: RCW 71A.12.080. WSR 10-03-065, § 388-101-4360, filed 1/15/10, effective 2/15/10.]

(8/30/16)[Ch. 388-101 WAC p. 22]