## **Chapter 388-06 WAC BACKGROUND CHECKS**

WAC		388-06-0110	Who must have background checks? [Statutory Author-
ONE HUNDRED AND TWENTY-DAY PROVISIONAL HIRE— PENDING FBI BACKGROUND CHECK RESULTS			ity: RCW 43.43.832, 74.15.030 and 43.43.837. WSR 14-14-026, § 388-06-0110, filed 6/24/14, effective 7/25/14. Statutory Authority: RCW 43.43.832 and
388-06-0030 388-06-0500	What is the purpose of this chapter? What is the purpose of the one hundred twenty-day provisional hire?		74.39A.056 as amended by 2012 c 164 and 2012 c 1. WSR 12-21-053, § 388-06-0110, filed 10/15/12, effective 12/25/12. Statutory Authority: RCW 43.43.832,
388-06-0510	What definitions apply to one hundred twenty-day provisional hires?		74.39A.055, 74.39A.050, 74.39A.095, 74.39A.260, 43.20A.710, and 43.43.837. WSR 10-16-083, § 388-06-
388-06-0520	Who is responsible for approving the one hundred twenty-day provisional hire?		0110, filed 7/30/10, effective 8/30/10. Statutory Authority: RCW 43.43.832, 26.33.190, 26.44.030, 74.15.030,
388-06-0525	When are individuals eligible for the one hundred twenty-day provisional hire?		2007 c 387 and Adam Walsh Act of 2006. WSR 09-06-028, § 388-06-0110, filed 2/24/09, effective 3/6/09.
388-06-0530	When does the one hundred twenty-day provisional hire begin?		Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0110, filed 8/27/01, effective 10/1/01.]
388-06-0535	Who approves one hundred twenty-day provisional hire extensions?		Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15
388-06-0540	Are there instances when the one hundred twenty-day provisional hire is not available?	388-06-0115	030, and 43.43.837.  What are the division of developmental disabilities background check requirements? [Statutory Authority:
	BACKGROUND CHECK CENTRAL UNIT		RCW 43.43.832 and 74.39A.056 as amended by 2012 c 164 and 2012 c 1. WSR 12-21-053, § 388-06-0115, filed
388-06-0700	What definitions apply to WAC 388-06-0700 through 388-06-0810?		10/15/12, effective 12/25/12.] Repealed by WSR 14-14-026, filed 6/24/14, effective 7/25/14. Statutory Author-
388-06-0710	Who may submit a background check to the background check central unit?	388-06-0120	ity: RCW 43.43.832, 74.15.030 and 43.43.837. Who is not affected by this chapter? [Statutory Author-
388-06-0720	Who receives the results of a background check con- ducted by the background check central unit?		ity: RCW 74.15.030. WSR 01-18-025, § 388-06-0120, filed 8/27/01, effective 10/1/01.] Repealed by WSR 15-
388-06-0800	When must BCCU include a statement about a certificate of restoration of opportunity?	200.07.0120	03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15.030, and 43.43.837.
388-06-0810	Does a certificate of restoration of opportunity apply to any state abuse and neglect registry?	388-06-0130	Does the background check process apply to new and renewal licenses, certification, contracts, and authorizations to have unsupervised access to children? [Statu-
1	DISPOSITION OF SECTIONS FORMERLY		tory Authority: RCW 43.43.832, 74.15.030 and 43.43
•	CODIFIED IN THIS CHAPTER		837. WSR 14-14-026, § 388-06-0130, filed 6/24/14, effective 7/25/14. Statutory Authority: RCW 43.43.832
388-06-0010	What is the purpose of this chapter? [Statutory Authority: RCW 43.43.832, 74.15.030 and 43.43.837. WSR		and 74.39A.056 as amended by 2012 c 164 and 2012 c 1. WSR 12-21-053, § 388-06-0130, filed 10/15/12, effective 12/25/12. Statutory Authority: RCW 43.43
	14-14-026, § 388-06-0010, filed 6/24/14, effective 7/25/14. Statutory Authority: RCW 43.43.832, 74.39A		832, 74.39A.055, 74.39A.050, 74.39A.095, 74.39A. 260, 43.20A.710, and 43.43.837. WSR 10-16-083, §
	055, 74.39A.050, 74.39A.095, 74.39A.260, 43.20A.710, and 43.43.837. WSR 10-16-083, § 388-06-0010,		388-06-0130, filed 7/30/10, effective 8/30/10. Statutory
	filed 7/30/10, effective 8/30/10. Statutory Authority:		Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0130, filed 8/27/01, effective 10/1/01.] Repealed by
	RCW 43.43.832, 43.20A.710, and 2007 c 387. WSR 09-03-003, § 388-06-0010, filed 1/8/09, effective 2/8/09.		WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15.030, and 43.43.
	Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0010, filed 8/27/01, effective 10/1/01.]	388-06-0140	837. What happens if I don't comply with the background
	Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15		check requirement? [Statutory Authority: RCW 74.15030. WSR 01-18-025, § 388-06-0140, filed 8/27/01,
388-06-0020	030, and 43.43.837. What definitions apply to WAC 388-06-0100 through		effective 10/1/01.] Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW
	388-06-0260 of this chapter? [Statutory Authority: RCW 43.43.832, 74.15.030 and 43.43.837. WSR 14-14-	388-06-0150	43.43.832, 74.15.030, and 43.43.837. What does the background check cover? [Statutory
	026, § 388-06-0020, filed 6/24/14, effective 7/25/14. Statutory Authority: RCW 43.43.832 and 74.39A.056 as		Authority: RCW 43.43.832, 74.15.030 and 43.43.837. WSR 14-14-026, § 388-06-0150, filed 6/24/14, effec-
	amended by 2012 c 164 and 2012 c 1. WSR 12-21-053, § 388-06-0020, filed 10/15/12, effective 12/25/12. Stat-		tive 7/25/14. Statutory Authority: RCW 43.43.832 and
	utory Authority: RCW 43.43.832, 74.39A.055,		74.39A.056 as amended by 2012 c 164 and 2012 c 1. WSR 12-21-053, § 388-06-0150, filed 10/15/12, effec-
	74.39A.050, 74.39A.095, 74.39A.260, 43.20A.710, and 43.43.837. WSR 10-16-083, § 388-06-0020, filed		tive 12/25/12. Statutory Authority: RCW 43.43.832, 74.39A.055, 74.39A.050, 74.39A.095, 74.39A.260,
	7/30/10, effective 8/30/10. Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0020, filed		43.20A.710, and 43.43.837. WSR 10-16-083, § 388-06-
	8/27/01, effective 10/1/01.] Repealed by WSR 15-03-		0150, filed 7/30/10, effective 8/30/10. Statutory Authority: RCW 43.43.832, 26.33.190, 26.44.030, 74.15.030,
	070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15.030, and 43.43.837.		2007 c 387 and Adam Walsh Act of 2006. WSR 09-06-028, § 388-06-0150, filed 2/24/09, effective 3/6/09.
388-06-0100	Why are background checks done? [Statutory Authority: RCW 43.43.832, 74.15.030 and 43.43.837. WSR		Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0150, filed 8/27/01, effective 10/1/01.]
	14-14-026, § 388-06-0100, filed 6/24/14, effective		Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15
	7/25/14. Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0100, filed 8/27/01, effective		030, and 43.43.837.
	10/1/01.] Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15.030, and 43.43.837.	388-06-0160	Who pays for the background check? [Statutory Authority: RCW 43.43.832, 74.15.030 and 43.43.837. WSR 14-14-026, § 388-06-0160, filed 6/24/14, effective
	,		1. 1. 020, § 500 00 0150, mod 0/24/14, effective

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	7/25/14. Statutory Authority: RCW 43.43.832, 74.39A055, 74.39A.050, 74.39A.095, 74.39A.260, 43.20A710, and 43.43.837. WSR 10-16-083, § 388-06-0160, filed 7/30/10, effective 8/30/10. Statutory Authority: RCW 43.43.832, 26.33.190, 26.44.030, 74.15.030, 2007 c 387 and Adam Walsh Act of 2006. WSR 09-06-028, § 388-06-0160, filed 2/24/09, effective 3/6/09. Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0160, filed 8/27/01, effective 10/1/01.] Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statu-	388-06-0260	055, 74.39A.050, 74.39A.095, 74.39A.260, 43.20A710, and 43.43.837. WSR 10-16-083, § 388-06-0250, filed 7/30/10, effective 8/30/10. Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0250, filed 8/27/01, effective 10/1/01.] Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15.030, and 43.43.837.  May I receive a copy of my criminal background check results? [Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0260, filed 8/27/01, effective
388-06-0170	tory Authority: RCW 43.43.832, 74.15.030, and 43.43.837. Will a criminal conviction permanently prohibit me		10/1/01.] Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15.030, and 43.43.837.
388-06-0180	from being licensed, contracted, or authorized to have unsupervised access to children or to individuals with developmental disability? [Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0170, filed 8/27/01, effective 10/1/01.] Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15.030, and 43.43.837. Are there other criminal convictions that will prohibit me from working with a bildren or individuals with a	388-06-0600	Must the DSHS secretary or designee conduct background checks on all employees in covered positions and applicants under consideration for a covered position? [Statutory Authority: RCW 43.43.832, 43.20A710, and 2007 c 387. WSR 09-03-003, § 388-06-0600, filed 1/8/09, effective 2/8/09.] Repealed by WSR 15-05-030, filed 2/10/15, effective 3/13/15. Statutory Authority: RCW 43.43.832, 43.20A.710 and 43.43.837.
	me from working with children or individuals with a developmental disability? [Statutory Authority: RCW 43.43.832, 74.39A.055, 74.39A.050, 74.39A.095, 74.39A.260, 43.20A.710, and 43.43.837. WSR 10-16-083, § 388-06-0180, filed 7/30/10, effective 8/30/10. Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0180, filed 8/27/01, effective 10/1/01.]	388-06-0605	What is a covered position? [Statutory Authority: RCW 43.43.832, 43.20A.710, and 2007 c 387. WSR 09-03-003, § 388-06-0605, filed 1/8/09, effective 2/8/09.] Repealed by WSR 15-05-030, filed 2/10/15, effective 3/13/15. Statutory Authority: RCW 43.43.832, 43.20A.710 and 43.43.837.
388-06-0190	Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15030, and 43.43.837. If I have a conviction, may I ever have unsupervised access to children? [Statutory Authority: RCW 43.43832, 74.15.030 and 43.43.837. WSR 14-14-026, § 388-06.010. Elad 6(24/14) effective 7/25/14. Statutory	388-06-0610	Who are vulnerable adults, juveniles or children? [Statutory Authority: RCW 43.43.832, 43.20A.710, and 2007 c 387. WSR 09-03-003, § 388-06-0610, filed 1/8/09, effective 2/8/09.] Repealed by WSR 15-05-030, filed 2/10/15, effective 3/13/15. Statutory Authority: RCW 43.43.832, 43.20A.710 and 43.43.837.
200.07.0200	06-0190, filed 6/24/14, effective 7/25/14. Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0190, filed 8/27/01, effective 10/1/01.] Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15.030, and 43.43.837.	388-06-0615	What is unsupervised access? [Statutory Authority: RCW 43.43.832, 43.20A.710, and 2007 c 387. WSR 09-03-003, § 388-06-0615, filed 1/8/09, effective 2/8/09.] Repealed by WSR 15-05-030, filed 2/10/15, effective 3/13/15. Statutory Authority: RCW 43.43.832, 43.20A710 and 43.43.837.
388-06-0200 388-06-0210	Will I be disqualified if there are pending criminal charges on my background check? [Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0200, filed 8/27/01, effective 10/1/01.] Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15.030, and 43.43.837. Will you license, contract, or authorize me to have unsupervised access to children if my conviction has been	388-06-0620	What information is considered in a background check conducted by DSHS and what are the results of the background check used for? [Statutory Authority: RCW 43.43.832, 43.20A.710, and 2007 c 387. WSR 09-03-003, § 388-06-0620, filed 1/8/09, effective 2/8/09.] Repealed by WSR 15-05-030, filed 2/10/15, effective 3/13/15. Statutory Authority: RCW 43.43.832, 43.20A710 and 43.43.837.
	expunged, or vacated from my record or I have been pardoned for a crime? [Statutory Authority: RCW 43.43.832, 74.15.030 and 43.43.837. WSR 14-14-026, § 388-06-0210, filed 6/24/14, effective 7/25/14. Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0210, filed 8/27/01, effective 10/1/01.] Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15.030, and 43.43.837.	388-06-0625	Must an employee and/or applicant authorize the secretary of the department of social and health services or designee to conduct a background check and what happens if the employee or applicant does not provide authorization? [Statutory Authority: RCW 43.43.832, 43.20A.710, and 2007 c 387. WSR 09-03-003, § 388-06-0625, filed 1/8/09, effective 2/8/09.] Repealed by WSR 15-05-030, filed 2/10/15, effective 3/13/15. Statu-
388-06-0220	How will I know if I have not been disqualified by the background check? [Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0220, filed 8/27/01, effective 10/1/01.] Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15.030, and 43.43.837.	388-06-0630	tory Authority: RCW 43.43.832, 43.20A.710 and 43.43.837.  What happens when a permanent DSHS employee is disqualified because of a background check or failure to authorize a background check? [Statutory Authority:
388-06-0230	How will I know if I have been disqualified by the background check? [Statutory Authority: RCW 74.15.030. WSR 01-18-025, § 388-06-0230, filed 8/27/01, effective 10/1/01.] Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW	288 07 0725	RCW 43.43.832, 43.20A.710, and 2007 c 387. WSR 09-03-003, § 388-06-0630, filed 1/8/09, effective 2/8/09.] Repealed by WSR 15-05-030, filed 2/10/15, effective 3/13/15. Statutory Authority: RCW 43.43.832, RCW 43.20A.710 and 43.43.837.
388-06-0240	43.43.832, 74.15.030, and 43.43.837. What may I do if I disagree with the department's decision to deny me a license, certification, contract, or authorization based on the results of the background check? [Statutory Authority: RCW 43.43.832, 74.15.030 and 43.43.837. WSR 14-14-026, § 388-06-0240, filed 6/24/14, effective 7/25/14. Statutory Authority:	388-06-0635	What are the DSHS secretary's responsibilities in carrying out the requirements to conduct background checks? [Statutory Authority: RCW 43.43.832, 43.20A.710, and 2007 c 387. WSR 09-03-003, § 388-06-0635, filed 1/8/09, effective 2/8/09.] Repealed by WSR 15-05-030, filed 2/10/15, effective 3/13/15. Statutory Authority: RCW 43.43.832, RCW 43.20A.710 and 43.43.837.
388-06-0250	RCW 74.15.030. WSR 01-18-025, § 388-06-0240, filed 8/27/01, effective 10/1/01.] Repealed by WSR 15-03-070, filed 1/15/15, effective 2/15/15. Statutory Authority: RCW 43.43.832, 74.15.030, and 43.43.837. Is the background check information released to my employer or prospective employer? [Statutory Authority: RCW 43.43.832, 74.15.030 and 43.43.837. WSR 14-14-026, § 388-06-0250, filed 6/24/14, effective	388-06-0640	Does a DSHS permanent employee who is disqualified from a covered position as a result of a background check have the right to request a review of the disqualification? [Statutory Authority: RCW 43.43.832, 43.20A.710, and 2007 c 387. WSR 09-03-003, § 388-06-0640, filed 1/8/09, effective 2/8/09.] Repealed by WSR 15-05-030, filed 2/10/15, effective 3/13/15. Statutory Authority: RCW 43.43.832, 43.20A.710 and
	7/25/14. Statutory Authority: RCW 43.43.832, 74.39A		43.43.837.

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## ONE HUNDRED AND TWENTY-DAY PROVISIONAL HIRE—PENDING FBI BACKGROUND CHECK RESULTS

WAC 388-06-0030 What is the purpose of this chapter? (1) WAC 388-06-500 through 388-06-0540 defines when the one hundred twenty-day provisional hire is allowed by DSHS.

(2) WAC 388-06-0700 through 388-06-0720 describes the responsibilities of the background check central unit.

[Statutory Authority: RCW 43.43.832, 43.20A.710, and 43.43.837. WSR 15-05-030, § 388-06-0030, filed 2/10/15, effective 3/13/15.]

WAC 388-06-0500 What is the purpose of the one hundred twenty-day provisional hire? The one hundred twenty-day provisional hire allows an employee to have unsupervised access to children, juveniles and vulnerable adults on a provisional basis pending the results of their Federal Bureau of Investigation (FBI) background check.

[Statutory Authority: Chapter 43.20A RCW, RCW 72.05.440 and 74.15.030. WSR 01-15-019, § 388-06-0500, filed 7/10/01, effective 8/10/01.]

WAC 388-06-0510 What definitions apply to one hundred twenty-day provisional hires? "Agency" means any agency of the state or any private agency providing services to children, juveniles, and vulnerable adults.

"Background check central unit (BCCU)" means the DSHS program responsible for conducting background checks for DSHS administrations.

"Disqualified" means the results of an individual's background check disqualifies them from a position which will or may provide unsupervised access to children, juveniles, and vulnerable adults.

"Entity" means, but is not limited to, a licensed facility, a corporation, a partnership, a sole proprietorship, or a contracted or certified service provider.

"Hire" means engagement by an agency, entity or a hiring individual to perform specific agreed duties as a paid employee, a contract employee, a volunteer, or a student intern.

"Hiring individual" means a DSHS client who is eligible to hire an individual to provide in-home service with state funding.

"Individual" means an employee, a contract employee, a volunteer, or a student intern.

"Qualified" means an individual can be hired into a position that includes unsupervised access to children, juveniles, and vulnerable adults because the results of their background check are not disqualifying.

## "Unsupervised access" means that:

- (1) An individual will or may have the opportunity to be alone with a child, juvenile, or a vulnerable adult; and
- (2) Neither a qualified employee, contract employee, volunteer, or student intern of the agency, or entity nor a relative or guardian of the child, juvenile or vulnerable adult is present.

[Statutory Authority: Chapter 43.20A RCW, RCW 72.05.440 and 74.15.030. WSR 01-15-019, § 388-06-0510, filed 7/10/01, effective 8/10/01.]

WAC 388-06-0520 Who is responsible for approving the one hundred twenty-day provisional hire? The agency, entity or hiring individual is responsible for approving individuals for the one hundred twenty-day provisional hire.

[Statutory Authority: Chapter 43.20A RCW, RCW 72.05.440 and 74.15.030. WSR 01-15-019, § 388-06-0520, filed 7/10/01, effective 8/10/01.]

WAC 388-06-0525 When are individuals eligible for the one hundred twenty-day provisional hire? Individuals are eligible for the one hundred twenty-day provisional hire immediately. The signed background check application and fingerprinting process must be completed as required by the applicable DSHS program.

[Statutory Authority: RCW 43.43.832, 74.15.030 and 43.43.837. WSR 14-14-026, § 388-06-0525, filed 6/24/14, effective 7/25/14. Statutory Authority: RCW 43.43.832 and 74.39A.056 as amended by 2012 c 164 and 2012 c 1. WSR 12-21-053, § 388-06-0525, filed 10/15/12, effective 12/25/12. Statutory Authority: RCW 43.43.832, 74.39A.055, 74.39A.050, 74.39A.055, 74.39A.050, 74.39A.095, 74.39A.260, 43.20A.710, and 43.43.837. WSR 10-16-083, § 388-06-0525, filed 7/30/10, effective 8/30/10. Statutory Authority: Chapter 43.20A RCW, RCW 72.05.440 and 74.15.030. WSR 01-15-019, § 388-06-0525, filed 7/10/01, effective 8/10/01.]

WAC 388-06-0530 When does the one hundred twenty-day provisional hire begin? The one hundred twenty-day provisional hire may begin from either:

- (1) The date of hire of an individual; or
- (2) After completion of a state background check on an individual.

The agency, entity, or hiring individual makes this decision.

[Statutory Authority: Chapter 43.20A RCW, RCW 72.05.440 and 74.15.030. WSR 01-15-019, § 388-06-0530, filed 7/10/01, effective 8/10/01.]

WAC 388-06-0535 Who approves one hundred twenty-day provisional hire extensions? The agency, entity or hiring individual approves one hundred twenty-day provisional hire extensions. An extension is approved when the agency, entity or hiring individual does not receive the FBI result within one hundred twenty days from:

- (1) The date of hire; or
- (2) Completion of the state background check.

[Statutory Authority: Chapter 43.20A RCW, RCW 72.05.440 and 74.15.030. WSR 01-15-019, § 388-06-0535, filed 7/10/01, effective 8/10/01.]

WAC 388-06-0540 Are there instances when the one hundred twenty-day provisional hire is not available? The one hundred twenty-day provisional hire is not available to an agency, entity, or hiring individual requesting:

- (1) An initial license;
- (2) An initial contract;
- (3) Approval as a family child day care home provider, foster parent or adoptive parent (see 42 U.S.C. Sec 671 (a)(20)).

[Statutory Authority: RCW 43.43.832, 74.15.030 and 43.43.837. WSR 14-14-026, § 388-06-0540, filed 6/24/14, effective 7/25/14. Statutory Authority: RCW 43.43.832 and 74.39A.056 as amended by 2012 c 164 and 2012 c 1. WSR 12-21-053, § 388-06-0540, filed 10/15/12, effective 12/25/12. Statutory Authority: Chapter 43.20A RCW, RCW 72.05.440 and 74.15.030. WSR 01-15-019, § 388-06-0540, filed 7/10/01, effective 8/10/01.]

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## BACKGROUND CHECK CENTRAL UNIT

WAC 388-06-0700 What definitions apply to WAC 388-06-0700 through 388-06-0810? The following definitions apply to WAC 388-06-0700 through 388-06-0810:

- "Applicant" means an employee, volunteer, student, intern, licensee, service provider, contractor, or other individual who is the subject of the background check and who will work in a position that:
- (1) May have unsupervised access to vulnerable adults, the developmentally disabled, juveniles, or children; or
- (2) Is designated by the department as having access to sensitive information.
- "Authorized entity" means a department, administration, division, program, unit, service provider, licensee, contractor, or other public or private entity that has permission from the department to submit and receive background checks through BCCU.

"Background check central unit" or "BCCU" is the program responsible for conducting background checks for the department.

- (1) BCCU is responsible for:
- (a) Compiling background check information from external and internal data sources;
- (b) Determining whether an applicant's background check information matches to the appropriate department list of disqualifying crimes and negative actions; and
- (c) Providing information to the authorized entity who requested the background check.
  - (2) BCCU does not:
- (a) Make the final hiring, contracting, placement, or licensing decision for the department or authorized entity;
- (b) Determine what program, service provider, licensee, contractor, or other public or private agency qualifies as an authorized entity; or
- (c) Determine what crimes and negative actions are disqualifying under the respective department lists.

"Background check result" means a written notification that provides information on the results of the background check process conducted for an applicant. The following are all background check results:

- (1) "Disqualify result" means that BCCU has determined that the applicant's background check information has one or more items requiring automatic disqualification.
- (2) "No record result" means that BCCU has determined that no background information has been reported requiring automatic disqualification or review.
- (3) "Review required result" means that BCCU has determined that the applicant has one or more items of background check information, none of which require automatic disqualification.

"CROP" means a certificate obtained under chapter 9.97 RCW

"Data sources" may include state and federal law enforcement records, state court records, agency databases, or other sources depending on the type of check conducted. More detailed information may be available on the BCCU page of the department's website or by calling the department.

"Department" means the department of social and health services.

[Statutory Authority: RCW 9.97.020. WSR 17-19-073, § 388-06-0700, filed 9/15/17, effective 10/16/17. Statutory Authority: RCW 43.43.832, 74.39A.055, 74.39A.050, 74.39A.095, 74.39A.260, 43.20A.710, and 43.43.837. WSR 10-16-083, § 388-06-0700, filed 7/30/10, effective 8/30/10.]

WAC 388-06-0710 Who may submit a background check to the background check central unit? An authorized entity may request a background check through the background check central unit when the authorized entity has:

- (1) A valid background check central unit account number:
- (2) A current department background authorization form filled out and signed by the applicant; and
- (3) Submitted the background check request under the applicable program requirements.

[Statutory Authority: RCW 43.43.832, 74.39A.055, 74.39A.050, 74.39A.095, 74.39A.260, 43.20A.710, and 43.43.837. WSR 10-16-083, § 388-06-0710, filed 7/30/10, effective 8/30/10.]

WAC 388-06-0720 Who receives the results of a background check conducted by the background check central unit? (1) The background check central unit follows laws related to the release of criminal history records (chapter 10.97 and 43.43 RCW), public disclosure (chapter 42.56 RCW); and other applicable laws when releasing background information.

- (2) The authorized entity who submits a background check request receives a copy of the background check results.
- (3) The background check applicant may request a copy of his or her background check results.
- (4) As required in RCW 74.39A.055(2), the department will share the results of state and national background checks with the Washington department of health to satisfy its certification responsibilities under chapter 18.88B RCW.

[Statutory Authority: RCW 43.43.832, 74.39A.055, 74.39A.050, 74.39A.095, 74.39A.260, 43.20A.710, and 43.43.837. WSR 10-16-083, § 388-06-0720, filed 7/30/10, effective 8/30/10.]

WAC 388-06-0800 When must BCCU include a statement about a certificate of restoration of opportunity? (1) Except as provided for in subsections (2) through (4) of this section, BCCU must include a statement about any existing CROP in background check results for all applicants that the department is authorized to disqualify for:

- (a) A license;
- (b) A certificate of authority;
- (c) Qualification to engage in the practice of a profession or business; or
- (d) Admission to an examination to qualify for such a license or certificate.
- (2) BCCU is not required to include a statement about any existing CROP for an applicant who is exempt under chapter 9.97 RCW.
- (3) BCCU is not required to include a statement about any existing CROP when BCCU issues any interim communications, including when:
  - (a) Requesting additional information;

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- (b) Notifying about an error;
- (c) Rejecting a form;
- (d) Requesting a thumbprint; or
- (e) Any other communication that does not assess the final results of a processed background check.
- (4) BCCU is not required to include a statement about any existing CROP in any no record result.

[Statutory Authority: RCW 9.97.020. WSR 17-19-073, § 388-06-0800, filed 9/15/17, effective 10/16/17.]

WAC 388-06-0810 Does a certificate of restoration of opportunity apply to any state abuse and neglect registry? A CROP does not apply to any state abuse and neglect registry. No finding of abuse, neglect, or misappropriation of property may be removed from such registry based solely on a CROP.

[Statutory Authority: RCW 9.97.020. WSR 17-19-073, § 388-06-0810, filed 9/15/17, effective 10/16/17.]

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