## ESHB 2693 - S COMM AMD

By Committee on Health & Long-Term Care

## OUT OF ORDER 03/06/2008

Strike everything after the enacting clause and insert the following:

3 "<u>NEW SECTION.</u> Sec. 1. (1) The legislature finds that:

4 (a) An underlying premise of Washington's long-term care system is
5 the value of consumer choice across a full continuum of care with the
6 right to accessible, quality care;

7 (b) An appropriately trained and motivated long-term care workforce
8 contributes to the quality of long-term care services;

9 (c) The level and content of basic training should be focused upon 10 the client with respect to client care needs, health status, choice, 11 and flexibility;

12 (d) There is a need for increased workforce diversity throughout13 the long-term care system;

14 (e) Long-term care worker training should acknowledge cultural 15 diversity and strive to achieve a greater understanding of the 16 relationships between culture and health;

(f) The long-term care workforce has diverse work-life expectationssuch as career advancement and quality job performance;

(g) The long-term care workforce has variable learning styles, and can benefit from flexibility in training settings, modalities, accessibility, and methods;

(h) Long-term care training should prepare workers and caregivers to perform in as many long-term care settings as possible with economic security and safety, but also should accommodate the interests of those workers who intend to care exclusively for their family members;

(i) The care and support provided by unpaid long-term caregivers
should not be disrupted, but enhanced and stabilized by any changes to
long-term care training and credentialing; and

29 (j) The long-term care workforce should be increased and enhanced

1 to meet current and future needs. New policies and requirements should 2 not result in decreasing the available workforce or the services 3 available to consumers.

4 (2) The legislature intends to establish long-term care worker 5 training standards that are consistent with the findings of subsection 6 (1) of this section and to establish a credentialing program that will 7 allow for career advancement in the long-term care work force.

8 Sec. 2. RCW 74.39A.009 and 2007 c 361 s 2 are each amended to read 9 as follows:

10 Unless the context clearly requires otherwise, the definitions in 11 this section apply throughout this chapter.

12 (1) "Adult family home" means a home licensed under chapter 70.12813 RCW.

14 (2) "Adult residential care" means services provided by a boarding 15 home that is licensed under chapter 18.20 RCW and that has a contract 16 with the department under RCW 74.39A.020 to provide personal care 17 services.

18 (3) "Assisted living services" means services provided by a 19 boarding home that has a contract with the department under RCW 20 74.39A.010 to provide personal care services, intermittent nursing 21 services, and medication administration services, and the resident is 22 housed in a private apartment-like unit.

(4) "Boarding home" means a facility licensed under chapter 18.20RCW.

(5) "Cost-effective care" means care provided in a setting of an 25 26 individual's choice that is necessary to promote the most appropriate level of physical, mental, and psychosocial well-being consistent with 27 client choice, in an environment that is appropriate to the care and 28 safety needs of the individual, and such care cannot be provided at a 29 30 lower cost in any other setting. But this in no way precludes an 31 individual from choosing a different residential setting to achieve his 32 or her desired quality of life.

33 (6) "Department" means the department of social and health 34 services.

(7) "Enhanced adult residential care" means services provided by a
 boarding home that is licensed under chapter 18.20 RCW and that has a

contract with the department under RCW 74.39A.010 to provide personal
 care services, intermittent nursing services, and medication
 administration services.

(8) "Functionally disabled person" or "person who is functionally 4 disabled" is synonymous with chronic functionally disabled and means a 5 person who because of a recognized chronic physical or mental condition 6 7 or disease, including chemical dependency, is impaired to the extent of being dependent upon others for direct care, support, supervision, or 8 monitoring to perform activities of daily living. "Activities of daily 9 10 living", in this context, means self-care abilities related to personal care such as bathing, eating, using the toilet, dressing, and transfer. 11 12 Instrumental activities of daily living may also be used to assess a 13 person's functional abilities as they are related to the mental capacity to perform activities in the home and the community such as 14 cooking, shopping, house cleaning, doing laundry, working, and managing 15 16 personal finances.

17 (9) "Home and community services" means adult family homes, in-home 18 services, and other services administered or provided by contract by 19 the department directly or through contract with area agencies on aging 20 or similar services provided by facilities and agencies licensed by the 21 department.

22 (10) "Long-term care" is synonymous with chronic care and means care and supports delivered indefinitely, intermittently, or over a 23 24 sustained time to persons of any age disabled by chronic mental or 25 physical illness, disease, chemical dependency, or a medical condition that is permanent, not reversible or curable, or is long-lasting and 26 27 severely limits their mental or physical capacity for self-care. The use of this definition is not intended to expand the scope of services, 28 care, or assistance by any individuals, groups, residential care 29 settings, or professions unless otherwise expressed by law. 30

31 (11)(a) "Long-term care workers" includes all persons who are 32 ((long-term care workers for the elderly or)) paid to provide personal care services to persons with functional disabilities, including but 33 not limited to individual providers of home care services, direct care 34 employees of home care agencies, providers of home care services to 35 persons with developmental disabilities under Title 71 RCW, all direct 36 37 care workers in state-licensed boarding homes, assisted living facilities, and adult family homes, respite care providers, community 38

1 residential service providers, and any other direct care worker 2 providing home or community-based services to ((the elderly or)) 3 persons with functional disabilities or developmental disabilities.

(b) "Long-term care workers" do not include persons employed in
nursing homes subject to chapter 18.51 RCW, hospitals or other acute
care settings, hospice agencies subject to chapter 70.127 RCW, adult
day care centers, and adult day health care centers.

8 (12) "Nursing home" means a facility licensed under chapter 18.519 RCW.

10 (13) <u>"Personal care services" means physical or verbal assistance</u> 11 with activities of daily living and instrumental activities of daily 12 living provided because of a person's functional limitations.

13 (14) "Secretary" means the secretary of social and health services. 14 (((14))) (15) "Training partnership" means a joint partnership or 15 trust established and maintained jointly by the office of the governor 16 and the exclusive bargaining representative of individual providers 17 under RCW 74.39A.270 to provide training(( $_{7}$ )) and peer mentoring(( $_{7}$  and 18 examinations)) required under this chapter, and educational, career 19 development, or other <u>related</u> services to individual providers.

20 ((<del>(15)</del>)) <u>(16)</u> "Tribally licensed boarding home" means a boarding 21 home licensed by a federally recognized Indian tribe which home 22 provides services similar to boarding homes licensed under chapter 23 18.20 RCW.

24 <u>NEW SECTION.</u> **Sec. 3.** A new section is added to chapter 74.39A RCW 25 to read as follows:

(1)(a) This section establishes the basic training requirements for long-term care workers initially contracted or employed on or after January 1, 2010. Except as provided otherwise in this section, these long-term care workers must complete:

30 (i) Worker orientation under (b)(i) of this subsection before the 31 worker has routine interaction with the person or persons the worker 32 will be caring for; and

33 (ii) The remaining hours of basic training required in this section 34 within one hundred twenty days after the date of the long-term care 35 worker's initial contracting or employment as a long-term care worker 36 unless the department, for good cause, extends the time period by up to 37 sixty days. 1

(b) Basic training:

(i) Consists of thirty-five hours of classroom training on a set of
modules covering the core knowledge and competencies that caregivers
need to learn and understand to meet the needs of and to provide care
effectively and safely to persons with functional disabilities. Basic
training must include a worker orientation consisting of introductory
information on residents' rights, communication skills, fire and life
safety, and universal precautions; and

9 (ii) Must be outcome-based, and the effectiveness of the training 10 must be measured through the use of a competency test.

(2) Training standards and the delivery system for basic training 11 must be relevant to the varied needs of persons served by long-term 12 care workers and be sufficient to ensure that long-term care workers 13 have the skills and knowledge necessary to provide high quality, 14 appropriate care in a manner that respects the preferences of each 15 16 person served. In an effort to improve the quality of training, 17 increase access to training, and reduce costs, especially for rural communities, the classroom training provided in a coordinated system of 18 long-term care training and education should include: 19

20 (a) The use of innovative learning strategies such as internet 21 resources, videotapes, and distance learning using satellite technology 22 coordinated through community colleges or other entities, as defined by 23 the department; and

(b) The use of varied adult learner strategies, such as
 opportunities to practice or demonstrate skills, role playing, and
 group discussions.

(3) As specified in this section, the following persons are fully
or partially exempt from the basic training requirements of this
section:

30 (a) As specified by the department in rule, registered nurses, 31 licensed practical nurses, certified nursing assistants, medicare 32 certified home health aides, or persons who hold a similar health 33 certification or license. However, these persons must complete worker 34 orientation training as described in subsection (1)(b)(i) of this 35 section;

36 (b) Persons who successfully challenge the competency test for37 basic training. Such persons shall be deemed to have completed the

1 relevant hours of basic training. However, these persons must complete 2 worker orientation training as described in subsection (1)(b)(i) of 3 this section;

4 (c) Long-term care workers employed by supportive living providers
5 regulated under chapter 388-101 WAC who are subject to the training
6 required in WAC 388-101-1680;

7 (d) Biological, step, or adoptive parents who are the individual provider for only their son or daughter who is developmentally disabled 8 or functionally disabled, and persons who provide respite care on an 9 10 intermittent basis to such son or daughter of a biological, step, or adoptive parent who is either an individual provider or an unpaid 11 caregiver. However, these workers must complete: (i) Six hours of 12 13 training relevant to the needs of adults with developmental disabilities and related functional disabilities, as appropriate; and 14 (ii) safety training, which may be completed using distance learning or 15 other alternative methods of training. As used in this subsection, 16 "intermittent basis" means care provided exclusively to one individual 17 for not more than an average of twenty-four hours per month; and 18

19 (e) Long-term care workers who were initially contracted or 20 employed as long-term care workers before January 1, 2010. However, 21 these long-term care workers must complete all training requirements in 22 effect before that date.

23 <u>NEW SECTION.</u> Sec. 4. A new section is added to chapter 74.39A RCW 24 to read as follows:

(1)(a) The department shall develop qualification requirements for trainers and criteria for the approval of basic training programs under section 3 of this act. Only training curricula approved by the department may be used to fulfill the requirements of section 3 of this act.

30 (b)(i) The department shall develop criteria for reviewing and 31 approving trainers and training materials that are substantially similar to or better than the materials developed by the department. 32 The department may approve a curriculum based upon attestation by a 33 boarding home administrator, an adult family home provider or resident 34 manager, a home care agency administrator, or the administrator of the 35 36 training partnership designated in RCW 74.39A.360 that the facility's, 37 agency's, or training partnership's training curriculum addresses

required training competencies identified by the department, and shall 1 2 review a curriculum to verify that it meets these requirements. The department, or the department of health, as applicable, may conduct the 3 review as part of the regularly scheduled inspection and investigation 4 required under RCW 18.20.110, 70.128.090, or 70.127.100. 5 The department shall rescind approval of any curriculum if it determines 6 7 that the curriculum does not meet these requirements.

8 (ii) A facility, agency, or the training partnership with an 9 approved curriculum must provide reports as required by the department 10 on the long-term care workers who began training and those who 11 completed training, and verifying that all long-term care workers 12 required to do so have complied with all training requirements.

(c) Boarding homes, adult family homes, home care agencies, or other entities employing long-term care workers that desire to deliver facility or agency-based required basic training with facility or agency designated trainers, or facilities and agencies that desire to pool their resources to create shared training systems, must be encouraged by the department in their efforts.

(d) The department shall consult with the state board for community and technical colleges, the superintendent of public instruction, and the training partnership to ensure, to the extent possible, that longterm care worker training programs approved by the department assist with opportunities to articulate to relevant degree or skills programs offered in community colleges, vocational-technical institutes, skill centers, and secondary schools, as defined in chapter 28B.50 RCW.

(2) The department shall adopt rules by September 1, 2009, 26 27 necessary to implement the training provisions of section 3 of this In developing rules, the department shall consult with the 28 act. department of health, the nursing care quality assurance commission, 29 adult family home providers, boarding home providers, in-home personal 30 care providers, affected labor organizations, community and technical 31 32 colleges, and long-term care consumers and other interested organizations. 33

34 **Sec. 5.** RCW 74.39A.340 and 2007 c 361 s 4 are each amended to read 35 as follows:

36 (1) Except as provided in subsection (2) of this section, beginning

January 1, 2010, long-term care workers shall complete twelve hours of continuing education training in advanced training topics each year. ((This requirement applies beginning on January 1, 2010.))

4 (2) This section does not apply to persons described in section
5 3(3)(d) of this act. However, this subsection does not prohibit
6 requiring continuing education for such persons who elect to become
7 registered or certified under chapter 18.-- RCW (the chapter created in
8 section 31 of this act).

9 Sec. 6. RCW 74.39A.360 and 2007 c 361 s 6 are each amended to read 10 as follows:

(1) Beginning January 1, 2010, for individual providers represented 11 12 by an exclusive bargaining representative under RCW 74.39A.270, all 13 training and peer mentoring required under this chapter shall be provided by a training partnership. Contributions to the partnership 14 pursuant to a collective bargaining agreement negotiated under this 15 16 chapter shall be made beginning July 1, 2009. The training partnership 17 shall provide reports as required by the department on the individual providers who began training and those who completed training, and 18 verifying that all individual providers <u>required to do so</u> have complied 19 20 with all training requirements. The exclusive bargaining 21 representative shall designate the training partnership.

(2) The training partnership shall offer persons who are acting as unpaid informal caregivers for family members or friends the opportunity to attend training offered through the partnership at no cost to the individual caregiver or the state. Attendance opportunities may be limited to the extent that:

27 (a) There is fixed maximum seating or participation capacity for a
 28 training module that satisfies long-term care worker basic training or
 29 continuing education requirements under this chapter; and

30 (b) The maximum capacity for a particular training module is fully 31 reserved twenty-four hours in advance of the scheduled date and time of 32 the module.

<u>NEW SECTION.</u> Sec. 7. (1) The legislature finds that:
 (a) It is in the public interest to promote quality long-term care
 services through registration for long-term care workers; and

1 (b) An additional level of credentialing for those long-term care 2 workers who seek to increase their skills and knowledge or enter a 3 health care professional career track will increase, stabilize, and 4 enhance the long-term care workforce and further promote quality long-5 term care services.

6 (2) The legislature, therefore, intends to provide opportunities to 7 increase skills and knowledge or to pursue a career track through 8 certification and specialty endorsements, and potential articulation 9 from long-term care worker certification to other health care 10 credentialing or degrees.

11 <u>NEW SECTION.</u> Sec. 8. The definitions in this section apply 12 throughout this chapter unless the context clearly requires otherwise. 13 (1) "Department" means the department of health.

14

(2) "Secretary" means the secretary of health.

15 (3) "Long-term care worker" has the same meaning as in RCW 16 74.39A.009. There are two levels of credentialed long-term care 17 workers:

(a) "Registered long-term care worker" is an individual registeredunder this chapter; and

20 (b) "Certified long-term care worker" is an individual certified 21 under this chapter.

22 (4) "Individual provider" has the same meaning as in RCW 23 74.39A.240.

24 (5) "Personal care services" has the same meaning as in RCW 25 74.39A.009.

26 (6) "Approved training program" means a program of not less than eighty-five hours of training that is approved by the secretary in 27 consultation with the department of social and health services, the 28 for community and technical 29 board colleges, state and the superintendent of public instruction. The department shall ensure, to 30 31 the extent possible, that long-term care worker training programs approved by the department assist with opportunities to articulate to 32 relevant degree or skill programs offered in community colleges, 33 vocational-technical institutes, skill centers, and secondary schools 34 as defined in chapter 28B.50 RCW. A training program approved under 35 36 this section may include, but is not limited to, the following 37 elements:

1 (a) Basic training under section 3 of this act, which is a required 2 element of an approved training program. For purposes of this 3 subsection, a person who successfully challenges the competency test 4 for basic training shall be deemed to have completed the relevant hours 5 of basic training other than worker orientation training;

6 (b) Hours that individual providers spend with peer mentors under7 RCW 74.39A.330;

8

(c) Advanced training offered under RCW 74.39A.350;

9 (d) Up to ten hours spent being trained by the person to whom a 10 worker is providing care regarding the person's caregiving preferences 11 and needs;

12 (e) On-the-job training provided by the worker's employer, 13 including specialty training required under RCW 18.20.270(5) and 14 70.128.230(5);

(f) Structured training in population or setting specific competencies that allow long-term care workers to acquire competencies unique to the persons they will be serving or the care setting in which they will be working;

19 (g) Attendance at relevant conferences sponsored by national or 20 state professional associations, governmental agencies, or institutions 21 of higher education; and

(h) Other structured or documented training approved by the secretary. For the purposes of this subsection, "documented training" means a written training program that describes the subject covered by the training, the methods by which the training is conducted, and the qualifications of the instructor.

27 (7) "Certification examination" means the measurement of an 28 individual's knowledge and skills as related to safe, competent 29 performance as a long-term care worker.

NEW SECTION. Sec. 9. (1)(a) Registration under this chapter commences January 1, 2010. If the department determines that administrative capacities essential to implementation of long-term care worker registration under this chapter will not be fully functional by January 1, 2010, the department may defer the implementation date to no later than July 1, 2010.

36 (b) Except as provided otherwise in this chapter, long-term care 37 workers contracted or employed on or after January 1, 2010, must register within one hundred twenty days after the date of the long-term care worker's initial contracting or employment as a long-term care worker, except that workers initially contracted or employed before January 1, 2010, must register within one hundred twenty days after January 1, 2010. However, the department, for good cause, may extend the one hundred twenty day time period by up to sixty days.

7 (2) Beginning January 1, 2012, long-term care workers may elect to
8 be certified, with or without a specialty endorsement under section 14
9 of this act.

10 <u>NEW SECTION.</u> Sec. 10. (1) A registered or certified long-term 11 care worker may provide direct, hands-on personal care services to 12 persons with functional disabilities requiring long-term care services. 13 (2) No person may practice or, by use of any title or description,

14 represent himself or herself as:

(a) A registered long-term care worker without being registeredpursuant to this chapter; or

17 (b) A certified long-term care worker without applying for 18 certification, meeting the qualifications, and being certified pursuant 19 to this chapter.

20 <u>NEW SECTION.</u> Sec. 11. In addition to any other authority provided 21 by law, the secretary has the authority to:

(1) Set all certification, registration, and renewal fees in
 accordance with RCW 43.70.250 and to collect and deposit all such fees
 in the health professions account established under RCW 43.70.320;

(2) Establish forms, procedures, and examinations necessary to
 administer this chapter;

(3) Hire clerical, administrative, and investigative staff asneeded to implement this chapter;

29 (4) Issue a registration to any applicant who has met the 30 requirements for registration;

(5) Issue a certificate to any applicant who has met the education,
 training, and conduct requirements for certification;

33 (6) Maintain the official record for the department of all34 applicants and persons with registrations and certificates;

35 (7) Exercise disciplinary authority as authorized in chapter 18.130 36 RCW; 1 (8) Deny registration to any applicant who fails to meet 2 requirement for registration; and

3 (9) Deny certification to applicants who do not meet the education,
4 training, competency evaluation, and conduct requirements for
5 certification.

6 <u>NEW SECTION.</u> Sec. 12. The secretary shall issue a registration to 7 any applicant who:

8 (1) Pays any applicable fees;

9 (2) Submits, on forms provided by the secretary, the applicant's 10 name, address, and other information as determined by the secretary; 11 and

12 (3) Establishes, to the secretary's satisfaction, that:

(a) The applicant has successfully completed the basic training
required under section 3 of this act. For purposes of this subsection,
a person who successfully challenges the competency test for basic
training shall be deemed to have completed the relevant hours of basic
training other than worker orientation training;

(b) The applicant has completed any required background check; and
 (c) There are no grounds for denial of registration or issuance of
 a conditional registration under this chapter or chapter 18.130 RCW.

21 <u>NEW SECTION.</u> Sec. 13. (1) The secretary shall issue a certificate 22 to any applicant who:

23 (a) Pays any applicable fees;

(b) Submits, on forms provided by the secretary, the applicant's
 name, address, and other information as determined by the secretary;

26 (c) Establishes to the secretary's satisfaction that:

(i) The applicant has successfully completed an approved trainingprogram;

29 (ii) The applicant has successfully completed a certification 30 examination;

31 (iii) The applicant has completed any required background check;32 and

33 (iv) There exist no grounds for denial of certification under 34 chapter 18.130 RCW.

35 (2) The date and location of examinations shall be established by36 the secretary. Applicants who have been found by the secretary to meet

the requirements for certification shall be scheduled for the next examination following the filing of the application. The secretary shall establish by rule the examination application deadline.

4 (3) The examination must include both a skills demonstration and a
5 written or oral knowledge test. Examinations shall be limited to the
6 purpose of determining whether the applicant possesses the minimum
7 skill and knowledge necessary to practice competently.

8 (4) The examination papers, all grading of the papers, and the 9 grading of skills demonstration shall be preserved for a period of not 10 less than one year after the secretary has made and published the 11 decisions. All examinations shall be conducted under fair and wholly 12 impartial methods.

(5) Any applicant failing to make the required grade in the first examination may take up to three subsequent examinations as the applicant desires upon prepaying a fee determined by the secretary under RCW 43.70.250 for each subsequent examination. Upon failing four examinations, the secretary may invalidate the original application and require such remedial education before the person may take future examinations.

20 (6) The certification examination must be administered and 21 evaluated by the department or by a contractor to the department that 22 is neither an employer of long-term care workers, a private contractor 23 providing training services under this chapter or section 3 of this 24 act, or the training partnership defined in RCW 74.39A.009.

25 <u>NEW SECTION.</u> Sec. 14. (1) A long-term care worker certified under 26 this chapter may apply for a specialty endorsement in the specialty 27 areas identified by the secretary in consultation with the department 28 of social and health services. The secretary shall issue an 29 endorsement to an applicant who:

30 (a) Completes the hours of training and practical experience 31 required in rules adopted by the secretary for the relevant specialty 32 endorsement;

33 (b) Pays any applicable fee; and

34 (c) Submits any other information as determined by the secretary.

35 (2) A certified long-term care worker who has been granted a
 36 specialty endorsement under this section may include the specialty in
 37 his or her title, as permitted under rules adopted by the secretary.

<u>NEW SECTION.</u> Sec. 15. An applicant holding a credential in another state may be certified in this state without examination if the secretary determines that the other state's credentialing standards for long-term care workers are substantially equivalent to the standards in this state.

6 <u>NEW SECTION.</u> Sec. 16. (1) Registrations and certifications shall 7 be renewed according to administrative procedures, administrative 8 requirements, and fees determined by the secretary under RCW 43.70.250 9 and 43.70.280.

10 (2) Completion of continuing education as required in RCW 11 74.39A.340 is a prerequisite to renewing a registration or 12 certification under this chapter.

13 <u>NEW SECTION.</u> Sec. 17. (1) This chapter does not apply to:

14 (a) Registered nurses, licensed practical nurses, certified nursing 15 assistants, medicare certified home health aides, or other persons who hold a similar health credential, as determined by the secretary, or 16 persons with special education training and an endorsement granted by 17 the superintendent of public instruction that is recognized by the 18 appropriate to specified personal 19 secretary as services care 20 circumstances;

(b) Biological, step, or adoptive parents who are the individual provider for only their son or daughter who is developmentally disabled or functionally disabled, and persons who provide respite care on an intermittent basis to such son or daughter of a biological, step, or adoptive parent who is either an individual provider or an unpaid caregiver. As used in this subsection, "intermittent basis" means the same as the definition in section 3(3)(d) of this act.

28 (2) Nothing in this chapter may be construed to prohibit or 29 restrict:

30 (a) The practice by an individual licensed, certified, or 31 registered under the laws of this state and performing services within 32 their authorized scope of practice;

33 (b) The practice by an individual employed by the government of the 34 United States while engaged in the performance of duties prescribed by 35 the laws of the United States; (c) The practice by a person who is a regular student in an
 educational program approved by the secretary, and whose performance of
 services is pursuant to a regular course of instruction or assignments
 from an instructor and under the general supervision of the instructor;
 (d) A registered or certified long-term care worker from accepting
 direction from a person who is self-directing his or her care; or

7 (e) A long-term care worker exempt under subsection (1) of this
8 section from applying for registration or certification, subject to
9 meeting the requirements for such application.

10 <u>NEW SECTION.</u> Sec. 18. (1) The uniform disciplinary act, chapter 11 18.130 RCW, governs unregistered or uncertified practice, issuance of 12 certificates and registrations, and the discipline of persons 13 registered or with certificates under this chapter. The secretary 14 shall be the disciplinary authority under this chapter.

15 (2)(a) The secretary may take action to immediately suspend the 16 registration or certification of a long-term care worker upon finding 17 that conduct of the long-term care worker has caused or presents an 18 imminent threat of harm to a functionally disabled person in his or her 19 care.

20 (b) If the secretary imposes suspension or conditions for 21 continuation of a registration or certification, the suspension or 22 conditions for continuation are effective immediately upon notice and 23 shall continue in effect pending the outcome of any hearing.

NEW SECTION. Sec. 19. (1) The department shall adopt rules by 24 25 September 1, 2009, necessary to implement the registration provisions of this chapter. In developing rules, the department shall consult 26 with the department of social and health services, the nursing care 27 quality assurance commission, adult family home providers, boarding 28 29 home providers, in-home personal care providers, the training 30 partnership defined in RCW 74.39A.009, affected labor organizations, community and technical colleges, and long-term care consumers and 31 other interested organizations. 32

33 (2)(a) The department shall also consult with these parties on a 34 plan to implement the voluntary certification program under this 35 chapter by January 1, 2012, in a cost-effective manner considering the 36 following: 1 (i) The certification program should assist a long-term care worker 2 to enter, if desired, a career path to other health care or allied 3 health professions, including articulation, to the maximum extent 4 possible under federal law, from long-term care worker certification to 5 nursing assistant certification under chapter 18.88A RCW;

(ii) The department should consider the relative merits б of 7 certification and/or specialty endorsement examinations and of for certification 8 practical work experience and/or specialty If recommendations are made for 9 endorsements. practical work experience requirements, the department's plan should 10 include recommendations on the hours and type of practical work experience that 11 would be appropriate for the credential sought. 12

13 (b) The department shall report on the certification plan to the 14 appropriate committees of the legislature by December 1, 2009.

15 Sec. 20. RCW 18.130.040 and 2007 c 269 s 17 and 2007 c 70 s 11 are 16 each reenacted and amended to read as follows:

(1) This chapter applies only to the secretary and the boards and commissions having jurisdiction in relation to the professions licensed under the chapters specified in this section. This chapter does not apply to any business or profession not licensed under the chapters specified in this section.

(2)(a) The secretary has authority under this chapter in relationto the following professions:

(i) Dispensing opticians licensed and designated apprentices underchapter 18.34 RCW;

26

(ii) Naturopaths licensed under chapter 18.36A RCW;

27 (iii) Midwives licensed under chapter 18.50 RCW;

28 (iv) Ocularists licensed under chapter 18.55 RCW;

29 (v) Massage operators and businesses licensed under chapter 18.108 30 RCW;

31

(vi) Dental hygienists licensed under chapter 18.29 RCW;

32 (vii) Acupuncturists licensed under chapter 18.06 RCW;

33 (viii) Radiologic technologists certified and X-ray technicians 34 registered under chapter 18.84 RCW;

35 (ix) Respiratory care practitioners licensed under chapter 18.89 36 RCW;

37 (x) Persons registered under chapter 18.19 RCW;

(xi) Persons licensed as mental health counselors, marriage and 1 2 family therapists, and social workers under chapter 18.225 RCW; (xii) Persons registered as nursing pool operators under chapter 3 18.52C RCW; 4 5 (xiii) Nursing assistants registered or certified under chapter 18.88A RCW; 6 7 (xiv) Health care assistants certified under chapter 18.135 RCW; (xv) Dietitians and nutritionists certified under chapter 18.138 8 9 RCW; (xvi) Chemical dependency professionals certified under chapter 10 18.205 RCW; 11 (xvii) Sex offender treatment providers and certified affiliate sex 12 offender treatment providers certified under chapter 18.155 RCW; 13 (xviii) Persons licensed and certified under chapter 18.73 RCW or 14 RCW 18.71.205; 15 16 (xix) Denturists licensed under chapter 18.30 RCW; 17 (xx) Orthotists and prosthetists licensed under chapter 18.200 RCW; (xxi) Surgical technologists registered under chapter 18.215 RCW; 18 (xxii) Recreational therapists; ((and)) 19 20 (xxiii) Animal massage practitioners certified under chapter 18.240 RCW; and 21 22 (xxiv) Long-term care workers registered or certified under chapter 18. -- RCW (the new chapter created in section 31 of this act). 23 (b) The boards and commissions having authority under this chapter 24 are as follows: 25 (i) The podiatric medical board as established in chapter 18.22 26 27 RCW; 28 (ii) The chiropractic quality assurance commission as established in chapter 18.25 RCW; 29 (iii) The dental quality assurance commission as established in 30 31 chapter 18.32 RCW governing licenses issued under chapter 18.32 RCW and 32 licenses and registrations issued under chapter 18.260 RCW; (iv) The board of hearing and speech as established in chapter 33 18.35 RCW; 34 (v) The board of examiners for nursing home administrators as 35 established in chapter 18.52 RCW; 36 37 (vi) The optometry board as established in chapter 18.54 RCW 38 governing licenses issued under chapter 18.53 RCW;

(vii) The board of osteopathic medicine and surgery as established
 in chapter 18.57 RCW governing licenses issued under chapters 18.57 and
 18.57A RCW;

4 (viii) The board of pharmacy as established in chapter 18.64 RCW
5 governing licenses issued under chapters 18.64 and 18.64A RCW;

6 (ix) The medical quality assurance commission as established in 7 chapter 18.71 RCW governing licenses and registrations issued under 8 chapters 18.71 and 18.71A RCW;

9 (x) The board of physical therapy as established in chapter 18.74 10 RCW;

11 (xi) The board of occupational therapy practice as established in 12 chapter 18.59 RCW;

13 (xii) The nursing care quality assurance commission as established 14 in chapter 18.79 RCW governing licenses and registrations issued under 15 that chapter;

16 (xiii) The examining board of psychology and its disciplinary 17 committee as established in chapter 18.83 RCW; and

18 (xiv) The veterinary board of governors as established in chapter 19 18.92 RCW.

(3) In addition to the authority to discipline license holders, the 20 21 disciplining authority has the authority to grant or deny licenses 22 based on the conditions and criteria established in this chapter and the chapters specified in subsection (2) of this section. This chapter 23 24 also governs any investigation, hearing, or proceeding relating to 25 denial of licensure or issuance of a license conditioned on the applicant's compliance with an order entered pursuant to RCW 18.130.160 26 27 by the disciplining authority.

(4) All disciplining authorities shall adopt procedures to ensure
 substantially consistent application of this chapter, the Uniform
 Disciplinary Act, among the disciplining authorities listed in
 subsection (2) of this section.

32 Sec. 21. RCW 18.130.040 and 2007 c 269 s 17, 2007 c 253 s 13, and 33 2007 c 70 s 11 are each reenacted and amended to read as follows:

(1) This chapter applies only to the secretary and the boards and
 commissions having jurisdiction in relation to the professions licensed
 under the chapters specified in this section. This chapter does not

apply to any business or profession not licensed under the chapters 1 2 specified in this section. (2)(a) The secretary has authority under this chapter in relation 3 to the following professions: 4 (i) Dispensing opticians licensed and designated apprentices under 5 chapter 18.34 RCW; 6 7 (ii) Naturopaths licensed under chapter 18.36A RCW; (iii) Midwives licensed under chapter 18.50 RCW; 8 (iv) Ocularists licensed under chapter 18.55 RCW; 9 (v) Massage operators and businesses licensed under chapter 18.108 10 11 RCW; (vi) Dental hygienists licensed under chapter 18.29 RCW; 12 13 (vii) Acupuncturists licensed under chapter 18.06 RCW; (viii) Radiologic technologists certified and X-ray technicians 14 registered under chapter 18.84 RCW; 15 16 (ix) Respiratory care practitioners licensed under chapter 18.89 17 RCW; (x) Persons registered under chapter 18.19 RCW; 18 (xi) Persons licensed as mental health counselors, marriage and 19 family therapists, and social workers under chapter 18.225 RCW; 20 21 (xii) Persons registered as nursing pool operators under chapter 22 18.52C RCW; 23 (xiii) Nursing assistants registered or certified under chapter 18.88A RCW; 24 25 (xiv) Health care assistants certified under chapter 18.135 RCW; (xv) Dietitians and nutritionists certified under chapter 18.138 26 27 RCW; (xvi) Chemical dependency professionals certified under chapter 28 18.205 RCW; 29 (xvii) Sex offender treatment providers and certified affiliate sex 30 31 offender treatment providers certified under chapter 18.155 RCW; 32 (xviii) Persons licensed and certified under chapter 18.73 RCW or RCW 18.71.205; 33 (xix) Denturists licensed under chapter 18.30 RCW; 34 (xx) Orthotists and prosthetists licensed under chapter 18.200 RCW; 35 (xxi) Surgical technologists registered under chapter 18.215 RCW; 36 37 (xxii) Recreational therapists;

(xxiii) Animal massage practitioners certified under chapter 18.240 1 2 RCW; ((and)) (xxiv) Athletic trainers licensed under chapter 18.250 RCW; and 3 (xxv) Long-term care workers registered or certified under chapter 4 18.-- RCW (the new chapter created in section 31 of this act). 5 (b) The boards and commissions having authority under this chapter 6 7 are as follows: (i) The podiatric medical board as established in chapter 18.22 8 RCW; 9 (ii) The chiropractic quality assurance commission as established 10 in chapter 18.25 RCW; 11 12 (iii) The dental quality assurance commission as established in 13 chapter 18.32 RCW governing licenses issued under chapter 18.32 RCW and 14 licenses and registrations issued under chapter 18.260 RCW; (iv) The board of hearing and speech as established in chapter 15 16 18.35 RCW; 17 (v) The board of examiners for nursing home administrators as established in chapter 18.52 RCW; 18 (vi) The optometry board as established in chapter 18.54 RCW 19 governing licenses issued under chapter 18.53 RCW; 20 21 (vii) The board of osteopathic medicine and surgery as established 22 in chapter 18.57 RCW governing licenses issued under chapters 18.57 and 23 18.57A RCW; 24 (viii) The board of pharmacy as established in chapter 18.64 RCW 25 governing licenses issued under chapters 18.64 and 18.64A RCW; (ix) The medical quality assurance commission as established in 26 27 chapter 18.71 RCW governing licenses and registrations issued under chapters 18.71 and 18.71A RCW; 28 (x) The board of physical therapy as established in chapter 18.74 29 30 RCW; 31 (xi) The board of occupational therapy practice as established in 32 chapter 18.59 RCW; (xii) The nursing care quality assurance commission as established 33 in chapter 18.79 RCW governing licenses and registrations issued under 34 35 that chapter; (xiii) The examining board of psychology and its disciplinary 36 37 committee as established in chapter 18.83 RCW; and

(xiv) The veterinary board of governors as established in chapter
 18.92 RCW.

(3) In addition to the authority to discipline license holders, the 3 disciplining authority has the authority to grant or deny licenses 4 based on the conditions and criteria established in this chapter and 5 the chapters specified in subsection (2) of this section. This chapter 6 7 also governs any investigation, hearing, or proceeding relating to denial of licensure or issuance of a license conditioned on the 8 applicant's compliance with an order entered pursuant to RCW 18.130.160 9 by the disciplining authority. 10

(4) All disciplining authorities shall adopt procedures to ensure substantially consistent application of this chapter, the Uniform Disciplinary Act, among the disciplining authorities listed in subsection (2) of this section.

15 Sec. 22. RCW 74.39A.240 and 2002 c 3 s 3 are each amended to read 16 as follows:

The definitions in this section apply throughout RCW 74.39A.030 and 74.39A.095 and 74.39A.220 through 74.39A.300, <u>sections 3 and 23 of this</u> <u>act</u>, 41.56.026, 70.127.041, and 74.09.740 unless the context clearly requires otherwise.

21 (1) "Authority" means the home care quality authority.

22

(2) "Board" means the board created under RCW 74.39A.230.

(3) "Consumer" means a person to whom an individual providerprovides any such services.

(4) "Individual provider" means a person, including a personal 25 26 aide, who has contracted with the department to provide personal care or respite care services to ((functionally disabled persons)) persons 27 with functional disabilities under the medicaid personal care, 28 community options program entry system, chore services program, or 29 30 respite care program, or to provide respite care or residential 31 services and support to persons with developmental disabilities under chapter 71A.12 RCW, or to provide respite care as defined in RCW 32 74.13.270. 33

34 <u>NEW SECTION.</u> Sec. 23. A new section is added to chapter 74.39A
35 RCW to read as follows:

36 (1) The department shall deny payment to any individual provider of

home care services who does not complete the training requirements of section 3 of this act or obtain registration as a long-term care worker as specified in chapter 18.-- RCW (the new chapter created in section 31 of this act).

5 (2) The department may terminate the contract of any individual 6 provider of home care services, or take any other enforcement measure 7 deemed appropriate by the department if the individual provider's 8 registration or certification is revoked under chapter 18.-- RCW (the 9 new chapter created in section 31 of this act).

10 (3) The department may take action to immediately terminate the 11 contract of an individual provider of home care services upon finding 12 that conduct of the individual provider has caused or presents an 13 imminent threat of harm to a functionally disabled person in their 14 care.

15 (4) The department shall take appropriate enforcement action 16 related to the contract or licensure of a provider of home and 17 community-based services, other than an individual provider, who 18 knowingly employs a long-term care worker who has failed to complete 19 the training requirements of section 3 of this act or obtain 20 registration as a long-term care worker as specified in chapter 18.--21 RCW (the new chapter created in section 31 of this act).

(5) Chapter 34.05 RCW shall govern department actions under thissection.

24 **Sec. 24.** RCW 74.39A.050 and 2004 c 140 s 6 are each amended to 25 read as follows:

The department's system of quality improvement for long-term care services shall use the following principles, consistent with applicable federal laws and regulations:

(1) The system shall be client-centered and promote privacy,
 independence, dignity, choice, and a home or home-like environment for
 consumers consistent with chapter 392, Laws of 1997.

32 (2) The goal of the system is continuous quality improvement with 33 the focus on consumer satisfaction and outcomes for consumers. This 34 includes that when conducting licensing or contract inspections, the 35 department shall interview an appropriate percentage of residents, 36 family members, resident case managers, and advocates in addition to 37 interviewing providers and staff. (3) Providers should be supported in their efforts to improve
 quality and address identified problems initially through training,
 consultation, technical assistance, and case management.

4 (4) The emphasis should be on problem prevention both in monitoring 5 and in screening potential providers of service.

6 (5) Monitoring should be outcome based and responsive to consumer 7 complaints and based on a clear set of health, quality of care, and 8 safety standards that are easily understandable and have been made 9 available to providers, residents, and other interested parties.

10 (6) Prompt and specific enforcement remedies shall also be implemented without delay, pursuant to RCW 74.39A.080, RCW 70.128.160, 11 12 chapter 18.51 RCW, or chapter 74.42 RCW, for providers found to have 13 delivered care or failed to deliver care resulting in problems that are 14 serious, recurring, or uncorrected, or that create a hazard that is causing or likely to cause death or serious harm to one or more 15 These enforcement remedies may also include, 16 residents. when 17 appropriate, reasonable conditions on a contract or license. In the selection of remedies, the safety, health, and well-being of residents 18 shall be of paramount importance. 19

(7) To the extent funding is available, all long-term care staff 20 21 directly responsible for the care, supervision, or treatment of 22 vulnerable persons should be screened through background checks in a uniform and timely manner to ensure that they do not have a criminal 23 24 history that would disqualify them from working with vulnerable 25 persons. Whenever a state conviction record check is required by state law, persons may be employed or engaged as volunteers or independent 26 27 contractors on a conditional basis according to law and rules adopted 28 by the department.

(8) No provider or staff, or prospective provider or staff, with a 29 stipulated finding of fact, conclusion of law, an agreed order, or 30 31 finding of fact, conclusion of law, or final order issued by a disciplining authority, a court of law, or entered into a state 32 registry finding him or her guilty of abuse, neglect, exploitation, or 33 abandonment of a minor or a vulnerable adult as defined in chapter 34 74.34 RCW shall be employed in the care of and have unsupervised access 35 to vulnerable adults. 36

(9) The department shall establish, by rule, a state registry whichcontains identifying information about personal care aides identified

under this chapter who have substantiated findings of abuse, neglect, financial exploitation, or abandonment of a vulnerable adult as defined in RCW 74.34.020. The rule must include disclosure, disposition of findings, notification, findings of fact, appeal rights, and fair hearing requirements. The department shall disclose, upon request, substantiated findings of abuse, neglect, financial exploitation, or abandonment to any person so requesting this information.

8 (10) ((The department shall by rule develop training requirements for individual providers and home care agency providers. Effective 9 March 1, 2002, individual providers and home care agency providers must 10 satisfactorily complete department-approved orientation, basic 11 12 training, and continuing education within the time period specified by 13 the department in rule. The department shall adopt rules by March 1, 2002, for the implementation of this section based on the 14 recommendations of the community long-term care training and education 15 steering committee established in RCW 74.39A.190. The department shall 16 17 deny payment to an individual provider or a home care provider who does 18 not complete the training requirements within the time limit specified by the department by rule. 19

20 (11) In an effort to improve access to training and education and 21 reduce costs, especially for rural communities, the coordinated system 22 of long-term care training and education must include the use of 23 innovative types of learning strategies such as internet resources, 24 videotapes, and distance learning using satellite technology 25 coordinated through community colleges or other entities, as defined by 26 the department.

27 (12) The department shall create an approval system by March 1, 28 2002, for those seeking to conduct department approved training. In 29 the rule making process, the department shall adopt rules based on the 30 recommendations of the community long-term care training and education 31 steering committee established in RCW 74.39A.190.

32 (13)) The department shall establish, by rule,  $((training_{\tau}))$ 33 background checks $((\tau))$  and other quality assurance requirements for 34 personal aides who provide in-home services funded by medicaid personal 35 care as described in RCW 74.09.520, community options program entry 36 system waiver services as described in RCW 74.39A.030, or chore 37 services as described in RCW 74.39A.110 that are equivalent to 38 requirements for individual providers. (((14))) (11) Under existing funds the department shall establish
 internally a quality improvement standards committee to monitor the
 development of standards and to suggest modifications.

4 (((15) Within existing funds, the department shall design, develop, and implement a long-term care training program that is flexible, 5 relevant, and qualifies towards the requirements for a nursing б assistant certificate as established under chapter 18.88A RCW. This 7 subsection does not require completion of the nursing assistant 8 certificate training program by providers or their staff. The long-9 term care teaching curriculum must consist of a fundamental module, or 10 modules, and a range of other available relevant training modules that 11 12 provide the caregiver with appropriate options that assist in meeting 13 the resident's care needs. Some of the training modules may include, but are not limited to, specific training on the special care needs of 14 persons with developmental disabilities, dementia, mental illness, and 15 the care needs of the elderly. No less than one training module must 16 be dedicated to workplace violence prevention. The nursing care 17 quality assurance commission shall work together with the department to 18 develop the curriculum modules. The nursing care quality assurance 19 commission shall direct the nursing assistant training programs to 20 21 accept some or all of the skills and competencies from the curriculum 22 modules towards meeting the requirements for a nursing assistant certificate as defined in chapter 18.88A RCW. A process may be 23 24 developed to test persons completing modules from a caregiver's class to verify that they have the transferable skills and competencies for 25 26 entry into a nursing assistant training program. The department may 27 review whether facilities can develop their own related long-term care training programs. The department may develop a review process for 28 determining what previous experience and training may be used to waive 29 30 some or all of the mandatory training. The department of social and health services and the nursing care quality assurance commission shall 31 32 work together to develop an implementation plan by December 12, 1998.))

33 **Sec. 25.** RCW 70.127.100 and 2000 c 175 s 9 are each amended to 34 read as follows:

35 Upon receipt of an application under RCW 70.127.080 for a license 36 and the license fee, the department shall issue a license if the 37 applicant meets the requirements established under this chapter. A

license issued under this chapter shall not be transferred or assigned 1 2 without thirty days prior notice to the department and the department's approval. A license, unless suspended or revoked, is effective for a 3 period of two years, however an initial license is only effective for 4 5 twelve months. The department shall conduct a survey within each licensure period, and may conduct a licensure survey after ownership 6 7 transfer, to assure compliance with this chapter and the rules adopted under this chapter and under section 3 of this act, and to enforce 8 9 section 23(4) of this act.

10 **Sec. 26.** RCW 18.20.110 and 2004 c 144 s 3 are each amended to read 11 as follows:

12 (1) The department shall make or cause to be made, at least every eighteen months with an annual average of fifteen months, an inspection 13 and investigation of all boarding homes. However, the department may 14 delay an inspection to twenty-four months if the boarding home has had 15 16 three consecutive inspections with no written notice of violations and 17 has received no written notice of violations resulting from complaint investigation during that same time period. The department may at 18 anytime make an unannounced inspection of a licensed home to assure 19 20 that the licensee is in compliance with this chapter and the rules 21 adopted under this chapter and section 3 of this act, and to enforce section 23(4) of this act. Every inspection shall focus primarily on 22 23 actual or potential resident outcomes, and may include an inspection of 24 every part of the premises and an examination of all records, methods of administration, the general and special dietary, and the stores and 25 26 methods of supply; however, the department shall not have access to 27 financial records or to other records or reports described in RCW 18.20.390. Financial records of the boarding home may be examined when 28 the department has reasonable cause to believe that a financial 29 obligation related to resident care or services will not be met, such 30 31 as a complaint that staff wages or utility costs have not been paid, or when necessary for the department to investigate alleged financial 32 exploitation of a resident. 33

34 (2) Following such an inspection or inspections, written notice of 35 any violation of this law or the rules adopted hereunder shall be given 36 to the applicant or licensee and the department. 1 (3) The department may prescribe by rule that any licensee or 2 applicant desiring to make specified types of alterations or additions 3 to its facilities or to construct new facilities shall, before 4 commencing such alteration, addition, or new construction, submit plans 5 and specifications therefor to the agencies responsible for plan 6 reviews for preliminary inspection and approval or recommendations with 7 respect to compliance with the rules and standards herein authorized.

8 **Sec. 27.** RCW 18.20.270 and 2002 c 233 s 1 are each amended to read 9 as follows:

10 (1) The definitions in this subsection apply throughout this11 section unless the context clearly requires otherwise.

(a) "Caregiver" includes any ((person)) long-term care worker who
 provides residents with hands-on personal care on behalf of a boarding
 home, except volunteers who are directly supervised.

(b) "Direct supervision" means oversight by a person who has demonstrated competency in the core areas or has been fully exempted from the training requirements pursuant to this section, is on the premises, and is quickly and easily available to the caregiver.

19 (c) "Long-term care worker" has the same meaning as defined in RCW 20 <u>74.39A.009(11).</u>

21 (2) Training must have the following components: Orientation, basic training, specialty training as appropriate, and continuing 22 education. All boarding home employees or volunteers who routinely 23 24 interact with residents shall complete orientation. Boarding home administrators, or their designees, and caregivers shall complete 25 26 orientation, basic training, specialty training as appropriate, and Training of caregivers employed by boarding continuing education. 27 homes is governed by chapter 74.39A RCW. Any caregiver who has 28 satisfied the training and competency testing requirements of section 29 3 of this act or the continuing education requirements of RCW 30 74.39A.340 shall be deemed to have satisfied, as applicable, the 31 orientation, basic training, and continuing education requirements of 32 this section. 33

(3) Orientation consists of introductory information on residents'
 rights, communication skills, fire and life safety, and universal
 precautions. Orientation must be provided at the facility by

appropriate boarding home staff to all boarding home employees before
 the employees have routine interaction with residents.

(4) Basic training consists of modules on the core knowledge and 3 skills that caregivers need to learn and understand to effectively and 4 safely provide care to residents. Basic training must be outcome-5 based, and the effectiveness of the basic training must be measured by 6 7 demonstrated competency in the core areas through the use of a competency test. ((Basic training must be completed by caregivers 8 within one hundred twenty days of the date on which they begin to 9 10 provide hands on care or within one hundred twenty days of September 1, 2002, whichever is later.)) Until ((competency in the core areas has 11 12 been demonstrated, caregivers)) a caregiver provides verification that 13 he or she has met the basic training requirements under section 3 of 14 this act, a caregiver shall not provide hands-on personal care to residents without direct supervision. Boarding home administrators, or 15 their designees, must complete basic training and 16 demonstrate competency within one hundred twenty days of employment or within one 17 hundred twenty days of September 1, 2002, whichever is later. 18

(5)(a) For boarding homes that serve residents with special needs 19 such as dementia, developmental disabilities, or mental illness, 20 21 specialty training is required of administrators, or designees, and 22 caregivers. Specialty training consists of modules on the core knowledge and skills that caregivers need to effectively and safely 23 24 provide care to residents with special needs. Specialty training 25 should be integrated into basic training wherever appropriate. Specialty training must be outcome-based, and the effectiveness of the 26 27 specialty training measured by demonstrated competency in the core specialty areas through the use of a competency test. 28

(b) Specialty training must be completed by caregivers within one 29 hundred twenty days of the date on which they begin to provide hands-on 30 care to a resident having special needs or within one hundred twenty 31 32 days of September 1, 2002, whichever is later. However, if specialty training is not integrated with basic training, the specialty training 33 must be completed within ninety days of completion of basic training. 34 35 Until competency in the core specialty areas has been demonstrated, caregivers shall not provide hands-on personal care to residents with 36 37 special needs without direct supervision. If training received by a caregiver under section 3 of this act involves core knowledge and 38

skills to effectively and safely provide care to residents of the 1 2 boarding home with special needs, the hours of training received by the caregiver shall apply toward meeting the specialty training 3 requirements under this section. Boarding home administrators, or 4 their designees, must complete specialty training and demonstrate 5 competency within one hundred twenty days of September 1, 2002, or one 6 7 hundred twenty days from the date on which the administrator or his or her designee is hired, whichever is later, if the boarding home serves 8 one or more residents with special needs. 9

10 ((<del>(6) Continuing education consists of ongoing delivery of</del> information to caregivers on various topics relevant to the care 11 setting and care needs of residents. Competency testing is not 12 13 required for continuing education. Continuing education is not 14 required in the same calendar year in which basic or modified basic training is successfully completed. Continuing education is required 15 in each calendar year thereafter.)) (c) If specialty training is 16 completed, the specialty training applies toward any continuing 17 education requirement for up to two years following the completion of 18 the specialty training. 19

20 ((<del>(7)</del>)) <u>(6)</u> Persons who successfully challenge the competency test 21 for basic training are fully exempt from the basic training 22 requirements of this section. Persons who successfully challenge the 23 specialty training competency test are fully exempt from the specialty 24 training requirements of this section.

25 ((<del>(8)</del>)) <u>(7)</u> Licensed persons who perform the tasks for which they 26 are licensed are fully or partially exempt from the training 27 requirements of this section, as specified by the department in rule.

28 (((9))) (8) In an effort to improve access to training and 29 education and reduce costs, especially for rural communities, the 30 coordinated system of long-term care training and education must 31 include the use of innovative types of learning strategies such as 32 internet resources, videotapes, and distance learning using satellite 33 technology coordinated through community colleges or other entities, as 34 defined by the department.

35 ((((10))) (9) The department shall develop criteria for the approval 36 of orientation, basic training, and specialty training programs.

37 (((11) Boarding homes that desire to deliver facility-based 38 training with facility designated trainers, or boarding homes that

1 desire to pool their resources to create shared training systems, must 2 be encouraged by the department in their efforts. The department shall 3 develop criteria for reviewing and approving trainers and training 4 materials that are substantially similar to or better than the materials developed by the department. The department may approve a 5 curriculum based upon attestation by a boarding home administrator that 6 7 the boarding home's training curriculum addresses basic and specialty training competencies identified by the department, and shall review a 8 curriculum to verify that it meets these requirements. The department 9 10 may conduct the review as part of the next regularly scheduled yearly inspection and investigation required under RCW 18.20.110. 11 -The 12 department shall rescind approval of any curriculum if it determines 13 that the curriculum does not meet these requirements.

14 (12) The department shall adopt rules by September 1, 2002, for the 15 implementation of this section.

(13))) (10) The orientation, basic training, specialty training, 16 17 and continuing education requirements of this section commence September 1, 2002, or one hundred twenty days from the date of 18 employment, whichever is later, and shall be applied to (a) employees 19 hired subsequent to September 1, 2002; and (b) existing employees that 20 21 on September 1, 2002, have not successfully completed the training 22 requirements under RCW 74.39A.010 or 74.39A.020 and this section. Existing employees who have not successfully completed the training 23 24 requirements under RCW 74.39A.010 or 74.39A.020 shall be subject to all 25 applicable requirements of this section. ((However, prior to September 1, 2002, nothing in this section affects the current training 26 requirements under RCW 74.39A.010.)) 27

28 **Sec. 28.** RCW 70.128.090 and 2001 c 319 s 7 are each amended to 29 read as follows:

(1) During inspections of an adult family home, the department 30 31 shall have access and authority to examine areas and articles in the home used to provide care or support to residents, including residents' 32 records, accounts, and the physical premises, including the buildings, 33 34 grounds, and equipment. The personal records of the provider are not 35 subject to department inspection nor is the separate bedroom of the 36 provider, not used in direct care of a client, subject to review. The 37 department may inspect all rooms during the initial licensing of the home. However, during a complaint investigation, the department shall have access to the entire premises and all pertinent records when necessary to conduct official business. The department also shall have the authority to interview the provider and residents of an adult family home.

(2) Whenever an inspection is conducted, the department shall 6 7 prepare a written report that summarizes all information obtained during the inspection, and if the home is in violation of this chapter 8 or the rules adopted under this chapter or under section 3 of this act, 9 or the department is enforcing section 23(4) of this act, serve a copy 10 of the inspection report upon the provider at the same time as a notice 11 of violation. This notice shall be mailed to the provider within ten 12 13 working days of the completion of the inspection process. If the home is not in violation of this chapter, a copy of the inspection report 14 shall be mailed to the provider within ten calendar days of the 15 inspection of the home. All inspection reports shall be made available 16 17 to the public at the department during business hours.

18 (3) The provider shall develop corrective measures for any 19 violations found by the department's inspection. The department shall 20 upon request provide consultation and technical assistance to assist 21 the provider in developing effective corrective measures. The 22 department shall include a statement of the provider's corrective 23 measures in the department's inspection report.

24 <u>NEW SECTION.</u> Sec. 29. A new section is added to chapter 70.128 25 RCW to read as follows:

26 (1) Adult family homes may participate in a voluntary adult family home certification program through the University of Washington 27 geriatric education center. In addition to the minimum qualifications 28 required under RCW 70.128.120, individuals participating 29 in the 30 voluntary adult family home certification program must complete 31 fifty-two hours of class requirements as established by the University of Washington geriatric education center. Subjects covered by the 32 class requirements must include: Specific age-related physical or 33 34 mental health conditions that can be prevented, postponed, or 35 alleviated by a health promotion intervention, how to establish health 36 promotion programs in residential settings and communities, preventing 1 falls, addressing health issues of aging families, and issues and 2 health concerns of ethnic older adults and those with developmental 3 disabilities.

4 (2) Individuals completing the requirements of RCW 70.128.120 and
5 the voluntary adult family home certification program shall be issued
6 a certified adult family home license by the department.

7

34

(3) The department shall adopt rules implementing this section.

8 **Sec. 30.** RCW 70.128.120 and 2006 c 249 s 1 are each amended to 9 read as follows:

Each adult family home provider and each resident manager shall have the following minimum qualifications, except that only providers are required to meet the provisions of subsection (10) of this section: (1) Twenty-one years of age or older;

(2) For those applying after September 1, 2001, to be licensed as
providers, and for resident managers whose employment begins after
September 1, 2001, a United States high school diploma or general
educational development (GED) certificate or any English or translated
government documentation of the following:

(a) Successful completion of government-approved public or private school education in a foreign country that includes an annual average of one thousand hours of instruction over twelve years or no less than twelve thousand hours of instruction;

(b) A foreign college, foreign university, or United Statescommunity college two-year diploma;

25 (c) Admission to, or completion of coursework at, a foreign 26 university or college for which credit was granted;

(d) Admission to, or completion of coursework at, a United Statescollege or university for which credits were awarded;

(e) Admission to, or completion of postgraduate coursework at, a
 United States college or university for which credits were awarded; or

31 (f) Successful passage of the United States board examination for 32 registered nursing, or any professional medical occupation for which 33 college or university education preparation was required;

(3) Good moral and responsible character and reputation;

35 (4) Literacy in the English language((-)). However, a person not 36 literate in the English language may meet the requirements of this 37 subsection by assuring that there is a person on staff and available who is able to communicate or make provisions for communicating with the resident in his or her primary language and capable of understanding and speaking English well enough to be able to respond appropriately to emergency situations and be able to read and understand resident care plans;

6 (5) Management and administrative ability to carry out the 7 requirements of this chapter;

8 (6) Satisfactory completion of department-approved basic training 9 and continuing education training as specified by the department in 10 rule, based on recommendations of the community long-term care training 11 and education steering committee and working in collaboration with 12 providers, consumers, caregivers, advocates, family members, educators, 13 and other interested parties in the rule-making process;

14 (7) Satisfactory completion of department-approved, or equivalent, 15 special care training before a provider may provide special care 16 services to a resident;

17 (8) Not been convicted of any crime listed in RCW 43.43.830 and 18 43.43.842;

(9) For those applying after September 1, 2001, to be licensed as providers, and for resident managers whose employment begins after September 1, 2001, at least three hundred twenty hours of successful, direct caregiving experience obtained after age eighteen to vulnerable adults in a licensed or contracted setting prior to operating or managing an adult family home; and

(10) Prior to being granted a license, providers applying after January 1, 2007, must complete a department-approved forty-eight hour adult family home administration and business planning class. The department shall promote and prioritize bilingual capabilities within available resources and when materials are available for this purpose.

30 **Sec. 31.** RCW 70.128.230 and 2002 c 233 s 3 are each amended to 31 read as follows:

32 (1) The definitions in this subsection apply throughout this33 section unless the context clearly requires otherwise.

(a) "Caregiver" includes all adult family home resident managers
 and any ((person)) long-term care worker who provides residents with
 hands-on personal care on behalf of an adult family home, except
 volunteers who are directly supervised.

1 (b) "Indirect supervision" means oversight by a person who has 2 demonstrated competency in the core areas or has been fully exempted 3 from the training requirements pursuant to this section and is quickly 4 and easily available to the caregiver, but not necessarily on-site.

5 (c) "Long-term care worker" has the same meaning as defined in RCW
6 74.39A.009(11).

7 (2) Training must have three components: Orientation, basic training, and continuing education. All adult family home providers, 8 resident managers, and employees, or volunteers who routinely interact 9 10 with residents shall complete orientation. Caregivers shall complete orientation, basic training, and continuing education. 11 Training of 12 caregivers employed by adult family homes is governed by chapter 74.39A 13 RCW. Any caregiver who has satisfied the training and competency testing requirements of section 3 of this act or the continuing 14 education requirements of RCW 74.39A.340 shall be deemed to have 15 satisfied, as applicable, the orientation, basic training, and 16 continuing education requirements of this section. 17

(3) Orientation consists of introductory information on residents' rights, communication skills, fire and life safety, and universal precautions. Orientation must be provided at the facility by appropriate adult family home staff to all adult family home employees before the employees have routine interaction with residents.

(4) Basic training consists of modules on the core knowledge and 23 24 skills that caregivers need to learn and understand to effectively and 25 safely provide care to residents. Basic training must be outcomebased, and the effectiveness of the basic training must be measured by 26 27 demonstrated competency in the core areas through the use of a competency test. ((Basic training must be completed by caregivers 28 within one hundred twenty days of the date on which they begin to 29 provide hands-on care or within one hundred twenty days of September 1, 30 2002, whichever is later.)) Until ((competency in the core areas has 31 been demonstrated, caregivers)) a caregiver provides verification that 32 he or she has satisfied the basic training requirements under section 33 <u>3 of this act, a caregiver</u> shall not provide hands-on personal care to 34 35 residents without indirect supervision.

(5)(a) For adult family homes that serve residents with special
 needs such as dementia, developmental disabilities, or mental illness,
 specialty training is required of providers and resident managers.

1 Specialty training consists of modules on the core knowledge and skills 2 that providers and resident managers need to effectively and safely 3 provide care to residents with special needs. Specialty training 4 should be integrated into basic training wherever appropriate. 5 Specialty training must be outcome-based, and the effectiveness of the 6 specialty training measured by demonstrated competency in the core 7 specialty areas through the use of a competency test.

8 (b) Specialty training must be completed by providers and resident 9 managers before admitting and serving residents who have been 10 determined to have special needs related to mental illness, dementia, 11 or a developmental disability. Should a resident develop special needs 12 while living in a home without specialty designation, the provider and 13 resident manager have one hundred twenty days to complete specialty 14 training.

((((6) Continuing education consists of ongoing delivery of 15 information to caregivers on various topics relevant to the care 16 17 setting and care needs of residents. Competency testing is not required for continuing education. Continuing education is not 18 required in the same calendar year in which basic or modified basic 19 training is successfully completed. Continuing education is required 20 21 in each calendar year thereafter.)) If training received by a caregiver under section 3 of this act involves core knowledge and 22 skills to effectively and safely provide care to residents of the adult 23 24 family home with special needs, the hours of training received by the caregiver shall apply toward meeting the specialty training 25 26 requirements under this section.

(c) If specialty training is completed, the specialty training
 applies toward any continuing education requirement for up to two years
 following the completion of the specialty training.

30 ((<del>(7)</del>)) <u>(6)</u> Persons who successfully challenge the competency test 31 for basic training are fully exempt from the basic training 32 requirements of this section. Persons who successfully challenge the 33 specialty training competency test are fully exempt from the specialty 34 training requirements of this section.

35 ((<del>(8)</del>)) <u>(7)</u> Licensed persons who perform the tasks for which they 36 are licensed are fully or partially exempt from the training 37 requirements of this section, as specified by the department in rule.

(((9))) <u>(8)</u> In an effort to improve access to training and 1 2 education and reduce costs, especially for rural communities, the coordinated system of long-term care training and education must 3 include the use of innovative types of learning strategies such as 4 internet resources, videotapes, and distance learning using satellite 5 б technology coordinated through community colleges, private associations, or other entities, as defined by the department. 7

(((10) Adult family homes that desire to deliver facility-based 8 training with facility designated trainers, or adult family homes that 9 10 desire to pool their resources to create shared training systems, must 11 be encouraged by the department in their efforts. The department shall 12 develop criteria for reviewing and approving trainers and training 13 materials. The department may approve a curriculum based upon attestation by an adult family home administrator that the adult family 14 home's training curriculum addresses basic and specialty training 15 competencies identified by the department, and shall review a 16 curriculum to verify that it meets these requirements. The department 17 may conduct the review as part of the next regularly scheduled 18 inspection authorized under RCW 70.128.070. The department shall 19 20 rescind approval of any curriculum if it determines that the curriculum 21 does not meet these requirements.

(11)) (9) The department shall adopt rules by September 1, 2002,
 for the implementation of this section.

24 (((<del>12)</del>)) (<u>10)</u> The orientation, basic training, specialty training, and continuing education requirements of this section commence 25 26 September 1, 2002, and shall be applied to (a) employees hired 27 subsequent to September 1, 2002; or (b) existing employees that on September 1, 2002, have not successfully completed the training 28 requirements under RCW 70.128.120 or 70.128.130 and this section. 29 Existing employees who have not successfully completed the training 30 requirements under RCW 70.128.120 or 70.128.130 shall be subject to all 31 applicable requirements of this section. ((However, until September 1, 32 33 2002, nothing in this section affects the current training requirements under RCW 70.128.120 and 70.128.130.)) 34

35 <u>NEW SECTION.</u> Sec. 32. The following acts or parts of acts are 36 each repealed: 1 (1) RCW 18.20.230 (Training standards review--Proposed 2 enhancements) and 1999 c 372 s 3 & 1998 c 272 s 2; and 3 (2) RCW 70.128.210 (Training standards review--Delivery system--

4 Issues reviewed--Report to the legislature) and 1998 c 272 s 3.

5 <u>NEW SECTION.</u> **Sec. 33.** Sections 7 through 19 of this act 6 constitute a new chapter in Title 18 RCW.

7 <u>NEW SECTION.</u> Sec. 34. Section 20 of this act expires July 1, 8 2008.

9 <u>NEW SECTION.</u> Sec. 35. Section 21 of this act takes effect July 1, 10 2008.

11 <u>NEW SECTION.</u> Sec. 36. If specific funding for the purposes of 12 this act, referencing this act by bill or chapter number, is not 13 provided by June 30, 2008, in the omnibus appropriations act, this act 14 is null and void."

## <u>ESHB 2693</u> - S COMM AMD By Committee on Health & Long-Term Care

## OUT OF ORDER 03/06/2008

On page 1, line 2 of the title, after "workers;" strike the 15 16 remainder of the title and insert "amending RCW 74.39A.009, 74.39A.340, 74.39A.360, 74.39A.240, 74.39A.050, 70.127.100, 18.20.110, 18.20.270, 17 70.128.090, 70.128.120, and 70.128.230; reenacting and amending RCW 18 19 18.130.040 and 18.130.040; adding new sections to chapter 74.39A RCW; adding a new section to chapter 70.128 RCW; adding a new chapter to 20 21 Title 18 RCW; creating new sections; repealing RCW 18.20.230 and 70.128.210; providing an effective date; and providing an expiration 22 23 date."

EFFECT: Deletes the subsection adding two extra hours of

administrative continuing education for adult family home providers to be taught by an adult family home association defined in the subsection.

--- END ---