ESHB 1714 - S AMD 272 By Senator Rivers

ADOPTED 04/19/2017

Strike everything after the enacting clause and insert the following:

3 "<u>NEW SECTION.</u> Sec. 1. The legislature finds that:

4 (1) Research demonstrates that registered nurses play a critical 5 role in improving patient safety and quality of care;

6 (2) Appropriate staffing of hospital personnel including 7 registered nurses available for patient care assists in reducing 8 errors, complications, and adverse patient care events and can 9 improve staff safety and satisfaction and reduce incidences of 10 workplace injuries;

11 (3) Health care professional, technical, and support staff 12 comprise vital components of the patient care team, bringing their 13 particular skills and services to ensuring quality patient care;

(4) Assuring sufficient staffing of hospital personnel, including
 registered nurses, is an urgent public policy priority in order to
 protect patients and support greater retention of registered nurses
 and safer working conditions; and

18 (5) Steps should be taken to promote evidence-based nurse 19 staffing and increase transparency of health care data and decision 20 making based on the data.

21 **Sec. 2.** RCW 70.41.420 and 2008 c 47 s 3 are each amended to read 22 as follows:

(1) By September 1, 2008, each hospital shall establish a nurse 23 staffing committee, either by creating a new committee or assigning 24 the functions of a nurse staffing committee to an existing committee. 25 26 At least one-half of the members of the nurse staffing committee 27 shall be registered nurses currently providing direct patient care and up to one-half of the members shall be determined by the hospital 28 administration. The selection of the registered nurses providing 29 30 direct patient care shall be according to the collective bargaining 31 agreement if there is one in effect at the hospital. If there is no

applicable collective bargaining agreement, the members of the nurse
 staffing committee who are registered nurses providing direct patient
 care shall be selected by their peers.

4 (2) Participation in the nurse staffing committee by a hospital 5 employee shall be on scheduled work time and compensated at the 6 appropriate rate of pay. Nurse staffing committee members shall be 7 relieved of all other work duties during meetings of the committee.

8 (3) Primary responsibilities of the nurse staffing committee9 shall include:

10 (a) Development and oversight of an annual patient care unit and 11 shift-based nurse staffing plan, based on the needs of patients, to 12 be used as the primary component of the staffing budget. Factors to 13 be considered in the development of the plan should include, but are 14 not limited to:

(i) Census, including total numbers of patients on the unit on each shift and activity such as patient discharges, admissions, and transfers;

18 (ii) Level of intensity of all patients and nature of the care to 19 be delivered on each shift;

20 (iii) Skill mix;

21 (iv) Level of experience and specialty certification or training 22 of nursing personnel providing care;

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(v) The need for specialized or intensive equipment;

(vi) The architecture and geography of the patient care unit, including but not limited to placement of patient rooms, treatment areas, nursing stations, medication preparation areas, and equipment; ((and))

(vii) Staffing guidelines adopted or published by national nursing professional associations, specialty nursing organizations, and other health professional organizations;

31 (viii) Availability of other personnel supporting nursing 32 services on the unit; and

33 (ix) Strategies to enable registered nurses to take meal and rest 34 breaks as required by law or the terms of an applicable collective 35 bargaining agreement, if any, between the hospital and a 36 representative of the nursing staff;

37 (b) Semiannual review of the staffing plan against patient need 38 and known evidence-based staffing information, including the nursing 39 sensitive quality indicators collected by the hospital; (c) Review, assessment, and response to staffing <u>variations or</u>
 concerns presented to the committee.

3 (4) In addition to the factors listed in subsection (3)(a) of 4 this section, hospital finances and resources ((may)) <u>must</u> be taken 5 into account in the development of the nurse staffing plan.

6 (5) The staffing plan must not diminish other standards contained 7 in state or federal law and rules, or the terms of an applicable 8 collective bargaining agreement, if any, between the hospital and a 9 representative of the nursing staff.

The committee will produce the hospital's annual nurse 10 (6) staffing plan. If this staffing plan is not adopted by the hospital, 11 12 the chief executive officer shall provide a written explanation of the reasons why the plan was not adopted to the committee. The chief 13 executive officer must then either: (a) Identify those elements of 14 the proposed plan being changed prior to adoption of the plan by the 15 16 hospital or (b) prepare an alternate annual staffing plan that must 17 be adopted by the hospital. Beginning January 1, 2019, each hospital shall submit its staffing plan to the department and thereafter on an 18 19 annual basis and at any time in between that the plan is updated.

20 (7) <u>Beginning January 1, 2019, each hospital shall implement the</u> 21 <u>staffing plan and assign nursing personnel to each patient care unit</u> 22 <u>in accordance with the plan.</u>

23 (a) A registered nurse may report to the staffing committee any 24 variations where the nurse personnel assignment in a patient care 25 unit is not in accordance with the adopted staffing plan and may make 26 a complaint to the committee based on the variations.

(b) Shift-to-shift adjustments in staffing levels required by the plan may be made by the appropriate hospital personnel overseeing patient care operations. If a registered nurse on a patient care unit objects to a shift-to-shift adjustment, the registered nurse may submit the complaint to the staffing committee.

32 (c) Staffing committees shall develop a process to examine and 33 respond to data submitted under (a) and (b) of this subsection, 34 including the ability to determine if a specific complaint is 35 resolved or dismissing a complaint based on unsubstantiated data.

36 (8) Each hospital shall post, in a public area on each patient 37 care unit, the nurse staffing plan and the nurse staffing schedule 38 for that shift on that unit, as well as the relevant clinical 39 staffing for that shift. The staffing plan and current staffing

1 levels must also be made available to patients and visitors upon 2 request.

3 (((8))) <u>(9)</u> A hospital may not retaliate against or engage in any 4 form of intimidation of:

5 (a) An employee for performing any duties or responsibilities in 6 connection with the nurse staffing committee; or

7 (b) An employee, patient, or other individual who notifies the 8 nurse staffing committee or the hospital administration of his or her 9 concerns on nurse staffing.

10 (((9))) (10) This section is not intended to create unreasonable 11 burdens on critical access hospitals under 42 U.S.C. Sec. 1395i-4. 12 Critical access hospitals may develop flexible approaches to 13 accomplish the requirements of this section that may include but are 14 not limited to having nurse staffing committees work by telephone or 15 ((electronic mail)) email.

16 <u>NEW SECTION.</u> Sec. 3. A new section is added to chapter 70.41
17 RCW to read as follows:

18 (1)(a) The department shall investigate a complaint submitted 19 under this section for violation of RCW 70.41.420 following receipt 20 of a complaint with documented evidence of failure to:

(i) Form or establish a staffing committee;

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(ii) Conduct a semiannual review of a nurse staffing plan;

23 (iii) Submit a nurse staffing plan on an annual basis and any 24 updates; or

(iv)(A) Follow the nursing personnel assignments in a patient care unit in violation of RCW 70.41.420(7)(a) or shift-to-shift adjustments in staffing levels in violation of RCW 70.41.420(7)(b).

28 (B) The department may only investigate a complaint under this subsection (1)(a)(iv) after making an assessment that the submitted 29 30 evidence indicates a continuing pattern of unresolved violations of 31 RCW 70.41.420(7) (a) or (b), that were submitted to the nurse staffing committee excluding complaints determined by the nurse 32 staffing committee to be resolved or dismissed. The submitted 33 evidence must include the aggregate data contained in the complaints 34 35 submitted to the hospital's nurse staffing committee that indicate a continuing pattern of unresolved violations for a minimum sixty-day 36 37 continuous period leading up to receipt of the complaint by the 38 department.

1 (C) The department may not investigate a complaint under this 2 subsection (1)(a)(iv) in the event of unforeseeable emergency 3 circumstances or if the hospital, after consultation with the nurse 4 staffing committee, documents it has made reasonable efforts to 5 obtain staffing to meet required assignments but has been unable to 6 do so.

7 (b) After an investigation conducted under (a) of this 8 subsection, if the department determines that there has been a 9 violation, the department shall require the hospital to submit a 10 corrective plan of action within forty-five days of the presentation 11 of findings from the department to the hospital.

12 (2) In the event that a hospital fails to submit or submits but fails to follow such a corrective plan of action in response to a 13 violation or violations found by the department based on a complaint 14 filed pursuant to subsection (1) of this section, the department may 15 16 impose, for all violations asserted against a hospital at any time, a 17 civil penalty of one hundred dollars per day until the hospital 18 submits or begins to follow a corrective plan of action or takes other action agreed to by the department. 19

(3) The department shall maintain for public inspection records
 of any civil penalties, administrative actions, or license
 suspensions or revocations imposed on hospitals under this section.

23 (4) For purposes of this section, "unforeseeable emergency24 circumstance" means:

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(a) Any unforeseen national, state, or municipal emergency;

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(b) When a hospital disaster plan is activated;

(c) Any unforeseen disaster or other catastrophic event that substantially affects or increases the need for health care services; or

30 (d) When a hospital is diverting patients to another hospital or 31 hospitals for treatment or the hospital is receiving patients who are 32 from another hospital or hospitals.

33 (5) Nothing in this section shall be construed to preclude the 34 ability to otherwise submit a complaint to the department for failure 35 to follow RCW 70.41.420.

36 (6) The department shall submit a report to the legislature on 37 December 31, 2020. This report shall include the number of complaints 38 submitted to the department under this section, the disposition of 39 these complaints, the number of investigations conducted, the 40 associated costs for complaint investigations, and recommendations

for any needed statutory changes. The department shall also project, 1 based on experience, the impact, if any, on hospital licensing fees 2 over the next four years. Prior to the submission of the report, the 3 secretary shall convene a stakeholder group consisting of the 4 Washington state hospital association, the Washington state nurses 5 б association, service employees international union healthcare 1199NW, 7 and united food and commercial workers 21. The stakeholder group shall review the report prior to its submission to review findings 8 and jointly develop any legislative recommendations to be included in 9 the report. 10

11 (7) No fees shall be increased to implement this act prior to 12 July 1, 2021.

13 <u>NEW SECTION.</u> Sec. 4. This act expires June 1, 2023.

14 <u>NEW SECTION.</u> Sec. 5. This act may be known and cited as the 15 Washington state patient safety act."

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16 On page 1, line 1 of the title, after "hospitals;" strike the 17 remainder of the title and insert "amending RCW 70.41.420; adding a 18 new section to chapter 70.41 RCW; creating new sections; prescribing 19 penalties; and providing an expiration date."

EFFECT: Requires the Department report to be provided to the legislature on December 31, 2020, instead of December 31, 2022. The requirement that fees not be increased to implement the act is limited to July 1, 2021, rather than June 1, 2023.

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