

Chapter 460-11A WAC

MULTIJURISDICTIONAL DISCLOSURE SYSTEM

WAC

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multijurisdictional offering has been declared effective by the SEC, a nonissuer transaction in any class of the issuer's securities is exempt from registration, whether or not the transaction is effected through a broker-dealer.

[Statutory Authority: RCW 21.20.450, [21.20].240. 07-02-071, § 460-11A-050, filed 12/29/06, effective 1/29/07.]

WAC 460-11A-010 Multijurisdictional disclosure system. The rules set forth in this chapter accommodate offerings in compliance with the multijurisdictional disclosure system as set forth in United States Securities and Exchange Commission Release No. 33-6902 (1991). For the purposes of this chapter, a "multijurisdictional offering" means a class of offering for which a registration statement designated as Form F-7, F-8, F-80, F-9, or F-10 by the Securities and Exchange Commission has been filed with the administrator.

[Statutory Authority: RCW 21.20.450 and 21.20.240. 91-18-014, § 460-11A-010, filed 8/26/91, effective 9/26/91.]

WAC 460-11A-020 Time for taking effect of multijurisdictional registration statement. The period of time under RCW 21.20.190(2) a registration statement must be on file before it becomes automatically effective shall be reduced from ten full business days to three days for a multijurisdictional offering.

[Statutory Authority: RCW 21.20.450, [21.20].240. 07-02-071, § 460-11A-020, filed 12/29/06, effective 1/29/07; 91-18-014, § 460-11A-020, filed 8/26/91, effective 9/26/91.]

WAC 460-11A-030 Multijurisdictional offering financial statements. A multijurisdictional offering registration statement may include, to the extent allowed by the Securities and Exchange Commission, financial statements and financial information that have been prepared in accordance with Canadian generally accepted accounting principles consistently applied.

[Statutory Authority: RCW 21.20.450 and 21.20.240. 91-18-014, § 460-11A-030, filed 8/26/91, effective 9/26/91.]

WAC 460-11A-040 Multijurisdictional offering notice of claim of exemption under RCW 21.20.320(11). An issuer or underwriter conducting a multijurisdictional offering to existing security holders of the issuer pursuant to the exemption of RCW 21.20.320 (11)(b) may give notice to the director by filing the registration statement Form F-7 with a cover letter claiming that exemption.

[Statutory Authority: RCW 21.20.450 and 21.20.240. 91-18-014, § 460-11A-040, filed 8/26/91, effective 9/26/91.]

WAC 460-11A-050 Exemption for secondary trading of certain multijurisdictional registered securities. After a

(12/29/06)

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