- WAC 182-535A-0040 Orthodontic treatment and orthodontic-related services—Covered, noncovered, and limitations to coverage. Coverage and authorization of covered services is subject to the requirements and limitations in this chapter and other applicable WAC.
- (1) The medicaid agency covers orthodontic treatment and orthodontic-related services for a client who has one of the medical conditions listed in (a) and (b) of this subsection. Treatment and follow-up care must be performed only by an orthodontist or agency-recognized craniofacial team.
- (a) Cleft lip and palate, cleft palate, or cleft lip with alveolar process involvement.
- (b) The following craniofacial anomalies including, but not limited to:
  - (i) Hemifacial microsomia;
  - (ii) Craniosynostosis syndromes;
  - (iii) Cleidocranial dental dysplasia;
  - (iv) Arthrogryposis;
  - (v) Marfan syndrome;
  - (vi) Treacher Collins syndrome;
  - (vii) Ectodermal dysplasia; or
  - (viii) Achondroplasia.
- (2) The agency authorizes orthodontic treatment and orthodontic-related services when the following criteria are met:
- (a) Severe malocclusions with a Washington Modified Handicapping Labiolingual Deviation (HLD) Index Score of twenty-five or higher as determined by the agency;
  - (b) The client has established caries control; and
  - (c) The client has established plaque control.
- (3) The agency may cover orthodontic treatment for dental malocclusions other than those listed in subsections (1) and (2) of this section on a case-by-case basis and when prior authorized. The agency determines medical necessity based on documentation submitted by the provider.
- (4) The agency does not cover the following orthodontic treatment or orthodontic-related services:
  - (a) Orthodontic treatment for cosmetic purposes;
- (b) Orthodontic treatment that is not medically necessary (as defined in WAC 182-500-0070);
- (c) Orthodontic treatment provided out-of-state, except as stated in WAC 182-501-0180 (see also WAC 182-501-0175 for medical care provided in bordering cities);
- (d) Orthodontic treatment and orthodontic-related services that do not meet the requirements of this section or other applicable WAC; or
- (e) Case studies that do not include a definitive orthodontic treatment plan.
- (5) The agency covers the following orthodontic treatment and orthodontic-related services with prior authorization when medically necessary:
  - (a) Interceptive orthodontic treatment.
- (b) Limited orthodontic treatment. The agency may approve limited orthodontic treatment for treatment of a single impacted tooth.
- (c) Comprehensive full orthodontic treatment on adolescent dentition (see subsection (8)(a) of this section for information on limitation extensions).
  - (d) Case study.

- (e) Other orthodontic treatment subject to review for medical necessity as determined by the agency.
- (6) The agency covers the following orthodontic-related services with prior authorization when medically necessary:
  - (a) Clinical oral evaluations according to WAC 182-535-1080.
- (b) Cephalometric films that are of diagnostic quality, dated, and labeled with the client's name.
  - (c) Replacement retainer.
- (d) Orthodontic appliance removal as a stand-alone service only when:
- (i) The client's appliance was placed by a different provider or dental clinic; and
- (ii) The provider has not furnished any other orthodontic treatment or orthodontic-related services to the client.
- (7) The treatment must meet industry standards and correct the medical issue. If treatment is discontinued prior to completion, or treatment objectives are not achieved, the provider must:
- (a) Keep clear documentation in the client's record explaining why treatment was discontinued or not completed, or why treatment goals were not achieved.
  - (b) Notify the agency.
- (8) The agency evaluates a request for orthodontic treatment or orthodontic-related services:
- (a) That are in excess of the limitations or restrictions listed in this section, according to WAC 182-501-0169; and
  - (b) That are listed as noncovered according to WAC 182-501-0160.
- (9) The agency reviews requests for orthodontic treatment or orthodontic-related services for clients who are eligible for services under the EPSDT program according to the provisions of WAC 182-534-0100.

[Statutory Authority: RCW 41.05.021 and 41.05.160. WSR 19-11-028, § 182-535A-0040, filed 5/7/19, effective 7/1/19; WSR 17-20-097, § 182-535A-0040, filed 10/3/17, effective 11/3/17; WSR 16-10-064, § 182-535A-0040, filed 5/2/16, effective 6/2/16. Statutory Authority: RCW 41.05.021 and 2013 2nd sp.s. c 4 § 213. WSR 14-08-032, § 182-535A-0040, filed 3/25/14, effective 4/30/14. WSR 11-14-075, recodified as § 182-535A-0040, filed 6/30/11, effective 7/1/11. Statutory Authority: RCW 74.04.050, 74.08.090. WSR 08-17-009, § 388-535A-0040, filed 8/7/08, effective 9/7/08. Statutory Authority: RCW 74.04.050, 74.08.090, 74.09.530, and 74.09.700. WSR 06-24-036, § 388-535A-0040, filed 11/30/06, effective 1/1/07. Statutory Authority: RCW 74.08.090, 74.09.520 and 74.09.035, 74.09.500. WSR 05-01-064, § 388-535A-0040, filed 12/8/04, effective 1/8/05. Statutory Authority: RCW 74.08.090, 74.09.035, 74.09.520, 74.09.500, WSR 05-01-064, § 388-535A-0040, filed 12/8/04, effective 1/8/05. Statutory Authority: RCW 74.08.090, 74.09.035, 74.09.520, 74.09.500, 42 U.S.C. 1396d(a), C.F.R. 440.100 and 225. WSR 02-01-050, § 388-535A-0040, filed 12/11/01, effective 1/11/02.]