H-2940.5	
	HOUSE BILL 2331

By Representatives Campbell, Johanson, Ballasiotes, Dyer and Roland

53rd Legislature

1994 Regular Session

Read first time 01/14/94. Referred to Committee on State Government.

- 1 AN ACT Relating to public records of the legislature; amending RCW
- 2 42.17.020, 40.14.010, 40.14.040, and 40.14.050; adding a new section to
- 3 chapter 42.17 RCW; and creating a new section.
- 4 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- 5 PART I

State of Washington

- 6 PUBLIC DISCLOSURE
- 7 **Sec. 1.** RCW 42.17.020 and 1992 c 139 s 1 are each amended to read 8 as follows:
- 9 (1) "Agency" includes all state agencies and all local agencies.
- 10 "State agency" includes every state office, department, division,
- 11 bureau, board, commission, or other state agency. "Local agency"
- 12 includes every county, city, town, municipal corporation, quasi-
- 13 municipal corporation, or special purpose district, or any office,
- 14 department, division, bureau, board, commission, or agency thereof, or
- 15 other local public agency. "Agency" for the purposes of RCW 42.17.250
- 16 through 42.17.348, the public records subdivision of this chapter
- 17 <u>includes state agencies, local agencies, and the legislature.</u>

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- 1 (2) "Ballot proposition" means any "measure" as defined by RCW 29.01.110, or any initiative, recall, or referendum proposition proposed to be submitted to the voters of the state or any municipal corporation, political subdivision, or other voting constituency from and after the time when the proposition has been initially filed with the appropriate election officer of that constituency prior to its circulation for signatures.
- 8 (3) "Depository" means a bank designated by a candidate or 9 political committee pursuant to RCW 42.17.050.
- 10 (4) "Treasurer" and "deputy treasurer" mean the individuals 11 appointed by a candidate or political committee, pursuant to RCW 12 42.17.050, to perform the duties specified in that section.
- 13 (5) "Candidate" means any individual who seeks election to public 14 office. An individual shall be deemed to seek election when he first:
- 15 (a) Receives contributions or makes expenditures or reserves space 16 or facilities with intent to promote his candidacy for office; or
  - (b) Announces publicly or files for office.

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- 18 (6) "Commercial advertiser" means any person who sells the service 19 of communicating messages or producing printed material for broadcast 20 or distribution to the general public or segments of the general public 21 whether through the use of newspapers, magazines, television and radio 22 stations, billboard companies, direct mail advertising companies, 23 printing companies, or otherwise.
  - (7) "Commission" means the agency established under RCW 42.17.350.
- (8) "Compensation" unless the context requires a narrower meaning, includes payment in any form for real or personal property or services of any kind: PROVIDED, That for the purpose of compliance with RCW 42.17.241, the term "compensation" shall not include per diem allowances or other payments made by a governmental entity to reimburse a public official for expenses incurred while the official is engaged in the official business of the governmental entity.
- (9) "Continuing political committee" means a political committee 33 that is an organization of continuing existence not established in 34 anticipation of any particular election campaign.
- 35 (10) "Contribution" includes a loan, gift, deposit, subscription, 36 forgiveness of indebtedness, donation, advance, pledge, payment, 37 transfer of funds between political committees, or transfer of anything 38 of value, including personal and professional services for less than 39 full consideration, but does not include interest on moneys deposited

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in a political committee's account, ordinary home hospitality and the 1 rendering of personal services of the sort commonly performed by 2 volunteer campaign workers, or incidental expenses personally incurred 3 4 by volunteer campaign workers not in excess of fifty dollars personally paid for by the worker. Volunteer services, for the purposes of this 5 chapter, means services or labor for which the individual is not 6 7 compensated by any person. For the purposes of this chapter, 8 contributions other than money or its equivalents shall be deemed to 9 have a money value equivalent to the fair market value of the 10 contribution. Sums paid for tickets to fund-raising events such as dinners and parties are contributions; however, the amount of any such 11 contribution may be reduced for the purpose of complying with the 12 13 reporting requirements of this chapter, by the actual cost of consumables furnished in connection with the purchase of the tickets, 14 15 and only the excess over the actual cost of the consumables shall be 16 deemed a contribution.

(11) "Elected official" means any person elected at a general or special election to any public office, and any person appointed to fill a vacancy in any such office.

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- (12) "Election" includes any primary, general, or special election for public office and any election in which a ballot proposition is submitted to the voters: PROVIDED, That an election in which the qualifications for voting include other than those requirements set forth in Article VI, section 1 (Amendment 63) of the Constitution of the state of Washington shall not be considered an election for purposes of this chapter.
- 27 (13) "Election campaign" means any campaign in support of or in 28 opposition to a candidate for election to public office and any 29 campaign in support of, or in opposition to, a ballot proposition.
  - (14) "Expenditure" includes a payment, contribution, subscription, distribution, loan, advance, deposit, or gift of money or anything of value, and includes a contract, promise, or agreement, whether or not legally enforceable, to make an expenditure. The term "expenditure" also includes a promise to pay, a payment, or a transfer of anything of value in exchange for goods, services, property, facilities, or anything of value for the purpose of assisting, benefiting, or honoring any public official or candidate, or assisting in furthering or opposing any election campaign. For the purposes of this chapter, agreements to make expenditures, contracts, and promises to pay may be

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- 1 reported as estimated obligations until actual payment is made. The
- 2 term "expenditure" shall not include the partial or complete repayment
- 3 by a candidate or political committee of the principal of a loan, the
- 4 receipt of which loan has been properly reported.
- 5 (15) "Final report" means the report described as a final report in 6 RCW 42.17.080(2).
- 7 (16) "Gift," for the purposes of RCW 42.17.170 and 42.17.2415,
- 8 means a rendering of anything of value in return for which reasonable
- 9 consideration is not given and received and includes a rendering of
- 10 money, property, services, discount, loan forgiveness, payment of
- 11 indebtedness, or reimbursements from or payments by persons (other than
- 12 the federal government, or the state of Washington or any agency or
- 13 political subdivision thereof) for travel or anything else of value.
- 14 The term "reasonable consideration" refers to the approximate range of
- 15 consideration that exists in transactions not involving donative
- 16 intent. However, the value of the gift of partaking in a single hosted
- 17 reception shall be determined by dividing the total amount of the cost
- 18 of conducting the reception by the total number of persons partaking in
- 19 the reception. "Gift" for the purposes of RCW 42.17.170 and 42.17.2415
- 20 does not include:
- 21 (a) A gift, other than a gift of partaking in a hosted reception,
- 22 with a value of fifty dollars or less;
- 23 (b) The gift of partaking in a hosted reception if the value of the
- 24 gift is one hundred dollars or less;
- 25 (c) A contribution that is required to be reported under RCW
- 26 42.17.090 ((<del>or 42.17.243</del>));
- 27 (d) Informational material that is transferred for the purpose of
- 28 informing the recipient about matters pertaining to official business
- 29 of the governmental entity of which the recipient is an official or
- 30 officer, and that is not intended to confer on that recipient any
- 31 commercial, proprietary, financial, economic, or monetary advantage, or
- 32 the avoidance of any commercial, proprietary, financial, economic, or
- 33 monetary disadvantage;
- 34 (e) A gift that is not used and that, within thirty days after
- 35 receipt, is returned to the donor or delivered to a charitable
- 36 organization. However, this exclusion from the definition does not
- 37 apply if the recipient of the gift delivers the gift to a charitable
- 38 organization and claims the delivery as a charitable contribution for
- 39 tax purposes;

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- (f) A gift given under circumstances where it is clear beyond any doubt that the gift was not made as part of any design to gain or maintain influence in the governmental entity of which the recipient is an officer or official or with respect to any legislative matter or matters of that governmental entity; or
  - (g) A gift given prior to September 29, 1991.

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- 7 (17) "Immediate family" includes the spouse, dependent children, 8 and other dependent relatives, if living in the household.
- 9 (18) "Legislation" means bills, resolutions, motions, amendments, 10 nominations, and other matters pending or proposed in either house of 11 the state legislature, and includes any other matter that may be the 12 subject of action by either house or any committee of the legislature 13 and all bills and resolutions that, having passed both houses, are 14 pending approval by the governor.
- (19) "Lobby" and "lobbying" each mean attempting to influence the passage or defeat of any legislation by the legislature of the state of Washington, or the adoption or rejection of any rule, standard, rate, or other legislative enactment of any state agency under the state Administrative Procedure Act, chapter 34.05 RCW. Neither "lobby" nor "lobbying" includes an association's or other organization's act of communicating with the members of that association or organization.
- (20) "Lobbyist" includes any person who lobbies either in his own or another's behalf.
- (21) "Lobbyist's employer" means the person or persons by whom a lobbyist is employed and all persons by whom he is compensated for acting as a lobbyist.
  - (22) "Person" includes an individual, partnership, joint venture, public or private corporation, association, federal, state, or local governmental entity or agency however constituted, candidate, committee, political committee, political party, executive committee thereof, or any other organization or group of persons, however organized.
- 33 (23) "Person in interest" means the person who is the subject of a 34 record or any representative designated by that person, except that if 35 that person is under a legal disability, the term "person in interest" 36 means and includes the parent or duly appointed legal representative.
- 37 (24) "Political advertising" includes any advertising displays, 38 newspaper ads, billboards, signs, brochures, articles, tabloids, 39 flyers, letters, radio or television presentations, or other means of

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- 1 mass communication, used for the purpose of appealing, directly or 2 indirectly, for votes or for financial or other support in any election 3 campaign.
- 4 (25) "Political committee" means any person (except a candidate or 5 an individual dealing with his own funds or property) having the 6 expectation of receiving contributions or making expenditures in 7 support of, or opposition to, any candidate or any ballot proposition.
- 8 (26) "Public office" means any federal, state, county, city, town, 9 school district, port district, special district, or other state 10 political subdivision elective office.
- 11 (27) "Public record" includes any writing containing information 12 relating to the conduct of government or the performance of any 13 governmental or proprietary function prepared, owned, used, or retained 14 by the legislature or any state or local agency regardless of physical 15 form or characteristics.
- (28) "Surplus funds" mean, in the case of a political committee or 16 17 candidate, the balance of contributions that remain in the possession or control of that committee or candidate subsequent to the election 18 19 for which the contributions were received, and that are in excess of 20 the amount necessary to pay remaining debts incurred by the committee or candidate prior to that election. In the case of a continuing 21 political committee, "surplus funds" mean those contributions remaining 22 23 in the possession or control of the committee that are in excess of the 24 amount necessary to pay all remaining debts when it makes its final 25 report under RCW 42.17.065.
- 26 (29)"Writing" means handwriting, typewriting, printing, photostating, photographing, and every other means of recording any 27 form of communication or representation, including, but not limited to, 28 letters, words, pictures, sounds, or symbols, or combination thereof, 29 30 and all papers, maps, magnetic or paper tapes, photographic films and prints, motion picture, film and video recordings, magnetic or punched 31 cards, discs, drums, diskettes, sound recordings, and other documents 32 33 including existing data compilations from which information may be 34 obtained or translated.
- As used in this chapter, the singular shall take the plural and any gender, the other, as the context requires.
- NEW SECTION. Sec. 2. A new section is added to chapter 42.17 RCW under the subchapter heading "public records" to read as follows:

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- The following records of the legislative branch of state government are exempt from disclosure under this chapter:
- 3 (1) Correspondence from and responses to legislative constituents;
  - (2) Internal memorandum to and from legislative staff;
- 5 (3) Legislation prior to introduction by a member; and
- 6 (4) Amendments prior to being offered by a member in committee or 7 on the floor.

8 PART II

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## 9 PRESERVATION OF RECORDS

10 **Sec. 3.** RCW 40.14.010 and 1982 c 36 s 3 are each amended to read 11 as follows:

As used in this chapter, the term "public records" shall include 12 13 any paper, correspondence, completed form, bound record book, photograph, film, sound recording, map drawing, machine-readable 14 15 material, or other document, regardless of physical characteristics, and including such copies thereof, that have been made 16 17 by or received by any agency of the state of Washington in connection 18 with the transaction of public business, official public records of the legislature as specified in subsection (1) of this section, and 19 legislative records as described in RCW 40.14.100. 20

21 For the purposes of this chapter, public records shall be 22 classified as follows:

- 23 (1) Official public records shall include all original vouchers, 24 receipts, and other documents necessary to isolate and prove the validity of every transaction relating to the receipt, use, and 25 disposition of all public property and public income from all sources 26 27 whatsoever; all agreements and contracts to which the state of 28 Washington or any agency thereof or the legislature may be a party; all 29 fidelity, surety, and performance bonds; all claims filed against the state of Washington or any agency thereof or the legislature; all 30 records or documents required by law to be filed with or kept by any 31 agency of the state of Washington or the legislature; all legislative 32 records as defined in RCW 40.14.100; and all other documents or records 33 determined by the records committee, created in RCW 40.14.050, to be 34 35 official public records.
- 36 (2) Office files and memoranda include such records as 37 correspondence, exhibits, drawings, maps, completed forms, or documents

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- not above defined and classified as official public records; duplicate 1
- 2 copies of official public records filed with any agency of the state of
- Washington; documents and reports made for the internal administration 3
- of the office to which they pertain but not required by law to be filed 4
- 5 or kept with such agency; and other documents or records as determined
- by the records committee to be office files and memoranda. 6
- 7 Sec. 4. RCW 40.14.040 and 1982 c 36 s 4 are each amended to read 8 as follows:
- 9 Each department or other agency of the state government and each 10 house of the legislature shall designate a records officer to supervise its records program and to represent the office in all contacts with 11 12 the records committee, hereinafter created, and the division of
- archives and records management. The records officer shall: 14 (1) Coordinate all aspects of the records management program.

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- (2) Inventory, or manage the inventory, of all public records at least once during a biennium for disposition scheduling and transfer action, in accordance with procedures prescribed by the state archivist and state records committee: PROVIDED, That essential records shall be inventoried and processed in accordance with chapter 40.10 RCW at least annually.
- 21 (3) Consult with any other personnel responsible for maintenance of 22 specific records within his or her state organization regarding records 23 retention and transfer recommendations.
- 24 (4) Analyze records inventory data, examine and compare divisional 25 or unit inventories for duplication of records, and recommend to the state archivist and state records committee minimal retentions for all 26 copies commensurate with legal, financial and administrative needs. 27
- (5) Approve all records inventory and destruction requests which 28 29 are submitted to the state records committee.
- 30 (6) Review established records retention schedules at least annually to insure that they are complete and current. 31
- 32 (7) Exercise internal control over the acquisition of filming and 33 file equipment.
- 34 If a particular agency or department or legislative house does not wish to transfer records at a time previously scheduled therefor, the 35 36 records officer shall, within thirty days, notify the archivist and request a change in such previously set schedule, including his or her 37 reasons therefor. 38

HB 2331 p. 8 1 Sec. 5. RCW 40.14.050 and 1985 c 192 s 1 are each amended to read 2 as follows:

3 There is created a committee, to be known as the records committee, 4 composed of the archivist, an appointee of the state auditor, an appointee of the attorney general, an appointee of the legislature, and an appointee of the director of financial management. members shall serve without additional salary, but shall be entitled to travel expenses incurred in accordance with RCW 43.03.050 and 43.03.060 ((as now existing or hereafter amended)). Such expenses shall be paid from the appropriations made for operation of their respective departments, legislative houses, or offices. The legislative appointee shall be appointed jointly by the speaker of the house of representatives and the majority leader of the senate.

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The records committee shall meet at least once every quarter or oftener as business dictates. Action by the committee shall be by majority vote and records shall be kept of all committee business.

It shall be the duty of the records committee to approve, modify or disapprove the recommendations on retention schedules of all files of public records and to act upon requests to destroy any public records: PROVIDED, That any modification of a request or recommendation must be approved by the head of the agency or legislative house originating the request or recommendation.

The division of archives and records management shall provide forms, approved by the records committee, upon which it shall prepare recommendations to the committee in cooperation with the records officer of the department or other agency or legislative house whose records are involved.

28 NEW SECTION. Sec. 6. Part headings as used in this act do not 29 constitute any part of the law.

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