2 SSB 5799 - H COMM AMD ADOPTED 4/12/95

3 By Committee on Health Care

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- 5 Strike everything after the enacting clause and insert the 6 following:
- 7 "Sec. 1. RCW 70.128.005 and 1989 c 427 s 14 are each amended to 8 read as follows:
- 9 The legislature finds that adult family homes are an important part
- 10 of the state's long-term care system. Adult family homes provide an
- 11 alternative to institutional care and promote a high degree of
- 12 independent living for residents. <u>Persons with functional limitations</u>
- 13 have broadly varying service needs. Adult family homes that can meet
- 14 those needs are an essential component of a long-term system. The
- 15 legislature further finds that different populations living in adult
- 16 family homes, such as the developmentally disabled and the elderly,
- 17 often have significantly different needs and capacities from one
- 18 <u>another</u>.
- 19 It is the legislature's intent that department rules and policies
- 20 relating to the licensing and operation of adult family homes recognize
- 21 and accommodate the different needs and capacities of the various
- 22 populations served by the homes. Furthermore, the development and
- 23 operation of adult family homes that can provide quality personal care
- 24 <u>and special care services should be encouraged.</u>
- 25 **Sec. 2.** RCW 70.128.010 and 1989 c 427 s 16 are each amended to
- 26 read as follows:
- 27 Unless the context clearly requires otherwise, the definitions in
- 28 this section apply throughout this chapter.
- 29 (1) "Adult family home" means a regular family abode ((of)) in
- 30 <u>which</u> a person or persons ((who are providing)) <u>provides</u> personal care,
- 31 special care, room, and board to more than one but not more than
- 32 ((four)) six adults who are not related by blood or marriage to the
- 33 person or persons providing the services((; except that a maximum of
- 34 six adults may be permitted if the department determines that the home

- is of adequate size and that the home and the provider are capable of meeting standards and qualifications as provided for in this act)).
- 3 (2) "Provider" means any person who is licensed under this chapter 4 to operate an adult family home. ((The provider shall reside at the 5 adult family home, except that exceptions may be authorized by the 6 department for good cause, as defined in rule.)) For the purposes of 7 this section, "person" means any individual, partnership, corporation, 8 association, or limited liability company.
- 9 (3) "Department" means the department of social and health 10 services.
- 11 (4) "Resident" means an adult in need of personal or special care 12 in an adult family home who is not related to the provider.
- 13 (5) "Adults" means persons who have attained the age of eighteen 14 years.
- 15 (6) "Home" means an adult family home.
- 16 (7) "Imminent danger" means serious physical harm to or death of a 17 resident has occurred, or there is a serious threat to resident life, 18 health, or safety.
- 19 (8) "Special care" means care beyond personal care as defined by 20 the department, in rule.
- 21 (9) "Capacity" means the maximum number of persons in need of 22 personal or special care permitted in an adult family home at a given 23 time. This number shall include related children or adults in the home 24 and who received special care.
- 25 **Sec. 3.** RCW 70.128.040 and 1989 c 427 s 18 are each amended to 26 read as follows:
- (1) The department shall adopt rules and standards with respect to 27 ((all)) adult family homes and the operators thereof to be licensed 28 29 under this chapter to carry out the purposes and requirements of this 30 chapter. The rules and standards relating to applicants and operators shall address the differences between individual providers and 31 providers that are partnerships, corporations, associations, or 32 33 companies. The rules and standards shall also recognize and be appropriate to the different needs and capacities of the various 34 populations served by adult family homes such as but not limited to the 35 36 developmentally disabled and the elderly. In developing rules and standards the department shall recognize the residential family-like 37 nature of adult family homes and not develop rules and standards which 38

- by their complexity serve as an overly restrictive barrier to the development of the adult family homes in the state. Procedures and forms established by the department shall be developed so they are easy to understand and comply with. Paper work requirements shall be minimal. Easy to understand materials shall be developed for ((homes)) applicants and providers explaining licensure requirements and procedures.
- 8 (2) <u>In developing the rules and standards</u>, the department shall 9 consult with all divisions and administrations within the department serving the various populations living in adult family homes, including 10 the division of developmental disabilities and the aging and adult 11 12 services administration. Involvement by the divisions and administration shall be for the purposes of assisting the department to 13 14 develop rules and standards appropriate to the different needs and capacities of the various populations served by adult family homes. 15 During the initial stages of development of proposed rules, the 16 department shall provide notice of development of the rules to 17 organizations representing adult family homes and their residents, and 18 19 other groups that the department finds appropriate. The notice shall state the subject of the rules under consideration and solicit written 20 recommendations regarding their form and content. 21
- (3) Except where provided otherwise, chapter 34.05 RCW shall govern all department rule-making and adjudicative activities under this chapter.
- 25 **Sec. 4.** RCW 70.128.060 and 1989 c 427 s 20 are each amended to 26 read as follows:
- 27 (1) An application for license shall be made to the department upon 28 forms provided by it and shall contain such information as the 29 department reasonably requires.

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(2) The department shall issue a license to an adult family home if the department finds that the applicant and the home are in compliance with this chapter and the rules adopted under this chapter((; and that)), unless (a) the applicant has ((no)) prior violations of this chapter relating to the adult family home subject to the application or any other adult family home, or of any other law regulating residential care facilities within the past five years that resulted in revocation or nonrenewal of a license; or (b) the applicant has a history of significant noncompliance with federal, state, or local laws, rules, or

- regulations relating to the provision of care or services to vulnerable 1 adults or to children. 2
 - (3) The license fee shall be submitted with the application.

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- 4 (4) The department shall serve upon the applicant a copy of the decision granting or denying an application for a license. 5 applicant shall have the right to contest denial of his or her 6 7 application for a license as provided in chapter 34.05 RCW by 8 requesting a hearing in writing within ((ten)) twenty-eight days after 9 receipt of the notice of denial.
- 10 (5) ((A provider shall not be licensed for more than one adult family home. Exceptions may be authorized by the department for good 11 cause, as defined in rule. The department shall submit to appropriate 12 committees of the legislature, by December 1, 1991, a report on the 13 number and type of good cause exceptions granted. 14
- 15 (6))) The department shall not issue a license to a provider if the department finds that the provider or any partner, officer, director, 16 managerial employee, or owner of five percent or more if the provider 17 has a history of significant noncompliance with federal or state 18 19 regulations, rules, or laws in providing care or services to vulnerable adults or to children. 20
 - (6) The department shall license an adult family home for the maximum level of care that the adult family home may provide. The department shall define, in rule, license levels based upon the education, training, and caregiving experience of the licensed provider or staff.
- 26 (7) The department shall establish, by rule, standards used to license nonresident providers and multiple facility operators. 27
- (8) The department shall establish, by rule, for multiple facility 29 operators educational standards substantially equivalent to recognized national certification standards for residential care administrators.
- (9) The license fee shall be set at fifty dollars per year for each 31 A fifty dollar processing fee shall also be charged each home 32 when the home is initially licensed. 33
- 34 Sec. 5. RCW 70.128.120 and 1989 c 427 s 24 are each amended to read as follows: 35
- 36 An adult family home provider shall have the following minimum 37 qualifications:
- 38 (1) Twenty-one years of age or older;

- 1 (2) Good moral and responsible character and reputation;
- 2 (3) Literacy; ((and))
- 3 (4) Management and administrative ability to carry out the 4 requirements of this chapter:
- 5 (5) Satisfactory completion of department-approved initial training
- 6 <u>and continuing education training as specified by the department in</u>
- 7 rule;
- 8 (6) Satisfactory completion of department-approved, or equivalent,
- 9 special care training before a provider may provide special care
- 10 <u>services to a resident;</u>
- 11 (7) Not been convicted of any crime listed in RCW 43.43.830 and
- 12 <u>43.43.842;</u> and
- 13 <u>(8) Registered with the department of health</u>.
- 14 **Sec. 6.** RCW 70.128.130 and 1989 c 427 s 26 are each amended to 15 read as follows:
- 16 (1) Adult family homes shall be maintained internally and
- 17 externally in good repair and condition. Such homes shall have safe
- 18 and functioning systems for heating, cooling, hot and cold water,
- 19 electricity, plumbing, garbage disposal, sewage, cooking, laundry,
- 20 artificial and natural light, ventilation, and any other feature of the
- 21 home.
- 22 (2) Adult family homes shall be maintained in a clean and sanitary
- 23 manner, including proper sewage disposal, food handling, and hygiene
- 24 practices.
- 25 (3) Adult family homes shall develop a fire drill plan for
- 26 emergency evacuation of residents, shall have smoke detectors in each
- 27 bedroom where a resident is located, shall have fire extinguishers on
- 28 each floor of the home, and shall not keep nonambulatory patients above
- 29 the first floor of the home.
- 30 (4) Adult family homes shall have clean, functioning, and safe
- 31 household items and furnishings.
- 32 (5) Adult family homes shall provide a nutritious and balanced diet
- 33 and shall recognize residents' needs for special diets.
- 34 (6) Adult family homes shall establish health care procedures for
- 35 the care of residents including medication administration and emergency
- 36 medical care.
- 37 (a) Adult family home residents shall be permitted to self-
- 38 administer medications.

- 1 (b) Adult family home providers may administer medications and 2 deliver special care only to the extent ((that the provider is a 3 licensed health care professional for whom the administration of 4 medications is within the scope of practice under Washington)) 5 authorized by law.
- 6 (7) Adult family home providers shall either: (a) Reside at the
 7 adult family home; or (b) employ or otherwise contract with a qualified
 8 resident manager to reside at the adult family home. The department
 9 may exempt, for good cause, a provider from the requirements of this
 10 subsection by rule.
- 11 (8) A provider will ensure that any volunteer, student, employee,
 12 or person residing within the adult family home who will have
 13 unsupervised access to any resident shall not have been convicted of a
 14 crime listed under RCW 43.43.830 or 43.43.842. Except that a person
 15 may be conditionally employed pending the completion of a criminal
 16 conviction background inquiry.
- 17 <u>(9) A provider shall offer activities to residents under care as</u> 18 <u>defined by the department in rule.</u>
- 19 <u>(10) An adult family home provider shall ensure that staff are</u> 20 competent and receive necessary training to perform assigned tasks.
- NEW SECTION. Sec. 7. Unless the context clearly requires otherwise, the definitions in this section apply throughout this chapter.
- 24 (1) "Secretary" means the secretary of the department of health.
- (2) "Adult family home" means a regular family abode of a person or persons who are providing personal care, room, and board to more than one but not more than four adults who are not related by blood or marriage to the person or persons providing the services. A maximum of six adults may be permitted by the department of social and health services under chapter 70.128 RCW.
- 31 (3) "Operator" means a provider who is licensed under chapter 32 70.128 RCW to operate an adult family home.
- 33 (4) "Person" includes an individual, firm, corporation, 34 partnership, or association.
- NEW SECTION. Sec. 8. A person who operates an adult family home shall register the home with the secretary. Each separate location of

- 1 the business of an adult family home shall have a separate 2 registration.
- The secretary, by rule, shall establish forms and procedures for the processing of operator registration applications, including the
- 5 payment of registration fees pursuant to RCW 43.70.250. An application
- 6 for an adult family home operator registration shall include at least
- 7 the following information:
- 8 (1) The names and addresses of the operator of the adult family 9 home; and
- 10 (2) If the operator is a corporation, copies of its articles of incorporation and current bylaws, together with the names and addresses 12 of its officers and directors.
- A registration issued by the secretary in accordance with this section shall remain effective for a period of one year from the date of its issuance unless the registration is revoked or suspended pursuant to section 9 of this act, or unless the adult family home is sold or ownership or management is transferred, in which case the registration of the home shall be voided and the operator shall apply for a new registration.
- NEW SECTION. Sec. 9. The uniform disciplinary act, chapter 18.130 RCW, shall govern the issuance and denial of registration and the discipline of persons registered under this chapter. The secretary shall be the disciplinary authority under this chapter.
- NEW SECTION. Sec. 10. Sections 7 through 9 of this act shall constitute a new chapter in Title 18 RCW.
- 26 **Sec. 11.** RCW 18.130.040 and 1994 sp.s. c 9 s 603 and 1994 c 17 s 27 19 are each reenacted and amended to read as follows:
- (1) This chapter applies only to the secretary and the boards and commissions having jurisdiction in relation to the professions licensed under the chapters specified in this section. This chapter does not apply to any business or profession not licensed under the chapters specified in this section.
- 33 (2)(a) The secretary has authority under this chapter in relation 34 to the following professions:
- 35 (i) Dispensing opticians licensed under chapter 18.34 RCW;
- 36 (ii) Naturopaths licensed under chapter 18.36A RCW;

- 1 (iii) Midwives licensed under chapter 18.50 RCW;
- 2 (iv) Ocularists licensed under chapter 18.55 RCW;
- 3 (v) Massage operators and businesses licensed under chapter 18.108
- 4 RCW;
- 5 (vi) Dental hygienists licensed under chapter 18.29 RCW;
- 6 (vii) Acupuncturists certified under chapter 18.06 RCW;
- 7 (viii) Radiologic technologists certified and x-ray technicians
- 8 registered under chapter 18.84 RCW;
- 9 (ix) Respiratory care practitioners certified under chapter 18.89
- 10 RCW;
- 11 (x) Persons registered or certified under chapter 18.19 RCW;
- 12 (xi) Persons registered as nursing pool operators under chapter
- 13 18.52C RCW;
- 14 (xii) Nursing assistants registered or certified under chapter
- 15 18.79 RCW;
- 16 (xiii) Health care assistants certified under chapter 18.135 RCW;
- 17 (xiv) Dietitians and nutritionists certified under chapter 18.138
- 18 RCW;
- 19 (xv) Sex offender treatment providers certified under chapter
- 20 18.155 RCW; ((and))
- 21 (xvi) Persons licensed and certified under chapter 18.73 RCW or RCW
- 22 18.71.205; and
- 23 (xvii) Persons registered as adult family home operators under
- 24 section 8 of this act.
- 25 (b) The boards and commissions having authority under this chapter
- 26 are as follows:
- 27 (i) The podiatric medical board as established in chapter 18.22
- 28 RCW;
- 29 (ii) The chiropractic quality assurance commission as established
- 30 in chapter 18.25 RCW;
- 31 (iii) The dental quality assurance commission as established in
- 32 chapter 18.32 RCW;
- 33 (iv) The board on fitting and dispensing of hearing aids as
- 34 established in chapter 18.35 RCW;
- 35 (v) The board of examiners for nursing home administrators as
- 36 established in chapter 18.52 RCW;
- 37 (vi) The optometry board as established in chapter 18.54 RCW
- 38 governing licenses issued under chapter 18.53 RCW;

- 2 in chapter 18.57 RCW governing licenses issued under chapters 18.57 and
- 3 18.57A RCW;
- 4 (viii) The board of pharmacy as established in chapter 18.64 RCW
- 5 governing licenses issued under chapters 18.64 and 18.64A RCW;
- 6 (ix) The medical quality assurance commission as established in
- 7 chapter 18.71 RCW governing licenses and registrations issued under
- 8 chapters 18.71 and 18.71A RCW;
- 9 (x) The board of physical therapy as established in chapter 18.74
- 10 RCW;
- 11 (xi) The board of occupational therapy practice as established in
- 12 chapter 18.59 RCW;
- 13 (xii) The nursing care quality assurance commission as established
- 14 in chapter 18.79 RCW governing licenses issued under that chapter;
- 15 (xiii) The examining board of psychology and its disciplinary
- 16 committee as established in chapter 18.83 RCW;
- 17 (xiv) The veterinary board of governors as established in chapter
- 18 18.92 RCW.
- 19 (3) In addition to the authority to discipline license holders, the
- 20 disciplining authority has the authority to grant or deny licenses
- 21 based on the conditions and criteria established in this chapter and
- 22 the chapters specified in subsection (2) of this section. This chapter
- 23 also governs any investigation, hearing, or proceeding relating to
- 24 denial of licensure or issuance of a license conditioned on the
- 25 applicant's compliance with an order entered pursuant to RCW 18.130.160
- 26 by the disciplining authority.
- 27 <u>NEW SECTION.</u> **Sec. 12.** Sections 7 through 11 of this act shall
- 28 take effect January 1, 1996."
- 29 Correct the title accordingly.

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