## CERTIFICATION OF ENROLLMENT

# SECOND SUBSTITUTE SENATE BILL 6544

Chapter 272, Laws of 1998

(partial veto)

55th Legislature 1998 Regular Session

ADULT FAMILY HOMES, BOARDING HOMES, AND LONG-TERM CARE--REVISIONS

EFFECTIVE DATE: 4/1/98 - Except section 5 which becomes effective on 7/1/98.

Passed by the Senate March 12, 1998 YEAS 43 NAYS 3

## BRAD OWEN

## President of the Senate

Passed by the House March 11, 1998 YEAS 95 NAYS 3

#### CLYDE BALLARD

## Speaker of the House of Representatives

Approved April 1, 1998, with the exception of sections 18, 19, 20 and 21, which are vetoed.

#### CERTIFICATE

I, Mike O Connell, Secretary of the Senate of the State of Washington, do hereby certify that the attached is SECOND SUBSTITUTE SENATE BILL 6544 as passed by the Senate and the House of Representatives on the dates hereon set forth.

MIKE O'CONNELL

#### Secretary

FILED

April 1, 1998 - 3:15 p.m.

GARY LOCKE

Governor of the State of Washington

Secretary of State State of Washington

## SECOND SUBSTITUTE SENATE BILL 6544

AS RECOMMENDED BY CONFERENCE COMMITTEE

Passed Legislature - 1998 Regular Session

## State of Washington 55th Legislature 1998 Regular Session

**By** Senate Committee on Ways & Means (originally sponsored by Senators Deccio, Franklin, Wood, Wojahn and Winsley)

Read first time 02/10/98.

1 AN ACT Relating to improving long-term care; amending RCW 2 70.128.070, 70.129.030, 18.88A.210, 18.88A.230, 18.20.020, 18.20.190, 18.20.160, and 70.128.060; amending 1995 1st sp.s. c 18 s 3 54 4 (uncodified); adding new sections to chapter 18.20 RCW; adding new sections to chapter 70.128 RCW; adding new sections to chapter 18.48 5 RCW; creating new sections; making an appropriation; providing an 6 7 effective date; providing an expiration date; providing a contingent expiration date; and declaring an emergency. 8

9 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:

10 <u>NEW SECTION.</u> Sec. 1. The legislature finds that many residents of long-term care facilities and recipients of in-home personal care 11 12 services are exceptionally vulnerable and their health and well-being 13 are heavily dependent on their caregivers. The legislature further 14 finds that the quality of staff in long-term care facilities is often 15 the key to good care. The need for well-trained staff and well-managed facilities is growing as the state's population ages and the acuity of 16 17 the health care problems of residents increases. In order to better protect and care for residents, the legislature directs that the 18 minimum training standards be reviewed for management and caregiving 19

1 staff, including those serving residents with special needs, such as 2 mental illness, dementia, or a developmental disability, that 3 management and caregiving staff receive appropriate training, and that 4 the training delivery system be improved.

5 <u>NEW SECTION.</u> Sec. 2. A new section is added to chapter 18.20 RCW 6 to read as follows:

7 (1) The department of social and health services shall review, in coordination with the department of health, the nursing care quality 8 9 assurance commission, adult family home providers, boarding home 10 providers, in-home personal care providers, and long-term care consumers and advocates, training standards for administrators and 11 12 resident caregiving staff. The departments and the commission shall submit to the appropriate committees of the house of representatives 13 14 and the senate by December 1, 1998, specific recommendations on 15 training standards and the delivery system, including necessary 16 statutory changes and funding requirements. Any proposed enhancements shall be consistent with this section, shall take into account and not 17 18 duplicate other training requirements applicable to boarding homes and 19 staff, and shall be developed with the input of boarding home and resident representatives, health care professionals, and other vested 20 21 interest groups. Training standards and the delivery system shall be relevant to the needs of residents served by the boarding home and 22 23 recipients of long-term in-home personal care services and shall be 24 sufficient to ensure that administrators and caregiving staff have the 25 skills and knowledge necessary to provide high quality, appropriate care. 26

(2) The recommendations on training standards and the delivery 27 system developed under subsection (1) of this section shall be based on 28 29 a review and consideration of the following: Quality of care; 30 availability of training; affordability, including the training costs incurred by the department of social and health services and private 31 32 providers; portability of existing training requirements; competency testing; practical and clinical course work; methods of delivery of 33 34 training; standards for management and caregiving staff training; and necessary enhancements for special needs populations and resident 35 36 rights training. Residents with special needs include, but are not 37 limited to, residents with a diagnosis of mental illness, dementia, or 38 developmental disability.

1 (3) The department of social and health services shall report to 2 the appropriate committees of the house of representatives and the 3 senate by December 1, 1998, on the cost of implementing the proposed 4 training standards for state-funded residents, and on the extent to 5 which that cost is covered by existing state payment rates.

6 <u>NEW SECTION.</u> Sec. 3. A new section is added to chapter 70.128 RCW 7 to read as follows:

8 (1) The department of social and health services shall review, in coordination with the department of health, the nursing care quality 9 assurance commission, adult family home providers, boarding home 10 providers, in-home personal care providers, and long-term care 11 consumers and advocates, training standards for providers, resident 12 managers, and resident caregiving staff. The departments and the 13 14 commission shall submit to the appropriate committees of the house of 15 representatives and the senate by December 1, 1998, specific 16 recommendations on training standards and the delivery system, including necessary statutory changes and funding requirements. 17 Any 18 proposed enhancements shall be consistent with this section, shall take into account and not duplicate other training requirements applicable 19 to adult family homes and staff, and shall be developed with the input 20 of adult family home and resident representatives, health care 21 professionals, and other vested interest groups. Training standards 22 23 and the delivery system shall be relevant to the needs of residents 24 served by the adult family home and recipients of long-term in-home 25 personal care services and shall be sufficient to ensure that providers, resident managers, and caregiving staff have the skills and 26 knowledge necessary to provide high quality, appropriate care. 27

28 (2) The recommendations on training standards and the delivery 29 system developed under subsection (1) of this section shall be based on a review and consideration of the following: 30 Quality of care; availability of training; affordability, including the training costs 31 incurred by the department of social and health services and private 32 33 providers; portability of existing training requirements; competency testing; practical and clinical course work; methods of delivery of 34 training; standards for management; uniform caregiving staff training; 35 36 necessary enhancements for special needs populations; and resident rights training. Residents with special needs include, but are not 37 38 limited to, residents with a diagnosis of mental illness, dementia, or

developmental disability. Development of training recommendations for
 developmental disabilities services shall be coordinated with the study
 requirements in section 6 of this act.

4 (3) The department of social and health services shall report to 5 the appropriate committees of the house of representatives and the 6 senate by December 1, 1998, on the cost of implementing the proposed 7 training standards for state-funded residents, and on the extent to 8 which that cost is covered by existing state payment rates.

# 9 Sec. 4. RCW 70.128.070 and 1995 1st sp.s. c 18 s 22 are each 10 amended to read as follows:

11 (1) ((A license shall be valid for one year.

12 (2) At least sixty days prior to expiration of the license, the 13 provider shall submit an application for renewal of a license. The 14 department shall send the provider an application for renewal prior to 15 this time. The department shall have the authority to investigate any 16 information included in the application for renewal of a license.

17 (3)) <u>A license shall remain valid unless voluntarily surrendered</u>,
 18 <u>suspended</u>, or revoked in accordance with this chapter.

19 (2)(a) Homes applying for a license shall be inspected at the time 20 of licensure.

(b) Homes licensed by the department shall be inspected at leastevery eighteen months, subject to available funds.

(c) The department may make an unannounced inspection of a licensed
 home at any time to assure that the home and provider are in compliance
 with this chapter and the rules adopted under this chapter.

(((4))) (3) If the department finds that the home is not in compliance with this chapter, it shall require the home to correct any violations as provided in this chapter. ((If the department finds that the home is in compliance with this chapter and the rules adopted under this chapter, the department shall renew the license of the home.))

31 **Sec. 5.** RCW 70.129.030 and 1997 c 386 s 31 are each amended to 32 read as follows:

(1) The facility must inform the resident both orally and in writing in a language that the resident understands of his or her rights and all rules and regulations governing resident conduct and responsibilities during the stay in the facility. The notification

must be made prior to or upon admission. Receipt of the information
 must be acknowledged in writing.

3 (2) The resident or his or her legal representative has the right:
4 (a) Upon an oral or written request, to access all records
5 pertaining to himself or herself including clinical records within
6 twenty-four hours; and

7 (b) After receipt of his or her records for inspection, to purchase 8 at a cost not to exceed the community standard photocopies of the 9 records or portions of them upon request and two working days' advance 10 notice to the facility.

(3) The facility shall only admit or retain individuals whose needs 11 it can safely and appropriately serve in the facility with appropriate 12 available staff and through the provision of reasonable accommodations 13 14 required by state or federal law. Except in cases of genuine emergency, the facility shall not admit an individual before obtaining 15 a thorough assessment of the resident's needs and preferences. The 16 assessment shall contain, unless unavailable despite the best efforts 17 of the facility, the resident applicant, and other interested parties, 18 19 the following minimum information: Recent medical history; necessary and contraindicated medications; a licensed medical or other health 20 professional's diagnosis, unless the individual objects for religious 21 22 reasons; significant known behaviors or symptoms that may cause concern or require special care; mental illness, except where protected by 23 24 confidentiality laws; level of personal care needs; activities and service preferences; and preferences regarding other issues important 25 to the resident applicant, such as food and daily routine. 26

27 (4) The facility must inform each resident in writing in a language 28 the resident or his or her representative understands before((, or at 29 the time of)) admission, and at least once every twenty-four months 30 thereafter of: (a) Services, items, and activities customarily available in the facility or arranged for by the facility as permitted 31 by the facility's license; (b) charges for those services, items, and 32 activities including charges for services, items, and activities not 33 34 covered by the facility's per diem rate or applicable public benefit 35 programs; and (c) the rules of <u>facility</u> operations required under RCW 70.129.140(2). Each resident and his or her representative must be 36 37 informed in writing in advance of changes in the availability or the 38 charges for services, items, or activities, or of changes in the 39 facility's rules. Except in emergencies, thirty days' advance notice

1 must be given prior to the change. However, for facilities licensed 2 for six or fewer residents, if there has been a substantial and 3 continuing change in the resident's condition necessitating 4 substantially greater or lesser services, items, or activities, then 5 the charges for those services, items, or activities may be changed 6 upon fourteen days' advance written notice.

7 (((4))) (5) The facility must furnish a written description of 8 residents rights that includes:

9 (a) A description of the manner of protecting personal funds, under 10 RCW 70.129.040;

(b) A posting of names, addresses, and telephone numbers of the state survey and certification agency, the state licensure office, the state ombudsmen program, and the protection and advocacy systems; and (c) A statement that the resident may file a complaint with the appropriate state licensing agency concerning alleged resident abuse, neglect, and misappropriation of resident property in the facility.

17 (((+5))) (6) Notification of changes.

18 (a) A facility must immediately consult with the resident's 19 physician, and if known, make reasonable efforts to notify the 20 resident's legal representative or an interested family member when 21 there is:

(i) An accident involving the resident which requires or has thepotential for requiring physician intervention;

(ii) A significant change in the resident's physical, mental, or
psychosocial status (i.e., a deterioration in health, mental, or
psychosocial status in either life-threatening conditions or clinical
complications).

(b) The facility must promptly notify the resident or the resident's representative shall make reasonable efforts to notify an interested family member, if known, when there is:

31 (i) A change in room or roommate assignment; or

32 (ii) A decision to transfer or discharge the resident from the 33 facility.

34 (c) The facility must record and update the address and phone
 35 number of the resident's representative or interested family member,
 36 upon receipt of notice from them.

37 <u>NEW SECTION.</u> Sec. 6. The division of developmental disabilities 38 in the department of social and health services, in coordination with

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advocacy, self-advocacy, and provider organizations, shall review 1 administrator and resident caregiver staff training standards for 2 agency contracted supported living services, including intensive tenant 3 4 support, tenant support, supportive living, and in-home personal care 5 services for children. The division and the advocates shall coordinate specialty training recommendations with the larger study group 6 7 referenced in sections 2(1) and 3(1) of this act and submit specific 8 recommendations on training standards, including necessary statutory 9 changes and funding requirements to the appropriate committees of the 10 house of representatives and the senate by December 1, 1998.

11 <u>NEW SECTION.</u> Sec. 7. A new section is added to chapter 18.48 RCW 12 to read as follows:

13 Adult family homes have developed rapidly in response to the health 14 and social needs of the aging population in community settings, 15 especially as the aging population has increased in proportion to the 16 general population. The growing demand for elder care with a new focus 17 affecting senior citizens, including persons on issues with 18 developmental disabilities, mental illness, or dementia, has prompted 19 a growing professionalization of adult family home providers to address quality care and quality of life issues consistent with standards of 20 accountability and regulatory safeguards for the health and safety of 21 The establishment of an advisory committee to the 22 the residents. 23 department of health and the department of social and health services 24 under section 8 of this act formalizes a stable process for discussing 25 and considering these issues among residents and their advocates, 26 regulatory officials, and adult family home providers. The dialogue 27 among all stakeholders interested in maintaining a healthy option for the aging population in community settings assures the highest regard 28 29 for the well-being of these residents within a benign and functional 30 regulatory environment.

31 <u>NEW SECTION.</u> Sec. 8. A new section is added to chapter 18.48 RCW 32 to read as follows:

(1) The secretary, in consultation with the secretary of social and health services, shall appoint an advisory committee on matters relating to the regulation, administrative rules, enforcement process, staffing, and training requirements of adult family homes. The advisory committee shall be composed of six members, of which two

members shall be resident advocates, three members shall represent 1 2 adult family home providers, and one member shall represent the public and serve as chair. The members shall generally represent the 3 4 interests of aging residents, residents with dementia, residents with 5 mental illness, and residents with developmental disabilities respectively. Members representing adult family home providers must 6 7 have at least two years' experience as licensees. The membership must generally reflect urban and rural areas and western and eastern parts 8 9 of the state. A member may not serve more than two consecutive terms.

10 (2) The secretary may remove a member of the advisory committee for 11 cause as specified by rule adopted by the department. If there is a 12 vacancy, the secretary shall appoint a member to serve for the 13 remainder of the unexpired term.

14 (3) The advisory committee shall meet at the times and places 15 designated by the secretary and shall hold meetings during the year as 16 necessary to provide advice to the secretary on matters relating to the 17 regulation of adult family homes. A majority of the members may 18 request a meeting of the committee for any express purpose directly 19 related to the regulation of adult family homes. A majority of members 20 currently serving shall constitute a quorum.

(4) Establishment of the advisory committee shall not prohibit the
 department of health from utilizing other advisory activities that the
 department of health deems necessary for program development.

(5) Each member of the advisory committee shall serve without
 compensation but may be reimbursed for travel expenses as authorized in
 RCW 43.03.060.

(6) The secretary, members of the advisory committee, or
individuals acting on their behalf are immune from civil liability for
official acts performed in the course of their duties.

30 <u>NEW SECTION.</u> Sec. 9. A new section is added to chapter 70.128 RCW 31 to read as follows:

32 Adult family homes have developed rapidly in response to the health 33 and social needs of the aging population in community settings, 34 especially as the aging population has increased in proportion to the general population. The growing demand for elder care with a new focus 35 36 issues affecting senior citizens, including persons with on developmental disabilities, mental illness, or dementia, has prompted 37 a growing professionalization of adult family home providers to address 38

quality care and quality of life issues consistent with standards of 1 accountability and regulatory safeguards for the health and safety of 2 the residents. The establishment of an advisory committee to the 3 4 department of health and the department of social and health services 5 under section 8 of this act formalizes a stable process for discussing and considering these issues among residents and their advocates, 6 7 regulatory officials, and adult family home providers. The dialoque 8 among all stakeholders interested in maintaining a healthy option for 9 the aging population in community settings assures the highest regard 10 for the well-being of these residents within a benign and functional 11 regulatory environment. The secretary shall be advised by an advisory committee on adult family homes established under section 8 of this 12 13 act.

Establishment of the advisory committee shall not prohibit the department of social and health services from utilizing other advisory activities that the department of social and health services deems necessary for program development.

18 Sec. 10. RCW 18.88A.210 and 1995 1st sp.s. c 18 s 46 are each 19 amended to read as follows:

(1) A nurse may delegate specific care tasks to nursing assistants 20 meeting the requirements of this section and who provide care to 21 individuals in community residential programs for the developmentally 22 23 disabled certified by the department of social and health services 24 under chapter 71A.12 RCW, to individuals residing in adult family homes 25 licensed under chapter 70.128 RCW, and to individuals residing in boarding homes licensed under chapter 18.20 RCW contracting with the 26 27 department of social and health services to provide assisted living services pursuant to RCW 74.39A.010. 28

(2) For the purposes of this section, "nursing assistant" means a nursing assistant-registered or a nursing assistant-certified. Nothing in this section may be construed to affect the authority of nurses to delegate nursing tasks to other persons, including licensed practical nurses, as authorized by law.

(3) Before commencing any specific nursing care tasks authorized under this chapter, the nursing assistant must (a) provide to the delegating nurse a certificate of completion issued by the department of social and health services indicating the completion of basic core training as provided in this section, (b) be regulated by the

1 department of health pursuant to this chapter, subject to the uniform 2 disciplinary act under chapter 18.130 RCW, and (c) meet any additional 3 training requirements identified by the nursing care quality assurance 4 commission and authorized by this section.

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(4) A nurse may delegate the following care tasks:

6 (a) Oral and topical medications and ointments;

7 (b) Nose, ear, eye drops, and ointments;

8 (c) Dressing changes and catheterization using clean techniques as9 defined by the nursing care quality assurance commission;

10 (d) Suppositories, enemas, ostomy care;

11 (e) Blood glucose monitoring;

12 (f) Gastrostomy feedings in established and healed condition.

(5) On or before September 1, 1995, the nursing care quality assurance commission, in conjunction with the professional nursing organizations, shall develop rules for nurse delegation protocols and by December 5, 1995, identify training beyond the core training that is deemed necessary for the delegation of complex tasks and patient care.

(6) Nursing task delegation protocols are not intended to regulate 18 19 the settings in which delegation may occur but are intended to ensure 20 that nursing care services have a consistent standard of practice upon which the public and profession may rely and to safequard the authority 21 of the nurse to make independent professional decisions regarding the 22 delegation of a task. Protocols shall include at least the following: 23 24 (a) Ensure that determination of the appropriateness of delegation 25 of a nursing task is at the discretion of the nurse;

(b) Allow delegation of a nursing care task only for patients who have a stable and predictable condition. "Stable and predictable condition" means a situation, as defined by rule by the nursing care quality assurance commission, in which the patient's clinical and behavioral status is known and does not require frequent presence and evaluation of a registered nurse;

(c) Assure that the ((delegations of nursing tasks pursuant to this 32 33 chapter have the written informed consent of the patient consistent 34 with the provisions for informed consent under chapter 7.70 RCW, as 35 well as with the consent of the delegating nurse and nursing assistant. The delegating nurse shall inform patients of the level of training of 36 37 all care providers in the setting)) initial delegating nurse obtains written consent to the nurse delegation process from the patient or a 38 39 person authorized under RCW 7.70.065. Written consent is only

necessary at the initial use of the nurse delegation process for each patient and is not necessary for task additions or changes or if a different nurse or nursing assistant will be participating in the process. The written consent must include at a minimum the following: (i) A list of the tasks that could potentially be delegated per RCW

6 <u>18.88A.210; and</u>

7 (ii) A statement that a nursing assistant through the nurse 8 delegation process will be performing a task that would previously have 9 been performed by a registered or licensed practical nurse;

10 (d) Verify that the nursing assistant has completed the core 11 training;

(e) Require assessment by the nurse of the ability and willingness
of the nursing assistant to perform the delegated nursing task in the
absence of direct nurse supervision and to refrain from delegation if
the nursing assistant is not able or willing to perform the task;

(f) Require the nurse to analyze the complexity of the nursing task that is considered for delegation and determine the appropriate level of training and any need of additional training for the nursing assistant;

20 (g) Require the teaching of the nursing care task to the nursing assistant ((including)) utilizing one or more of the following: (i) 21 Verification of competency via return demonstration ((under observation 22 while performing the task)); (ii) other methods for verification of 23 24 competency to perform the nursing task; or (iii) assurance that the nursing assistant is competent to perform the nursing task as a result 25 26 of systems in place in the community residential program for the developmentally disabled, adult family home, or boarding home providing 27 assisted living services; 28

(h) Require a plan of nursing supervision and reevaluation of the delegated nursing task. "Nursing supervision" means that the registered nurse monitors by direct observation or by whatever means is <u>deemed appropriate by the registered nurse</u> the skill and ability of the nursing assistant to perform delegated nursing tasks. Frequency of supervision is at the discretion of the registered nurse but shall occur at least every sixty days;

(i) Require instruction to the nursing assistant that the delegatednursing task is specific to a patient and is not transferable;

(j) Require documentation and written instruction related to the
 delegated nursing task be provided to the nursing assistant and a copy
 maintained in the patient record;

4 (k) Ensure that the nursing assistant is prepared to effectively5 deal with the predictable outcomes of performing the nursing task;

6 (1) Include in the delegation of tasks an awareness of the nature 7 of the condition requiring treatment, risks of the treatment, side 8 effects, and interaction of prescribed medications;

9 (m) Require documentation in the patient's record of the rationale 10 for delegating or not delegating nursing tasks.

(7) A basic core training curriculum on providing care for 11 12 individuals in community residential programs for the developmentally disabled certified by the department of social and health services 13 14 under chapter 71A.12 RCW shall be in addition to the training requirements specified in subsection (5) of this section. Basic core 15 training shall be developed and adopted by rule by the secretary of the 16 department of social and health services. The department of social and 17 health services shall appoint an advisory panel to assist in the 18 19 development of core training comprised of representatives of the 20 following:

21 (a) The division of developmental disabilities;

22 (b) The nursing care quality assurance commission;

23 (c) Professional nursing organizations;

(d) A state-wide organization of community residential service
 providers whose members are programs certified by the department under
 chapter 71A.12 RCW.

27 (8) A basic core training curriculum on providing care to residents 28 in residential settings licensed under chapter 70.128 RCW, or in 29 assisted living pursuant to RCW 74.39A.010 shall be mandatory for 30 nursing assistants prior to assessment by a nurse regarding the ability and willingness to perform a delegated nursing task. Core training 31 shall be developed and adopted by rule by the secretary of the 32 department of social and health services, in conjunction with an 33 34 advisory panel. The advisory panel shall be comprised of 35 representatives from, at a minimum, the following:

36 (a) The nursing care quality assurance commission;

37 (b) Professional nurse organizations;

(c) A state-wide association of community residential service
 providers whose members are programs certified by the department under
 chapter 71A.12 RCW;

4 (d) Aging consumer groups;

5 (e) Associations representing homes licensed under chapters 70.128
6 and 18.20 RCW; and

7 (f) Associations representing home health, hospice, and home care8 agencies licensed under chapter 70.127 RCW.

9 Sec. 11. RCW 18.88A.230 and 1997 c 275 s 6 are each amended to 10 read as follows:

(1) The nurse and nursing assistant shall be accountable for their own individual actions in the delegation process. Nurses acting within the protocols of their delegation authority shall be immune from liability for any action performed in the course of their delegation duties. Nursing assistants following written delegation instructions from registered nurses performed in the course of their accurately written, delegated duties shall be immune from liability.

18 (2) No person may coerce a nurse into compromising patient safety 19 by requiring the nurse to delegate if the nurse determines it is inappropriate to do so. Nurses shall not be subject to any employer 20 reprisal or disciplinary action by the Washington nursing care quality 21 assurance commission for refusing to delegate tasks or refusing to 22 23 provide the required training for delegation if the nurse determines 24 delegation may compromise patient safety. Nursing assistants shall not 25 be subject to any employer reprisal or disciplinary action by the nursing care quality assurance commission for refusing to accept 26 27 delegation of a nursing task based on patient safety issues. No community residential program, adult family home, or boarding home 28 29 contracting to provide assisted-living services may discriminate or 30 retaliate in any manner against a person because the person made a complaint or cooperated in the investigation of a complaint. 31

(3) The department of social and health services ((shall)) may impose a civil fine of not less than two hundred fifty dollars nor more than one thousand dollars on a community residential program, adult family home, or boarding home under chapter 18, Laws of 1995 1st sp. sess. that knowingly permits an employee to perform a nursing task except as delegated by a nurse pursuant to chapter 18, Laws of 1995 1st sp. sess.

1 sec. 12. 1995 1st sp.s. c 18 s 54 (uncodified) is amended to read
2 as follows:

3 A special legislative task force is established to monitor 4 implementation of sections 45 through 53 of this act. The task force shall consist of four members from the house of representatives, no 5 more than two of whom shall be members of the same caucus, who shall be 6 7 appointed by the speaker of the house of representatives, and four 8 members from the senate, no more than two of whom shall be members of 9 the same caucus, who shall be appointed by the president of the senate. 10 The task force shall:

(1) Review the proposed nurse delegation protocols developed by thenursing care quality assurance commission;

(2) Review the proposed core and specialized training curricula
developed by the department of social and health services and by the
nursing care quality assurance commission;

16 (3) Review the program and reimbursement policies, and the 17 identified barriers to nurse delegation, developed by the department of 18 health and department of social and health services;

(4) Submit an interim report of its findings and recommendations onthe above actions to the legislature by January 1, 1996;

(5) During 1996, conduct hearings to assess the effectiveness with which the delegation protocols, the core training, and nurse oversight are being implemented, and their impact on patient care and quality of life;

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(6) Review and approve the proposed study designs;

(7) By February 1, 1997, recommend to the legislature a mechanism
and time frame for extending nurse delegation provisions similar to
those described in this act to persons residing in their own homes;

(8) During ((1997)) 1998, receive interim reports on the findings of the studies conducted in accordance with this act, and conduct additional fact-finding hearings on the implementation and impact of the nurse delegation provisions of sections 45 through 53 of this act.

The office of program research and senate committee services shall provide staff support to the task force. The department of health, the department of social and health services, and the nursing care quality assurance commission shall provide technical support as needed. The task force shall cease to exist on January 1, ((1998)) 1999, unless extended by act of the legislature.

<u>NEW SECTION.</u> Sec. 13. A new section is added to chapter 18.20 RCW
 to read as follows:

3 (1) Powers and duties regarding boarding homes, previously assigned 4 under this chapter to the department of health and to the secretary of 5 health, are by this section transferred to the department of social and health services and to the secretary of social and health services, 6 7 respectively. This section further provides that, regarding boarding 8 homes, all references within the Revised Code of Washington to the 9 department of health and to the secretary of health mean the department 10 of social and health services and the secretary of social and health services, respectively. 11

(2)(a) The department of health shall deliver to the department of 12 social and health services all reports, documents, surveys, books, 13 records, data, files, papers, and written material pertaining to 14 15 boarding homes and the powers, functions, and duties transferred by 16 this section. The department of health shall make available to the department of social and health services all cabinets, furniture, 17 office equipment, motor vehicles, and other tangible property employed 18 19 by the department of health in carrying out the powers, functions, and 20 duties transferred by this section. The department of health shall assign to the department of social and health services all funds, 21 credits, and other assets that the department of health possesses in 22 23 connection with the power, functions, and duties transferred by this 24 section.

(b) On the effective date of this section, the department of health shall transfer to the department of social and health services any appropriations and license fees made to or possessed by the department health for carrying out the powers, functions, and duties transferred by this section.

30 (c) When a question arises regarding the transfer of personnel, 31 funds, books, documents, records, papers, files, equipment, or other 32 tangible property used or held in the exercise of the powers, 33 functions, and duties transferred by this section, the director of 34 financial management shall determine the proper allocation and shall 35 certify that determination to the state agencies concerned.

36 (3) The department of social and health services shall continue and 37 shall act upon all rules and pending business before the department of 38 health pertaining to the powers, functions, and duties transferred by 39 this section.

1 (4) The transfer of powers, functions, duties, and personnel from 2 the department of health to the department of social and health 3 services, as mandated by this section, will not affect the validity of 4 any act performed by the department of health regarding boarding homes 5 before the effective date of this section.

(5) If apportionments of budgeted funds are required because of the 6 7 transfers mandated by this section, the director of financial 8 management shall certify the apportionments to the agencies affected, 9 the state auditor, and the state treasurer. Each of these shall make the necessary transfers and adjustments in funds, appropriation 10 accounts, and equipment records in accordance with the certification. 11 (6) Nothing contained in this section alters any existing 12 13 collective bargaining unit or the provisions of any existing collective bargaining agreement until the agreement expires or until the 14 15 bargaining unit is modified by action of the personnel board as provided by law. 16

17 **Sec. 14.** RCW 18.20.020 and 1991 c 3 s 34 are each amended to read 18 as follows:

19 As used in this chapter:

(1) "Aged person" means a person of the age sixty-five years or more, or a person of less than sixty-five years who by reason of infirmity requires domiciliary care.

23 (2) "Boarding home" means any home or other institution, however 24 named, which is advertised, announced or maintained for the express or 25 implied purpose of providing board and domiciliary care to three or more aged persons not related by blood or marriage to the operator. It 26 shall not include facilities certified as group training homes pursuant 27 to RCW 71A.22.040, nor any home, institution or section thereof which 28 29 is otherwise licensed and regulated under the provisions of state law providing specifically for the licensing and regulation of such home, 30 institution or section thereof. Nor shall it include any independent 31 32 senior housing, independent living units in continuing care retirement 33 communities, or other similar living situations including those 34 subsidized by the department of housing and urban development.

(3) "Person" means any individual, firm, partnership, corporation,
 company, association, or joint stock association, and the legal
 successor thereof.

38 (4) "Secretary" means the secretary of <u>social and</u> health <u>services</u>.

(5) "Department" means the state department of <u>social and</u> health
 <u>services</u>.

3 (6) "Authorized department" means any city, county, city-county 4 health department or health district authorized by the secretary ((<del>of</del> 5 health)) to carry out the provisions of this chapter.

6 Sec. 15. RCW 18.20.190 and 1995 1st sp.s. c 18 s 18 are each 7 amended to read as follows:

8 (1) The department of <u>social and</u> health <u>services</u> is authorized to 9 take one or more of the actions listed in subsection (2) of this 10 section in any case in which the department finds that a boarding home 11 provider has:

12 (a) Failed or refused to comply with the requirements of this13 chapter or the rules adopted under this chapter;

(b) Operated a boarding home without a license or under a revokedlicense;

16 (c) Knowingly, or with reason to know, made a false statement of 17 material fact on his or her application for license or any data 18 attached thereto, or in any matter under investigation by the 19 department; or

(d) Willfully prevented or interfered with any inspection orinvestigation by the department.

(2) When authorized by subsection (1) of this section, the23 department may take one or more of the following actions:

24

(a) Refuse to issue a license;

(b) Impose reasonable conditions on a license, such as correction
within a specified time, training, and limits on the type of clients
the provider may admit or serve;

(c) Impose civil penalties of not more than one hundred dollars perday per violation;

30 (d) Suspend, revoke, or refuse to renew a license; or

31 (e) Suspend admissions to the boarding home by imposing stop 32 placement.

(3) When the department orders stop placement, the facility shall not admit any new resident until the stop placement order is terminated. The department may approve readmission of a resident to the facility from a hospital or nursing home during the stop placement. The department shall terminate the stop placement when: (a) The violations necessitating the stop placement have been corrected; and

(b) the provider exhibits the capacity to maintain adequate care and
 service.

3 (4) Chapter 34.05 RCW applies to department actions under this 4 section, except that orders of the department imposing license 5 suspension, stop placement, or conditions for continuation of a license 6 are effective immediately upon notice and shall continue pending any 7 hearing.

8 <u>NEW SECTION.</u> Sec. 16. A new section is added to chapter 18.20 RCW 9 to read as follows:

The secretary may adopt rules and policies as necessary to entitle 10 11 the state to participate in federal funding programs and opportunities 12 and to facilitate state and federal cooperation in programs under the 13 department's jurisdiction. The secretary shall ensure that any 14 internal reorganization carried out under the terms of this chapter 15 complies with prerequisites for the receipt of federal funding for the various programs under the department's control. When interpreting any 16 department-related section or provision of law susceptible to more than 17 18 one interpretation, the secretary shall construe that section or 19 provision in the manner most likely to comply with federal laws and rules entitling the state to receive federal funds for the various 20 programs of the department. If any law or rule dealing with the 21 department is ruled to be in conflict with federal prerequisites to the 22 23 allocation of federal funding to the state, the department, or its 24 agencies, the secretary shall declare that law or rule inoperative 25 solely to the extent of the conflict.

26 NEW SECTION. Sec. 17. (1) The governor shall establish a joint 27 legislative and executive task force on long-term care, safety, 28 quality, and oversight. The joint task force shall consist of seven 29 members. The governor shall appoint three members that include: (a) The secretary of the department of social and health services or his or 30 her designee; (b) the secretary of the department of health or his or 31 32 her designee; and (c) the state long-term care ombudsman. Four 33 legislative members shall serve on the joint task force as ex officio members and include: Two members of the senate appointed by the 34 35 president of the senate, one of whom shall be a member of the majority caucus and one whom shall be a member of the minority caucus; and two 36 37 members of the house of representatives appointed by the speaker of the

house of representatives, one of whom shall be a member of the majority caucus and one whom shall be a member of the minority caucus. Primary staff assistance to the joint task force shall be provided by the office of financial management with assistance, as directed by legislative members, by the health care committee of the house of representatives office of program research and the senate health and long-term care committee of senate committee services.

8 (2) The joint task force shall elect a chair and vice-chair. The 9 chair shall serve a one-year term as the chair of the joint task force. 10 The following year, the previously elected vice-chair shall serve as 11 the chair of the joint task force and a new vice-chair shall be elected 12 by the members of the joint task force.

(3) The joint task force shall have the ability to create advisory committees and appoint individuals from a variety of disciplines and perspectives including but not limited to patient and resident advocates and representatives of provider organizations, to assist the joint task force with specific issues related to chapter . . ., Laws of 18 1998 (this act).

19 (4) The joint task force may hold meetings, including hearings, to 20 receive public testimony, which shall be open to the public in accordance with law. Records of the joint task force shall be subject 21 to public disclosure in accordance with law. Members shall not receive 22 compensation, but may be reimbursed for travel expenses as authorized 23 24 under RCW 43.03.050 and 43.03.060. Advisory committee members, if 25 appointed, shall not receive compensation or reimbursement for travel 26 or expenses.

27

(5) The joint task force shall:

(a) Review all long-term care quality and safety standards for all
 long-term care facilities and services developed, revised, and enforced
 by the department of social and health services;

(b) In cooperation with aging and adult services, the division of developmental disabilities, and the division of mental health and the department of health, develop recommendations to simplify, strengthen, reduce, or eliminate rules, procedures, and burdensome paperwork that prove to be barriers to providing the highest standard of client safety, effective quality of care, effective client protections, and effective coordination of direct services;

38 (c) Review the need for reorganization and reform of long-term care39 administration and service delivery, including administration and

1 services provided for the aged, for those with mental health needs, and 2 for the developmentally disabled, and recommend the establishment of a 3 single long-term care department or a division of long-term care within 4 the department of social and health services;

5 (d) Suggest cost-effective methods for reallocating funds to unmet6 needs in direct services;

7 (e) List all nonmeans tested programs and activities funded by the 8 federal older Americans act and state-funded senior citizens act or 9 other such state-funded programs, and recommend methods for integrating 10 such services into existing long-term care programs for the 11 functionally disabled;

(f) Suggest methods to establish a single point of entry for 12 13 service eligibility and delivery for all functionally disabled persons; (g) Evaluate the need for long-term care training and review all 14 15 long-term care training and education programs conducted by the department of social and health services, and suggest modifications to 16 17 enhance client safety, to create greater access to training through the use of innovative technology, to reduce training costs, to improve 18 19 coordination of training between the appropriate divisions and 20 departments and, to enhance the overall uniformity of the long-term care training system; 21

(h) Evaluate the current system used by the department of social and health services for placement of functionally disabled clients, including aging, mentally ill, and developmentally disabled persons, into long-term care settings and services and assess the capacity of each long-term care service or setting to appropriately meet the health and safety needs of functionally disabled clients or residents referred to each service or setting;

(i) Evaluate the need for uniform client assessments for determining functional long-term care needs of all persons who receive state-funded, long-term care services;

(j) Evaluate the success of the transfer of boarding home responsibilities outlined in chapter . . , Laws of 1998 (this act) and recommend if any further administrative changes should be made; and

35 (k) Evaluate the need to establish a dementia and Alzheimer's 36 certification requirement for long-term care facilities who choose to 37 provide care to persons who have been diagnosed with Alzheimer's or a 38 related dementia. The evaluation shall also identify the level of 39 disability a resident or client must have before the resident or client 1 is considered for care in a certified long-term care Alzheimer's
2 facility; and

3 (1) Evaluate the effect of requiring regular visits to bedbound 4 patients of boarding homes and adult family homes by licensed 5 practitioners.

6 (6) The joint task force shall report its initial findings and 7 recommendations to the governor and appropriate committees of the 8 legislature by January 1, 1999. The joint task force shall report its 9 final findings and recommendations to the governor and appropriate 10 committees of the legislature by December 12, 1999.

11 \*Sec. 18. RCW 18.20.160 and 1985 c 297 s 2 are each amended to 12 read as follows:

13 (1) No person operating a boarding home licensed under this chapter 14 shall admit to or retain in the boarding home any aged person requiring nursing or medical care of a type provided by institutions licensed 15 under chapters 18.51, 70.41 or 71.12 RCW, except that when registered 16 17 nurses are available, and upon a doctor's order that a supervised 18 medication service is needed, it may be provided. Supervised medication services, as defined by the department, may include an 19 approved program of self-medication or self-directed medication. Such 20 medication service shall be provided only to boarders who otherwise 21 meet all requirements for residency in a boarding home. 22

(2) (a) Notwithstanding any provision contained in this section, in no case shall a resident be bedbound, as a result of illness or disease, for any continuous period of time exceeding ten days, unless a licensed practitioner has seen the resident and assessed the resident's medical condition, prescribed a plan of care, and determined that a continued stay in the boarding home is appropriate.

29 (b) Residents who continue to be bedbound for more than ten 30 consecutive days shall be seen by a licensed practitioner at least 31 every thirty days, counting from the date of the initial bedbound-32 related licensed practitioner visit, for as long as the resident 33 continues to be bedbound.

34 (c) The licensed practitioner and the boarding home shall document 35 each visit and the licensed practitioner shall, at each visit, 36 prescribe a plan of care and redetermine the appropriateness of the 37 resident's continued stay in the boarding home.

1 (3) For the purposes of this section, an illness or disease does 2 not include any illness or disease for which the resident has elected 3 to receive hospice care and chooses to remain in the boarding home. 4 When the resident elects to receive hospice care, an outside licensed 5 agency is responsible for performing timely and appropriate visits and 6 for developing a plan of care.

7 \*Sec. 18 was vetoed. See message at end of chapter.

8 \*<u>NEW SECTION.</u> Sec. 19. A new section is added to chapter 18.20 9 RCW to read as follows:

For the purposes of RCW 18.20.160, "licensed practitioner" includes a physician licensed under chapter 18.71 RCW, a registered nurse licensed under chapter 18.79 RCW, an osteopathic physician and surgeon licensed under chapter 18.57 RCW, an advanced registered nurse practitioner licensed under chapter 18.79 RCW, or a physician assistant licensed under chapter 18.71A RCW.

16 \*Sec. 19 was vetoed. See message at end of chapter.

17 \*Sec. 20. RCW 70.128.060 and 1995 c 260 s 4 are each amended to 18 read as follows:

(1) An application for license shall be made to the department upon forms provided by it and shall contain such information as the department reasonably requires.

22 (2) The department shall issue a license to an adult family home if the department finds that the applicant and the home are in compliance 23 with this chapter and the rules adopted under this chapter, unless (a) 24 the applicant has prior violations of this chapter relating to the 25 26 adult family home subject to the application or any other adult family home, or of any other law regulating residential care facilities within 27 28 the past five years that resulted in revocation or nonrenewal of a 29 license; or (b) the applicant has a history of significant 30 noncompliance with federal, state, or local laws, rules, or regulations relating to the provision of care or services to vulnerable adults or 31 32 to children.

33 (3) The license fee shall be submitted with the application.

(4) The department shall serve upon the applicant a copy of the decision granting or denying an application for a license. An applicant shall have the right to contest denial of his or her application for a license as provided in chapter 34.05 RCW by requesting a hearing in writing within twenty-eight days after receipt
 of the notice of denial.

3 (5) The department shall not issue a license to a provider if the 4 department finds that the provider or any partner, officer, director, 5 managerial employee, or owner of five percent or more if the provider 6 has a history of significant noncompliance with federal or state 7 regulations, rules, or laws in providing care or services to vulnerable 8 adults or to children.

9 (6)(a) The department shall license an adult family home for the 10 maximum level of care that the adult family home may provide. <u>However</u>, in no case shall the adult family home admit or retain residents who 11 are bedbound, as a result of illness or disease, for any continuous 12 13 period of time exceeding ten days, unless a licensed practitioner has seen the resident to assess their medical condition, prescribed a plan 14 15 of care, and determined that a continued stay in the adult family home 16 is appropriate.

17 (b) Residents who continue to be bedbound for more than ten 18 consecutive days shall be seen by a licensed practitioner at least 19 every thirty days, counting from the date of the initial bedbound-20 related licensed practitioner visit, for as long as the resident 21 continues to be bedbound.

(c) The licensed practitioner and adult family home shall document each visit and the licensed practitioner shall, at each visit, prescribe a plan of care and redetermine the continued appropriateness of the resident remaining in the adult family home.

(d) The department shall <u>further</u> define, in rule, license levels
 based upon the education, training, and caregiving experience of the
 licensed provider or staff.

(e) For the purposes of this section, an illness or disease does not include any illness or disease for which the resident has elected to receive hospice care and chooses to remain in the adult family home. When the resident elects to receive hospice care, an outside licensed agency is responsible for performing timely and appropriate visits and for developing a plan of care.

(7) The department shall establish, by rule, standards used to
 license nonresident providers and multiple facility operators.

(8) The department shall establish, by rule, for multiple facility
 operators educational standards substantially equivalent to recognized
 national certification standards for residential care administrators.

(9) The license fee shall be set at fifty dollars per year for each
 home. <u>The licensing fee is due each year within thirty days of the</u>
 <u>anniversary date of the license.</u> A fifty dollar processing fee shall
 also be charged each home when the home is initially licensed.
 \*Sec. 20 was vetoed. See message at end of chapter.

6 \*<u>NEW SECTION.</u> Sec. 21. A new section is added to chapter 70.128 7 RCW to read as follows:

8 For the purposes of RCW 70.128.060, "licensed practitioner" 9 includes a physician licensed under chapter 18.71 RCW, a registered 10 nurse licensed under chapter 18.79 RCW, an osteopathic physician and 11 surgeon licensed under chapter 18.57 RCW, an advanced registered nurse 12 practitioner licensed under chapter 18.79 RCW, or a physician assistant 13 licensed under chapter 18.71A RCW.

14 \*Sec. 21 was vetoed. See message at end of chapter.

15 <u>NEW SECTION.</u> Sec. 22. The sum of fifty thousand dollars, or as 16 much thereof as may be necessary, is appropriated for the fiscal year 17 ending June 30, 1999, from the general fund to the office of financial 18 management solely for the purposes of implementing section 17 of this 19 act.

20 <u>NEW SECTION.</u> Sec. 23. Section 5 of this act takes effect July 1,
21 1998.

<u>NEW SECTION.</u> Sec. 24. (1) Sections 13 through 16 of this act
 expire July 1, 2000, unless reauthorized by the legislature.
 (2) Section 17 of this act expires December 12, 1999.

25 <u>NEW SECTION.</u> Sec. 25. If any provision of this act or its 26 application to any person or circumstance is held invalid, the 27 remainder of the act or the application of the provision to other 28 persons or circumstances is not affected.

29 <u>NEW SECTION.</u> Sec. 26. Except for section 5 of this act, this act 30 is necessary for the immediate preservation of the public peace, 31 health, or safety, or support of the state government and its existing 32 public institutions, and takes effect immediately.

# 2SSB 6544.SL

1 Note: Governor's explanation of partial veto is as follows:

2 "I am returning herewith, without my approval as to sections 18, 3 19, 20 and 21, Second Substitute Senate Bill No. 6544 entitled:

4 "AN ACT Relating to improving long-term care;"

5 2SSB 6544 takes care of many issues dealing with adult family 6 homes, boarding homes and long-term care, and, most importantly, 7 transfers the oversight of boarding homes from the Department of Health 8 to the Department of Social and Health Services. This is well-9 conceived and ambitious legislation, and will go far toward ensuring 10 the safety and quality of care for residents of our adult family and 11 boarding homes.

Sections 18, 19, 20, and 21 would specify when residents of 12 13 boarding and adult family homes who become bedbound as the result of illness must be seen by a licensed practitioner, and define those practitioners and their duties. While I agree with the intentions of 14 15 those sections, they would conflict with current patients' rights to 16 17 refuse treatment and to maintain their preferred residences. Also, 18 those sections are unclear as to provider and resident responsibilities when disagreements arise from such conflicts. Additionally, the impact 19 20 on people's abilities to pay for additional service has not been analyzed. Before implementing changes in care requirements, additional 21 22 comment needs to be sought from residents, families and all interested 23 parties, as well as the joint task force created by this bill.

For these reasons, I have vetoed sections 18, 19, 20 and 21 of Second Substitute Senate Bill No. 6544.

With the exception of sections 18, 19, 20 and 21, Second Substitute Senate Bill No. 6544 is approved."