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ENGROSSED SUBSTITUTE SENATE BILL 6305

State of Washington 56th Legislature 2000 Regular Session

By Senate Committee on Human Services & Corrections (originally sponsored by Senators Franklin and Kohl-Welles)

Read first time 01/28/2000.

- AN ACT Relating to guardians ad litem; amending RCW 11.88.090,
- 2 13.34.100, 13.34.102, 13.34.105, 26.12.175, and 26.12.177; adding new
- 3 sections to chapter 26.12 RCW; adding new sections to chapter 11.88
- 4 RCW; and adding new sections to chapter 13.34 RCW.
- 5 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- 6 **Sec. 1.** RCW 11.88.090 and 1999 c 360 s 1 are each amended to read 7 as follows:
- 8 (1) Nothing contained in RCW 11.88.080 through 11.88.120, 11.92.010
- 9 through 11.92.040, 11.92.060 through 11.92.120, 11.92.170, and
- 10 11.92.180 shall affect or impair the power of any court to appoint a
- 11 guardian ad litem to defend the interests of any incapacitated person
- 12 interested in any suit or matter pending therein, or to commence and
- 13 prosecute any suit in his or her behalf.
- 14 (2) Prior to the appointment of a guardian or a limited guardian,
- 15 whenever it appears that the incapacitated person or incapacitated
- 16 person's estate could benefit from mediation and such mediation would
- 17 likely result in overall reduced costs to the estate, upon the motion
- 18 of the alleged incapacitated person or the guardian ad litem, or
- 19 subsequent to such appointment, whenever it appears that the

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- 1 incapacitated person or incapacitated person's estate could benefit
- 2 from mediation and such mediation would likely result in overall
- 3 reduced costs to the estate, upon the motion of any interested person,
- 4 the court may:

- 5 (a) Require any party or other person subject to the jurisdiction 6 of the court to participate in mediation;
 - (b) Establish the terms of the mediation; and
- 8 (c) Allocate the cost of the mediation pursuant to RCW 11.96.140.
- 9 (3) Upon receipt of a petition for appointment of guardian or 10 limited guardian, except as provided herein, the court shall appoint a 11 guardian ad litem to represent the best interests of the alleged
 - 1 guardian ad litem to represent the best interests of the alleged
- 12 incapacitated person, who shall be a person found or known by the court 13 to:
- 14 (a) Be free of influence from anyone interested in the result of 15 the proceeding; and
- 16 (b) Have the requisite knowledge, training, or expertise to perform 17 the duties required by this section.
- The guardian ad litem shall within five days of receipt of notice 18 19 of appointment file with the court and serve, either personally or by certified mail with return receipt, each party with a statement 20 including: His or her training relating to the duties as a guardian ad 21 22 litem; his or her criminal history as defined in RCW 9.94A.030 for the 23 period covering ten years prior to the appointment; his or her hourly rate, if compensated; whether the guardian ad litem has had any contact 24 25 with a party to the proceeding prior to his or her appointment; and whether he or she has an apparent conflict of interest. Within three 26 27 days of the later of the actual service or filing of the guardian ad litem's statement, any party may set a hearing and file and serve a 28 motion for an order to show cause why the guardian ad litem should not 29 30 be removed for one of the following three reasons: (i) Lack of expertise necessary for the proceeding; (ii) an hourly rate higher than 31 what is reasonable for the particular proceeding; or (iii) a conflict 32 of interest. Notice of the hearing shall be provided to the guardian 33 34 ad litem and all parties. If, after a hearing, the court enters an 35 order replacing the guardian ad litem, findings shall be included, expressly stating the reasons for the removal. If the guardian ad 36 37 litem is not removed, the court has the authority to assess to the moving party, attorneys' fees and costs related to the motion. 38 39 court shall assess attorneys' fees and costs for frivolous motions.

No guardian ad litem need be appointed when a parent is petitioning for a guardian or a limited guardian to be appointed for his or her minor child and the minority of the child, as defined by RCW 11.92.010, is the sole basis of the petition. The order appointing the guardian ad litem shall recite the duties set forth in subsection (5) of this section. The appointment of a guardian ad litem shall have no effect on the legal competency of the alleged incapacitated person and shall not overcome the presumption of competency or full legal and civil rights of the alleged incapacitated person.

- (4)(a) The superior court of each county shall develop and maintain a registry of persons who are willing and qualified to serve as guardians ad litem in guardianship matters. The court shall choose as guardian ad litem a person whose name appears on the registry in a system of consistent rotation, except in extraordinary circumstances such as the need for particular expertise. The court shall develop procedures for periodic review of the persons on the registry and for probation, suspension, or removal of persons on the registry for failure to perform properly their duties as guardian ad litem. In the event the court does not select the person next on the list, it shall include in the order of appointment a written reason for its decision.
 - (b) To be eligible for the registry a person shall:
- (i) Present a written statement outlining his or her background and qualifications. The background statement shall include, but is not limited to, the following information:
 - (A) Level of formal education;

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- (B) Training related to the guardian ad litem's duties;
- (C) Number of years' experience as a guardian ad litem;
- (D) Number of appointments as a guardian ad litem and the county or counties of appointment;
 - (E) Criminal history, as defined in RCW 9.94A.030; and
- 31 (F) Evidence of the person's knowledge, training, and experience in 32 each of the following: Needs of impaired elderly people, physical 33 disabilities, mental illness, developmental disabilities, and other 34 areas relevant to the needs of incapacitated persons, legal procedure, 35 and the requirements of chapters 11.88 and 11.92 RCW.
- The written statement of qualifications shall include ((a statement of the number of times the guardian ad litem has been removed for failure to perform his or her duties as guardian ad litem)) the names of any counties in which the person was removed from a guardian ad

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- 1 litem registry pursuant to a grievance action, and the name of the
 2 court and the cause number of any case in which the court has removed
- 3 the person for cause; and

- 4 (ii) Complete the ((model)) training ((program)) as described in
- 5 (((d))) <u>(e)</u> of this subsection. <u>The training is not applicable to</u>
- 6 guardians ad litem appointed pursuant to special proceeding Rule 7 98.16W.
- 8 (c) <u>Superior court shall remove any person from the guardian ad</u> 9 <u>litem registry who misrepresents his or her qualifications pursuant to</u>
- 11 <u>(d)</u> The background and qualification information shall be updated 12 annually.

a grievance procedure established by the court.

- 13 $((\frac{d}{d}))$ <u>(e)</u> The department of social and health services shall
- 14 convene an advisory group to develop a model guardian ad litem training
- 15 program and shall update the program biennially. The advisory group
- 16 shall consist of representatives from consumer, advocacy, and
- 17 professional groups knowledgeable in developmental disabilities,
- 18 neurological impairment, physical disabilities, mental illness, aging,
- 19 legal, court administration, the Washington state bar association, and
- 20 other interested parties.
- 21 $((\frac{e}{}))$ The superior court shall require utilization of the
- 22 model program developed by the advisory group as described in $((\frac{d}{d}))$
- 23 (e) of this subsection, to assure that candidates applying for
- 24 registration as a qualified guardian ad litem shall have satisfactorily
- 25 completed training to attain these essential minimum qualifications to
- 26 act as guardian ad litem.
- 27 (5) The guardian ad litem appointed pursuant to this section shall
- 28 have the following duties:
- 29 (a) To meet and consult with the alleged incapacitated person as
- 30 soon as practicable following appointment and explain, in language
- 31 which such person can reasonably be expected to understand, the
- 32 substance of the petition, the nature of the resultant proceedings, the
- 33 person's right to contest the petition, the identification of the
- 34 proposed guardian or limited guardian, the right to a jury trial on the
- 35 issue of his or her alleged incapacity, the right to independent legal
- 36 counsel as provided by RCW 11.88.045, and the right to be present in
- 37 court at the hearing on the petition;
- 38 (b) To obtain a written report according to RCW 11.88.045; and such
- 39 other written or oral reports from other qualified professionals as are

- 1 necessary to permit the guardian ad litem to complete the report 2 required by this section;
- 3 (c) To meet with the person whose appointment is sought as guardian 4 or limited guardian and ascertain:
- 5 (i) The proposed guardian's knowledge of the duties, requirements, 6 and limitations of a guardian; and
- 7 (ii) The steps the proposed guardian intends to take or has taken 8 to identify and meet the needs of the alleged incapacitated person;
- 9 (d) To consult as necessary to complete the investigation and 10 report required by this section with those known relatives, friends, or 11 other persons the guardian ad litem determines have had a significant, 12 continuing interest in the welfare of the alleged incapacitated person;
- (e) To investigate alternate arrangements made, or which might be created, by or on behalf of the alleged incapacitated person, such as revocable or irrevocable trusts, ((or)) durable powers of attorney, or blocked accounts; whether good cause exists for any such arrangements to be discontinued; and why such arrangements should not be continued or created in lieu of a guardianship;
- 19 (f) To provide the court with a written report which shall include 20 the following:
- 21 (i) A description of the nature, cause, and degree of incapacity, 22 and the basis upon which this judgment was made;
- (ii) A description of the needs of the incapacitated person for care and treatment, the probable residential requirements of the alleged incapacitated person and the basis upon which these findings were made;
- (iii) An evaluation of the appropriateness of the guardian or limited guardian whose appointment is sought and a description of the steps the proposed guardian has taken or intends to take to identify and meet current and emerging needs of the incapacitated person;

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- (iv) A description of any alternative arrangements previously made by the alleged incapacitated person or which could be made, and whether and to what extent such alternatives should be used in lieu of a guardianship, and if the guardian ad litem is recommending discontinuation of any such arrangements, specific findings as to why such arrangements are contrary to the best interest of the alleged incapacitated person;
- (v) A description of the abilities of the alleged incapacitated person and a recommendation as to whether a guardian or limited

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- guardian should be appointed. If appointment of a limited guardian is recommended, the guardian ad litem shall recommend the specific areas of authority the limited guardian should have and the limitations and disabilities to be placed on the incapacitated person;
- 5 (vi) An evaluation of the person's mental ability to rationally 6 exercise the right to vote and the basis upon which the evaluation is 7 made;
- 8 (vii) Any expression of approval or disapproval made by the alleged 9 incapacitated person concerning the proposed guardian or limited 10 guardian or guardianship or limited guardianship;
- (viii) Identification of persons with significant interest in the welfare of the alleged incapacitated person who should be advised of their right to request special notice of proceedings pursuant to RCW 11.92.150; and
- (ix) Unless independent counsel has appeared for the alleged incapacitated person, an explanation of how the alleged incapacitated person responded to the advice of the right to jury trial, to independent counsel and to be present at the hearing on the petition.
 - Within forty-five days after notice of commencement of the quardianship proceeding has been served upon the quardian ad litem, and at least fifteen days before the hearing on the petition, unless an extension or reduction of time has been granted by the court for good cause, the guardian ad litem shall file its report and send a copy to the alleged incapacitated person and his or her counsel, spouse, all children not residing with a notified person, those persons described in (f)(viii) of this subsection, and persons who have filed a request for special notice pursuant to RCW 11.92.150. If the guardian ad litem needs additional time to finalize his or her report, then the quardian ad litem shall petition the court for a postponement of the hearing or, with the consent of all other parties, an extension or reduction of time for filing the report. If the hearing does not occur within sixty days of filing the petition, then upon the two-month anniversary of filing the petition and on or before the same day of each following month until the hearing, the guardian ad litem shall file interim reports summarizing his or her activities on the proceeding during that time period as well as fees and costs incurred;
- 37 (g) To advise the court of the need for appointment of counsel for 38 the alleged incapacitated person within five court days after the 39 meeting described in (a) of this subsection unless (i) counsel has

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- appeared, (ii) the alleged incapacitated person affirmatively communicated a wish not to be represented by counsel after being advised of the right to representation and of the conditions under which court-provided counsel may be available, or (iii) the alleged incapacitated person was unable to communicate at all on the subject, and the guardian ad litem is satisfied that the alleged incapacitated person does not affirmatively desire to be represented by counsel.
 - (6) If the petition is brought by an interested person or entity requesting the appointment of some other qualified person or entity and a prospective guardian or limited guardian cannot be found, the court shall order the guardian ad litem to investigate the availability of a possible guardian or limited guardian and to include the findings in a report to the court pursuant to subsection (5)(f) of this section.

- (7) The parties to the proceeding may file responses to the guardian ad litem report with the court and deliver such responses to the other parties and the guardian ad litem at any time up to the second day prior to the hearing. If a guardian ad litem fails to file his or her report in a timely manner, the hearing shall be continued to give the court and the parties at least fifteen days before the hearing to review the report. At any time during the proceeding upon motion of any party or on the court's own motion, the court may remove the guardian ad litem for failure to perform his or her duties as specified in this chapter, provided that the guardian ad litem shall have five days' notice of any motion to remove before the court enters such order. In addition, the court in its discretion may reduce a guardian ad litem's fee for failure to carry out his or her duties.
- (8) The court appointed guardian ad litem shall have the authority, in the event that the alleged incapacitated person is in need of emergency life-saving medical services, and is unable to consent to such medical services due to incapacity pending the hearing on the petition to give consent for such emergency life-saving medical services on behalf of the alleged incapacitated person.
- (9) The court-appointed guardian ad litem shall have the authority to move for temporary relief under chapter 7.40 RCW to protect the alleged incapacitated person from abuse, neglect, abandonment, or exploitation, as those terms are defined in RCW 74.34.020, or to address any other emergency needs of the alleged incapacitated person. Any alternative arrangement executed before filing the petition for guardianship shall remain effective unless the court grants the relief

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- requested under chapter 7.40 RCW, or unless, following notice and a hearing at which all parties directly affected by the arrangement are present, the court finds that the alternative arrangement should not remain effective.
- (10) The guardian ad litem shall receive a fee determined by the 5 court. The fee shall be charged to the alleged incapacitated person 6 7 unless the court finds that such payment would result in substantial 8 hardship upon such person, in which case the county shall be 9 responsible for such costs: PROVIDED, That ((if no quardian or limited 10 guardian is appointed)) the court may charge such fee to the petitioner ((or)), the alleged incapacitated person, or ((divide)) any person who 11 has appeared in the action; or may allocate the fee, as it deems 12 just((; and)). If the petition is found to be frivolous or not brought 13 in good faith, the guardian ad litem fee shall be charged to the 14 15 petitioner. The court shall not be required to provide for the payment of a fee to any salaried employee of a public agency. 16
- (11) Upon the presentation of the guardian ad litem report and the entry of an order either dismissing the petition for appointment of guardian or limited guardian or appointing a guardian or limited guardian, the guardian ad litem shall be dismissed and shall have no further duties or obligations unless otherwise ordered by the court. If the court orders the guardian ad litem to perform further duties or obligations, they shall not be performed at county expense.
- (12) The guardian ad litem shall appear in person at all hearings on the petition unless all parties provide a written waiver of the requirement to appear.
- 27 (13) At any hearing the court may consider whether any person who 28 makes decisions regarding the alleged incapacitated person or estate 29 has breached a statutory or fiduciary duty.
- 30 **Sec. 2.** RCW 13.34.100 and 1996 c 249 s 13 are each amended to read 31 as follows:
- (1) The court shall appoint a guardian ad litem for a child who is the subject of an action under this chapter, unless a court for good cause finds the appointment unnecessary. The requirement of a guardian ad litem may be deemed satisfied if the child is represented by independent counsel in the proceedings.
- 37 (2) If the court does not have available to it a guardian ad litem 38 program with a sufficient number of volunteers, the court may appoint

- a suitable person to act as guardian ad litem for the child under this chapter. Another party to the proceeding or the party's employee or representative shall not be so appointed.
- 4 (3) Each guardian ad litem program shall maintain a background 5 information record for each guardian ad litem in the program. The 6 background file shall include, but is not limited to, the following 7 information:
 - (a) Level of formal education;

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- (b) Training related to the guardian's duties;
- 10 (c) Number of years' experience as a guardian ad litem;
- 11 (d) Number of appointments as a guardian ad litem and the county or 12 counties of appointment; ((and))
- (e) The names of any counties in which the person was removed from a guardian ad litem registry pursuant to a grievance action, and the name of the court and the cause number of any case in which the court has removed the person for cause; and
- 17 <u>(f)</u> Criminal history, as defined in RCW 9.94A.030.
- The background information report shall be updated annually. As a condition of appointment, the guardian ad litem's background information record shall be made available to the court. If the appointed guardian ad litem is not a member of a guardian ad litem program the person shall provide the background information to the court.
- 24 Upon appointment, the guardian ad litem, or guardian ad litem 25 program, shall provide the parties or their attorneys with a statement 26 containing: His or her training relating to the duties as a guardian ad litem; the name of any counties in which the person was removed from 27 28 a quardian ad litem registry pursuant to a grievance action, and the 29 name of the court and the cause number of any case in which the court 30 has removed the person for cause; and his or her criminal history as defined in RCW 9.94A.030 for the period covering ten years prior to the 31 appointment. The background statement shall not include identifying 32 information that may be used to harm a guardian ad litem, such as home 33 34 addresses and home telephone numbers, and for volunteer guardians ad 35 litem the court may allow the use of maiden names or pseudonyms as necessary for their safety. 36
- 37 (4) The appointment of the guardian ad litem shall remain in effect 38 until the court discharges the appointment or no longer has

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- jurisdiction, whichever comes first. The guardian ad litem may also be 1 2 discharged upon entry of an order of guardianship.
- (5) A quardian ad litem through counsel, or as otherwise authorized 3 by the court, shall have the right to present evidence, examine and 4 cross-examine witnesses, and to be present at all hearings. A guardian 5 ad litem shall receive copies of all pleadings and other documents 6 7 filed or submitted to the court, and notice of all hearings according 8 to court rules. The guardian ad litem shall receive all notice 9 contemplated for a parent or other party in all proceedings under this 10 chapter.
- (6) If the child requests legal counsel and is age twelve or older, 11 or if the guardian ad litem or the court determines that the child 12 13 needs to be independently represented by counsel, the court may appoint an attorney to represent the child's position. 14
- 15 (7) For the purposes of child abuse prevention and treatment act (42 U.S.C. Secs. 5101 et seq.) grants to this state under P.L. 93-247, 16 17 or any related state or federal legislation, a person appointed pursuant to RCW 13.34.100 shall be deemed a guardian ad litem to 18 19 represent the best interests of the minor in proceedings before the 20 court.
- (8) When a court-appointed special advocate or volunteer guardian ad litem is requested on a case, the program shall give the court the 22 23 name of the person it recommends and the appointment shall be effective 24 immediately. The court shall appoint the person recommended by the 25 program. If a party in a case reasonably believes the court-appointed 26 special advocate or volunteer is inappropriate or unqualified, the 27 party may request a review of the appointment by the program. program must complete the review within five judicial days and remove any appointee for good cause. If the party seeking the review is not 29 30 satisfied with the outcome of the review, the party may file a motion with the court for the removal of the court-appointed special advocate on the grounds the advocate or volunteer is inappropriate or 32 33 unqualified.
- 34 Sec. 3. RCW 13.34.102 and 1997 c 41 s 6 are each amended to read as follows: 35
- 36 (1) All guardians ad litem((, who have not previously served or been trained as a quardian ad litem in this state, who are appointed 37 after January 1, 1998,)) must ((complete the curriculum developed by 38

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- the office of the administrator for the courts)) comply with the 1 training requirements established under RCW 2.56.030(15), prior to 2 their appointment in cases under Title 13 RCW, except that volunteer 3 guardians ad litem or court-appointed special advocates ((accepted into 4 5 a volunteer program after January 1, 1998,)) may ((complete an)) comply with alternative ((curriculum)) training requirements approved by the 6 7 office of the administrator for the courts that meet((s)) or 8 exceed((s)) the state-wide ((curriculum)) requirements.
- 9 (2)(a) Each guardian ad litem program for compensated guardians ad 10 litem shall establish a rotational registry system for the appointment of guardians ad litem. If a judicial district does not have a program 11 12 the court shall establish the rotational registry system. Guardians ad 13 litem shall be selected from the registry except in exceptional circumstances as determined and documented by the court. 14 The parties 15 may make a joint recommendation for the appointment of a guardian ad litem from the registry. 16
- 17 (b) In judicial districts with a population over one hundred thousand, a list of three names shall be selected from the registry and 18 19 given to the parties along with the background information as specified in RCW 13.34.100(3), including their hourly rate for services. 20 party may, within three judicial days, strike one name from the list. 21 If more than one name remains on the list, the court shall make the 22 23 appointment from the names on the list. In the event all three names 24 are stricken the person whose name appears next on the registry shall 25 be appointed.
 - (c) If a party reasonably believes that the appointed guardian ad litem lacks the necessary expertise for the proceeding, charges an hourly rate higher than what is reasonable for the particular proceeding, or has a conflict of interest, the party may, within three judicial days from the appointment, move for substitution of the appointed guardian ad litem by filing a motion with the court.

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- 32 (d) The superior court shall remove any person from the guardian ad
 33 litem registry who misrepresents his or her qualifications pursuant to
 34 a grievance procedure established by the court.
- 35 (3) The rotational registry system shall not apply to court-36 appointed special advocate programs.
- 37 **Sec. 4.** RCW 13.34.105 and 1999 c 390 s 2 are each amended to read 38 as follows:

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- 1 (1) Unless otherwise directed by the court, the duties of the 2 guardian ad litem include but are not limited to the following:
- 3 (a) To ((represent)) investigate and ((be an advocate for)) report
 4 to the court factual information regarding the best interests of the
 5 child;
 - (b) To collect relevant information about the child's situation;
- 7 (c) To monitor all court orders for compliance and to bring to the 8 court's attention any change in circumstances that may require a 9 modification of the court's order; ((and))

- 10 (d) To be an advocate in all nonjudicial forums or proceedings to
 11 intercede, interact, interpret, and make recommendations in the best
 12 interests of the child or ward;
- 13 <u>(e)</u> To report to the court information on the legal status of a 24 child's membership in any Indian tribe or band; and
- (f) Court-appointed special advocates and guardians ad litem may
 make recommendations based upon an independent investigation regarding
 the best interests of the child, which the court may consider and weigh
 in conjunction with the recommendations of all of the parties.
- 19 (2) $((\frac{\text{The}}{\text{The}}))$ A guardian ad litem shall be deemed an officer of the 20 court for the purpose of immunity from civil liability.
- Except for information or 21 records specified **RCW** 13.50.100(5), the guardian ad litem shall have access to 22 all information available to the state or agency on the case. 23 24 presentation of the order of appointment by the guardian ad litem, any 25 agency, hospital, school organization, division or department of the 26 state, doctor, nurse, or other health care provider, psychologist, psychiatrist, police department, or mental health clinic shall permit 27 the quardian ad litem to inspect and copy any records relating to the 28 29 child or children involved in the case, without the consent of the 30 parent or guardian of the child, or of the child if the child is under 31 the age of thirteen years, unless such access is otherwise specifically prohibited by law. 32
- 33 (4) A guardian ad litem may release confidential information, 34 records, and reports to the office of the family and children's 35 ombudsman for the purposes of carrying out its duties under chapter 36 43.06A RCW.
- 37 (5) The guardian ad litem shall release case information in accordance with the provisions of RCW 13.50.100.

- 1 **Sec. 5.** RCW 26.12.175 and 1996 c 249 s 15 are each amended to read 2 as follows:
- 3 (1)(a) The court may appoint a guardian ad litem to represent the 4 interests of a minor or dependent child when the court believes the appointment of a guardian ad litem is necessary to protect the best 5 interests of the child in any proceeding under this chapter. 6 7 family court services professionals may also make a recommendation to 8 the court regarding whether a guardian ad litem should be appointed for 9 the child. The court may appoint a guardian ad litem from the courtappointed special advocate program, if that program exists in the 10 11 county.
- (b) Unless otherwise ordered, the guardian ad litem's role is to 12 investigate and report <u>factual information</u> to the court concerning 13 parenting arrangements ((for the child, and to represent)) in the 14 15 child's best interests and to be an advocate in all nonjudicial forums or proceedings to intercede, interact, interpret, and make 16 recommendations in the best interests of the child or ward. Guardians 17 ad litem and investigators under this title may make recommendations 18 19 based upon an independent investigation regarding the best interests of the child, which the court may consider and weigh in conjunction with 20 the recommendations of all of the parties. If a child expresses a 21 preference regarding the parenting plan, the guardian ad litem shall 22 report the preferences to the court, together with the facts relative 23 24 to whether any preferences are being expressed voluntarily and the degree of the child's understanding. 25 The court may require the guardian ad litem to provide periodic reports to the parties regarding 26 27 the status of his or her investigation. The quardian ad litem shall file his or her report at least sixty days prior to trial. 28
- 29 The court shall enter an order for costs, fees, and 30 disbursements to cover the costs of the guardian ad litem. The court may order either or both parents to pay for the costs of the quardian 31 ad litem, according to their ability to pay. If both parents are 32 indigent, the county shall bear the cost of the guardian, subject to 33 34 appropriation for guardians' ad litem services by the county legislative authority. Guardians ad litem who are not volunteers shall 35 provide the parties with an itemized accounting of their time and 36 37 billing for services each month.
- 38 (2)(a) If the guardian ad litem appointed is from the county court-39 appointed special advocate program, the program shall supervise any

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- guardian ad litem assigned to the case. The court-appointed special advocate program shall be entitled to notice of all proceedings in the case.
- 4 (b) The legislative authority of each county may authorize creation 5 of a court-appointed special advocate program. The county legislative 6 authority may adopt rules of eligibility for court-appointed special 7 advocate program services.
- 8 (3) Each guardian ad litem program shall maintain a background 9 information record for each guardian ad litem in the program. The 10 background file shall include, but is not limited to, the following 11 information:
- 12 (a) Level of formal education;
- (b) Training related to the guardian's duties;
- 14 (c) Number of years' experience as a guardian ad litem;
- 15 (d) Number of appointments as a guardian ad litem and county or 16 counties of appointment; ((and))
- (e) The names of any counties in which the person was removed from a guardian ad litem registry pursuant to a grievance action, and the name of the court and the cause number of any case in which the court has removed the person for cause; and
- 21 (f) Criminal history, as defined in RCW 9.94A.030.

The background information report shall be updated annually. As a condition of appointment, the guardian ad litem's background information record shall be made available to the court. If the appointed guardian ad litem is not a member of a guardian ad litem program the person shall provide the background information to the court.

Upon appointment, the guardian ad litem, or guardian ad litem 28 program, shall provide the parties or their attorneys with a statement 29 30 containing: His or her training relating to the duties as a guardian 31 ad litem; the name of any counties in which the person was removed from a quardian ad litem registry pursuant to a grievance action, and the 32 name of the court and the cause number of any case in which the court 33 34 has removed the person for cause; and his or her criminal history as 35 defined in RCW 9.94A.030 for the period covering ten years prior to the appointment. The background statement shall not include identifying 36 37 information that may be used to harm a guardian ad litem, such as home addresses and home telephone numbers, and for volunteer guardians ad 38

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1 litem the court may allow the use of maiden names or pseudonyms as 2 necessary for their safety.

- 3 (4) When a court-appointed special advocate or volunteer guardian 4 ad litem is requested on a case, the program shall give the court the 5 name of the person it recommends and the appointment shall be effective immediately. The court shall appoint the person recommended by the 6 7 program. If a party in a case reasonably believes the court-appointed 8 special advocate or volunteer is inappropriate or unqualified, the 9 party may request a review of the appointment by the program. 10 program must complete the review within five judicial days and remove any appointee for good cause. If the party seeking the review is not 11 satisfied with the outcome of the review, the party may file a motion 12 13 with the court for the removal of the court-appointed special advocate 14 on the grounds the advocate or volunteer is inappropriate or 15 unqualified.
- 16 **Sec. 6.** RCW 26.12.177 and 1997 c 41 s 7 are each amended to read 17 as follows:
- 18 (1) All guardians ad litem((, who have not previously served or 19 been trained as a quardian ad litem in this state, who are appointed after January 1, 1998,)) and investigators appointed under this title 20 must ((complete the curriculum developed by the office of the 21 administrator for the courts)) comply with the training requirements 22 23 established under RCW 2.56.030(15), prior to their appointment in cases 24 under Title 26 RCW, except that volunteer guardians ad litem or court-25 appointed special advocates ((accepted into a volunteer program after January 1, 1998,)) may ((complete an)) comply with alternative 26 ((curriculum)) training requirements approved by the office of the 27 administrator for the courts that meet((s)) or exceed((s)) the state-28 29 wide ((curriculum)) requirements.
- 30 (2)(a) Each guardian ad litem program for compensated guardians ad litem shall establish a rotational registry system for the appointment 31 of guardians ad litem and investigators under this title. 32 33 judicial district does not have a program the court shall establish the 34 rotational registry system. Guardians ad litem and investigators under this title shall be selected from the registry except in exceptional 35 36 circumstances as determined and documented by the court. The parties may make a joint recommendation for the appointment of a guardian ad 37 38 litem from the registry.

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- (b) In judicial districts with a population over one hundred 1 2 thousand, a list of three names shall be selected from the registry and given to the parties along with the background information as specified 3 4 in RCW 26.12.175(3), including their hourly rate for services. party may, within three judicial days, strike one name from the list. 5 If more than one name remains on the list, the court shall make the 6 7 appointment from the names on the list. In the event all three names 8 are stricken the person whose name appears next on the registry shall 9 be appointed.
- 10 (c) If a party reasonably believes that the appointed guardian ad
 11 litem lacks the necessary expertise for the proceeding, charges an
 12 hourly rate higher than what is reasonable for the particular
 13 proceeding, or has a conflict of interest, the party may, within three
 14 judicial days from the appointment, move for substitution of the
 15 appointed guardian ad litem by filing a motion with the court.
- (d) Under this section, within either registry referred to in (a) of this subsection, a subregistry may be created that consists of guardians ad litem under contract with the department of social and health services' division of child support. Guardians ad litem on such a subregistry shall be selected and appointed in state-initiated paternity cases only.
- (e) The superior court shall remove any person from the guardian ad litem registry who misrepresents his or her qualifications pursuant to a grievance procedure established by the court.
- 25 (3) The rotational registry system shall not apply to court-26 appointed special advocate programs.
- NEW SECTION. Sec. 7. A new section is added to chapter 26.12 RCW to read as follows:
- 29 All information, records, and reports obtained or created by a 30 guardian ad litem, court-appointed special advocate, or investigator under this title shall be discoverable pursuant to statute and court 31 The guardian ad litem, court-appointed special advocate, or 32 33 investigator shall not release private or confidential information to 34 any nonparty except pursuant to a court order signed by a judge. The guardian ad litem, court-appointed special advocate, or investigator 35 36 may share private or confidential information with experts or staff he or she has retained as necessary to perform the duties of guardian ad 37 litem, court-appointed special advocate, or investigator. Any expert 38

- 1 or staff retained are subject to the confidentiality rules governing
- 2 the quardian ad litem, court-appointed special advocate, or
- 3 investigator. Nothing in this section shall be interpreted to
- 4 authorize disclosure of guardian ad litem records in personal injury
- 5 actions.
- 6 NEW SECTION. Sec. 8. A new section is added to chapter 26.12 RCW
- 7 to read as follows:
- 8 A guardian ad litem, court-appointed special advocate, or
- 9 investigator under this title appointed under this chapter may release
- 10 confidential information, records, and reports to the office of the
- 11 family and children's ombudsman for the purposes of carrying out its
- 12 duties under chapter 43.06A RCW.
- 13 <u>NEW SECTION.</u> **Sec. 9.** A new section is added to chapter 11.88 RCW
- 14 to read as follows:
- 15 A guardian ad litem shall not engage in ex parte communications
- 16 with any judicial officer involved in the matter for which he or she is
- 17 appointed during the pendency of the proceeding, except as permitted by
- 18 court rule or statute for ex parte motions. Ex parte motions shall be
- 19 heard in open court on the record. The record may be preserved in a
- 20 manner deemed appropriate by the county where the matter is heard. The
- 21 court, upon its own motion, or upon the motion of a party, may consider
- 22 the removal of any guardian ad litem who violates this section from any
- 23 pending case or from any court-authorized registry, and if so removed
- 24 may require forfeiture of any fees for professional services on the
- 25 pending case.
- 26 <u>NEW SECTION.</u> **Sec. 10.** A new section is added to chapter 13.34 RCW
- 27 to read as follows:
- 28 A guardian ad litem or court-appointed special advocate shall not
- 29 engage in ex parte communications with any judicial officer involved in
- 30 the matter for which he or she is appointed during the pendency of the
- 31 proceeding, except as permitted by court rule or statute for ex parte
- 32 motions. Ex parte motions shall be heard in open court on the record.
- 33 The record may be preserved in a manner deemed appropriate by the
- 34 county where the matter is heard. The court, upon its own motion, or
- 35 upon the motion of a party, may consider the removal of any guardian ad
- 36 litem or court-appointed special advocate who violates this section

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- 1 from any pending case or from any court-authorized registry, and if so
- 2 removed may require forfeiture of any fees for professional services on
- 3 the pending case.
- 4 NEW SECTION. Sec. 11. A new section is added to chapter 26.12 RCW
- 5 to read as follows:
- 6 A guardian ad litem, court-appointed special advocate, or
- 7 investigator shall not engage in ex parte communications with any
- 8 judicial officer involved in the matter for which he or she is
- 9 appointed during the pendency of the proceeding, except as permitted by
- 10 court rule or statute for ex parte motions. Ex parte motions shall be
- 11 heard in open court on the record. The record may be preserved in a
- 12 manner deemed appropriate by the county where the matter is heard. The
- 13 court, upon its own motion, or upon the motion of a party, may consider
- 14 the removal of any guardian ad litem, court-appointed special advocate,
- 15 or investigator who violates this section from any pending case or from
- 16 any court-authorized registry, and if so removed may require forfeiture
- 17 of any fees for professional services on the pending case.
- NEW SECTION. Sec. 12. A new section is added to chapter 11.88 RCW
- 19 to read as follows:
- The court shall specify the hourly rate the guardian ad litem may
- 21 charge for his or her services, and shall specify the maximum amount
- 22 the quardian ad litem may charge without additional court review and
- 23 approval. The court shall specify rates and fees in the order of
- 24 appointment or at the earliest date the court is able to determine the
- 25 appropriate rates and fees and prior to the guardian ad litem billing
- 26 for his or her services.
- NEW SECTION. Sec. 13. A new section is added to chapter 13.34 RCW
- 28 to read as follows:
- 29 The court shall specify the hourly rate the guardian ad litem may
- 30 charge for his or her services, and shall specify the maximum amount
- 31 the guardian ad litem may charge without additional court review and
- 32 approval. The court shall specify rates and fees in the order of
- 33 appointment or at the earliest date the court is able to determine the
- 34 appropriate rates and fees and prior to the guardian ad litem billing
- 35 for his or her services.

NEW SECTION. Sec. 14. A new section is added to chapter 26.12 RCW to read as follows:

The court shall specify the hourly rate the guardian ad litem or 3 4 investigator under this title may charge for his or her services, and shall specify the maximum amount the guardian ad litem or investigator 5 under this title may charge without additional court review and 6 approval. The court shall specify rates and fees in the order of 7 8 appointment or at the earliest date the court is able to determine the 9 appropriate rates and fees and prior to the guardian ad litem billing for his or her services. 10

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