## ESSB 6265 - H AMD 958 By Representative Cody

## ADOPTED 03/11/2014

On page 6, line 12, strike all of section 4 and insert the 1 2 following:

3 "Sec. 4. RCW 70.02.010 and 2013 c 200 s 1 are each amended to read 4 as follows:

5 The definitions in this section apply throughout this chapter 6 unless the context clearly requires otherwise.

7 (1) "Admission" has the same meaning as in RCW 71.05.020.

8 (2) "Audit" means an assessment, evaluation, determination, or 9 investigation of a health care provider by a person not employed by or 10 affiliated with the provider to determine compliance with:

11 (a) Statutory, regulatory, fiscal, medical, or scientific 12 standards;

13 (b) A private or public program of payments to a health care 14 provider; or

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(c) Requirements for licensing, accreditation, or certification.

16 (3) "Commitment" has the same meaning as in RCW 71.05.020.

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(4) "Custody" has the same meaning as in RCW 71.05.020.

(5) "Deidentified" means health information that does not identify 18 19 an individual and with respect to which there is no reasonable basis to 20 believe that the information can be used to identify an individual.

21 (6) "Department" means the department of social and health services. 22

23 (7) "Designated mental health professional" has the same meaning as 24 in RCW 71.05.020 or 71.34.020, as applicable.

25 (8) "Detention" or "detain" has the same meaning as in RCW 26 71.05.020.

27 (9) "Directory information" means information disclosing the 28 presence, and for the purpose of identification, the name, location within a health care facility, and the general health condition of a 29

particular patient who is a patient in a health care facility or who is
 currently receiving emergency health care in a health care facility.

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(10) "Discharge" has the same meaning as in RCW 71.05.020.

4 (11) "Evaluation and treatment facility" has the same meaning as in 5 RCW 71.05.020 or 71.34.020, as applicable.

6 (12) "Federal, state, or local law enforcement authorities" means 7 an officer of any agency or authority in the United States, a state, a 8 tribe, a territory, or a political subdivision of a state, a tribe, or 9 a territory who is empowered by law to: (a) Investigate or conduct an 10 official inquiry into a potential criminal violation of law; or (b) 11 prosecute or otherwise conduct a criminal proceeding arising from an 12 alleged violation of law.

13 (13) "General health condition" means the patient's health status 14 described in terms of "critical," "poor," "fair," "good," "excellent," 15 or terms denoting similar conditions.

16 (14) "Health care" means any care, service, or procedure provided 17 by a health care provider:

(a) To diagnose, treat, or maintain a patient's physical or mentalcondition; or

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(b) That affects the structure or any function of the human body.

(15) "Health care facility" means a hospital, clinic, nursing home,
laboratory, office, or similar place where a health care provider
provides health care to patients.

(16) "Health care information" means any information, whether oral or recorded in any form or medium, that identifies or can readily be associated with the identity of a patient and directly relates to the patient's health care, including a patient's deoxyribonucleic acid and identified sequence of chemical base pairs. The term includes any required accounting of disclosures of health care information.

30 (17) "Health care operations" means any of the following activities 31 of a health care provider, health care facility, or third-party payor 32 to the extent that the activities are related to functions that make an 33 entity a health care provider, a health care facility, or a third-party 34 payor:

(a) Conducting: Quality assessment and improvement activities,
 including outcomes evaluation and development of clinical guidelines,
 if the obtaining of generalizable knowledge is not the primary purpose
 of any studies resulting from such activities; population-based

activities relating to improving health or reducing health care costs, protocol development, case management and care coordination, contacting of health care providers and patients with information about treatment alternatives; and related functions that do not include treatment;

5 (b) Reviewing the competence or qualifications of health care 6 professionals, evaluating practitioner and provider performance and 7 third-party payor performance, conducting training programs in which 8 students, trainees, or practitioners in areas of health care learn 9 under supervision to practice or improve their skills as health care 10 providers, training of nonhealth care professionals, accreditation, 11 certification, licensing, or credentialing activities;

(c) Underwriting, premium rating, and other activities relating to the creation, renewal, or replacement of a contract of health insurance or health benefits, and ceding, securing, or placing a contract for reinsurance of risk relating to claims for health care, including stoploss insurance and excess of loss insurance, if any applicable legal requirements are met;

(d) Conducting or arranging for medical review, legal services, and auditing functions, including fraud and abuse detection and compliance programs;

(e) Business planning and development, such as conducting costmanagement and planning-related analyses related to managing and operating the health care facility or third-party payor, including formulary development and administration, development, or improvement of methods of payment or coverage policies; and

26 (f) Business management and general administrative activities of 27 the health care facility, health care provider, or third-party payor 28 including, but not limited to:

(i) Management activities relating to implementation of andcompliance with the requirements of this chapter;

(ii) Customer service, including the provision of data analyses for policy holders, plan sponsors, or other customers, provided that health care information is not disclosed to such policy holder, plan sponsor, or customer;

35 (iii) Resolution of internal grievances;

(iv) The sale, transfer, merger, or consolidation of all or part of
 a health care provider, health care facility, or third-party payor with
 another health care provider, health care facility, or third-party

1 payor or an entity that following such activity will become a health 2 care provider, health care facility, or third-party payor, and due 3 diligence related to such activity; and

4 (v) Consistent with applicable legal requirements, creating
5 deidentified health care information or a limited dataset for the
6 benefit of the health care provider, health care facility, or third7 party payor.

8 (18) "Health care provider" means a person who is licensed, 9 certified, registered, or otherwise authorized by the law of this state 10 to provide health care in the ordinary course of business or practice 11 of a profession.

12 (19) "Human immunodeficiency virus" or "HIV" has the same meaning13 as in RCW 70.24.017.

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(20) "Imminent" has the same meaning as in RCW 71.05.020.

(21) "Information and records related to mental health services" 15 means a type of health care information that relates to all information 16 17 and records((, including mental health treatment records,)) compiled, 18 obtained, or maintained in the course of providing services by a mental health service agency((, as defined in this section)) or mental health 19 professional to persons who are receiving or have received services for 20 21 mental illness. The term includes mental health information contained in a medical bill, registration records, as defined in RCW 71.05.020, 22 and all other records regarding the person maintained by the 23 24 department, by regional support networks and their staff, and by treatment facilities. ((This may)) The term further includes documents 25 26 of legal proceedings under chapter 71.05, 71.34, or 10.77 RCW, or 27 somatic health care information. For health care information maintained by a hospital as defined in RCW 70.41.020 or a health care 28 29 facility or health care provider that participates with a hospital in 30 an organized health care arrangement defined under federal law, "information and records related to mental health services" is limited 31 32 to information and records of services provided by a mental health professional or information and records of services created by a 33 hospital-operated community mental health program as defined in RCW 34 35 71.24.025(6). The term does not include psychotherapy notes.

36 (22) "Information and records related to sexually transmitted 37 diseases" means a type of health care information that relates to the 38 identity of any person upon whom an HIV antibody test or other sexually 1 transmitted infection test is performed, the results of such tests, and 2 any information relating to diagnosis of or treatment for any confirmed 3 sexually transmitted infections.

4 (23) "Institutional review board" means any board, committee, or 5 other group formally designated by an institution, or authorized under 6 federal or state law, to review, approve the initiation of, or conduct 7 periodic review of research programs to assure the protection of the 8 rights and welfare of human research subjects.

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(24) "Legal counsel" has the same meaning as in RCW 71.05.020.

10 (25) "Local public health officer" has the same meaning as in RCW11 70.24.017.

12 (26) "Maintain," as related to health care information, means to13 hold, possess, preserve, retain, store, or control that information.

14 (27) "Mental health professional" ((has the same meaning as in RCW
15 71.05.020)) means a psychiatrist, psychologist, psychiatric advanced
16 registered nurse practitioner, psychiatric nurse, or social worker, and
17 such other mental health professionals as may be defined by rules
18 adopted by the secretary of social and health services under chapter
19 71.05 RCW, whether that person works in a private or public setting.

(28) "Mental health service agency" means a public or private 20 21 agency that provides services to persons with mental disorders as 22 defined under RCW 71.05.020 or 71.34.020 and receives funding from 23 public sources. This includes evaluation and treatment facilities as 24 defined in RCW 71.34.020, community mental health service delivery systems, or community mental health programs, as defined in RCW 25 26 71.24.025, and facilities conducting competency evaluations and 27 restoration under chapter 10.77 RCW.

28 (29) ((<del>"Mental health treatment records" include registration</del> records, as defined in RCW 71.05.020, and all other records concerning 29 30 persons who are receiving or who at any time have received services for mental illness, which are maintained by the department, by regional 31 32 support networks and their staff, and by treatment facilities. "Mental health treatment records" include mental health information contained 33 in a medical bill including, but not limited to, mental health drugs, 34 35 a mental health diagnosis, provider name, and dates of service stemming from a medical service. "Mental health treatment records" do not 36 37 include notes or records maintained for personal use by a person

1 providing treatment services for the department, regional support

2 networks, or a treatment facility if the notes or records are not

3 available to others.

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(30)) "Minor" has the same meaning as in RCW 71.34.020.

((<del>(31)</del>)) <u>(30)</u> "Parent" has the same meaning as in RCW 71.34.020.

6 (((32))) (31) "Patient" means an individual who receives or has 7 received health care. The term includes a deceased individual who has 8 received health care.

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((<del>(33)</del>)) <u>(32)</u> "Payment" means:

10 (a) The activities undertaken by:

(i) A third-party payor to obtain premiums or to determine or fulfill its responsibility for coverage and provision of benefits by the third-party payor; or

(ii) A health care provider, health care facility, or third-party
 payor, to obtain or provide reimbursement for the provision of health
 care; and

(b) The activities in (a) of this subsection that relate to the patient to whom health care is provided and that include, but are not limited to:

(i) Determinations of eligibility or coverage, including
 coordination of benefits or the determination of cost-sharing amounts,
 and adjudication or subrogation of health benefit claims;

(ii) Risk adjusting amounts due based on enrollee health status and
 demographic characteristics;

(iii) Billing, claims management, collection activities, obtaining
 payment under a contract for reinsurance, including stop-loss insurance
 and excess of loss insurance, and related health care data processing;

(iv) Review of health care services with respect to medical necessity, coverage under a health plan, appropriateness of care, or justification of charges;

31 (v) Utilization review activities, including precertification and 32 preauthorization of services, and concurrent and retrospective review 33 of services; and

34 (vi) Disclosure to consumer reporting agencies of any of the 35 following health care information relating to collection of premiums or 36 reimbursement:

- 37 (A) Name and address;
- 38 (B) Date of birth;

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- (C) Social security number;
- 2 (D) Payment history;
- 3 (E) Account number; and

4 (F) Name and address of the health care provider, health care 5 facility, and/or third-party payor.

6 (((34))) (33) "Person" means an individual, corporation, business 7 trust, estate, trust, partnership, association, joint venture, 8 government, governmental subdivision or agency, or any other legal or 9 commercial entity.

10 (((35))) (34) "Professional person" has the same meaning as in RCW
11 71.05.020.

12 (((<del>36)</del>)) <u>(35)</u> "Psychiatric advanced registered nurse practitioner" 13 has the same meaning as in RCW 71.05.020.

14 ((<del>(37)</del>)) (36) "Psychotherapy notes" means notes recorded, in any medium, by a mental health professional documenting or analyzing the 15 contents of conversations during a private counseling session or group, 16 joint, or family counseling session, and that are separated from the 17 rest of the individual's medical record. The term excludes mediation 18 prescription and monitoring, counseling session start and stop times, 19 the modalities and frequencies of treatment furnished, results of 20 21 clinical tests, and any summary of the following items: Diagnosis, functional status, the treatment plan, symptoms, prognosis, and 22 23 progress to date.

24 (37) "Reasonable fee" means the charges for duplicating or 25 searching the record, but shall not exceed sixty-five cents per page 26 for the first thirty pages and fifty cents per page for all other 27 pages. In addition, a clerical fee for searching and handling may be charged not to exceed fifteen dollars. These amounts shall be adjusted 28 29 biennially in accordance with changes in the consumer price index, all 30 consumers, for Seattle-Tacoma metropolitan statistical area as determined by the secretary of health. 31 However, where editing of 32 records by a health care provider is required by statute and is done by the provider personally, the fee may be the usual and customary charge 33 for a basic office visit. 34

35 (38) "Release" has the same meaning as in RCW 71.05.020.

36 (39) "Resource management services" has the same meaning as in RCW 37 71.05.020. (40) "Serious violent offense" has the same meaning as in RCW
 71.05.020.

3 (41) "Sexually transmitted infection" or "sexually transmitted
4 disease" has the same meaning as "sexually transmitted disease" in RCW
5 70.24.017.

6 (42) "Test for a sexually transmitted disease" has the same meaning 7 as in RCW 70.24.017.

8 (43) "Third-party payor" means an insurer regulated under Title 48 9 RCW authorized to transact business in this state or other 10 jurisdiction, including a health care service contractor, and health 11 maintenance organization; or an employee welfare benefit plan, 12 excluding fitness or wellness plans; or a state or federal health 13 benefit program.

(44) "Treatment" means the provision, coordination, or management 14 of health care and related services by one or more health care 15 providers or health care facilities, including the coordination or 16 17 management of health care by a health care provider or health care facility with a third party; consultation between health care providers 18 or health care facilities relating to a patient; or the referral of a 19 patient for health care from one health care provider or health care 20 21 facility to another.

22 Sec. 5. RCW 70.02.020 and 2013 c 200 s 2 are each amended to read 23 as follows:

(1) Except as authorized elsewhere in this chapter, a health care provider, an individual who assists a health care provider in the delivery of health care, or an agent and employee of a health care provider may not disclose health care information about a patient to any other person without the patient's written authorization. A disclosure made under a patient's written authorization must conform to the authorization.

31 (2) ((A patient has a right to receive an accounting of all 32 disclosures of mental health treatment records except disclosures made 33 under RCW 71.05.425.

(3)) A patient has a right to receive an accounting of disclosures
 of health care information((, except for mental health treatment
 records which are addressed in subsection (2) of this section,)) made

by a health care provider or a health care facility in the six years before the date on which the accounting is requested, except for disclosures:

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(a) To carry out treatment, payment, and health care operations;

(b) To the patient of health care information about him or her;

6 (c) Incident to a use or disclosure that is otherwise permitted or 7 required;

8 (d) Pursuant to an authorization where the patient authorized the 9 disclosure of health care information about himself or herself;

10 (e) Of directory information;

11 (f) To persons involved in the patient's care;

(g) For national security or intelligence purposes if an accountingof disclosures is not permitted by law;

(h) To correctional institutions or law enforcement officials if anaccounting of disclosures is not permitted by law; and

16 (i) Of a limited data set that excludes direct identifiers of the 17 patient or of relatives, employers, or household members of the 18 patient.

19 Sec. 6. RCW 70.02.050 and 2013 c 200 s 3 are each amended to read 20 as follows:

(1) A health care provider or health care facility may disclose health care information, except for information and records related to sexually transmitted diseases which are addressed in RCW 70.02.220, about a patient without the patient's authorization to the extent a recipient needs to know the information, if the disclosure is:

(a) To a person who the provider or facility reasonably believes isproviding health care to the patient;

(b) To any other person who requires health care information for 28 29 health care education, or to provide planning, quality assurance, peer review, or administrative, legal, financial, actuarial services to, or 30 31 other health care operations for or on behalf of the health care provider or health care facility; or for assisting the health care 32 provider or health care facility in the delivery of health care and the 33 34 health care provider or health care facility reasonably believes that 35 the person:

36 (i) Will not use or disclose the health care information for any 37 other purpose; and (ii) Will take appropriate steps to protect the health care
 information;

(c) To any person if the health care provider or health care 3 4 facility reasonably believes that disclosure will avoid or minimize an imminent danger to the health or safety of the patient or any other 5 individual, however there is no obligation under this chapter on the б part of the provider or facility to so disclose. The fact of admission 7 8 to a provider for mental health services and all information and records compiled, obtained, or maintained in the course of providing 9 10 mental health services to either voluntary or involuntary recipients of services at public or private agencies is not subject to disclosure 11 12 unless disclosure is permitted in RCW 70.02.230; or

13 (d) ((To an official of a penal or other custodial institution in 14 which the patient is detained; or

15 (e)) For payment, including information necessary for a recipient 16 to make a claim, or for a claim to be made on behalf of a recipient for 17 aid, insurance, or medical assistance to which he or she may be 18 entitled.

19 (2) A health care provider shall disclose health care information, 20 except for information and records related to sexually transmitted 21 diseases, unless otherwise authorized in RCW 70.02.220, about a patient 22 without the patient's authorization if the disclosure is:

(a) To federal, state, or local public health authorities, to the 23 24 extent the health care provider is required by law to report health care information; when needed to determine compliance with state or 25 26 federal licensure, certification or registration rules or laws, or to investigate unprofessional conduct or ability to practice with 27 reasonable skill and safety under chapter 18.130 RCW. Any health care 28 information obtained under this subsection is exempt from public 29 30 inspection and copying pursuant to chapter 42.56 RCW; or

31 (b) When needed to protect the public health.

32 Sec. 7. RCW 70.02.200 and 2013 c 200 s 4 are each amended to read 33 as follows:

(1) In addition to the disclosures authorized by RCW 70.02.050 and
 70.02.210, a health care provider or health care facility may disclose
 health care information, except for information and records related to

sexually transmitted diseases and information related to mental health services which are addressed by RCW 70.02.220 through 70.02.260, about a patient without the patient's authorization, to:

(a) Any other health care provider or health care facility
reasonably believed to have previously provided health care to the
patient, to the extent necessary to provide health care to the patient,
unless the patient has instructed the health care provider or health
care facility in writing not to make the disclosure;

9 (b) Immediate family members of the patient, including a patient's 10 state registered domestic partner, or any other individual with whom 11 the patient is known to have a close personal relationship, if made in 12 accordance with good medical or other professional practice, unless the 13 patient has instructed the health care provider or health care facility 14 in writing not to make the disclosure;

15 (c) A health care provider or health care facility who is the 16 successor in interest to the health care provider or health care 17 facility maintaining the health care information;

(d) A person who obtains information for purposes of an audit, ifthat person agrees in writing to:

(i) Remove or destroy, at the earliest opportunity consistent with the purpose of the audit, information that would enable the patient to be identified; and

(ii) Not to disclose the information further, except to accomplish the audit or report unlawful or improper conduct involving fraud in payment for health care by a health care provider or patient, or other unlawful conduct by the health care provider;

(e) Provide directory information, unless the patient has
instructed the health care provider or health care facility not to make
the disclosure;

(f) Fire, police, sheriff, or other public authority, that brought, or caused to be brought, the patient to the health care facility or health care provider if the disclosure is limited to the patient's name, residence, sex, age, occupation, condition, diagnosis, estimated or actual discharge date, or extent and location of injuries as determined by a physician, and whether the patient was conscious when admitted;

37 (g) Federal, state, or local law enforcement authorities and the38 health care provider, health care facility, or third-party payor

believes in good faith that the health care information disclosed constitutes evidence of criminal conduct that occurred on the premises of the health care provider, health care facility, or third-party payor; ((and))

(h) Another health care provider, health care facility, or third-5 party payor for the health care operations of the health care provider, б 7 health care facility, or third-party payor that receives the 8 information, if each entity has or had a relationship with the patient who is the subject of the health care information being requested, the 9 health care information pertains to such relationship, and the 10 disclosure is for the purposes described in RCW 70.02.010(17) (a) and 11 12 (b); and

(i) An official of a penal or other custodial institution in which
 the patient is detained.

15 (2) In addition to the disclosures required by RCW 70.02.050 and 16 70.02.210, a health care provider shall disclose health care 17 information, except for information related to sexually transmitted 18 diseases and information related to mental health services which are 19 addressed by RCW 70.02.220 through 70.02.260, about a patient without 20 the patient's authorization if the disclosure is:

(a) To federal, state, or local law enforcement authorities to theextent the health care provider is required by law;

(b) To federal, state, or local law enforcement authorities, upon 23 24 receipt of a written or oral request made to a nursing supervisor, administrator, or designated privacy official, in a case in which the 25 26 patient is being treated or has been treated for a bullet wound, gunshot wound, powder burn, or other injury arising from or caused by 27 the discharge of a firearm, or an injury caused by a knife, an ice 28 pick, or any other sharp or pointed instrument which federal, state, or 29 30 local law enforcement authorities reasonably believe to have been intentionally inflicted upon a person, or a blunt force injury that 31 32 federal, state, or local law enforcement authorities reasonably believe resulted from a criminal act, the following information, if known: 33

- 34 (i) The name of the patient;
- 35 (ii) The patient's residence;
- 36 (iii) The patient's sex;
- 37 (iv) The patient's age;
- 38 (v) The patient's condition;

(vi) The patient's diagnosis, or extent and location of injuries as
 determined by a health care provider;

3 (vii) Whether the patient was conscious when admitted;

4 (viii) The name of the health care provider making the 5 determination in (b)(v), (vi), and (vii) of this subsection;

6 (ix) Whether the patient has been transferred to another facility;7 and

8 (x) The patient's discharge time and date;

9 (c) Pursuant to compulsory process in accordance with RCW 10 70.02.060.

11 **Sec. 8.** RCW 70.02.210 and 2013 c 200 s 5 are each amended to read 12 as follows:

(1)(a) A health care provider or health care facility may disclose health care information about a patient without the patient's authorization to the extent a recipient needs to know the information, if the disclosure is for use in a research project that an institutional review board has determined:

18 ((<del>(a)</del>)) <u>(i)</u> Is of sufficient importance to outweigh the intrusion 19 into the privacy of the patient that would result from the disclosure;

20 ((<del>(b)</del>)) <u>(ii)</u> Is impracticable without the use or disclosure of the 21 health care information in individually identifiable form;

22 ((<del>(c)</del>)) <u>(iii)</u> Contains reasonable safeguards to protect the 23 information from redisclosure;

24 ((<del>(d)</del>)) <u>(iv)</u> Contains reasonable safeguards to protect against 25 identifying, directly or indirectly, any patient in any report of the 26 research project; and

27 (((e))) <u>(v)</u> Contains procedures to remove or destroy at the 28 earliest opportunity, consistent with the purposes of the project, 29 information that would enable the patient to be identified, unless an 30 institutional review board authorizes retention of identifying 31 information for purposes of another research project.

32 (b) Disclosure under (a) of this subsection may include health care 33 information and records of treatment programs related to chemical 34 dependency addressed in chapter 70.96A RCW and as authorized by federal 35 law.

36 (2) In addition to the disclosures required by RCW 70.02.050 and

70.02.200, a health care provider or health care facility shall 1 disclose health care information about a patient without the patient's 2 3 authorization if:

4 (a) The disclosure is to county coroners and medical examiners for the investigations of deaths; 5

(b) The disclosure is to a procurement organization or person to б 7 whom a body part passes for the purpose of examination necessary to 8 assure the medical suitability of the body part; or

(c) The disclosure is to a person subject to the jurisdiction of 9 10 the federal food and drug administration in regards to a food and drug administration-regulated product or activity for which that person has 11 12 responsibility for quality, safety, or effectiveness of activities.

Sec. 9. RCW 70.02.230 and 2013 c 200 s 7 are each amended to read 13 14 as follows:

(1) Except as provided in this section, RCW 70.02.050, 71.05.445, 15 16 70.96A.150, 74.09.295, 70.02.210, 70.02.240, 70.02.250, and 70.02.260, 17 or pursuant to a valid authorization under RCW 70.02.030, the fact of admission to a provider for mental health services and all information 18 and records compiled, obtained, or maintained in the course of 19 20 providing mental health services to either voluntary or involuntary 21 recipients of services at public or private agencies must be 22 confidential.

23 (2) Information and records related to mental health services, other than those obtained through treatment under chapter 71.34 RCW, 24 25 may be disclosed only:

26 (a) In communications between qualified professional persons to meet the requirements of chapter 71.05 RCW, in the provision of 27 services or appropriate referrals, or in the course of guardianship 28 29 proceedings if provided to a professional person:

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(i) Employed by the facility;

(ii) Who has medical responsibility for the patient's care; 31

(iii) Who is a designated mental health professional; 32

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(iv) Who is providing services under chapter 71.24 RCW;

(v) Who is employed by a state or local correctional facility where 34 35 the person is confined or supervised; or

36 (vi) Who is providing evaluation, treatment, or follow-up services 37 under chapter 10.77 RCW;

1 (b) When the communications regard the special needs of a patient 2 and the necessary circumstances giving rise to such needs and the 3 disclosure is made by a facility providing services to the operator of 4 a facility in which the patient resides or will reside;

5 (c)(i) When the person receiving services, or his or her guardian, 6 designates persons to whom information or records may be released, or 7 if the person is a minor, when his or her parents make such a 8 designation;

9 (ii) A public or private agency shall release to a person's next of 10 kin, attorney, personal representative, guardian, or conservator, if 11 any:

(A) The information that the person is presently a patient in thefacility or that the person is seriously physically ill;

(B) A statement evaluating the mental and physical condition of the patient, and a statement of the probable duration of the patient's confinement, if such information is requested by the next of kin, attorney, personal representative, guardian, or conservator; and

(iii) Other information requested by the next of kin or attorney as may be necessary to decide whether or not proceedings should be instituted to appoint a guardian or conservator;

(d)(i) To the courts as necessary to the administration of chapter 71.05 RCW or to a court ordering an evaluation or treatment under chapter 10.77 RCW solely for the purpose of preventing the entry of any evaluation or treatment order that is inconsistent with any order entered under chapter 71.05 RCW.

(ii) To a court or its designee in which a motion under chapter
10.77 RCW has been made for involuntary medication of a defendant for
the purpose of competency restoration.

(iii) Disclosure under this subsection is mandatory for the purpose
 of the federal health insurance portability and accountability act;

(e)(i) When a mental health professional is requested by a 31 32 representative of a law enforcement or corrections agency, including a police officer, sheriff, community corrections officer, a municipal 33 34 attorney, or prosecuting attorney to undertake an investigation or 35 provide treatment under RCW 71.05.150, 10.31.110, or 71.05.153, the 36 mental health professional shall, if requested to do so, advise the 37 representative in writing of the results of the investigation including a statement of reasons for the decision to detain or release the person 38

1 investigated. The written report must be submitted within seventy-two
2 hours of the completion of the investigation or the request from the
3 law enforcement or corrections representative, whichever occurs later.

4 (ii) Disclosure under this subsection is mandatory for the purposes
5 of the federal health insurance portability and accountability act;

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(f) To the attorney of the detained person;

7 (g) To the prosecuting attorney as necessary to carry out the 8 responsibilities of the office under RCW 71.05.330(2), 71.05.340(1)(b), 9 The prosecutor must be provided access to records and 71.05.335. 10 regarding the committed person's treatment and prognosis, medication, behavior problems, and other records relevant to the issue of whether 11 12 treatment less restrictive than inpatient treatment is in the best 13 interest of the committed person or others. Information must be disclosed only after giving notice to the committed person and the 14 person's counsel; 15

(h)(i) To appropriate law enforcement agencies and to a person, 16 when the identity of the person is known to the public or private 17 agency, whose health and safety has been threatened, or who is known to 18 19 have been repeatedly harassed, by the patient. The person may designate a representative to receive the disclosure. The disclosure 20 21 must be made by the professional person in charge of the public or 22 private agency or his or her designee and must include the dates of 23 commitment, admission, discharge, or release, authorized or 24 unauthorized absence from the agency's facility, and only any other information that is pertinent to the threat or harassment. The agency 25 26 or its employees are not civilly liable for the decision to disclose or 27 not, so long as the decision was reached in good faith and without 28 gross negligence.

(ii) Disclosure under this subsection is mandatory for the purposes
 of the federal health insurance portability and accountability act;

(i)(i) To appropriate corrections and law enforcement agencies all necessary and relevant information in the event of a crisis or emergent situation that poses a significant and imminent risk to the public. The mental health service agency or its employees are not civilly liable for the decision to disclose or not so long as the decision was reached in good faith and without gross negligence.

(ii) Disclosure under this subsection is mandatory for the purposesof the health insurance portability and accountability act;

(j) To the persons designated in RCW 71.05.425 for the purposes
 described in those sections;

3 (k) Upon the death of a person. The person's next of kin, personal representative, guardian, or conservator, if any, must be notified. 4 5 Next of kin who are of legal age and competent must be notified under this section in the following order: Spouse, parents, children, б 7 brothers and sisters, and other relatives according to the degree of 8 relation. Access to all records and information compiled, obtained, or maintained in the course of providing services to a deceased patient 9 10 are governed by RCW 70.02.140;

(1) To mark headstones or otherwise memorialize patients interred at state hospital cemeteries. The department of social and health services shall make available the name, date of birth, and date of death of patients buried in state hospital cemeteries fifty years after the death of a patient;

16 (m) To law enforcement officers and to prosecuting attorneys as are 17 necessary to enforce RCW 9.41.040(2)(a)(ii). The extent of information 18 that may be released is limited as follows:

(i) Only the fact, place, and date of involuntary commitment, an official copy of any order or orders of commitment, and an official copy of any written or oral notice of ineligibility to possess a firearm that was provided to the person pursuant to RCW 9.41.047(1), must be disclosed upon request;

(ii) The law enforcement and prosecuting attorneys may only release the information obtained to the person's attorney as required by court rule and to a jury or judge, if a jury is waived, that presides over any trial at which the person is charged with violating RCW 9.41.040(2)(a)(ii);

(iii) Disclosure under this subsection is mandatory for the purposes of the federal health insurance portability and accountability act;

(n) When a patient would otherwise be subject to the provisions of this section and disclosure is necessary for the protection of the patient or others due to his or her unauthorized disappearance from the facility, and his or her whereabouts is unknown, notice of the disappearance, along with relevant information, may be made to relatives, the department of corrections when the person is under the supervision of the department, and governmental law enforcement agencies designated by the physician or psychiatric advanced registered nurse practitioner in charge of the patient or the professional person in charge of the facility, or his or her professional designee;

4

(o) Pursuant to lawful order of a court;

5 (p) To qualified staff members of the department, to the director 6 of regional support networks, to resource management services 7 responsible for serving a patient, or to service providers designated 8 by resource management services as necessary to determine the progress 9 and adequacy of treatment and to determine whether the person should be 10 transferred to a less restrictive or more appropriate treatment 11 modality or facility;

(q) Within the ((treatment facility)) mental health service agency where the patient is receiving treatment, confidential information may be disclosed to persons employed, serving in bona fide training programs, or participating in supervised volunteer programs, at the facility when it is necessary to perform their duties;

(r) Within the department as necessary to coordinate treatment for mental illness, developmental disabilities, alcoholism, or drug abuse of persons who are under the supervision of the department;

(s) To a licensed physician or psychiatric advanced registered nurse practitioner who has determined that the life or health of the person is in danger and that treatment without the information <u>and</u> <u>records related to mental health services</u> ((contained in the mental <u>health treatment records</u>)) could be injurious to the patient's health. Disclosure must be limited to the portions of the records necessary to meet the medical emergency;

27 (t) Consistent with the requirements of the federal health information portability and accountability act, to a licensed mental 28 29 health professional or a health care professional licensed under 30 chapter 18.71, 18.71A, 18.57, 18.57A, 18.79, or 18.36A RCW who is providing care to a person, or to whom a person has been referred for 31 32 evaluation or treatment, to assure coordinated care and treatment of that person. Psychotherapy notes((, as defined in 45 C.F.R. Sec. 33 164.501, )) may not be released without authorization of the person who 34 35 is the subject of the request for release of information;

36 (u) To administrative and office support staff designated to obtain 37 medical records for those licensed professionals listed in (t) of this 38 subsection;

(v) To a facility that is to receive a person who is involuntarily 1 2 committed under chapter 71.05 RCW, or upon transfer of the person from one <u>evaluation and</u> treatment facility to another. 3 The release of 4 records under this subsection is limited to the ((mental health treatment records)) information and records related to mental health 5 services required by law, a record or summary of all somatic б treatments, and a discharge summary. The discharge summary may include 7 a statement of the patient's problem, the treatment goals, the type of 8 9 treatment which has been provided, and recommendation for future treatment, but may not include the patient's complete treatment record; 10 To the person's counsel or guardian ad litem, 11 (w) without 12 modification, at any time in order to prepare for involuntary 13 commitment or recommitment proceedings, reexaminations, appeals, or 14 other actions relating to detention, admission, commitment, or patient's rights under chapter 71.05 RCW; 15

(x) To staff members of the protection and advocacy agency or to 16 17 staff members of a private, nonprofit corporation for the purpose of protecting and advocating the rights of persons with mental disorders 18 or developmental disabilities. Resource management services may limit 19 the release of information to the name, birthdate, and county of 20 21 residence of the patient, information regarding whether the patient was 22 voluntarily admitted, or involuntarily committed, the date and place of 23 admission, placement, or commitment, the name and address of a guardian 24 of the patient, and the date and place of the guardian's appointment. Any staff member who wishes to obtain additional information must 25 26 notify the patient's resource management services in writing of the 27 request and of the resource management services' right to object. The 28 staff member shall send the notice by mail to the guardian's address. 29 If the guardian does not object in writing within fifteen days after 30 the notice is mailed, the staff member may obtain the additional information. If the guardian objects in writing within fifteen days 31 after the notice is mailed, the staff member may not obtain the 32 additional information; 33

34 (y) To all current treating providers of the patient with 35 prescriptive authority who have written a prescription for the patient 36 within the last twelve months. For purposes of coordinating health 37 care, the department may release without written authorization of the 38 patient, information acquired for billing and collection purposes as described in RCW 70.02.050(1)(((+e))) (d). The department shall notify the patient that billing and collection information has been released to named providers, and provide the substance of the information released and the dates of such release. The department may not release counseling, inpatient psychiatric hospitalization, or drug and alcohol treatment information without a signed written release from the client;

7 (z)(i) To the secretary of social and health services for either 8 program evaluation or research, or both so long as the secretary adopts 9 rules for the conduct of the evaluation or research, or both. Such 10 rules must include, but need not be limited to, the requirement that 11 all evaluators and researchers sign an oath of confidentiality 12 substantially as follows:

"As a condition of conducting evaluation or research concerning persons who have received services from (fill in the facility, agency, or person) I, . . . . , agree not to divulge, publish, or otherwise make known to unauthorized persons or the public any information obtained in the course of such evaluation or research regarding persons who have received services such that the person who received such services is identifiable.

I recognize that unauthorized release of confidential information may subject me to civil liability under the provisions of state law.

22

/s/ . . . . . . "

(ii) Nothing in this chapter may be construed to prohibit the compilation and publication of statistical data for use by government or researchers under standards, including standards to assure maintenance of confidentiality, set forth by the secretary.

(3) Whenever federal law or federal regulations restrict the release of information contained in the ((treatment records)) information and records related to mental health services of any patient who receives treatment for chemical dependency, the department may restrict the release of the information as necessary to comply with federal law and regulations.

(4) Civil liability and immunity for the release of information about a particular person who is committed to the department of social and health services under RCW 71.05.280(3) and 71.05.320(3)(c) after dismissal of a sex offense as defined in RCW 9.94A.030, is governed by RCW 4.24.550.

(5) The fact of admission to a provider of mental health services, 1 2 as well as all records, files, evidence, findings, or orders made, prepared, collected, or maintained pursuant to chapter 71.05 RCW are 3 not admissible as evidence in any legal proceeding outside that chapter 4 without the written authorization of the person who was the subject of 5 the proceeding except as provided in RCW 70.02.260, in a subsequent б 7 criminal prosecution of a person committed pursuant to RCW 71.05.280(3) 8 or 71.05.320(3)(c) on charges that were dismissed pursuant to chapter 10.77 RCW due to incompetency to stand trial, in a civil commitment 9 10 proceeding pursuant to chapter 71.09 RCW, or, in the case of a minor, a guardianship or dependency proceeding. The records and files 11 12 maintained in any court proceeding pursuant to chapter 71.05 RCW must 13 be confidential and available subsequent to such proceedings only to the person who was the subject of the proceeding or his or her 14 attorney. In addition, the court may order the subsequent release or 15 use of such records or files only upon good cause shown if the court 16 finds that appropriate safeguards for strict confidentiality are and 17 will be maintained. 18

19 (6)(a) Except as provided in RCW 4.24.550, any person may bring an 20 action against an individual who has willfully released confidential 21 information or records concerning him or her in violation of the 22 provisions of this section, for the greater of the following amounts:

23

(i) One thousand dollars; or

24

(ii) Three times the amount of actual damages sustained, if any.

(b) It is not a prerequisite to recovery under this subsection that the plaintiff suffered or was threatened with special, as contrasted with general, damages.

(c) Any person may bring an action to enjoin the release of confidential information or records concerning him or her or his or her ward, in violation of the provisions of this section, and may in the same action seek damages as provided in this subsection.

(d) The court may award to the plaintiff, should he or she prevail
in any action authorized by this subsection, reasonable attorney fees
in addition to those otherwise provided by law.

35 (e) If an action is brought under this subsection, no action may be 36 brought under RCW 70.02.170. 1 **Sec. 10.** RCW 70.02.270 and 2013 c 200 s 11 are each amended to 2 read as follows:

(1) No person who receives health care information for health care 3 education, or to provide planning, quality assurance, peer review, or 4 administrative, legal, financial, or actuarial services, or other 5 health care operations for or on behalf of a health care provider or б 7 health care facility, may use or disclose any health care information 8 received from the health care provider or health care facility in any manner that ((is inconsistent with the duties of the health care 9 10 provider or health care facility under this chapter)) would violate the requirements of this chapter if performed by the health care provider 11 12 or health care facility.

13 (2) A health care provider or health care facility that has a contractual relationship with a person to provide services described 14 under subsection (1) of this section ((must)) may terminate the 15 contractual relationship with the person if the health care provider or 16 17 health care facility learns that the person has engaged in a pattern of activity that violates the person's duties under subsection (1) of this 18 section, unless the person took reasonable steps to correct the breach 19 of confidentiality or has discontinued the violating activity. 20

21 **Sec. 11.** RCW 70.02.280 and 2013 c 200 s 12 are each amended to 22 read as follows:

A health care provider, health care facility, and their assistants,employees, agents, and contractors may not:

(1) Use or disclose health care information for marketing or fund raising purposes, unless permitted by federal law; or

27 (2) ((Sell health care information to a third party, except in a
28 form that is deidentified and aggregated; or

29 (3)) Sell health care information to a third party, except ((for 30 the following purposes)):

(a) <u>For purposes of t</u>reatment or payment;

32 (b) <u>For purposes of sale</u>, transfer, merger, or consolidation of a 33 business;

34 (c) <u>For purposes of remuneration to a third party for services;</u>

35 (d) <u>As d</u>isclosures <u>are</u> required by law;

31

36 (e) <u>For purposes of p</u>roviding access to or accounting of 37 disclosures to an individual;

- 1 (f) <u>For public health purposes;</u>
- 2 (g) <u>For r</u>esearch;
- 3 (h) With an individual's authorization;

4 (i) Where a reasonable cost-based fee is paid to prepare and 5 transmit health information, where authority to disclose the 6 information is provided in this chapter<u>; or</u>

7

(j) In a format that is deidentified and aggregated.

8 **Sec. 12.** RCW 70.02.310 and 2013 c 200 s 15 are each amended to 9 read as follows:

10 (1) Resource management services shall establish procedures to 11 provide reasonable and timely access to <u>information and records related</u> 12 <u>to mental health services for an</u> individual ((mental health treatment 13 records)). However, access may not be denied at any time to records of 14 all medications and somatic treatments received by the person.

15 (2) Following discharge, a person who has received mental health 16 services has a right to a complete record of all medications and 17 somatic treatments prescribed during evaluation, admission, or 18 commitment and to a copy of the discharge summary prepared at the time 19 of his or her discharge. A reasonable and uniform charge for 20 reproduction may be assessed.

(3) ((Mental health treatment records)) Information and records related to mental health services may be modified prior to inspection to protect the confidentiality of other patients or the names of any other persons referred to in the record who gave information on the condition that his or her identity remain confidential. Entire documents may not be withheld to protect such confidentiality.

(4) At the time of discharge resource management services shall
inform all persons who have received mental health services of their
rights as provided in this chapter and RCW 71.05.620.

30 **Sec. 13.** RCW 70.02.340 and 2013 c 200 s 18 are each amended to 31 read as follows:

The department of social and health services shall adopt rules related to the disclosure of ((mental health treatment records)) information and records related to mental health services in this chapter. 1 Sec. 14. RCW 71.05.445 and 2013 c 200 s 31 are each amended to
2 read as follows:

3 (1)(a) When a mental health service provider conducts its initial 4 assessment for a person receiving court-ordered treatment, the service 5 provider shall inquire and shall be told by the offender whether he or 6 she is subject to supervision by the department of corrections.

7 (b) When a person receiving court-ordered treatment or treatment 8 ordered by the department of corrections discloses to his or her mental health service provider that he or she is subject to supervision by the 9 department of corrections, the mental health service provider shall 10 notify the department of corrections that he or she is treating the 11 12 offender and shall notify the offender that his or her community 13 corrections officer will be notified of the treatment, provided that if the offender has received relief from disclosure pursuant to RCW 14 9.94A.562, 70.96A.155, or 71.05.132 and the offender has provided the 15 mental health service provider with a copy of the order granting relief 16 from disclosure pursuant to RCW 9.94A.562, 70.96A.155, or 71.05.132, 17 18 the mental health service provider is not required to notify the department of corrections that the mental health service provider is 19 treating the offender. The notification may be written or oral and 20 21 shall not require the consent of the offender. If an oral notification 22 is made, it must be confirmed by a written notification. For purposes 23 of this section, a written notification includes notification by e-mail 24 or facsimile, so long as the notifying mental health service provider is clearly identified. 25

(2) The information to be released to the department of corrections
shall include all relevant records and reports, as defined by rule,
necessary for the department of corrections to carry out its duties.

29 department and the department of corrections, (3) The in 30 consultation with regional support networks, mental health service providers as defined in RCW 71.05.020, mental health consumers, and 31 32 advocates for persons with mental illness, shall adopt rules to implement the provisions of this section related to the type and scope 33 of information to be released. These rules shall: 34

35 (a) Enhance and facilitate the ability of the department of 36 corrections to carry out its responsibility of planning and ensuring 37 community protection with respect to persons subject to sentencing under chapter 9.94A or 9.95 RCW, including accessing and releasing or disclosing information of persons who received mental health services as a minor; and

4 (b) Establish requirements for the notification of persons under
5 the supervision of the department of corrections regarding the
6 provisions of this section.

7 (4) The information received by the department of corrections under
8 this section shall remain confidential and subject to the limitations
9 on disclosure outlined in chapter 71.05 RCW, except as provided in RCW
10 72.09.585.

(5) No mental health service provider or individual employed by a mental health service provider shall be held responsible for information released to or used by the department of corrections under the provisions of this section or rules adopted under this section.

15 (6) Whenever federal law or federal regulations restrict the 16 release of information ((contained in the treatment records of)) and 17 records related to mental health services for any patient who receives 18 treatment for alcoholism or drug dependency, the release of the 19 information may be restricted as necessary to comply with federal law 20 and regulations.

(7) This section does not modify the terms and conditions of disclosure of information related to sexually transmitted diseases under chapter 70.24 RCW.

(8) The department shall, subject to available resources, electronically, or by the most cost-effective means available, provide the department of corrections with the names, last dates of services, and addresses of specific regional support networks and mental health service providers that delivered mental health services to a person subject to chapter 9.94A or 9.95 RCW pursuant to an agreement between the departments.

31 **Sec. 15.** RCW 70.02.030 and 2005 c 468 s 3 are each amended to read 32 as follows:

(1) A patient may authorize a health care provider or health care facility to disclose the patient's health care information. A health care provider or health care facility shall honor an authorization and, if requested, provide a copy of the recorded health care information unless the health care provider or health care facility denies the
 patient access to health care information under RCW 70.02.090.

3 (2) A health care provider or health care facility may charge a 4 reasonable fee for providing the health care information and is not 5 required to honor an authorization until the fee is paid.

6 (3) To be valid, a disclosure authorization to a health care 7 provider or health care facility shall:

8

(a) Be in writing, dated, and signed by the patient;

9

(b) Identify the nature of the information to be disclosed;

10 (c) Identify the name and institutional affiliation of the person 11 or class of persons to whom the information is to be disclosed;

12 (d) Identify the provider or class of providers who are to make the13 disclosure;

14 (e) Identify the patient; and

15 (f) Contain an expiration date or an expiration event that relates 16 to the patient or the purpose of the use or disclosure.

17 (4) Unless disclosure without authorization is otherwise permitted 18 under RCW 70.02.050 or the federal health insurance portability and 19 accountability act of 1996 and its implementing regulations, an 20 authorization may permit the disclosure of health care information to 21 a class of persons that includes:

(a) Researchers if the health care provider or health care facility
obtains the informed consent for the use of the patient's health care
information for research purposes; or

(b) Third-party payors if the information is only disclosed forpayment purposes.

(5) Except as provided by this chapter, the signing of an
authorization by a patient is not a waiver of any rights a patient has
under other statutes, the rules of evidence, or common law.

30 (6) When an authorization permits the disclosure of health care 31 information to a financial institution or an employer of the patient 32 for purposes other than payment, the authorization as it pertains to 33 those disclosures shall expire ((ninety days)) one year after the 34 signing of the authorization, unless the authorization is renewed by 35 the patient.

(7) A health care provider or health care facility shall retain the
 original or a copy of each authorization or revocation in conjunction
 with any health care information from which disclosures are made.

1 (8) Where the patient is under the supervision of the department of 2 corrections, an authorization signed pursuant to this section for 3 health care information related to mental health or drug or alcohol 4 treatment expires at the end of the term of supervision, unless the 5 patient is part of a treatment program that requires the continued 6 exchange of information until the end of the period of treatment.

7 **Sec. 16.** RCW 70.02.045 and 2000 c 5 s 2 are each amended to read 8 as follows:

9 Third-party payors shall not release health care information 10 disclosed under this chapter, except ((to the extent that health care 11 providers are authorized to do so under RCW 70.02.050)) as permitted 12 under this chapter.

13 <u>NEW SECTION.</u> Sec. 17. Sections 1 through 7 and 9 through 16 of 14 this act take effect July 1, 2014.

15 <u>NEW SECTION.</u> Sec. 18. Section 8 of this act is necessary for the 16 immediate preservation of the public peace, health, or safety, or 17 support of the state government and its existing public institutions, 18 and takes effect immediately."

19 Correct the title.

EFFECT: Clarifies that the term "information and records related to mental health services" includes mental health information contained in a medical bill, registration records, and all records about the person that are maintained by the Department of Social and Health Services, regional support networks, and treatment facilities. Includes information maintained by a mental health professional, in addition to mental health agencies. Excludes psychotherapy notes from the term, which are defined as notes recorded by a mental health professional that document the contents of conversations during counseling sessions and that are separated from the rest of the individual's medical record. Eliminates the term "mental health treatment records," and references to it are changed to "information and records related to mental health services."

Expands the term "mental health professional" to include persons who work in a private setting, in addition to a public setting.

Applies exceptions to the right of a patient to receive an accounting of disclosures of health care information to mental health

treatment information. Includes exceptions relating to uses or disclosures that pertain to treatment, payment, and health care operations; the patient's own health care information; uses or disclosures that are permitted or required by law; authorizations by the patient; directory information; persons involved in the patient's care; national security; correctional institutions or law enforcement officials; and limited data sets without identifying information.

Eliminates duplicative standards related to permissible disclosures of information and records related to mental health so that a single standard applies to situations in which the communication is between mental health professionals and a state or local correctional facility where the patient is confined or supervised.

Clarifies the requirement that a person who receives health care information to perform services on behalf of a health care provider may not use the information in a manner inconsistent with the duties of the health care provider so that the information may not be used in a manner that would violate confidentiality provisions if performed by the provider. Clarifies the requirement that third-party payors only disclose health care information to the extent that a health care provider may disclose information without authorization so that the third-party payor may only release health care information as provided under the Uniform Health Care Information Act.

Makes permissive, rather than mandatory, the requirement that a health care provider or facility terminate a contractual relationship with any entity that violates its responsibility to keep information confidential.

Extends, from 90 days to one year, the duration of an authorization to disclose health care information to a financial institution or an employer of the patient.

Authorizes disclosures of health care information for research purposes to include health care information related to chemical dependency as authorized in state and federal law.

Changes references to disclosures permitted in a "treatment facility" where a patient is receiving treatment to clarify that the provision applies to "mental health service agencies."

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