## SENATE BILL 6154

State of Washington 64th Legislature 2016 Regular Session

By Senators Miloscia, Rolfes, Pearson, O'Ban, Conway, McAuliffe, and Darneille

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- AN ACT Relating to creating an office of the corrections ombuds;
- 2 adding a new chapter to Title 43 RCW; and prescribing penalties.
- 3 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- The legislature intends to create an 4 NEW SECTION. Sec. 1. 5 independent entity, the office of the corrections ombuds, to work for 6 improved conditions and programs, and support fair treatment 7 inmates in Washington state. The legislature further intends that the ombuds support changes that facilitate the successful reentry of 8 inmates into the community, and promote high standards of justice, 9 10 accountability, and transparency in the state correctional system.
- 11 The legislature hereby authorizes the NEW SECTION. Sec. 2. creation of the office of the corrections ombuds for the purpose of 12 13 providing information to inmates, family members, representatives of inmates, department employees, and others regarding the rights of 14 15 inmates; providing technical assistance to support inmate self-16 alternative dispute resolution, advocacy, and individual 17 identifying systemic issues, representation; reporting to legislature, and advocating for systemic reform; and monitoring and 18 promoting compliance with statutes, rules, and policies pertaining to 19 20 conditions of correctional facilities and the rights of inmates.

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NEW SECTION. Sec. 3. The definitions in this section apply throughout this chapter unless the context clearly requires otherwise.

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- (1) "Abuse" means any act or failure to act by a department employee, subcontractor, or volunteer which was performed, or which was failed to be performed, knowingly, recklessly, or intentionally, and which caused, or may have caused, injury or death to an inmate.
- 8 (2) "Corrections ombuds" or "ombuds" means the corrections 9 ombuds, staff of the corrections ombuds, and volunteers with the 10 office of the corrections ombuds.
- 11 (3) "Council" means the ombuds advisory council established in 12 section 4(1) of this act.
  - (4) "Department" means the department of corrections.
  - (5) "Inmate" means a person committed to the custody of the department, including, but not limited to, persons residing in a correctional institution or facility; persons released from such facility on furlough, work release, or community custody; and persons received from another state, another state agency, a county, or the federal government.
- 20 (6) "Neglect" means a negligent act or omission by any department 21 employee, subcontractor, or volunteer which caused, or may have 22 caused, injury or death to an inmate.
  - (7) "Office" means the office of the corrections ombuds.
- 24 (8) "Organization" means the private nonprofit organization that 25 operates the office of the corrections ombuds.

26 NEW SECTION. **Sec. 4.** (1) No later than July 1, 2016, the 27 governor shall convene an ombuds advisory council with several purposes in support of the ombuds function. The council shall 28 participate in a priority setting process for the purpose of 29 30 developing priority recommendations to the ombuds, review data collected by the ombuds, review reports issued by the ombuds prior to 31 their release, and make recommendations to the ombuds regarding the 32 accomplishment of its purposes. The council also has authority to 33 its own reports and recommendations. The council must 34 biannually review ombuds performance, reporting to the governor and 35 the legislature regarding its findings. The council must provide the 36 37 legislature and the governor with recommendations regarding the 38 ombuds budget and changes in the law that would enhance the ombuds 39 effectiveness.

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- 1 (2) The council initially consists of the following four members:
- (a) The president of the senate shall appoint one member from 2 each of the two largest caucuses of the senate. 3
  - (b) The speaker of the house of representatives shall appoint one member from each of the two largest caucuses of the house of representatives.
  - (3) The council membership in subsection (2) of this section shall select the following additional members:
- (a) One former inmate, who has successfully reintegrated into the 9 community and is no longer in the custody of the department. 10
  - (b) Two family members of current inmates.

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- (c) One expert with significant criminal justice or correctional 12 experience who is not an employee or contractor with Washington 13 14 state.
- (d) A community member with extensive knowledge and experience in 15 16 issues related to racial, ethnic, or religious diversity within the 17 correctional system.
- (e) A community member with extensive knowledge and experience in 18 the accommodation needs of individuals with disabilities. 19
- (f) An individual with dispute resolution training who has 20 21 experience working in the criminal justice or corrections fields.
- (4) The governor shall select the following two additional 22 members of the council: 23
  - (a) A representative of the department.
  - (b) A representative of the collective bargaining unit of employees of the department.
    - (5) After the full membership is attained, the council shall develop a process for replacing members in case of resignation or expiration of terms.
- (6) Council members serve a term of three years, except that the 31 council shall create and implement a system of staggered terms, and no member may serve more than two consecutive terms. The council 32 shall convene at least quarterly. Council members will serve without 33 compensation, except that funds appropriated for the implementation 34 of this act may be used to reimburse members who are not employees of 35 36 Washington state for expenses necessary to the performance of their duties. 37
- <u>NEW SECTION.</u> **Sec. 5.** (1) The governor shall designate, by a 38 competitive bidding process, the nonprofit organization that will 39

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1 contract to operate the office of the corrections ombuds. The selection process must include direct stakeholder participation in 2 the development of the request for proposals, evaluation of bids, and 3 final selection. The governor shall select an organization that 4 possesses, directly or through subcontracts, significant legal 5 expertise, competence with mediation and alternative dispute 6 resolution, and experience working within criminal justice and 7 correctional environments addressing issues relating to chemical 8 9 dependency treatment, disability and disability-related accommodation, respect for racial, ethnic, and religious diversity, 10 11 other civil rights and conditions issues. The selected 12 organization must have experience and the capacity to effectively communicate regarding criminal justice issues with policymakers, 13 14 stakeholders, and the general public, and must be prepared and able to provide all program and staff support necessary, directly or 15 16 through subcontracts, to carry out all duties of the office.

- (2) The contracting organization and its subcontractors, if any, are not state agencies or departments, but instead are private, independent entities operating under contract with the state.
- (3) The governor or state may not revoke the designation of the organization contracted to provide the services of the office of the corrections ombuds except upon a showing of neglect of duty, misconduct, or inability to perform duties. Prior to revoking the designation, the state must provide notice and an opportunity for the organization, the ombuds, and the public to comment upon the proposed revocation, and must provide the organization an opportunity to appeal the decision to the state supreme court.

## 28 NEW SECTION. Sec. 6. (1) The ombuds shall:

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- 29 (a) Establish priorities for use of the limited resources 30 appropriated to implement this act;
- 31 (b) Maintain a statewide toll-free telephone number, a collect 32 telephone number, a web site, and a mailing address for the receipt 33 of complaints and inquiries;
- 34 (c) Provide information, as appropriate, to inmates, family 35 members, representatives of inmates, department employees, and others 36 regarding the rights of inmates;
- 37 (d) Provide technical assistance to support inmate participation 38 in self-advocacy, utilizing existing kite, grievance, and appeal 39 procedures;

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- 1 (e) Monitor department compliance with applicable federal, state, 2 and local laws, rules, regulations, and policies with a view toward 3 protecting the rights of inmates;
  - (f) Monitor and participate in legislative and policy developments affecting correctional facilities and advocate for systemic reform aimed toward protecting the rights of inmates;
  - (g) Establish a statewide uniform reporting system to collect and analyze data related to complaints regarding the department;
- 9 (h) Establish procedures to receive, investigate, and resolve 10 complaints;
- (i) Submit annually to the council, by November 1st of each year, a report analyzing the work of the office, including any recommendations; and
- 14 (j) Adopt and comply with policies and procedures necessary to 15 implement this chapter.
  - (2)(a) The ombuds may initiate and attempt to resolve an investigation upon his or her own initiative, or upon receipt of a complaint from an inmate, a family member, a representative of an inmate, a department employee, or others, regarding:
  - (i) Abuse or neglect;

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- 21 (ii) Department decisions or actions;
- 22 (iii) Inactions or omissions;
- 23 (iv) Policies, rules, or procedures; or
  - (v) Alleged violations of law.
- 25 (b) The ombuds may decline to investigate any complaint as 26 provided by the rules adopted under this chapter.
- 27 (c) The ombuds may not investigate any complaints relating to an 28 inmate's underlying criminal conviction.
- 29 (d) The ombuds may not investigate a complaint from a department 30 employee that relates to the employee's employment relationship with 31 the department.
- 32 (e) The ombuds may refer complainants and others to appropriate 33 resources, agencies, or departments.
- 34 (f) The ombuds may not levy any fees for the submission or 35 investigation of complaints.
  - (g) At the conclusion of an investigation of a complaint, the ombuds must render a public decision on the merits of each complaint, except that the documents supporting the decision are subject to the confidentiality provisions of section 8 of this act. The ombuds must communicate the decision to the inmate, if any, and to the

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- 1 department. The ombuds must state their recommendations and reasoning
- 2 if, in the ombuds' opinion, the department or any employee thereof
- 3 should:

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- 4 (i) Consider the matter further;
  - (ii) Modify or cancel any action;
- 6 (iii) Alter a rule, practice, or ruling;
- 7 (iv) Explain in detail the administrative action in question;
- 8 (v) Rectify an omission; or
- 9 (vi) Take any other action.
- 10 (h) If the ombuds so requests, the department must, within the 11 time specified, inform the ombuds about any action taken on the 12 recommendations or the reasons for not complying with the 13 recommendations.
- 14 (i) After the conclusion of an investigation, if the ombuds 15 believes that additional action is warranted, the ombuds may:
- 16 (i) Report a finding of abuse, neglect, or other rights violation 17 to the appropriate committees of the legislature.
- 18 (ii) Commence litigation, but state funds may not be used for 19 purposes of litigation.
- 20 (iii) Take any additional action that the ombuds considers 21 appropriate.
  - (j) Before announcing a conclusion or recommendation that expressly, or by implication, criticizes a person or the department, the ombuds must attempt to notify the person or the department. The ombuds may request to be notified by the department, within a specified time, of any action taken on any recommendation presented. The ombuds must notify the inmate, if any, of the actions taken by the department in response to the ombuds' recommendations.
- 29 (3) This chapter does not require inmates to file a complaint 30 with the ombuds in order to exhaust available administrative remedies 31 for purposes of the prison litigation reform act of 1995, P.L. 32 104-134.
- NEW SECTION. Sec. 7. (1) The ombuds must have reasonable unaccompanied access to correctional facilities at all times necessary to conduct a full investigation of an incident of abuse or neglect. This authority includes the opportunity to interview any inmate, department employee, or other person, including the person thought to be the victim of such abuse, who might be reasonably

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believed to have knowledge of the incident under investigation. Such access must be afforded, upon request by the ombuds, when:

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- (a) An incident is reported or a complaint is made to the office;
- (b) The ombuds determines there is probable cause to believe that an incident has or may have occurred; or
- 6 (c) The ombuds determines that there is or may be imminent danger 7 of serious abuse or neglect of an inmate.
  - (2) The ombuds must have reasonable unaccompanied access to department facilities, including all areas which are used by inmates, all areas which are accessible to inmates, and to programs for inmates at reasonable times, which at a minimum must include normal working hours and visiting hours. This access is for the purpose of:
- 13 (a) Providing information about individual rights and the 14 services available from the office, including the name, address, and 15 telephone number of the office;
- 16 (b) Monitoring compliance with respect to the rights and safety 17 of inmates; and
  - (c) Inspecting, viewing, photographing, and video recording all areas of the facility which are used by inmates or are accessible to inmates.
    - (3) Unaccompanied access to inmates includes the opportunity to meet and communicate privately and confidentially with individuals regularly, both formally and informally, by telephone, mail, and in person.
    - (4) If the department or a department employee denies access to any premises under the control of the department to the ombuds, or subcontractors or volunteers who are performing ombuds functions or acting under the supervision of the ombuds, the department is liable for a fine of up to one thousand dollars per day of denied access.
    - (5) The ombuds has the right to access, inspect, and copy all relevant information, records, or documents in the possession or control of the department that the ombuds considers necessary in an investigation of a complaint filed under this chapter, and the department must assist the ombuds in obtaining the necessary releases for those documents which are specifically restricted or privileged for use by the ombuds.
- 37 (a) When conducting an investigation of potential abuse or 38 neglect, the ombuds must have access to relevant records not later 39 than three business days after the ombuds makes a written request for 40 such records.

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(b) The ombuds must have immediate access, not later than twenty-four hours after the ombuds makes such a request, to relevant records, without consent from another party, if the ombuds determines there is probable cause to believe that the health or safety of an inmate is in serious and immediate jeopardy, or in any case of death of an inmate while in department custody.

- (6) A state or local government agency or entity that has records that are relevant to a complaint or an investigation conducted by the ombuds must provide the ombuds with access to such records.
- NEW SECTION. Sec. 8. (1) Correspondence between the office and an inmate is confidential and must be processed as privileged correspondence in the same manner as legal correspondence between inmates and courts, attorneys, or public officials.
- 14 (2) The office shall establish confidentiality rules and 15 procedures for all information maintained by the office.
  - (3) The office shall preserve the confidentiality of information obtained while providing services, including general information, technical assistance, and investigations, to individuals, including inmates, family members and representatives of inmates, department employees, and others. Confidential information may not be disclosed unless the individual gives informed consent, the disclosure is impliedly authorized in order to carry out ombuds services, or the disclosure is authorized by subsection (4) of this section.
  - (4) To the extent the ombuds reasonably believes necessary, the ombuds:
  - (a) Must reveal information obtained in the course of providing ombuds services to prevent reasonably certain death or substantial bodily harm; and
- 29 (b) May reveal information obtained in the course of providing 30 ombuds services to prevent the commission of a crime.
- NEW SECTION. Sec. 9. (1) A civil action may not be brought against any employee of the office for good faith performance of responsibilities under this chapter.
  - (2) No discriminatory, disciplinary, or retaliatory action may be taken against a department employee, subcontractor, or volunteer, an inmate, or a family member or representative of an inmate for any communication made, or information given or disclosed, to aid the office in carrying out its responsibilities, unless the communication

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or information is made, given, or disclosed maliciously or without good faith.

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- (3) The department may not hinder the lawful actions of the ombuds or employees of the office, or willfully refuse to comply with lawful demands of the office. If the department or a department employee willfully hinders the lawful actions of the ombuds or willfully refuses to comply with the lawful demands of the ombuds, the department is liable to a fine of up to one thousand dollars per incident of hindrance or noncompliance.
- 10 (4) This section is not intended to infringe on the rights of an 11 employer to supervise, discipline, or terminate an employee for other 12 reasons.
- NEW SECTION. Sec. 10. Sections 1 through 9 of this act constitute a new chapter in Title 43 RCW.

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