Title 390 WAC PUBLIC DISCLOSURE COMMISSION

Chantara			076 (Order 101), filed 8/28/78. Statutory Authority:
Chapters			RCW 42.17,370(1).
390-05	General policies and definitions.	390-04-070	Effect of Public Disclosure Act-Freedom of
390-12	Administrative procedures.		communication—Employer interference. [Order 9, §
390–13	General provisions relating to public re-		390-04-070, filed 4/24/73.] Repealed by 78-09-076 (Order 101), filed 8/28/78. Statutory Authority:
390-14	cords of state and local agencies. Access to public records of the public dis-		RCW 42.17.370(1).
370-14	closure commission.	390-04-080	List of elected public officials. [Order 9, § 390–04–
390-16	Forms for campaign financing reporting—		080, filed 4/24/73.] Repealed by 78–09–076 (Order 101), filed 8/28/78. Statutory Authority: RCW
370-10	Contributions.		42.17.370(1).
390-18	Political advertising.	390-04-090	List of elected public officials—Responsibility for
390-20	Forms for lobbying reports, elected officials		developing. [Order 9, § 390–04–090, filed 4/24/73.]
370-20	and legislators.		Repealed by 78–09–076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).
390-24	Forms for reports of financial affairs.	390-04-100	List of elected public officials-Name not on list,
390-28	Hardship exemptions—Hearing examiner		impact. [Order 9, § 390–04–100, filed 4/24/73.]
	system.		Repealed by 78–09–076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).
390-32	Fair Campaign Practices Code.	390-04-110	Public Disclosure Act—Violation of other law. [Order
390-37	Enforcement procedures—Investigative		13, § 390-04-110, filed 7/31/73.] Repealed by 78-
	hearings.		09-076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).
		390-04-120	Hearing to suspend reporting requirements. [Order
DISPOSITIO	N OF CHAPTERS FORMERLY CODIFIED IN THIS		13, § 390-04-120, filed 7/31/73.] Repealed by Order
	TITLE	390-04-130	24, filed 2/21/74. Hearing to suspend reporting requirements—
	Chapter 390-04	370-04-130	Application for exemption—Hearing. [Order 20, §
POL	ICY AND ENFORCEMENT REGULATIONS		390-04-130, filed 10/29/73; Order 13, § 390-04-
102			130, filed 7/31/73.] Repealed by Order 24, filed 2/21/74.
39004010	Purpose. [Order 9, § 390-04-010, filed 4/24/73.]	390-04-140	Public Disclosure Act—Required findings. [Order 13,
	Repealed by 78–09–076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).		§ 390–04–140, filed 7/31/73.] Repealed by 78–09–
390-04-020	General administrative policy. [Order 9, § 390-04-		076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).
	020, filed 4/24/73.] Repealed by 78-09-076 (Order	390-04-150	Public Disclosure Act—Prima facie qualifications.
	101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).		[Order 13, § 390–04–150, filed 7/31/73.] Repealed
390-04-030	Definitions. [Order 9, § 390–04–030, filed 4/24/73.]		by 78-09-076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).
	Repealed by 78-09-076 (Order 101), filed 8/28/78.	390-04-160	Written sworn statement. [Order 13, § 390-04-160,
390-04-031	Statutory Authority: RCW 42.17.370(1). Definition of term "other expenses." [Order 19, §		filed 7/31/73.] Repealed by 78-09-076 (Order 101),
370-04-031	390–04–031, filed 10/29/73.] Repealed by 78–09–		filed 8/28/78. Statutory Authority: RCW 42.17.370(1).
	076 (Order 101), filed 8/28/78. Statutory Authority:	390-04-170	Campaign financing—Special reports. [Order 13, §
390-04-035	RCW 42.17.370(1). Definition of direct financial interest. [Order 19, §		390-04-170, filed 7/31/73.] Repealed by 78-09-076
390-04-033	390–04–035, filed 10/29/73.] Repealed by 78–09–		(Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).
	076 (Order 101), filed 8/28/78. Statutory Authority:	390-04-180	Campaign financing—Time for filing. [Order 13, §
200 04 026	RCW 42.17.370(1).		390-04-180, filed 7/31/73.] Repealed by 78-09-076
390-04-036	Definition of debt. [Order 26, § 390-04-036, filed 2/21/74.] Repealed by 78-09-076 (Order 101), filed		(Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).
	8/28/78. Statutory Authority: RCW 42.17.370(1).	390-04-190	Campaign financing—Encouraging expenditures to
39004037	Contribution—Defined. [Order 34, § 390–04–037,		avoid contributions—Result. [Order 13, § 390-04-
	filed 8/28/74.] Repealed by 78-09-076 (Order 101), filed 8/28/78. Statutory Authority: RCW		190, filed 7/31/73.] Repealed by 78–09–076 (Order
	42.17.370(1).		101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).
390-04-038	"Aggregate" defined. [Order 61, § 390-04-038, filed	390-04-200	Abbreviated campaign reporting—Campaigns for
	7/16/75.] Repealed by 78-09-076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).		public office involving \$1,000 or less. [Order 61, §
390-04-040	Use of office facilities. [Order 9, § 390–04–040, filed		390-04-200, filed 7/16/75; Order 13, § 390-04-200, filed 7/31/73.] Repealed by 78-09-076 (Order 101),
	4/24/73.] Repealed by 78-09-076 (Order 101), filed		filed 8/28/78. Statutory Authority: RCW
200 04 050	8/28/78. Statutory Authority: RCW 42.17.370(1).	200 04 240	42.17.370(1).
390-04-050	Enforcement policy. [Order 9, § 390-04-050, filed 4/24/73.] Repealed by 78-09-076 (Order 101), filed	390–04–210	Abbreviated campaign reporting—Ballot propositions. [Order 61, § 390–04–210, filed 7/16/75; Order 13, §
	8/28/78. Statutory Authority: RCW 42.17.370(1).		390-04-210, filed 7/31/73.] Repealed by 78-09-076
39004060	Lobbyist's employer—Meaning—Examples. [Order 9,		(Order 101), filed 8/28/78. Statutory Authority:
	§ 390–04–060, filed 4/24/73.] Repealed by 78–09–		RCW 42.17.370(1).
(1990 Ed.)			[Title 390 WAC—p 1]

WAG

THE 390 W	THE 390 WAC: FUL			
390-04-215	Abbreviated campaign reporting—Conditions for granting use. [Order 61, § 390-04-215, filed 7/16/75.] Repealed by 78-09-076 (Order 101), filed			
390-04-220	8/28/78. Statutory Authority: RCW 42.17.370(1). Exemptions—Conditions for granting. [Order 13, § 390–04–220, filed 7/31/73.] Repealed by 78–09–076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).			
390-04-225	Abbreviated campaign reporting—Times and place for filing reports C-1 and C-4 under \$1,000 exemption. [Order 61, § 390-04-225, filed 7/16/75.] Repealed by 78-09-076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).			
390-04-230	Abbreviated campaign reporting—Exceeding limitations. [Order 61, § 390-04-230, filed 7/16/75; Order 13, § 390-04-230, filed 7/31/73.] Repealed by 78-09-076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).			
390-04-240	Minimum limit on campaign expenditures. [Order 13, § 390-04-240, filed 7/31/73.] Repealed by 78-09-076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).			
390-04-250	Expenditures—Aggregate totals. [Order 13, § 390–04–250, filed 7/31/73.] Repealed by 78–09–076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).			
390–04–260	Lobbyists registration and reporting—Timing. [Order 25, § 390-04-260, filed 2/21/74.] Repealed by 78-09-076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).			
390-04-270	Report of contributions and expenditures—C-4—Reporting requirement. [Order 25, § 390-04-270, filed 2/21/74.] Repealed by 78-09-076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).			
390-04-280	Time of filing F-1—RCW 42.17.240. [Order 26, § 390–04–280, filed 2/21/74.] Repealed by 78–09–076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).			
390-04-290	Candidates for public office—Time of filing. [Order 32, § 390-04-290, filed 7/19/74.] Repealed by 78-09-076 (Order 101), filed 8/28/78. Statutory Authority: RCW 42.17.370(1).			
Chapter 390–36 COMPLIANCE HEARING PROCEDURES				
	MPLIANCE HEARING PROCEDURES			
390-36-010	Compliance hearings—Findings of fact, alleged violations, public disclosure commission policy.			

390–36–020	Compliance hearings policy. [Order 62, § 390–36–
	020, filed 8/26/75; Order 58, § 390-36-020, filed
	7/16/75.] Repealed by Order 81, filed 7/22/76 and
	by Order 84, filed 8/18/76.
390-36-024	Definition—Compliance hearing officer. [Order 67, §
	390-36-024, filed 1/16/76.] Repealed by Order 81,
	filed 7/22/76 and by Order 84, filed 8/18/76.
39036030	Compliance hearings—Invitation to appear,
	representative notice. [Order 62, § 390-36-030, filed
	8/26/75; Order 58, § 390–36–030, filed 7/16/75.]
	Repealed by Order 81, filed 7/22/76 and by Order
	84, filed 8/18/76.
390-36-040	Compliance hearings—Alleged violations—
	Subpoenas. [Order 62, § 390-36-040, filed 8/26/75;
	Order 58, § 390-36-040, filed 7/16/75.] Repealed by

by Order 84, filed 8/18/76.

[Order 62, § 390-36-010, filed 8/26/75; Order 58, § 390-36-010, filed 7/16/75.] Repealed by Order 81, filed 7/22/76 and by Order 84, filed 8/18/76.

Order 81, filed 7/22/76 and by Order 84, filed

Compliance hearings-Procedures. [Order 62, § 390-36-050, filed 8/26/75; Order 58, § 390-36-050, filed

7/16/75.] Repealed by Order 81, filed 7/22/76 and

Compliance hearings-Hearing officer. [Order 67, §

390-36-055, filed 1/16/76.] Repealed by Order 81, filed 7/22/76 and by Order 84, filed 8/18/76.

390-36-060 Compliance hearings-Continuances. [Order 62, § 390-36-060, filed 8/26/75; Order 58, § 390-36-060, filed 7/16/75.] Repealed by Order 81, filed 7/22/76 and by Order 84, filed 8/18/76.

390-36-070 Compliance hearings—Disposition—Findings. [Order 62, § 390-36-070, filed 8/26/75; Order 58, § 390-36-070, filed 7/16/75.] Repealed by Order 81, filed 7/22/76 and by Order 84, filed 8/18/76.

Chapter 390-05 WAC GENERAL POLICIES AND DEFINITIONS

WAC	
390-05-010	Purpose.
390-05-020	General administrative policy.
390-05-040	Public Disclosure Act—Violation of other law.
390-05-050	Commission status under SEPA.
390-05-200	Definition—Candidates for public office—Time of
	filing.
390-05-205	Definition of term "consumable."
390-05-210	Definition—Contribution.
390-05-220	Definition—Consideration.
390-05-235	Definition—Fair market value.
390-05-250	Definition—Public disclosure commission.
390-05-271	General applications of RCW 42.17.130.
390-05-273	Definition of normal and regular conduct.
390-05-290	Definition—Political advertising.
390-05-300	Suspension of reporting requirements.
390-05-305	Petition for disclosure—Form.

DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS **CHAPTER**

390-05-030	Enforcement policy. [Order 62, § 390-05-030, filed 8/26/75.] Repealed by Order 72, filed 4/26/76.
390-05-230	Definition—Elected official. [Order 62, § 390-05-230, filed 8/26/75.] Repealed by 85-15-020 (Order 85-03), filed 7/9/85. Statutory Authority: RCW 42.17.370(1).
390-05-240	Definition—Person. [Order 62, § 390-05-240, filed 8/26/75.] Repealed by 85-15-020 (Order 85-03), filed 7/9/85. Statutory Authority: RCW 42.17.370(1).
390-05-260	Definition—Public record. [Order 62, § 390-05-260, filed 8/26/75.] Repealed by 85-15-020 (Order 85-03), filed 7/9/85. Statutory Authority: RCW 42.17.370(1).
390-05-270	Definition—Use of facilities. [Order 62, § 390-05-270, filed 8/26/75.] Repealed by 79-02-056 (Order 79-01), filed 1/31/79. Statutory Authority: RCW

42.17.370(1). 390-05-280 Definition-Writing. [Order 62, § 390-05-280, filed 8/26/75.] Repealed by 85-15-020 (Order 85-03), filed 7/9/85. Statutory Authority: RCW 42.17.370(1).

WAC 390-05-010 Purpose. The purpose of these regulations is to implement the provisions of chapter 42-.17 RCW (Initiative 276), hereinafter referred to as the Public Disclosure Act or act, by declaring the policies of the commission, particularly with regard to the interpretation and enforcement of the act by the commission.

[Order 62, § 390-05-010, filed 8/26/75.]

WAC 390-05-020 General administrative policy. Whereas the Public Disclosure Act was adopted by the people for the general betterment of local and state government, it shall be the policy of the public disclosure commission to carry out and effectuate that policy to the

390-36-050

390-36-055

full extent of its delegated powers, through efficient administration, appropriate regulations and rulings, and through strict, vigorous, uniform and fair enforcement of the provisions of the act.

[Order 62, § 390-05-020, filed 8/26/75.]

WAC 390-05-040 Public Disclosure Act--Violation of other law. No provision of chapter 42.17 RCW (Initiative 276) shall be construed in such a manner as to require any person to act or refrain from acting where such action or nonaction would violate any provision of the state or federal constitution or any federal law.

[Order 62, § 390-05-040, filed 8/26/75.]

WAC 390-05-050 Commission status under SEPA. (1) The public disclosure commission recognizes its responsibilities under RCW 43.21C.120 to adopt rules pertaining to the State Environmental Policy Act (SEPA) as that act relates to activities under the commission's jurisdiction. The commission has reviewed SEPA and its own programs and concludes that all actions which the commission is authorized to undertake are exempt by virtue of WAC 197-10-040(2), 197-10-150 through 197-10-190. The commission, as an administrative agency, has statutory authority for information gathering, recordkeeping, and investigative and hearing procedures with respect to elected officials, candidates, political committees, and persons and entities involved in lobbying activities. None of these activities are potentially subject to the environmental impact statement requirements of RCW 43.21C.030.

(2) In accordance with WAC 197-10-800(4), the commission adopts this statement in compliance with the requirements of chapter 43.21C RCW.

[Order 77, § 390-05-050, filed 6/2/76.]

WAC 390-05-200 Definition—Candidates for public office—Time of filing. The following circumstances shall give rise to presumption that an individual is a "candidate" as that term is defined in RCW 42.17.020(5):

- (1) The existence of a political committee promoting the election of such individual for public office with the knowledge and consent of that individual; or,
- (2) A public declaration of candidacy by an individual even if the candidacy is conditioned on a future occurrence

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–05–200, filed 7/9/85; Order 62, § 390–05–200, filed 8/26/75.]

WAC 390-05-205 Definition of term "consumable." For the purpose of RCW 42.17.020(10) the term "consumables" includes the amount paid for food, beverages, preparation or catering, entertainment cost or fair market value of items sold, raffled, or given as prizes.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–05–205, filed 7/9/85; Order 63, § 390–05–205, filed 9/10/75.]

WAC 390-05-210 Definition—Contribution. The term "contribution" as defined in RCW 42.17.020(10)

shall be deemed to include, among other things, furnishing services or property or rights on a discriminatory basis or at less than their fair market value as defined in WAC 390-05-235, for the purpose of assisting any candidate or political committee. [If no reasonable estimate of the value of such services, property or rights is practicable, it shall be sufficient to report instead a precise description of such services, property or rights so furnished.] When such in-kind contribution of goods or services is provided, it shall be reported at its fair market value, per WAC 390-05-235.

[Statutory Authority: RCW 42.17.370(1). 88–14–064 (Order 88–02), § 390–05–210, filed 7/1/88; 85–15–020 (Order 85–03), § 390–05–210, filed 7/9/85; Order 62, § 390–05–210, filed 8/26/75.]

Reviser's note: RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.

WAC 390-05-220 Definition—Consideration. The term "consideration" as used in the act and in these rules shall be deemed to include anything of value promised or paid or transferred in return for a person's property or services rendered or to be rendered, including but not limited to reimbursement for traveling or other expenses.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–05–220, filed 7/9/85; Order 62, § 390–05–220, filed 8/26/75.]

WAC 390-05-235 Definition—Fair market value. "Fair market value" or "value" when used in the act or regulation is the amount in cash which a well—informed buyer or lessee, willing but not obligated to buy or lease that property, would pay, and which a well—informed seller, or lessor, willing but not obligated to sell or lease it, would accept, taking into consideration all uses to which the property is adapted and might in reason be applied.

[Statutory Authority: RCW 42.17.370(1). 79-08-046 (Order 79-03), § 390-05-235, filed 7/19/79.]

WAC 390-05-250 Definition—Public disclosure commission. The "public disclosure commission" is the commission appointed by the governor pursuant to RCW 42.17.350. The public disclosure commission shall hereinafter be referred to as the commission.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–05–250, filed 7/9/85; Order 62, § 390–05–250, filed 8/26/75.]

WAC 390-05-271 General applications of RCW 42.17.130. (1) RCW 42.17.130 does not restrict the right of any individual to express his or her own personal views concerning, supporting, or opposing any candidate or ballot proposition, if such expression does not involve a use of the facilities of a public office or agency.

(2) RCW 42.17.130 does not prevent a public office or agency from (a) making facilities available on a non-discriminatory, equal access basis for political uses or (b) making an objective and fair presentation of facts relevant to a ballot proposition, if such action is part of the normal and regular conduct of the office or agency.

[Title 390 WAC-p 3]

[Statutory Authority: RCW 42.17.370(1). 80–02–055 (Order 80–01), § 390–05–271, filed 1/17/80; 79–02–056 (Order 79–01), § 390–05–271, filed 1/31/79.]

WAC 390-05-273 Definition of normal and regular conduct. Normal and regular conduct of a public office or agency, as that term is used in the proviso to RCW 42.17.130, means conduct which is (1) lawful, i.e., specifically authorized, either expressly or by necessary implication, in an appropriate enactment, and (2) usual, i.e., not effected or authorized in or by some extraordinary means or manner. No local office or agency may authorize a use of public facilities for the purpose of assisting a candidate's campaign or promoting or opposing a ballot proposition, in the absence of a constitutional, charter, or statutory provision separately authorizing such use.

[Statutory Authority: RCW 42.17.370(1). 79-02-056 (Order 79-01), § 390-05-273, filed 1/31/79.]

WAC 390-05-290 Definition—Political advertising. Political advertising does not include letters to the editor, news or feature articles, editorial comment or replies thereto in a regularly published newspaper, periodical, or on a radio or television broadcast where payment for the printed space or broadcast time is not normally required.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–05–290, filed 7/9/85.]

- WAC 390-05-300 Suspension of reporting requirements. From the effective date of RCW 42.17.405, the following reporting requirements are suspended in jurisdictions with less than one thousand registered voters as of the date of the most recent general election in the jurisdiction:
- (1) The F-1 financial reports of public officials required by RCW 42.17.240 and WAC 390-24-010, 390-24-020 and 390-24-025;
- (2) The L-5 public agency lobbying report required by RCW 42.17.190 and WAC 390-20-120;
- (3) The C-1 through C-4 campaign finance reports required for ballot issues by RCW 42.17.040 through 42.17.090 and WAC 390-16-011, 390-16-031, 390-16-036, 390-16-041, and independent campaign expenditure reports (C-6) required for ballot issues by RCW 42.17.100 and WAC 390-16-050: Provided, That reporting requirements shall be reinstated by order of the commission at its next regular or special meeting if:
- (a) A certified "petition for disclosure" containing the valid signatures of five percent of the number of registered voters of the jurisdiction as of the date of the most recent general election in the jurisdiction is filed with the commission; or
- (b) The jurisdiction has by ordinance, resolution or other official action petitioned the commission to void the suspension with respect to elected officials, candidates and ballot propositions for the jurisdiction.

If reporting requirements are reinstated by petition, the commission shall promptly notify all known affected candidates and incumbent elected officials of their duty to file disclosure reports. Such individuals and committees shall be ordered to file the required statements within thirty days of the commission order.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–05–300, filed 7/9/85; 82–16–032 (Order 82–05), § 390–05–300, filed 7/28/82.]

WAC 390-05-305 Petition for disclosure—Form. (1) A petition for disclosure shall be legible, on 8-1/2 x 11" paper and shall include the following information:

- (a) The name of the jurisdiction.
- (b) A request that public disclosure be required;
- (c) The names and addresses of all known candidates and ballot proposition committees in the jurisdiction who will be required to report:
- (d) The legibly printed name and address and the legal signature of at least five percent of the number of registered voters in the jurisdiction as of the date of the most recent general election in the jurisdiction.
- (2) The petition shall be verified and certified by the auditor or elections officer of the county or counties in which the jurisdiction is located. The signatures shall be verified by comparing the signatures on the petition to the signatures on the voter registration roll. The auditor shall place his seal on each verified page of the petition in order to certify it to the commission.
 - (3) A suggested form for [the] petition is:
- "We, the undersigned citizens and registered voters of (name of jurisdiction), request that the Public Disclosure Commission order disclosure in (name of jurisdiction)."
- (4) A suggested form for the petition of a jurisdiction by ordinance, resolution or other official action is:

"We, the (governing board)
of (name of jurisdiction) request that the
Public Disclosure Commission order disclosure
in (name of jurisdiction) . This request is
made pursuant to RCW 42.17.405 and WAC 390-05305(4)."

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–05–305, filed 7/9/85; 82–16–032 (Order 82–05), § 390–05–305, filed 7/28/82.]

Reviser's note: RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.

Chapter 390-12 WAC ADMINISTRATIVE PROCEDURES

Public disclosure commission—Regular meetings.
Public disclosure commission—Description of central and field organization.
Operations and procedures.
Public disclosure commission—Organization and structure—Officers—Terms.
Public disclosure commission—Elections—Vacancies.
Public disclosure commission—Executive director.
Declaratory order—Petition requisites—Consideration—Disposition.

390-12-255 Petitions for rule making, amendment or repeal—Form—Consideration—Disposition.

DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

390-12-020	Purpose. [Order 9, § 390-12-020, filed 4/24/73.]
	Repealed by 80-02-106 (Order 80-02), filed
	1/24/80 Statutory Authority: RCW 42 17 370(1)

- 390-12-030 Definitions. [Order 9, § 390-12-030, filed 4/24/73.] Repealed by 80-02-106 (Order 80-02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1).
- 390-12-060 Public records available. [Order 9, § 390-12-060, filed 4/24/73.] Repealed by 80-02-106 (Order 80-02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1).
- 390-12-070 Public records officer. [Order 9, § 390-12-070, filed 4/24/73.] Repealed by 80-02-106 (Order 80-02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1).
- 390-12-080 Office hours. [Order 9, § 390-12-080, filed 4/24/73.] Repealed by 80-02-106 (Order 80-02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1).
- 390-12-090 Requests for public records. [Order 9, § 390-12-090, filed 4/24/73.] Repealed by 80-02-106 (Order 80-02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1).
- 390-12-100 Copying. [Order 9, § 390-12-100, filed 4/24/73.] Repealed by 80-02-106 (Order 80-02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1).
- 390-12-110 Exemptions. [Order 9, § 390-12-110, filed 4/24/73.] Repealed by 80-02-106 (Order 80-02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1).
- 390-12-120 Review of denials of public records requests. [Order 9, § 390-12-120, filed 4/24/73.] Repealed by 80-02-106 (Order 80-02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1).
- 390-12-140 Records index. [Order 9, § 390-12-140, filed 4/24/73.] Repealed by 80-02-106 (Order 80-02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1).
- 390-12-150 Public disclosure commission—Communications. [Order 62, § 390-12-150, filed 8/26/75; Order 42, § 390-12-150, filed 9/26/74; Order 9, § 390-12-150, filed 4/24/73.] Repealed by 85-15-020 (Order 85-03), filed 7/9/85. Statutory Authority: RCW 42.17.370(1).
- 390-12-160 Adoption of form. [Order 9, § 390-12-160 and Form, filed 4/24/73.] Repealed by 80-02-106 (Order 80-02), filed 1/24/80. Statutory Authority: RCW
- 390-12-180 Public disclosure commission—Duties of officers. [Order 62, § 390-12-180, filed 8/26/75; Order 14, § 390-12-180, filed 7/31/73.] Repealed by 85-15-020 (Order 85-03), filed 7/9/85. Statutory Authority: RCW 42.17.370(1).
- 390-12-210 Public disclosure law—Duties of other agencies. [Order 62, § 390-12-210, filed 8/26/75; Order 14, § 390-12-210, filed 7/31/73.] Repealed by 85-15-020 (Order 85-03), filed 7/9/85. Statutory Authority: RCW 42.17.370(1).

WAC 390-12-010 Public disclosure commission—Regular meetings. Pursuant to RCW 42.30.075, regular meetings of the public disclosure commission shall be held on the fourth Tuesday of each calendar month at 9:00 a.m. except November and December when they shall be held on the third Tuesday. The meetings shall be held in the Second Floor Conference Room, Evergreen Plaza Building, 711 Capitol Way, Olympia, Washington, unless circumstances require relocating to another site. If relocating is required, the meeting shall

be held at a place designated by the chairman of the commission.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–12–010, filed 7/9/85; 82–05–001 (Order 82–01), § 390–12–010, filed 2/4/82; 80–06–119 (Order 80–06), § 390–12–010, filed 5/30/80; 79–10–070 (Order 79–06), § 390–12–010, filed 9/19/79; Order 62, § 390–12–010, filed 8/26/75; Order 14, § 390–12–010, filed 7/31/73.]

WAC 390-12-040 Public disclosure commission—Description of central and field organization. (1) The public disclosure commission is a five member commission appointed by the governor with the consent of the senate. The commission is assisted by a staff consisting of an executive director and such other employees as are necessary. The administrative office of the commission is located at Public Disclosure Commission, Room 403, Evergreen Plaza Building, Olympia, Washington.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–12–040, filed 7/9/85; Order 62, § 390–12–040, filed 8/26/75; Order 42, § 390–12–040, filed 9/26/74; Order 9, § 390–12–040, filed 4/24/73.]

WAC 390-12-050 Operations and procedures. (1) The public disclosure commission was created by the passage of Initiative 276 in 1972 for the principal purpose of providing the public with accurate information about certain financial affairs of candidates and elected officials, about the financing of election campaigns and the sponsors of political advertising, and about expenditures made in the course of lobbying. The initiative also contains provisions guaranteeing citizen access to most records of most elements of state and local government.

- (2) The duties, responsibilities and powers of the commission are set forth in RCW 42.17.360, 42.17.370, 42.17.395 and 42.17.397. Provisions for establishing the commission and appointing the members thereof are stated in RCW 42.17.350.
- (3) Commissioners meet monthly to consider and act on major policy matters, on requests for reporting modifications and on enforcement cases. All meetings are conducted in accordance with the Open Public Meetings Act (chapter 42.30 RCW) and the Administrative Procedure Act (chapter 34.05 RCW), and Sturgis Standard Code of Parliamentary Procedure. The passage of any motion adopting, amending or repealing any rule, or recommending changes to the act shall require a majority vote of the members of the commission as distinguished from a quorum of the commissioners.
- (4) The staff prepares and distributes reporting forms and instructions in the most practical manner to persons subject to the law. The instructions are intended to satisfy the requirement of RCW 42.17.360 to publish bookkeeping manuals. The staff also provides personal instruction and technical assistance to persons with specific problems and questions.
- (5) Between 45,000 and 55,000 reports are received during a calendar year from an average of 9,500 reporting "clients." The staff receives these reports, records their receipt, and microfilms and files them. Every effort is made to have reports filmed and available for public

inspection and copying within twenty-four hours of their receipt.

- (6) Procedures for accessing the files of the agency are given in chapter 390–14 WAC. The staff will provide microfiche copies of reports when requested by mail or telephone. Reports are generally sent the same day the request is received. Answers to telephone inquiries seeking information from particular reports will be limited to (a) verification that a report is on file and (b), if regarding a campaign financing report, the most recent totals for contributions and expenditures.
- (7) While some citizens will benefit from the reports by personally reviewing them, most will look to the news media for information. The staff compiles occasional summaries and studies for distribution to news outlets. Known as "Reports to the Public," they provide a condensed mirror image of the information in reports filed with the commission.
- (8) The act demands complete, accurate and timely reporting. The commission, as a vehicle of communication between those engaged in political life and the general public, is expected to take whatever actions are necessary to assure the public of having the information it is entitled to; that the flow of communication is not interrupted by those responsible for providing the information. Within the resources provided the commission, reports are reviewed, field audits are conducted and complaints are investigated. The staff concentrates on assisting people in meeting their obligations under the law in hopes of fulfilling the purpose of the act without having to resort to enforcement actions resulting in embarrassment and monetary penalties. Gross negligence and evasions of the act will not be tolerated, however. Acting without fear or favor, the staff will bring to the commissioners for appropriate action all matters where there is evidence of a material violation of chapter 42.17 RCW and/or lack of substantial compliance.

[Statutory Authority: RCW 42.17.370. 90–16–083, § 390–12–050, filed 7/31/90, effective 8/31/90. Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–12–050, filed 7/9/85; 79–10–017 (Order 79–05), § 390–12–050, filed 9/7/79.]

WAC 390-12-170 Public disclosure commission—Organization and structure—Officers—Terms. The officers of the public disclosure commission for administrative purposes shall be chairman, vice chairman and secretary. Their terms shall be one year or until a successor is elected.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–12–170, filed 7/9/85; Order 62, § 390–12–170, filed 8/26/75; Order 14, § 390–12–170, filed 7/31/73.]

WAC 390-12-190 Public disclosure commission—Elections—Vacancies. Elections to fill commission offices shall be held annually at the regular June meeting of the commission, or at a special election called for that purpose between May 1 and June 30 of the calendar year in which the new terms will commence. Vacancies in said offices may be filled by a vote of the commission at any regular meeting or any special meeting called for that purpose.

[Order 62, \$390-12-190, filed 8/26/75; Order 14, \$390-12-190, filed 7/31/73.]

- WAC 390-12-200 Public disclosure commission— Executive director. The commission shall employ and fix the compensation of an executive director who shall perform the following duties under the general authority and supervision of the commission:
- (1) Act as records officer and administrative arm of the commission.
- (2) Coordinate the policies of the commission and the activities of all commission employees and other persons who perform ministerial functions for the commission.
- (3) Act as liaison between the commission and other public agencies.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–12–200, filed 7/9/85; Order 62, § 390–12–200, filed 8/26/75; Order 14, § 390–12–200, filed 7/31/73.]

- WAC 390-12-250 Declaratory order—Petition requisites—Consideration—Disposition. (1) Any person may submit a petition for a declaratory order pursuant to RCW 34.05.240 in any form so long as it
- (a) Clearly states the question the declaratory order is to answer, and
- (b) Provides a statement of the facts which raise the question.
- (2) The executive director may conduct an independent investigation in order to fully develop the relevant facts.
- (3) The executive director will present the petition to the commission at the first meeting when it is practical to do so and will provide the petitioner with at least five days notice of the time and place of such meeting. Such notice may be waived by the petitioner.
- (4) The petitioner may present additional material and/or argument at any time prior to the issuance of the declaratory order.
- (5) The commission may issue either a binding or a nonbinding order or decline to issue any order.
- (6) The commission may decide that a public hearing would assist its deliberations and decisions. If such a hearing is ordered, it will be placed on the agenda of a meeting and at least five days notice of such meeting shall be provided to the petitioner.
- (7) If an order is to be issued, the petitioner shall be provided a copy of the proposed order and invited to comment
- (8) The declaratory order cannot be a substitute for a compliance action and is intended to be prospective in effect.
- (9) The commission will decline to consider a petition for a declaratory or to issue an order when (a) the petition requests advice regarding a factual situation which has actually taken place, or (b) when a pending investigation or compliance action involves a similar factual situation.

[Statutory Authority: RCW 42.17.370. 90-16-083, § 390-12-250, filed 7/31/90, effective 8/31/90. Statutory Authority: RCW 42.17.370(1). 85-15-020 (Order 85-03), § 390-12-250, filed 7/9/85; 81-18-043 (Order 81-03), § 390-12-250, filed 8/28/81.]

WAC 390-12-255 Petitions for rule making, amendment or repeal—Form—Consideration—Disposition. (1) Any person may submit a petition requesting the promulgation, amendment or repeal of any rule by the commission pursuant to RCW 34.05.330.

(2) The petition for rule making should contain a draft of any proposed rule and any argument in favor of its adoption, but no particular form is necessary.

- (3) The commission will consider the petition at its next regular meeting after its submission. The petitioner shall be given notice of the time of that meeting.
- (4) Within 60 days after its submission, the commission shall advise the petitioner that the petition has been denied, giving its reasons in detail, or initiate rule making proceedings under RCW 34.05.330.

[Statutory Authority: RCW 42.17.370. 90-16-083, § 390-12-255, filed 7/31/90, effective 8/31/90. Statutory Authority: RCW 42.17.370(1). 81-18-043 (Order 81-03), § 390-12-255, filed 8/28/81.]

Chapter 390-13, WAC

GENERAL PROVISIONS RELATING TO PUBLIC RECORDS OF STATE AND LOCAL AGENCIES

WAC

390-13-010 390-13-100 Optional format for requests for lists of individuals. Duties of elections officials receiving copies of campaign finance reports.

WAC 390-13-010 Optional format for requests for lists of individuals. The use of a list of individuals obtained from an agency for commercial purposes is prohibited by RCW 42.17.260(5). The commission finds that the term "commercial purposes" has been interpreted by different agencies in inconsistent ways resulting in confusion and a lack of uniform administration of that statute. Therefore, the following format is adopted by the commission and authorized for use by agencies, at their option, to bring uniformity to the administration of that statute.

(Name of Agency	<u>') </u>	PUBLIC RECORDS ACCESS
STATE OF WASHINGTON		AFFIDAVIT TO
COUNTY OF	SS.	RELEASE PUBLIC RECORDS
•		

(Name and Address)

having been duly sworn, deposes and says:

- 1. I have requested copies of the following public records:
- 2. I understand that Washington state law, RCW 42.17.260(5), prohibits the use of lists of individuals for commercial purposes.
- 3. I understand that the use for commercial purposes of said records may also violate the rights of the individuals named therein and may subject me to liability for such commercial use.
- 4. I understand that section 2 and 3 herein apply when I use said records for commercial purposes and

when others use said records or copies of same for commercial purposes. I understand that I may be liable in either case.

- 5. I understand that "commercial purposes" means that the person requesting the record intends that the list will be used to communicate with the individuals named in the record for the purpose of facilitating profit expecting activity.
- 6. Therefore, I do hereby swear and affirm on oath and under penalty of law that I will not use said records for commercial purposes and that further, it is my affirmative duty to prevent others from using said records for commercial purposes.
- 7. I do further swear and affirm on oath and under penalty of law that I will protect and hold harmless, including the cost of defending, the agency and its agents and employees from which I have obtained said records from any and all claims arising either directly or indirectly from the commercial use of said records.

	Ş	Signatur	e -				
SUBSO	and , 19_	sworn -	to	before	me	this_	day
	Ī	Notary F	ub	lic in ar	d fo	r the	state

of Washington residing at _____ . [Statutory Authority: RCW 42.17.370(1). 83-11-004 (Order 83-01), § 390-13-010, filed 5/6/83.]

WAC 390-13-100 Duties of elections officials receiving copies of campaign finance reports. (1) Pursuant to RCW 42.17.375, when arranging, indexing, handling and providing access to reports filed with the county as required by chapter 42.17 RCW, county election officers shall adhere to the following:

- (a) Each report on receipt shall be marked with the date (or some means of determining the date) the report was postmarked and/or the date on which it was received by the elections office.
- (b) Files for these reports shall be maintained separate from all other reports and documents in the office and shall be arranged alphabetically by the name of the candidate or committee. Elections officers may segregate files into additional categories, if desired.
- (c) Files may be maintained in paper form or on micrographics[. If files are maintained on micrographics], equipment for viewing film and for reproducing individual frames on paper must be made available to the public.
- (d) A separate, special index shall be maintained showing the name of each candidate or committee for whom reports are on file. The index need not list each report subsequently filed. The index shall be readily available for public inspection.
- (e) Reports shall be placed in the files and available for public inspection by the end of the next business day following receipt.

- (f) Mindful that the public's right to know of the financing of political campaigns is paramount, elections officials shall give priority [attention] to and promptly honor each request for public inspection of the campaign finance report files.
- (2) Copies of reports must be maintained by elections officers for a period of at least six years, in accordance with RCW 42.17.450, and records retention schedules prepared pursuant to chapter 40.14 RCW.
- (3) A description of the county's method of filing and indexing campaign finance reports shall be sent to the public disclosure commission within 30 days of the effective date of this rule. The description shall be updated any time there is a revision to the filing and indexing system.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–13–100, filed 7/9/85; 83–17–138 (Order 83–02), § 390–13–100, filed 8/24/83.]

Reviser's note: RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.

Chapter 390-14 WAC

ACCESS TO PUBLIC RECORDS OF THE PUBLIC DISCLOSURE COMMISSION

WAC	
390-14-015	Public records officer.
390-14-020	Hours for records inspection and copying.
390-14-025	Requests for public records.
390-14-030	Copying of public records.
390-14-035	Exempting records from public inspection.
390-14-040	Review of denials of public records requests.
390-14-045	Records index.
390-14-055	Record request form.
390-14-100	List of elected public officials.
390–14–105	List of elected public officials—Responsibility for developing.
390-14-110	List of elected public officials—Name not on list, impact.

DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

390-14-010 Public records available. [Order 62, § 390-14-010, filed 8/26/75.] Repealed by 85-15-020 (Order 85-03), filed 7/9/85. Statutory Authority: RCW 42.17.370(1).

WAC 390-14-015 Public records officer. The commission's public records officer, who is located in the administrative office of the commission is responsible for implementing the commission's rules and regulations regarding release of public records, coordinating the staff of the commission in this regard, and insuring compliance by the staff with the public records disclosure requirements of chapter 42.17 RCW.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–14–015, filed 7/9/85; Order 62, § 390–14–015, filed 8/26/75.]

WAC 390-14-020 Hours for records inspection and copying. Public records shall be available for inspection

and copying during the customary office hours of the commission.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–14–020, filed 7/9/85; Order 62, § 390–14–020, filed 8/26/75.]

- WAC 390-14-025 Requests for public records. (1) In accordance with requirements of chapter 42.17 RCW that agencies [present] [prevent] unreasonable invasions of privacy, protect public records from damage or disorganization, and prevent excessive interference with essential functions of the agency, public records may be inspected or copied by requesting in person, by letter, or by telephone the desired record(s).
- (2) In all cases in which a member of the public is making a request, it shall be the obligation of the public records officer or staff member to whom the request is made to assist the member of the public in appropriately identifying the public record requested.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–14–025, filed 7/9/85; Order 64, § 390–14–025, filed 11/25/75; Order 62, § 390–14–025, filed 8/26/75.]

Reviser's note: RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.

WAC 390-14-030 Copying of public records. No fee shall be charged for the inspection of public records. The commission shall charge a fee of ten cents per page of copy for providing copies of public records maintained on paper. A fee of twenty-five cents per film shall be charged for copies of microfiche. These charges are the amounts necessary to reimburse the commission for its actual costs incident to such copying including the use of the commission's copy equipment. Charges will not be assessed if the total cost involved in a particular request is less than one dollar.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–14–030, filed 7/9/85; 82–18–031 (Order 82–06), § 390–14–030, filed 8/25/82; 82–05–001 (Order 82–01), § 390–14–030, filed 2/4/82; Order 62, § 390–14–030, filed 8/26/75.]

- WAC 390-14-035 Exempting records from public inspection. (1) The public records officer shall delete information the disclosure of which would violate personal privacy or endanger vital government interests from any record prior to permitting public inspection or copying. After such data is deleted, the remainder of the record shall be made available.
- (2) All denials of requests for public records must be accompanied by a written statement specifying the reason for the denial, including a statement of the specific exemption authorizing the withholding of the record and a brief explanation of how the exemption applies to the record withheld.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–14–035, filed 7/9/85; Order 62, § 390–14–035, filed 8/26/75.]

WAC 390-14-040 Review of denials of public records requests. (1) Any person who objects to the denial of a request for a public record may petition for prompt

review of such decision by tendering a written request for review. The written request shall specifically refer to the written statement by the public records officer or other staff member which constituted or accompanied the denial.

(2) Immediately after receiving a written request for review of a decision denying a public record, the public records officer or other staff member denying the request shall refer it to the chairman of the commission. The chairman shall immediately consider the matter and either affirm or reverse such denial or call a special meeting of the commission as soon as legally possible to review the denial. In any case, the request shall be returned with a final decision within two business days following the original denial.

[Statutory Authority: RCW 42.17.370(1). 85-15-020 (Order 85-03), § 390-14-040, filed 7/9/85; Order 62, § 390-14-040, filed 8/26/75.]

WAC 390-14-045 Records index. (1) The commission has available to all persons a current index which is the records retention schedule and the specific indexes to reporting clientele.

(2) The current index promulgated by the commission shall be available to all persons under the same rules and on the same conditions as are applied to public records available for inspection.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–14–045, filed 7/9/85; Order 62, § 390–14–045, filed 8/26/75.]

WAC 390-14-055 Record request form. The public disclosure commission hereby adopts for use by all persons requesting inspection and/or copies of records entitled "Request for public records."

[Order 62, § 390-14-055, filed 8/26/75.]

- WAC 390-14-100 List of elected public officials. (1) The public disclosure commission shall prepare, collate and make available for public distribution a list of all state elected officials of the state of Washington. The list shall be published by the commission and updated annually.
- (2) In addition, the list shall contain those entities which are reported by those state elected officials pursuant to RCW 42.17.241 (1)(g).
- (3) This list shall contain the most recent information on file with the commission as of February 1 each year.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–14–100, filed 7/9/85; 80–05–097 (Order 80–05), § 390–14–100, filed 5/2/80; 79–10–017 (Order 79–05), § 390–14–100, filed 9/7/79; Order 62, § 390–14–100, filed 8/26/75.]

WAC 390-14-105 List of elected public officials—Responsibility for developing. The executive director of the public disclosure commission shall be responsible for keeping the list on a current basis and shall develop all procedures necessary for performing that responsibility.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–14–105, filed 7/9/85; Order 62, § 390–14–105, filed 8/26/75.]

WAC 390-14-110 List of elected public officials—Name not on list, impact. (1) The commission has as

part of its authority the power to suspend or modify reporting requirements of chapter 42.17 RCW, if it finds after hearing that literal application of the act would work a manifest hardship, and if it finds that suspension or modification will not frustrate the purpose of the act.

(2) Upon a hearing of this nature, the commission shall presume the reporting of the name of any elected official as required by the act to be an unreasonable hardship, if the name of that elected official does not appear on the list compiled pursuant to this chapter.

- (3) The commission shall presume it is a manifestly unreasonable hardship for a lobbyist employer to report the compensation paid to a corporation, partnership, joint venture, association, union or other entity in which a state elected official or member of his immediate family holds any office, directorship, general partnership interest, or an ownership interest of ten percent or more, if:
- (a) The name of such entity does not appear on the most recent list of state elected officials published by the commission pursuant to WAC 390-14-100; and
- (b) The lobbyist employer does not have actual knowledge of such compensation being paid to such entity.

[Statutory Authority: RCW 42.17.370(1). 80–05–097 (Order 80–05), § 390–14–110, filed 5/2/80; Order 62, § 390–14–110, filed 8/26/75.]

Chapter 390-16 WAC FORMS FOR CAMPAIGN FINANCING REPORTING--CONTRIBUTIONS

WAC	
390-16-011	Forms—Registration statement for political committees.
390-16-012	Forms—Registration statement for candidates.
390-16-031	Forms for statement of contributions deposit.
390-16-032	Forms—Auction report.
390-16-033	Earmarked contributions—Reporting—Form.
390-16-037	Purpose of campaign expenditures—Reporting.
390-16-038	Definition—Aggregate.
390-16-039	Total contributions and expenditures—Reporting.
390-16-041	Forms—Summary of total contributions and expenditures.
39016042	Contingent liabilities—Reporting.
390–16–050	Forms for contributions and expenditures of political committees not domiciled in Washington state.
390-16-055	Filing reports for nonreporting committees.
390-16-060	Forms for report of independent expenditures.
390-16-105	Abbreviated campaign reporting—Eligibility.
390–16–111	Abbreviated campaign reporting—Special fund raising events.
390–16–115	Abbreviated campaign reporting—Conditions for granting use.
39016120	Abbreviated campaign reporting—Times and place for filing reports C-1, C-1pc and C-4abb.
390-16-121	Last minute committees.
390–16–125	Abbreviated campaign reporting—Exceeding limitations.
390-16-150	Mini campaign reporting.
390-16-155	Mini campaign reporting—Exceeding limitations.
390–16–200	Encouraging expenditures to avoid contributions— Result.
390-16-205	Expenditures by agents, employees—Reporting.
390-16-206	Ratings and endorsements.
390-16-207	In-kind contributions and expenditures—Reporting.
390-16-221	Tangible property—Definition.
390–16–230	Surplus campaign funds—Use in future.

390-16-308	Identification of source of contribution.	390–16–095	Candidates and committees—Use in future. [Order
390–16–310	Limitation on contributions.		35, § 390–16–095, filed 8/29/74.] Repealed by 80–02–106 (Order 80–02), filed 1/24/80. Statutory Au-
DISPOSITIO	ON OF SECTIONS FORMERLY CODIFIED IN THIS		thority: RCW 42.17.370(1).
	CHAPTER	390-16-100	Abbreviated campaign reporting—Reports by candi-
			dates/committees less than \$1,000 expenditures—
390–16–010	Forms—For statement of organization by political		Contributions. [Order 62, § 390-16-100, filed
	committees. [Order 5, § 390–16–010, filed 3/2/73.]		8/26/75.] Repealed by Order 76, filed 5/6/76.
200 16 020	Repealed by Order 60, filed 7/16/75.	390-16-110	Abbreviated campaign reporting—Ballot propositions.
390–16–020	Forms—For report of campaign treasurer and depository. [Order 5, § 390–16–020, filed 3/2/73.] Re-		[Order 91, § 390–16–110, filed 7/22/77; Order 62, §
	pealed by Order 60, filed 7/16/75.		390-16-110, filed 8/26/75.] Repealed by 86-04-071
390-16-030	Forms—For statement of contributions deposit. [Or-		(Order 86–01), filed 2/5/86. Statutory Authority:
0,0 10 000	der 5, § 390–16–030, filed 3/2/73.] Repealed by Or-	200 17 220	RCW 42.17.370(1).
	der 60, filed 7/16/75.	390–16–220	Surplus campaign funds—Definition. [Statutory Authority: RCW 42.17.370(1). 78-07-037 (Order 98), §
390-16-035	Form C-3—Time for filing. [Order 87, § 390-16-		390–16–220, filed 6/26/78; Order 70, § 390–16–220,
	035, filed 11/19/76; Order 62, § 390-16-035, filed		filed 2/25/76; Order 62, § 390–16–220, filed
	8/26/75; Order 20, § 390–16–035, filed 10/29/73.]		8/26/75.] Repealed by 86-04-071 (Order 86-01),
	Repealed by 82-14-016 (Order 82-04), filed		filed 2/5/86. Statutory Authority: RCW
200 16 026	6/28/82. Statutory Authority: RCW 42.17.370(1).		42.17.370(1).
390–16–036	Form for reporting fund raising events. [Statutory	390-16-223	Major political party—Definition. [Statutory Author-
	Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-036, filed 2/5/86, 82-11-026 (Or-		ity: RCW 42.17.370(1). 88–14–064 (Order 88–02), §
	der 82–03), § 390–16–036, filed 5/10/82; 82–02–007		390–16–223, filed 7/1/88.] Repealed by 88–20–029
	(Order 81–04), § 390–16–036, filed 12/28/81; Order		(Order 88–04), filed 9/29/88. Statutory Authority:
	84, § 390-16-036, filed 8/18/76.] Repealed by 89-	390–16–225	RCW 42.17.370(1).
	20-068, filed 10/4/89, effective 11/4/89. Statutory	390-10-223	Surplus campaign funds—Disposition. [Order 70, § 390–16–225, filed 2/25/76; Order 62, § 390–16–225,
	Authority: RCW 42.17.370.		filed 8/26/75.] Repealed by 86–04–071 (Order 86–
390-16040	Forms—For report of contributions and expenditures		01), filed 2/5/86. Statutory Authority: RCW
	by candidates and political committees. [Order 5, §		42.17.370(1).
	390-16-040, filed $3/2/73$.] Repealed by Order 60,	390-16-300	Fund raising events-Time and place of filing form
200 16 061	filed 7/16/75.		C-3A. [Order 84, § 390-16-300, filed 8/18/76.] Re-
390-16-061	Campaign financing—Special reports. [Order 67, § 390–16–061, filed 1/16/76; Order 62, § 390–16–061,		pealed by 82-14-016 (Order 82-04), filed 6/28/82.
	filed 8/26/75.] Repealed by 86-04-071 (Order 86-	200 16 202	Statutory Authority: RCW 42.17.370(1).
	01), filed 2/5/86. Statutory Authority: RCW	390–16–302	Reporting fund raising events—Incidental activities.
	42.17.370(1).		[Order 84, § 390–16–302, filed 8/18/76.] Repealed by 89–20–068, filed 10/4/89, effective 11/4/89.
390-16-062	Campaign financing—Special reports—Time for fil-		Statutory Authority: RCW 42.17.370.
	ing. [Order 67, § 390-16-062, filed 1/6/76; Order	390-16-306	Volunteer workers, fund raising activities. [Statutory
	62, § 390-16-062, filed 8/26/75.] Repealed by 82-	370 10 300	Authority: RCW 42.17.370(1). 86–04–071 (Order
	14-016 (Order 82-04), filed 6/28/82. Statutory Au-		86-01), § 390-16-306, filed 2/5/86; Order 84, §
200 16 070	thority: RCW 42.17.370(1).		390-16-306, filed 8/18/76.] Repealed by 89-20-068,
390–16–070	Form for report by commercial advertisers. [Order		filed 10/4/89, effective 11/4/89. Statutory Author-
	62, § 390–16–070, filed 8/26/75; Order 41, § 390–16–070, filed 9/26/74; Order 6, § 390–16–070, filed		ity: RCW 42.17.370.
	3/23/73.] Repealed by Order 73, filed 4/26/76.		
390-16-080	Reports by nondomiciled committee—For report by	WAC 39	00-16-011 FormsRegistration statement
270 10 000	candidates/committees less than \$1,000 expendi-	for political	I committees. The official form for providing
	tures—Contributions. [Order 41, § 390-16-080, filed		ent of organization by political committees,
	9/26/74; Order 19, § 390–16–080, filed 10/29/73.]		ting a campaign treasurer and depository and
	Repealed by 80-02-106 (Order 80-02), filed		ing a campaign treasurer and depository and ing information required to qualify for abbre-
	1/24/80. Statutory Authority: RCW 42.17.370(1).		
390–16–085	Candidates and committees—Surplus funds—De-		paign finance reporting is designated "C-
	fined. [Order 35, § 390–16–085, filed 8/29/74.] Re-		ed 1/90. Copies of this form are available at
	pealed by 80–02–106 (Order 80–02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1).	the Commi	ission Office, Room 403, Evergreen Plaza
390-16-090	Candidates and committees—Disposition. [Order 35,		lympia, Washington 98504. Any attachments
370 10-070	§ 390–16–090, filed 8/29/74.] Repealed by 80–02–		8-1/2" x 11" white paper.
	106 (Order 80–02) filed 1/24/80 Statutory Author-	Shan oc on	o 1/2 A 11 Willio papor.

106 (Order 80-02), filed 1/24/80. Statutory Author-

ity: RCW 42.17.370(1).

[Title 390 WAC-p 10] (1990 Ed.)

POLITICAL COMMITTEE	Acronym	-CIE	PM OA SR TK	PDC OFFICE USE
Ullimittee rame (order states states)	•	(1/90)	R	
Address			E C E	
County County		Zip	V E D	
IEW REGISTRATION OR UPDATE OF PRIOR REGISTRATION? NEW: Complete all items in the registration AMENDED: Supply the information below which has changed.	COMMITTEE S Continuing	STATUS g committee _ election only		
COMMITTEES: What is the purpose or description of the committee? Political Party, Central Committee, District Club, etc. Identity political party. If you are not supporting the entire party ticket, attach a list of the candidates you support.		Ballot Number	FOR	AGAINST
Ballot Committee (Initiative, Bond, Levy, Recall, etc.) Name or description of ballot measure:				
Political Action Committee. If committee is associated with a business, association, labor union, or similar organization, list name: Other, Explain on attached sheet.				
☐ Other, Explain on attached sheet. Related or affiliated committees, List name, address and relationship.				
FULL REPORTING We will use the Full Reporting System. We understand this means we must file frequent	l, detailed reports required by I	aw.		
	<u>.</u>			
Treasurer's name and address (List deputy treasurers on attached sheet.)			Daytime	phone no.
			Daylime	phone no.
			Daytime	phone no.
Committee's Principal Officers. List name, address and title.			Daytime	phone no.
Committee's Principal Officers. List name, address and title.	City		Daylime	phone no.
Committee's Principal Officers. List name, address and title. Campaign Bank or Depository. Branch		a AM - a PM, Monday - f		
Committee's Principal Officers. List name, address and title. Campaign Bank or Depository. Branch		8 AM - 8 PM, Monday - I Ho	Friday.) Sho	
Committee's Principal Officers. List name, address and title. Campaign Bank or Depository. Branch Campaign records are to be open for public inspection the last eight days before elections.			Friday.) Sho	
Committee's Principal Officers. List name, address and title. Campaign Bank or Depository. Branch Campaign records are to be open for public inspection the last eight days before elections.			Friday.) Sho	
. Committee's Principal Officers. List name, address and title. Campaign Bank or Depository. Branch Campaign records are to be open for public inspection the last eight days before electic			Friday.) Sho	
. Committee's Principal Officers. List name, address and title. Campaign Bank or Depository. Branch Campaign records are to be open for public inspection the last eight days before electic			Friday.) Sho	
i. Committee's Principal Officers. List name, address and title. Branch Campaign records are to be open for public inspection the last eight days before electic	cn. (Two hours daily between control of the control	Ho	Friday.) Sho urs	ow location and hours below
. Committee's Principal Officers. List name, address and title. Campaign Bank or Depository. Branch Campaign records are to be open for public inspection the last eight days before electic	cn. (Two hours daily between control of the control	Ho ify that the above inform correct to the best of my	Friday.) Sho urs	ow location and hours below

(1990 Ed.)

[Statutory Authority: RCW 42.17.370. 89–20–068, § 390–16–011, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86–04–071 (Order 86–01), § 390–16–011, filed 2/5/86; 82–11–026 (Order 82–03), § 390–16–011, filed 5/10/82; 82–02–007 (Order 81–04), § 390–16–011, filed 12/28/81; Order 91, § 390–16–011, filed 7/22/77; Order 62, § 390–16–011, filed 8/26/75; Order 60, § 390–16–011, filed 7/16/75.]

WAC 390-16-012 Forms—Registration statement for candidates. The official form for providing the statement of organization by candidates and candidate's committees, for designating a campaign treasurer and

depository and for reporting information required to qualify for mini campaign finance reporting or abbreviated campaign finance reporting is designated "C-1," revised 1/90. Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington, 98504. Any attachments shall be on 8-1/2" x 11" white paper.

[Title 390 WAC—p 12] (1990 Ed.)

REGISTRATION: CANDIDATES/CANDIDATE	COMMITTEE	PDC OFFICE USE
Candidate's name (Do not abbreviate, include candidate's full name)	21	O A SR
Cambidate a name (DO not appreviate, include candidate a full finance)	(1/90)	T K
Address		E C E
City County	Zip	V E
WHAT OFFICE ARE YOU RUNNING FOR? Office	District, County or City	Position No.
2. Political party (if partisan office) 3. Date of general or special e	lection	
4. How much do you plan to spend during your entire election campaign, including the prince below. If no box is checked you are obligated to use Option III, Full Reporting. See reporting Option I MINI REPORTING I will limit contributions or expenditures during this campaign to my filling fe charges for the voters pamphlet. I will accept no contribution over \$200 from the contribution over \$200 from the campaign in the prince of	instruction booklets for information about re e of \$plus no more than \$500 cm any single source. ore than \$2,000 and will accept no more a are required.	ports required and changing reporting options. which includes
8. Related or affiliated political committees. List name, address and relationship.		
9. Campaign records are to be open for public inspection the last eight days before election,	(Two hours daily between 8 AM - 8 PM, Monda	y · Friday.) Show location and hours below:
Street Address (Do not use a Post Office Box Number)		Hours
10. Fair Campaign Practices: All candidates and committee officers are encouraged to subscribe to the Code of Fair Campaign Practices printed in campaign instruction booklets. Use of the fair campaign seal in political advertising shows your intent to subscribe to the Code.	CERTIFICATE: I certify that this report is true and complete Candidate's eignature	e to the best of my knowlege Date
Need campaign finance forms and instructions for the reporting Please check one of the following boxes: I already have forms and instructions. I am using option 1 (mini) and do not need additional forms. (I will get forms and instructions from my county elections offic I want the public disclosure commission to mail me the proper	(C-1 is the only report required.) e. r forms and instructions.	DISTRIBUTION OF THIS REPORT: ORIGINAL — Public Disclosure Commission COPY — County Elections Dept. (Auditor) COPY — Your own records

[Title 390 WAC-p 13]

[Statutory Authority: RCW 42.17.370. 89–20–068, § 390–16–012, filed 10/4/89, effective 11/4/89.]

WAC 390-16-031 Forms for statement of contributions deposit. The official form for statement of contributions deposit is designated "C-3," revised 1/90.

Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8-1/2" x 11" white paper.

[Title 390 WAC-p 14]

PUK	MON CENT	H RECEIPTS IETARY CON	TRIBUT	IONS				OFFICE USE
	URE COMMISSION nittee name (Do not abbreviate,	Use full name.)			150	Pos		
Address					1/90	E E		
City		ZIP code	Off	ice Sought (candidates)	-	# EC E - > ED		
1. MONETARY C	ONTRIBUTIONS DEPOSITED	IN ACCOUNT						Total given by
Date Received		Please type or prin	t clearly in	Ink.		Amo	unt	this person during campaign or year
	a. Anonymous or unidenti	fied		.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			******	
	b. Candidate's personal t	unds deposited in the ban	ık					,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
,,	c. Loans, notes, security	agreements, Atlach Sche	dule L					
	d. Miscellaneous receipts	(interest, refunds, auction	ns, other). At	tach explanation				٠
	e. Small contributions \$25				(persons)			
2. CONTRIBUTIO	L							Total given by this
Date received	Contributor's Name		Address	City		Amo	unt	Total given by this person during cam- paign or year

,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,						*****	,.
		***************************************		.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			**********	
							,	
		***************************************		,,,,,,				
	******************************	***************************************					**********	
							••••••	
		•••••						
				· · · · · · · · · · · · · · · · · · ·				
					and the same of th			
		***************************************						•••••••
		***********************						,
				· Se	ıb-totat			
	Check here if addit pages are attached			Amor attache	unt from d pages			
3. TOTAL FUNDS	RECEIVED AND DEPOSITE	D OR CREDITED TO ACC	COUNT					
Sum of parts 1	and 2 above. Enter this am	ount in line 1, Schedule A	to C4.					
This report includ	es contributions deposited			I certify that this report is true	and complete	to the bes	t of my kno	wledge
on (dale)				Treasurer's Signature				Dale
in (name of bank)								
PDC form C-3 (rev. 17	90) -1499-	SEE	INSTRUCTI	ONS ON REVERSE				

[Statutory Authority: RCW 42.17.370. 89–20–068, § 390–16–031, filed 10/4/89, effective 11/5/89. Statutory Authority: RCW 42.17.370(1). 86–04–071 (Order 86–01), § 390–16–031, filed 2/5/86; 84–05–018 (Order 84–01), § 390–16–031, filed 2/10/84; 82–11–026 (Order 82–03), § 390–16–031, filed 5/10/82; 82–02–007 (Order 81–04), § 390–16–031, filed 12/28/81; 81–14–038 (Order 81–02), § 390–16–031, filed 6/29/81; Order 62, § 390–16–031, filed 8/26/75; Order 60, § 390–16–031, filed 7/16/75.]

WAC 390-16-032 Forms—Auction report. The official form for reporting items donated and sold at auctions, as required by RCW 42.17.090 (1)(b), is designated "Attachment Au," revised 1/90. This attachment shall accompany each C-3 which reports the receipt of funds from an auction. Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington, 98504.

[Title 390 WAC—p 16] (1990 Ed.)

AUCTION REPORT

Candidate or committee name

Use this form as an attachment to C3 to report items donated and sold at auctions. Please see the reverse for an example of a report.

ATTACHMENT то сз

Date auction was held

Item No. description	Name an	d address	Fair market value	Sale price	Amount over fair market value	Total given by this person during campaign
	Contributor					, E
	Buyer					
	Contributor					· · · · · · · · · · · · · · · · · · ·
	Buyer					
	Contributor					
	, , , , , , , , , , , , , , , , , , ,					
	Buyer					
	Contributor					
	Buyer		-			
	Contributor					
	Buyer	·				
	Contributor					
	Buyer		-			
	Contributor					
	Соппация					
	Buyer					
l		ash receipts, this page olai, sale price column)				
	-	otal from attached pages				
		otal cash receipts ut this amount in part 1d of C3 report)				
PDC C3Au (1/90)) -1319-	I certify that the Treasurer's signs	he information herein ature	is true, correct and	complete to the be	st of my knowledge.

(1990 Ed.)

PDG C3Au (1/90) -1319-

See instructions on reverse

Instructions

Use this form as an attachment to your C3 (Cash Receipts and Bank Deposits).

Item No. description: As each item to be auctioned is received, assign it a number and a brief description.

Contributor: The person or organization which donates an article to be auctioned. If your committee purchases items for auction, state "purchased by committee" under contributor's name.

Buyer: The person who buys the item being auctioned.

Fair market value: The retail value of the article. If the retail value cannot be estimated or found, state "unknown."

Sale price: The amount the buyer paid for the item.

Amount over fair market value: The amount the sale price exceeds fair market value. If sale price is less than fair market value, leave blank.

Total given by this person during campaign:

Contributor—Fair market value of the item plus all previous contributions made to the candidate or committee.

Buyer—Amount over fair market value plus all previous contributions made to candidate or committee.

Cash payments: A payment of more than \$50.00 may not be accepted unless a receipt, signed by the buyer and the candidate, treasurer or deputy treasurer is prepared and made part of the committee's financial records.

AUCTION REPORT

Use this form as an attachment to C3 to report items donated and sold at auctions.

andidate or controlline name					Date auction was held		
ONES FO	OR SHERIFF COMMITTEE			9.	/14/XX		
	Name and address	Fair market value	Sale price	Amount over fair market value	Total given by this person during campaign		
Contributor	JOHN DOE 200 "A" STREET, SEATTLE 98101	\$100			\$100		
Buyer	MARY SMITH 400 "B" STREET, TACOMA 98402		\$125	\$25	\$25		
	SAM BROWN 123 MILITARY ROAD, ANYTOWN 99101	\$80			\$80		
	TOM MIX RT. 2, BOX 1, SADDLE MT. 98900		\$60				
	CAPT, MOBY DICK 401 WATERFRONT, POULSBO 98701	\$75			\$75		
Buyer	MERRI RYDER 204 E. LAND, MYBURG 99100		\$90	\$15	\$15		
Contributor	·						
Buyer							
	Cash receipts, this page (Total, sale price column)		\$275.00		L		
	Total from attached pages		0				
	Total cash receipts	ı	\$275.00				
	Contributor Buyer Contributor Buyer Contributor Buyer Contributor	Name and address Contributor JOHN DOE 200 "A" STREET, SEATTLE 98101 Buyer MARY SMITH 400 "B" STREET, TACOMA 98402 Contributor SAM BROWN 123 MILITARY ROAD, ANYTOWN 99101 Buyer TOM MIX RT. 2, BOX 1, SADDLE MT. 98900 Contributor CAPT, MOBY DICK 401 WATERFRONT, POULSBO 98701 Buyer MERRI RYDER 204 E. LAND, MYBURG 99100 Contributor Cash receipts, this page (Total, sale price column) Total from attached pages	Name and address SHERIFF COMMITTEE	Name and address	Name and address Fair market value Sale price Amount over fair market value		

DC C3Au (1/69) -1319-

[Statutory Authority: RCW 42.17.370. 89-20-068, \S 390-16-032, filed 10/4/89, effective 11/4/89.]

WAC 390-16-033 Earmarked contributions—Reporting—Form. The official form for reporting the details surrounding an earmarked contribution, as required by RCW 42.17.125, is designated "Special Report E," revised 1/90. This report shall be filed within two working days of receiving a contribution earmarked for another candidate or committee. Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington 98504.

	STATE OF WASHINGTON							
	PUBLIC DISCLOSURE COMMISSIO	N	EARMARKED CONTRIBUTION		SPECIA REPORT	5000000	PDC OFFICE US	Ε
1.	Name of committee fili	ng this report	(Candidate or committee which rece earmarked for another.)	eived a con	tribution		R E C E I V E D	
	Address						Ď	
	City		County		Zi	Þ		
2.	Original source of earn	narked contribut	ion				· · · · · · · · · · · · · · · · · · ·	
	Name							
	Address							
	City		State		Zi	0		
3.	Contribution Date		Amount/Value	C	escription	(Fully des	cribe in kind contribution	(an
4.	Name of candidate or o	committee to be	benefited					
	Address		County			Zip		
	•	e is the person	seeking?			Δip		
5.	Certification: I certify	that the informa	tion contained herein is true, o	complete	and correc	t to the b	pest of my knowleds	ge.
	Treasurer's signature_					ate		
INS	or political committee v This report is filed in ac	vith the understa idition to any ot	ht receipt of an earmarked co anding, intent or instruction th her required reporting of the t for each earmarked contribut	at it be u ransactio	sed to bene n.	efit anoth	er candidate or co	mmittee.)
	File this report within	two working da	ays of receiving the earmarke e or committee, also within tw	d contribi	ution. Mail o			
	they actually re	eceive it. Such c	whom the earmarked contrib andidates and committees wi struction manual for examples	Il use for	m C-3 or Sc	chedule E	eport the contribut 3 to the C-4 to sho	ion when w receipt

PUBLIC DISCLOSURE COMMISSION

403 Evergreen Plaza, Mail Stop FJ-42 • Olympia, Washington 98504-3342 • (206) 753-1111

[Statutory Authority: RCW 42.17.370. 90–16–083, § 390–16–033, filed 7/31/90, effective 8/31/90; 89–20–068, § 390–16–033, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86–14–056 (Order 86–05), § 390–16–033, filed 6/27/86.]

WAC 390-16-037 Purpose of campaign expenditures—Reporting. Any person required to report the "purpose" of an expenditure under RCW 42.17.090 (1)(f) and (k)(viii), or 42.17.100 (1)(e)(ii) shall (1) specifically identify any candidate(s) or ballot proposition(s) that are supported or opposed by the expenditure unless such candidate(s) or ballot proposition(s) have been previously identified in a statement of organization of the person required to be filed under RCW 42.17.040 (2)(f) and (g), and

- (2) Whenever an expenditure is made to a candidate or a political committee pursuant to an agreement or understanding of any kind regarding how the recipient will use the expenditure, specifically describe that agreement or understanding, and
- (3) Specifically describe the goods and/or services to be provided by the recipient of the expenditure.

[Statutory Authority: RCW 42.17.370(1). 82-05-001 (Order 82-01), § 390-16-037, filed 2/4/82.]

WAC 390-16-038 Definition—Aggregate. The term "aggregate" for the purpose of these campaign financing rules means (1) a total of all contributions received or expenditures made by a candidate or committee together with all contributions received or all expenditures made by all political committees formed by or with the knowledge or consent of such candidate or committee in connection with such campaign, and (2) the total of all contributions from a person.

[Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-038, filed 2/5/86; Order 62, § 390-16-038, filed 8/26/75.]

WAC 390-16-039 Total contributions and expenditures—Reporting. (1) A continuing political committee which is not organized to support or oppose a particular candidate or ballot proposition shall report total contributions and expenditures based on a calendar year, or upon the basis of a fiscal year if the commission expressly authorizes this method. The report filed by such a continuing political committee covering January (or the first month thereafter for which a report would be required by RCW 42.17.065 and 42.17.080) shall contain in summary the following items remaining at the end of the year:

- (a) Funds on hand;
- (b) The total of outstanding pledges;
- (c) Unpaid loans and outstanding obligations;
- (d) Pledges given to others but not yet paid.
- (2) Each candidate, each political committee and each continuing political committee organized to support or oppose a particular candidate or ballot proposition shall report total contributions and expenditures for the period beginning at the time the person becomes a candidate or when the committee is organized, whichever is earlier, and ending when the candidacy or committee is terminated.

(3) This rule shall not require a report unless such report would otherwise be required by chapter 42.17 RCW.

[Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-039, filed 2/5/86; 79-09-041 (Order 79-04), § 390-16-039, filed 8/17/79; Order 70, § 390-16-039, filed 2/25/76.]

WAC 390-16-041 Forms—Summary of total contributions and expenditures. (1) The official form for reports of contributions and expenditures by candidates and political committees who use the "full" reporting option is designated "C-4," revised 1/90, and includes Schedule A, revised 1/90, Schedule B, revised 1/90, Schedule C, revised 1/90, and Schedule L, revised 1/90.

- (2) The official form for reports of contributions and expenditures by candidates for the state legislature or state executive office and who use the "full" reporting option is designated C-4, revised 1/90, and includes form C4x, revised 1/90, Schedule A-s/l, revised 1/90, Schedule B, revised 1/90, Schedule C, revised 1/90, and Schedule L, revised 1/90.
- (3) The official form for reports of contributions and expenditures by candidates and political committees who use the "abbreviated" reporting option is designated "C-4abb," revised 1/90.
- (4) Copies of these forms are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8-1/2" x 11" white paper.

STATE OF WASHINGTON	W BILL DEDOOM			
DEARING	RY, FULL REPORT 'S and expenditu	IRE		PDC OFFICE USE
Candidate or committee name (Do not abbreviate, Include		7 a a Da		O A S R
Candidate of Editablishes Name (50 Hot observator mentee			1/20	T K
Address			<u> </u>	R E C
				Ĕ
City	County	Zip		E
	(end of period)	1		
Period Govered				
RECEIPTS				
Previous total cash and in kind contributions (F (If beginning a new campaign or calendar year.	rom line 8, last C-4) , see instruction booklet)			,
2. Cash received (From line 2, Schedule A)				
3. In kind contributions received (From line 1, Sch	nedule B)			
4. Total cash and in kind contributions received to	his period (Line 2 plus 3)			
		()	
5. Loan principal repayments made (From line 2,	Schedule L)		<u>_</u>	
6. Corrections (From line 1 or 3, Schedule C)	Sho	w + or (-)		
7. Net adjustments this period (Combine lines 5 8	. 6)		. , Show + or (-)
8. Total cash and in kind contributions during can	npaign (Combine lines 1, 4 & 7)	······		
9. Total pledge payments due (From line 2, Scher	dule B)			
EXPENDITURES				
 Previous total cash and in kind expenditures (F (If beginning a new campaign or calendar year, se 	From line 17, last C-4) e instruction booklet)			. '
11. Total cash expenditures (From line 4, Schedule	a A or line 5 Schedule A-s/I)			
12. In kind expenditures (goods & services) (From	line 1, Schedule B)			
13. Total cash and in kind expenditures made this	period (Line 11 plus line 12)			
14. Loan principal repayments made (From line 2,	Schedule L)	(
15. Corrections (From line 2 or 3, Schedule C)	Sho	w + or (-)		
16. Net adjustments this period (Combine lines 14	& 15)		. Show + or (-)	
17. Total cash and in kind expenditures during can	npaign (Combine lines 10, 13 an	nd 16)		
CANDIDATES				· · · · · · · · · · · · · · · · · · ·
Please complete: Name not	CASH SUMMARY			
Won Lost Unopposed on ballot	18. Cash on hand (Line 8 mi	nus line 17)		·
Primary election	(Line 18 should equal yo	our checkbook balance.)		
	19. Liabilities: (Sum of loans	s and debts owed)		()
•	20. Balance (Surplus or defi	icit) (Line 18 minus line 19)		
OF PATRICATION I COMPANY OF THE COMP				
CERTIFICATION: I certify that the information herein Candidate's Signature	n and on accompanying schedul Date	les and attachments is true to Treasurer's Signature (if a politic		/ knowledge.
•				
PDC form C4 (Rev. 1/90) -1499-	See instructio	ns on reverse		





INSTRUCTIONS

Please consult PDC instruction manuals when completing this report. Reporting requirements are contained in and governed by chapters 42.17 RCW and 390-16 WAC.

WHO MUST FILE

Each candidate and political committee using Full Reporting.

FILING DATES

- 1) File with C-1 (Registration) if you received contributions or made expenditures before registering.
- 2) <u>File on the 10th of each month</u> if contributions or expenditures were over \$200 since last C-4 was filed. (Note: These 10th-of-the-month reports are not required if another C-4 must be filed during that month. See #3 below.)
- 3) For each primary, general and special election in which the candidate or political committee makes an expenditure, file
 - 21 days prior to the election 7 days prior to the election

 - 10th of the first month after the election*

(*Not required after primary from candidates who will be in the general election or from continuing political committees.)

4) File final report when campaign is finished or committee closes operation. Often, this coincides with the primary or general post-election, 10th-of-the-month report.

All reports are considered filed as of the postmark date or the date hand-delivered to PDC.

SCHEDULES AND **ATTACHMENTS**

State executive and legislative candidates will file Schedules A-s/l, B, C and L, as appropriate, along with the C-4. These candidates also will file Form C-4x with each C-4.

Judicial and local office candidates and all political committees will file Schedules A, B, C and L, as appropriate, along with their C-4 reports.

All candidates and committees must attach any C-3 reports that were due but not filed.

WHERE TO SEND REPORTS

Send original C-4 reports along with any attachments to PDC at the above address. Candidates send a duplicate copy to their County Auditor (County Elections Department). Political committees send a copy to County Auditor of the county in which their headquarters is located or, if no headquarters, the county in which their treasurer resides.

OTHER REPORTS

C-3 (Cash Receipts Report): Used with Full Reporting only.

C-4 (Contribution and Expenditure Report): Used with Full Reporting only.

ABB C-4 (Receipts and Expenditures Summary): Filed by candidates using Abbreviated Reporting.

Special Report E (Earmarked Contributions Report): Filed by committees which receive funds earmarked for use on behalf of another candidate or committee.

For assistance, call or write PDC!

CASH RECEIPTS A	ND EXPENDIT	URES		SCHEDULE to C4	
Candidate or committee name (Do not abbreviate, U	Ise full name)				
. CASH RECEIPTS (Contributions) which have been	en reported on C3. List each depos	sit made since las	t C4 report was submit	ted.	
Date of deposit Amoun	Date of deposit	Amount	Date of deposit	Amount	Total deposits
. TOTAL CASH RECEIPTS				Enter also on line 2 of C4_	
CASH EXPENDITURES, List all expenses since	last C-4 report was filed.				Amount
a. Total expenditures each \$50 or less b. Payments and reimbursement to can original vendor and the purpose of the can be considered.	didate or committee officials. At	tach a sheet list	ing each payment, th	e person paid, the	
XPENDITURES OVER \$50.00. ITEMIZE E Date paid Name and addres	ACH BELOW. s of recipient or vendor paid	ı	Purpose of e	xpenditure	
				At 400 May 200	
					~
		1			
Check here if	continued on attached sheet		То	tal from attached pages	
TOTAL CASH EVPENDITURES			En	ter also on line 11 of C4	

PDC form C4A (rev. 1-90) -1499-

IN KIND CONTRIBUTIONS, PLEDGES, ORDERS, DEBTS, OBLIGATIONS

	DEBIS, OBLIGATIONS	to C4	(1/90)
Candidate or comm	millee name (Do not abbreviate. Use full name)		
1. IN KIND COL	NTRIBUTIONS RECEIVED (goods, services, discounts, etc.)		
Date received	Contributor's name and address Description of cont	tribution Fair market value	Total given by this person during campaign or year
. 1	(Enter also on line 3 and line	TOTAL 12 of C4)	
2. PLEDGES RE	ECEIVED BUT NOT YET PAID. List each pledge of \$100.00 or more.		
Date you were notified of pledge	Name and address of person making pledge (including organizations)	Amount	Total given by this person during campaign or year
	TOTAL (Include new pledg and all other outstanding p (Enter also on line	oledges.)	-
a. List each	ACED, DEBTS, OBLIGATIONS, ESTIMATED EXPENDITURES (Excluding loans. Report I debt, obligation or estimated expenditure which is more than \$250.00. I debt, obligation or estimated expenditure which is more than \$50.00 and has been		
Expenditure date	Vendor's/Recipient's name and address	Amount owed	Purpose of expenditure
-		TOTAL	
	(Include in line		
PDC form C4B frey.	1/90) -1500-		

(1990 Ed.)

	CORRECTIONS		SCHEDULE C	
andidate or committee name (De	o not abbreviate. Use full name.)			Date
CONTRIBUTIONS AND REC	EIPTS (Include mathematical corrections.)	······································		
Date of report	Contributor's name or description of correction	Amount reported	Corrected amount	Difference (+ or -)
-				
		-		
			ections to contributions 6 of C4. Show + or (-).	
EXPENDITURES (Include manager) Date of report	athematical corrections.) Vendor's name or description of correction	Amount reported	Corrected amount	Difference (+ or -)
				-
			A. A	
			rrections to expenditures 15 of C4. Show + ar (-).	
REFUNDS. The below lists report, line 1d.	ed amounts have been received as refunds on expenditures pr	eviously reported. T	he refund has been depos	ited and reported on C
Date of refund	Source/person making re	efund		Amount of refund
		Enter as (-) c	Total retunds in line 6 & line 15 of C4.	

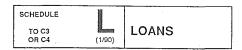
PDC 1 No. 1.40 (rev. 1.90) -1318-

Campaign Financing Reporting

			SCHEDULE		
LOANS	See instructions and examples on reverse		TO C3 OR C4	(1/90)	
Candidate or committee nam	ie				-
1. LOAN RECEIVED.	Lender's name and address	Amount of loan	Annual interest rate	Panaument schedule	Date due
Date loaned	Lender's name and address	Amount of loan	Annual mieresi raie	Repayment schedule	Date due
	Also include this amount _ on line 1c, C3 report	→			
Name and address of each	ch endorser, co-signer, guarantor or other person	liable for the loan:			
A LOAN DAVISEURO					
2. LOAN PAYMENTS Date paid	Lender's name and address	Principal paid	Interest paid	Total payment	Balance owed
				:	
ļ				-	
·	Total Principal Paid _	→			
	(Enter also on lines 5 and 14, C-4 report)	·			
	(Enter	as an expenditure o	otal Payments — n Schedule A)		
3. LOAN FORGIVEN.					
Date	Lender's name and address	Original amount	Principal repaid	Amount forgiven	Balance owed
	ED. List each loan which has previously been re	eported and still has a			
Loan date	Lender's name and address		Original amount	Principal repaid or forgiven	Amount owed
and the state of t					
		ļ		Subtotal	
		New loan	ns received during	this reporting period	******
Ì				Total Lagge Ow-	
☐ Chec	sk here if continued on attached sheet.		(Include in total o	Total Loans Owed n line 19, C-4 report)	
PDC form C4L (1/90) -1500-					······································

[Title 390 WAC-p 27]





INSTRUCTIONS

Please consult PDC instruction manuals when completing this schedule. Reporting requirements are contained in and governed by chapters 42.17 RCW and 390-16 WAC.

WHO MUST FILE

Each candidate and political committee using full reporting that receives one or

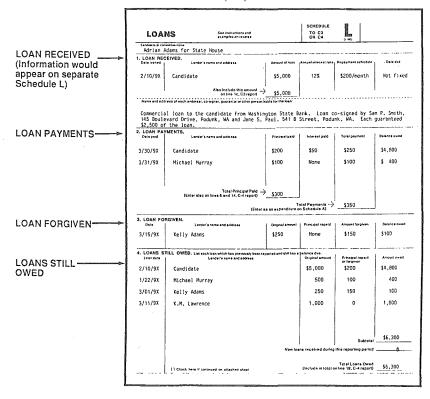
more campaign loans.

FILING DATES

When a loan is received by the campaign, complete Part 1 and file the Schedule L with the C-3 report that corresponds with the loan's deposit into the account. Use a separate schedule for each loan received.

When a loan is paid or forgiven, in whole or in part, complete Part 2 and/or Part 3 and file the Schedule L with the C-4 covering the period when the payment

When one or more loans remain unpaid, complete Part 4 and file the schedule with each C-4 report until all loans are repaid in full or forgiven. (The same schedule may be used to show loan payments, forgiveness information and to show which loans remain unpaid.)



DETAILED EXPENDITURE SUMMARY

Car	didate	Name (D	o not abbreviate. Include full name.)	(1/90)
NS	TRUC	CTIONS:	State executive and legislative candidates are required to provide additional detail about the purpo campaign funds. Using information from the Schedule A-s/I report and your campaign financial records, provide to Attach this report to each C4 report filed.	
١.	EXF	PENDITUI	RES FOR YOUR OWN CAMPAIGN	
	a.	Expend (from ti	illures previously reported ne 1c, last C4x)	
	b,	Campa	ign spending during this report period	
	c.	Total c	ampaign expenditures (1a & 1b)	
١.	COI	NTRIBUT	IONS OR LOANS TO OTHER CANDIDATES OR COMMITTEES	
	a.	Previou (from li	is contributions or loans still outstanding ne 2d, last C4x)	
	b.	Loans period	repaid to your committee during this	
	c.	Contrib	utions or loans during this report period	
	d.	Total co	ontributions or loans to others (2a-2b+2c)	
١.	OFF	FICE REL	ATED EXPENSES	
	a.	Previou	s office expenses (from line 3c, last C4x)	
	b.	Office	elated expenses this report period	
	c.	Total of	fice related expenses (3a + 3b)	
	отн	ER EXP	ENDITURES	
	a.	Other n	niscellaneous expenditures previously d (from line 4c, last C4x)	
	b.	Other e	xpenditures this report period	
	c.	Total of	her expenditures (4a + 4b)	
i.	тот	TAL CAS	H EXPENDITURES (1c + 2d + 3c + 4c)	

[Title 390 WAC-p 29]

CASH RECEIPTS AND EXPENDITURES SCHEDULE STATE EXECUTIVE AND LEGISLATIVE CANDIDATES Candidate or committee name (Do not abbreviate, Use full name) 1. CASH RECEIPTS (Contributions) which have been reported on C3. List each deposit made since last C4 report was submitted. Amount Date of deposit Date of deposit Amount Amount Total deposits 2. TOTAL CASH RECEIPTS Enter also on line 2 of C4 3. CASH EXPENDITURES FOR YOUR OWN ELECTION CAMPAIGN, List all expenses since last C-4 report was filed. b. Payments and reimbursement to candidate or committee officials. Attach a sheet listing each payment, the person paid, the original vendor and the purpose of the expenditure. Attach a copy of each receipt or invoice. EXPENDITURES OVER \$50.00. ITEMIZE EACH BELOW. Date paid Name and address of recipient or vendor paid Total from attached pages Total expenses c. Total election related expenditures Also enter on line 1b of C4x Non-campaign Expenditures. Provide information about any campaign account expenditures which were not related to your own election or re-election. Enter the total amount spent for each category on the line provided, if "none," specify none. In the space provided for each category (or on an attached sheet), show the date, recipient's name and mailing address, the purpose and the amount of each expenditure included in the category total. Also enter the respective totals on lines 2c, 3b and 4b of form C4x. a. Contributions or Joans to other candidates or political committees.....

Enter also on line 11 of C4 _

5. TOTAL CASH EXPENDITURES

"DC form C4A/al (rev. 1/90) -1499-

	REC	EIPTS A	ND E	(PENDIT	URES	ABB	PDC OFFICE USE
PUBLIC DISCLOSURE CO		Stude full name)				-ca	O A S R
ANDIGENE OF COMMITTEE THE	ille (Do not abbreviator me					(1/90)	B
Address							E E
City			Cour	nty	Zip		v E
							D
1. PERIOD COVERED	BY REPORT: Fr	om:		10:			
a. Candidates: Start in which the elect		the end of the n	nonth	c. Cor thr	itinuing Committe ough end of the m	es filing post-e onth in which el	lection report: January 1 sction occurred.
b. Ballot Measure Co of the month in w	ommittees: Start of c hich the election occu	ampaign througi rred.	h the end	d. Cor (Ja	ntinuing Committe unuary 1 through D	es filing annual ecember 31).	report: Calendar year
2. RECEIPTS						· · · · · · · · · · · · · · · · · · ·	
a. Cash on hand from (Include money i	n previous campaign or in checking, savings an	year d other accounts)				
 b. Cash contributions (Include moneta and cash contribution) 	s received this campaig ry contributions, loans, butions by a candidate)	fund raining		• • • • • • • • • • • • • • • • • • • •			
	s (Add lines 2a + 2b)						
expenditures ov	s, including in-kind ates and committee wor er \$50.00, donated goo by others and similar no	ds and services,		,			
e. Total contributions	s (Add lines 2c +2d)						
. EXPENSES		′					
a: Cash expenditures	s						
 Other expenditure: Non-cash contril Disregard any m 	s. (Enter the amount sh butions are listed as bo naterials which may rem	th received and o	expended.			-	
c. Total expenditures	(Add lines 3a + 3b)						
And the second second							
. SURPLUS/DEFICIT							
	nd of specifing ported (Publicati ling 2-	Irom 20)				
a. Cash on hand at er b. Debts and obligation							
c. Surplus or deficit.	,	• • • • • • • • • • • • • • • • • • • •			•••••		
ANDIDATES	Primary election	Won Lo	ost Unop	posed Nam	e not on ballot		
Please complete:	General election		j c				
ERTIFICATION: I certify	y that this report is tru	e and correct to	the best of		's signature (if a poli		
							Date
endidate's signature			Date	Treasure.	s signature (ii a poin	icai committee)	Date





INSTRUCTIONS

Please consult PDC instruction manuals when completing this report.

Reporting requirements are contained in and governed by chapters 42.17 RCW and 390-16 WAC.

WHO MUST FILE

Each candidate and political committee using Abbreviated Reporting.

FILING DATES

- 1) Special election candidates and political committees supporting or opposing special election candidates or ballot issues file on the 10th of the month following the election.
- 2) Candidates and political committees making expenditures supporting or opposing primary or general election candidates or ballot measures file on <u>December 10.</u>
- 3) Continuing political committees that do not take part in a primary or general election are only required to file an annual report on <u>January 10</u> covering the preceding calendar year.
- 4) <u>A final report</u> is filed whenever a candidate's committee or a political committee ceases operation, disposes of any surplus campaign funds and has a zero account balance. Final reports may be filed at any time and may coincide with one of the due dates listed above.

All reports are considered filed as of the postmark date or the date hand-delivered to PDC.

WHERE TO FILE

Send original ABB C-4 report to PDC at the above address. Candidates send a duplicate copy to their County Auditor (County Election Department). Political committees send a copy to County Auditor of the county in which their headquarters is located or, if no headquarters, the county in which their treasurer resides.

For assistance, call or write PDC!

[Statutory Authority: RCW 42.17.370. 90–16–083, § 390–16–041, filed 7/31/90, effective 8/31/90; 89-20-068, § 390–16–041, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86–08–030 (Order 86–02), § 390–16–041, filed 3/26/86; 86-04-071 (Order 86–01), § 390–16–041, filed 2/5/86; 84-05-018 (Order 84–01), § 390–16–041, filed 2/10/84; 82-11-026 (Order 82–03), § 390–16–041, filed 5/10/82; 82-02-007 (Order 81–04), § 390–30–30 (Order 81–30), § 390–30 (Or

WAC 390-16-042 Contingent liabilities—Reporting. A contractual contingent liability (e.g., an additional fee to be paid to a political consultant or other person whose services are used by a candidate who wins the election) is reportable as a debt or obligation on Form C-4, Schedule B, from the time the contract is entered into until the liability is voided, paid or otherwise satisfied.

[Statutory Authority: RCW 42.17.370. 89–20–068, § 390–16–042, filed 10/4/89, effective 11/4/89.]

WAC 390-16-050 Forms for contributions and expenditures of political committees not domiciled in Washington state. The official form for the report of contributions and expenditures of political committees not domiciled in Washington state or otherwise not required to report is designated "C-5," revised 1/90. Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8 1/2" x 11" white paper.



PUBLIC DISCLOSURE COMMISSION

FORM	PDC OFFICE USE
G5	P M A A R E
	7 6

403 EVERGREEN PLAZA—FJ-42 OLYMPIA, WASHINGTON 98504-3342 PHONE: 206-753-1111 OUT OF STATE OR FEDERAL COMMITTEE CONTRIBUTION TO WASHINGTON CANDIDATES OR COMMITTEES				
Name and address of committee making contribution		15 15 17 18)	eport submitted during penditures, contributions anged from reports his calendar year.
 Explain briefly the purpose or affiliation of the committee Senator John Doe, or a PAC of members of the United 		oloyees of XYZ Trade As	sn., or the candida	ites committee of US
Officers or responsible leaders of committee Name and address			Title	
5. Candidate contributions: List each Washington candidate for	state or local office to w	hom you have made a cont	ribution of more than	\$50.00
Gandidate's name 6. Ballot measure committee contributions: List each Washingto than \$50,00. Committee name and address	Office sought n committee supporting Ballot number	Political party or opposing a ballot measu	Date tre to whom you have	Amount given made a contribution of more Amount given
7. Other contributions and expenditures: List each other contribu	ution or expenditure of r	nore than \$50,00 made to	or on behalf of any	Washington state or local
candidate, ballot measure or political committee. Recipient's name and address Check here	,	rpose	Date	Amount
8. CAUTION: Failure to report transactions within	Ann days will	Total contributions expenditures (Add	parts 5, 6, 7)	

PDC form C-5 (Rev. 1/90) -1500-

Continue on reverse

ontributions received from Washington residents:	List all contributions of more than \$25.00 in aggregate to this out of state, federal or committee during the current calendar year from Washington residents or corporations wiplace of business in Washington.
lame and address	Qale Amount
neck here if continued on attached sheet	
my knowledge.	WHO MUST REPORT A political committee not domiciled in the State of Washington,
signature of committee official or person illing report	lederal committee or other committee not required to register und Washington law, which has made contributions to a state or loc candidate or political committee in Washington state.
	WHEN TO REPORT
lame	Within 10 days after making each contribution. Note: Subsequent reports may be by letter updating or amending information previously reported.
Title Date	SEND REPORT TO
	Public Disclosure Commission 403 Evergreen Plaza, FJ-42 Olympia, WA 98504-3342
BE SURE TO NOTIFY	WOLATIONS AND DEVIATION
	VIOLATIONS AND PENALTIES
EACH CANDIDATE AND COMMITTEE THAT YOU HAVE	It is a violation of law for any person to make, or for any candida or political committee to accept from any one person, contributions the aggregate exceeding \$50,000 for any campaign for state-wicoffice or \$5,000 for any other campaign within 21 days of a gener election.
THAT YOU HAVE FILED THIS REPORT	Failure to report contributions and file the information required by th report within 10 days after the Washington candidate or committe receives the funds will cause the funds to be forfeited to the state.
-	FOR ADDITIONAL INFORMATION

[Title 390 WAC-p 35]

-1500-C5B-

[Statutory Authority: RCW 42.17.370. 89-20-068, § 390-16-050, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-050, filed 2/5/86; 82-11-026 (Order 82-03), § 390-16-050, filed 5/10/82; Order 77, § 390-16-050, filed 6/2/76; Order 62, § 390-16-050, filed 8/26/75; Order 6, § 390-16-050, filed 3/23/73.]

WAC 390-16-055 Filing reports for nonreporting committees. (1) Each candidate or political committee receiving funds from a nonreporting committee as described in RCW 42.17.090 (1)(k), shall determine whether such committee has complied with that subsection. If the nonreporting committee has not filed the required report the funds shall not be forfeited or reportable as having been received if they are returned to the nonreporting committee within three business days after receipt. Any retention or other action taken with such funds, if there is not a complete and timely report on file, shall result in the forfeiture of such funds to the state of Washington and shall be deemed a violation of chapter 42.17 RCW.

(2) Any subsequent report by a nonreporting committee of its contributions which is required by RCW 42-.17.090 (1)(k) during the same calendar year may update its initial report by letter showing, in addition to its name and address, only reportable information which is new or changed since its last report.

[Statutory Authority: RCW 42.17.370. 89–20–068, § 390–16–055, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86–04–071 (Order 86–01), § 390–16–055, filed 2/5/86; 82–14–016 (Order 82–04), § 390–16–055, filed 6/28/82; 79–09–041 (Order 79–04), § 390–16–055, filed 8/17/79; Order 62, § 390–16–055, filed 8/26/75; Order 29, § 390–16–055, filed 5/27/74.]

WAC 390-16-060 Forms for report of independent expenditures. The official form for reports of independent expenditures as required by RCW 42.17.100 is designated "C-6," revised 1/90. Copies of this form [are available] [may be obtained] at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8 1/2" x 11" white paper.

[Title 390 WAC—p 36] (1990 Ed.)



STATE OF WASHINGTON

PUBLIC DISCLOSURE COMMISSION

403 EVERGREEN PLAZA—FJ-42 OLYMPIA, WASHINGTON 98504-3342 PHONE: 206-753-1111

FORM	THIS SPACE FOR OFFICE USE
1780	R E C

INDEPENDENT CAMPAIGN EXPENDITURES \$100.00 OR MORE

Name and addres	s of person making expenditure	2. Check appropriate box One time report. I do not expect to make other independent		
		expenditures.		
		Independent expenditures (See Instructions)		
		Final report.		
Name of candidat	te or ballot proposition supported or opposed: check \square suppor	t or Oppose		
a. List the value of candidate or ball	of all independent expenditures made if eggregate is \$100 or mo lot proposition during an election campaign. Do not include monet	re. Hemize expenditures of more than \$50 m ary or in-kind contributions made directly to a	ade in support or in opposition to any candidate or political committee.	
Date	Name and address of vendor or recipient	Description of exp (goods, services, or purchased or turns	rights / value	
		ļ		
l				
		ļ.		
			(
ļ		Í		
	Expenditures \$50 or less not it	emized above		
	Total this report period		\$	
lb.		made during this election campaign. his report and previously submitted reports.	\$	
	INSTRUCTIONS	TAUOMA	OR VALUE	
WHO MUST REPOR	••••	*If no reasonable estimate can be ma	de of value, describe activity, services,	
support of or oppo	e expanditures aggregating \$ 100 or more during any election campaign in osition to a candidate or ballot proposition if not made directly to or in the candidate or a political committee.	property or right furnished precisely distributed.	and attach copy of Item produced or	
WHEN TO REPORT	f: When aggregate amount reaches:	CERTIFICATION: I becall continue	that the above to take an armother	
tens than \$ 100 \$ 100 or more (or ve		CERTIFICATION: I hereby certify that the above is true, complete and correct to the best of my knowledge.		
If additional expenditures made - 10th of month preceding election in which other reports are not required.		Signature of person making expenditure	98	
	*21 days prior to election * 7 days prior to election * 10th day of month after election			
*Required only who	en éxpenditures have been made since fast report was submitted.	Name		
WHERE TO REPOR	at:			
Copy #1—Public E	Diaclosure Commission, 403 Evergreen PlazaFJ-42 Olympia, WA 98504	Title	Date	
Copy #2—County Ele	Unympis, WA 2004 Etections Officer of candidale. For ballot propositions with County actions Officer of person liling this report.			

PDC form C-8 (Rev. 1/90) -1500

[Statutory Authority: RCW 42.17.370. 89–20–068, § 390–16–060, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86–04–071 (Order 86–01), § 390–16–060, filed 2/5/86; 82–11–026 (Order 82–03), § 390–16–060, filed 5/10/82; Order 77, § 390–16–060, filed 6/2/76; Order 62, § 390–16–060, filed 8/26/75; Order 6, § 390–16–060, filed 3/23/73.]

Reviser's note: RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.

WAC 390-16-105 Abbreviated campaign reporting—Eligibility. (1) No candidate and no political committee, as those terms are defined in RCW 42.17.020, shall be required to comply with the provisions of RCW 42.17.060 - 42.17.090 except as otherwise prescribed in WAC 390-16-038, 390-16-115, 390-16-120 and 390-16-125 when neither the aggregate contributions nor the aggregate expenditures exceed two thousand dollars and no contribution or contributions from any source other than the candidate's personal resources within such aggregate exceeds two hundred dollars.

(2) No continuing committee as that term is defined in RCW 42.17.020, shall be required to comply with the provisions of RCW 42.17.060 through 42.17.090 except as otherwise prescribed in WAC 390-16-038, 390-16-115, 390-16-120 and 390-16-125 when neither the aggregate contributions nor the aggregate expenditures during a calendar year exceed two thousand dollars and no contributions or contributions from any person exceed two hundred dollars.

[Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-105, filed 2/5/86; Order 91, 390-16-105, filed 7/22/77; Order 62, § 390-16-105, filed 8/26/75.]

WAC 390-16-111 Abbreviated campaign reporting—Special fund raising events. The term "any person" as used in WAC 390-16-105 does not mean a fund raising activity conducted pursuant to RCW 42.17.067. Candidates and committees using abbreviated reporting as provided in chapter 390-16 WAC shall not be limited to receiving two hundred dollars from a fund raising event provided that the payments from any person do not exceed two hundred dollars from all fund raising events conducted during a campaign or calendar year.

[Statutory Authority: RCW 42.17.370. 89–20–068, § 390–16–111, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86–04–071 (Order 86–01), § 390–16–111, filed 2/5/86; Order 77, § 390–16–111, filed 6/2/76.]

WAC 390-16-115 Abbreviated campaign reporting—Conditions for granting use. The exemptions allowed in WAC 390-16-105 shall be granted to a candidate or political committee only upon compliance with the following conditions.

(1) The candidate or political committee must, within fourteen days of the time of organization, or of receipt of contributions or the making of expenditures, or of reservation of space or facilities with intent to promote or oppose a candidacy for office or with intent to promote or oppose a ballot proposition, whichever comes first, file the C-1 or C-1pc registration statement with the commission and the county elections office. The

statement shall declare that the candidate or political committee will not exceed the expenditure limits set out in WAC 390-16-105.

- (2) The candidate or political committee must, throughout the ensuing election campaign, keep current records in sufficient detail to allow the candidate or political committee to make reports otherwise required by RCW 42.17.040 through 42.17.090 in the event that the filing of such reports becomes necessary as a result of exceeding the contribution and expenditure limitation, pursuant to subsequent permission of the commission.
- (3) The candidate or political committee treasurer shall, during the eight days immediately preceding the date of the election, maintain records of contributions and expenditures current within one business day. These records shall be open for public inspection during the hours designated on the registration statement at the principal campaign headquarters or, if there is no campaign headquarters, at the address of the campaign treasurer or such other place as may be authorized by the commission.
- (4) The records of contributions and expenditures shall be open to audit or examination by representatives of the public disclosure commission at any time upon request from the commission.

[Statutory Authority: RCW 42.17.370. 89-20-068, § 390-16-115, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-115, filed 2/5/86; 82-14-016 (Order 82-04), § 390-16-115, filed 6/28/82; Order 62, § 390-16-115, filed 8/26/75.]

WAC 390-16-120 Abbreviated campaign reporting—Times and place for filing reports C-1, C-1pc and C-4abb. (1) The report C-1 or C-1pc shall be filed by any candidate or political committee intending to use the abbreviated reporting recognized and regulated by WAC 390-16-105 or 390-16-115 within fourteen days of becoming a candidate or organizing a committee.

- (2) In the case of a continuing political committee, the C-1pc report shall be filed initially within fourteen days after accepting any contributions or making any expenditures. Thereafter, the C-1pc shall be filed each year between January 1 and January 31 for any year in which the committee intends to use the abbreviated reporting system and within ten days of any date a change is made in reportable information. Failure to file a new registration statement during January shall automatically terminate the committee's entitlement to use the abbreviated reporting system until such time as a new C-1pc is filed.
- (3) The report Form C-4abb shall be filed by each candidate and political committee by the tenth day of the first month after each election in which there was participation. However, no report shall be required following a primary election unless the candidate is defeated in the primary.

Additionally, in the case of a continuing political committee, the report Form C-4abb shall be filed not later than January 10 summarizing the total contributions received and expenditures made during the preceding calendar year.

(4) The original of each report required by this section shall be filed with the public disclosure commission. A copy shall be filed with the elections officer of the county in which the candidate or committee treasurer resides and a copy shall be retained by the candidate or committee treasurer.

[Statutory Authority: RCW 42.17.370. 90-16-083, § 390-16-120, filed 7/31/90, effective 8/31/90; 89-20-068, § 390-16-120, filed 10/4/89, effective 11/6/89. Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-120, filed 2/5/86; 79-08-046 (Order 79-03), § 390-16-120, filed 7/19/79; Order 91, § 390-16-120, filed 7/22/77; Order 62, § 390-16-120, filed 8/26/75.]

WAC 390-16-121 Last minute committees. For purposes of compliance with WAC 390-16-115 and 390-16-120, a political committee organized within the last three weeks before an election and having the expectation of receiving contributions or making expenditures during and for that election, shall file the registration statement within three business days after its organization or when it first has the expectation of receiving contributions or making expenditures in the election campaign.

[Statutory Authority: RCW 42.17.370. 89-20-068, § 390-16-121, filed 10/4/89, effective 11/4/89.]

- WAC 390-16-125 Abbreviated campaign reporting—Exceeding limitations. Whenever there is reason to believe that any of the aggregate limitations specified in WAC 390-16-105, 390-16-115, or 390-16-120 will or may be exceeded, the candidate or committee may apply to the commission for authorization to change reporting options.
- (1) If the application is made more than thirty days prior to the date of the election, the application will be considered approved without further action by the commission if the person making application submits:
- (a) A PDC Form C-1 or C-1pc indicating the intention of using the full reporting system provided by RCW 42.17.040 42.17.090;
- (b) A PDC Form C-4 with Schedules A, B, C and L, as appropriate, disclosing all contributions and expenditures reportable under RCW 42.17.090 for the election campaign or in the case of continuing political committees for the calendar year. Additionally candidates for state executive or legislative office must file a C4x report.
- (c) A statement affirming that all known candidates for the office being sought have been notified personally of the application stating the manner and date of such notification. In the case of a ballot proposition, the statement shall affirm that the committee treasurer of all committees identifiable from the records of the county elections officer or public disclosure commission to be opposing or supporting the proposition have been notified personally of the application stating the manner and date of such notification.
- (2) If the application is made within thirty days of the date of the election, the application shall be approved only by authorization of the commission executive director.

- (a) Prior to such approval being granted, the executive director shall determine that the application contains those documents shown in subsection (1)(a), (b) and (c) above.
- (b) The commission staff shall investigate why the applicable requirements were not complied with in the first instance and whether or not the probability of exceeding such limitations was reasonably foreseeable. If the investigation shows that the declaration by the candidate, committee or other person filed under WAC 390–16–115 was made in good faith and that the probability of exceeding such limitations was not reasonably foreseeable, the executive director will approve the reporting option change conditioned upon full future compliance with all applicable requirements of chapter 42.17 RCW.
- (3) When one candidate or committee on either side of an election campaign has applied for permission to exceed the limitations of the exemption under subsection (1) above, all other candidates and/or committees may change reporting options by meeting the requirements of subsection (1)(a), (b), and (c).
- (4) Any person who knowingly or negligently causes or permits the limitations specified in these regulations to be exceeded shall be deemed to have violated the applicable provisions of RCW 42.17.040 42.17.090.

[Statutory Authority: RCW 42.17.370. 90-16-083, § 390-16-125, filed 7/31/90, effective 8/31/90; 89-20-068, § 390-16-125, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-125, filed 2/5/86; Order 91, § 390-16-125, filed 7/22/77; Order 67, § 390-16-125, filed 1/16/76; Order 62, § 390-16-125, filed 8/26/75.]

- WAC 390-16-150 Mini campaign reporting. No candidate as that term is defined in RCW 42.17.020(5) and no political committee whose principal purpose is the support of one candidate and whose organization is known to and countenanced by that candidate (hereafter candidate's committee) shall be required to comply with the provisions of RCW 42.17.060 42.17.090 except as otherwise prescribed in this rule in any election campaign for public office in which the aggregate expenditures in the campaign will not exceed the amount of the filing fee provided by law plus a sum not to exceed five hundred dollars.
- (1) Any candidate or candidate's committee shall register and file the C-1 registration statement with the commission and county elections officer of the county wherein the candidate resides within fourteen days of the time he publicly announces his candidacy, files for office or the committee is formed, whichever is earliest. The C-1 shall state his intent to use the mini campaign reporting system.
- (2) No person except the candidate may contribute more than two hundred dollars to a campaign using the mini reporting option.

[Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-150, filed 2/5/86; 85-15-020 (Order 85-03), § 390-16-150, filed 7/9/85; 82-14-016 (Order 82-04), § 390-16-150, filed 6/28/82; Order 91, § 390-16-150, filed 7/22/77.]

- WAC 390-16-155 Mini campaign reporting—Exceeding limitations. (1) Whenever there is reason to believe that the expenditure limits provided in WAC 390-16-150 will be exceeded or that the candidate or candidate's committee will exceed the limitations on contributions and expenditures provided in WAC 390-16-150, the candidate may apply to the commission for authorization to change to the abbreviated reporting option provided in WAC 390-16-105.
- (a) The application shall take the form of a new C-1 report indicating the candidate's or candidate committee's intent to report in accordance with the abbreviated reporting system provided in WAC 390-16-105.
- (b) The application shall be accompanied by a statement signed by the candidate affirming that all known candidates for the office being sought have been notified personally of the application stating the manner and date of notification.
- (c) The application shall be submitted to the commission and duplicate copies of the C-1 report submitted to the county elections officer of the county where the candidate resides within one day of the time that expenditure limits are exceeded.
- (2) The application shall be approved without further commission action.
- (3) The candidate shall subsequently comply with the rules for abbreviated campaign finance reporting.
- (4) Any candidate desiring to change to the full reporting option will follow the procedures outlined in WAC 390-16-125.

[Statutory Authority: RCW 42.17.370. 90-16-083, § 390-16-155, filed 7/31/90, effective 8/31/90; 89-20-068, § 390-16-155, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-155, filed 2/5/86; 82-14-016 (Order 82-04), § 390-16-155, filed 6/28/82; Order 91, § 390-16-155, filed 7/22/77.]

WAC 390-16-200 Encouraging expenditures to avoid contributions—Result. If a candidate or a political committee advises, counsels or otherwise knowingly encourages any person to make an expenditure which, if made by the candidate or committee would be regulated by RCW 42.17.080 — 42.17.090, for the purpose of avoiding direct contributions or for any other reason, the person making such expenditure shall be considered an agent of the candidate or political committee encouraging the expenditure, and the expenditure shall be considered for all intents and purposes as an expenditure of such candidate or political committee.

[Order 62, § 390-16-200, filed 8/26/75.]

WAC 390-16-205 Expenditures by agents, employees—Reporting. Expenditures made on behalf of a candidate or political committee by any person, agency, firm, organization, etc. employed or retained for the purpose of organizing, directing, managing or assisting the candidate's or committee's efforts shall be deemed expenditures by the candidate or committee. Such expenditures shall be reported by the candidate or committee as if made or incurred by the candidate or committee directly. [Order 74, § 390-16-205, filed 4/26/76.]

- WAC 390-16-206 Ratings and endorsements. (1) Any person making a measurable expenditure of funds to communicate a rating, evaluation, endorsement or recommendation for or against a candidate or ballot proposition (other than news, feature, or editorial comment in a regularly scheduled issue of a printed periodical or broadcast media program) shall report such expenditure including all costs of preparation and distribution in accordance with RCW 42.17.030 through 42.17.100.
- (2) A candidate or sponsor of a ballot proposition who, or a political committee which, is the subject of the rating, evaluation, endorsement or recommendation shall not be required to report such expenditure as a contribution unless the candidate, sponsor, committee or an agent thereof advises, counsels or otherwise encourages the person or committee to make the expenditure.
- (3) A candidate who is an officer, director, employee or owner of 10 percent or more in any entity which owns or controls any newspaper, magazine, printed periodical, radio station, television station or other medium of mass communication, and who is provided feature, editorial or advertising space or broadcast time at less than fair market value by the medium with the intent to personally advocate support for his candidacy or to oppose the candidacy of his opponents, must report the fair market value of that space or time as a contribution from the medium. The fair market value shall be the same as that charged for an equal amount of advertising space or time or, if there is no similar space or time, the most expensive advertising space or time sold by the organization.

[Statutory Authority: RCW 42.17.370(1). 86-08-030 (Order 86-02), § 390-16-206, filed 3/26/86; Order 84, § 390-16-206, filed 8/18/76.]

- WAC 390-16-207 In-kind contributions and expenditures—Reporting. (1) Whenever a candidate or a political committee makes one or more in-kind expenditures which (i) directly or indirectly, in whole or in part, benefit another identifiable candidate or political committee and (ii) in the aggregate amount to a value of fifty dollars or more in the reporting period, then, for the purpose of complying with the provisions of RCW 42.17.090 (1)(f);
- (a) Such candidate or political committee shall identify the candidate or political committee benefited by such expenditure and state the value thereof; and
- (b) The candidate or political committee that receives benefit of such expenditure or expenditures shall report a corresponding amount as a contribution received and as an expenditure made by such candidate or political committee.
- (2) Whenever a candidate or a political committee makes an in-kind expenditure which supports or opposes more than one candidate or ballot proposition, the person making such expenditure shall identify each candidate or ballot proposition to which such support or opposition is directed and, if the aggregate expenditure

amounts to fifty dollars or more, shall state the prorated amount of the expenditure or expenditures properly attributable to each such candidate or ballot proposition.

- (3) Whenever a candidate or political committee provides its equipment, property or other facilities owned, retained, leased or controlled by it to another candidate or political committee, the fair market value of the use of such equipment, property or other facilities, if it amounts to fifty dollars or more, shall be reported as follows:
- (a) By the candidate or political committee providing the equipment, property or other facilities, by attaching to its Form C-4, Schedule B, a statement setting forth the name of the candidate or political committee benefited and the date, description and value of the in-kind contribution made by it;
- (b) By the candidate or political committee benefiting from the use of such equipment, property or other facilities, by reporting the value of such use in its Form C-4, Schedule B, both as a contribution and as an expenditure.

[Statutory Authority: RCW 42.17.370(1). 86–04–071 (Order 86–01), § 390–16–207, filed 2/5/86; 82–14–016 (Order 82–04), § 390–16–207, filed 6/28/82; Order 79, § 390–16–207, filed 6/25/76.]

- WAC 390-16-221 Tangible property—Definition. (1) All contributions received by a political committee or candidate are subject to the requirements of RCW 42.17.095 and 42.17.125 whether or not the committee converts the contribution to a different form, e.g., the purchase of tangible property from monetary contributions.
- (2) For the purpose of this rule, tangible property includes but is not limited to real property and improvements thereto; furniture, office desks, file cabinets, tables and machines, vehicles, printing and duplicating equipment, and computer hardware and software.

[Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-221, filed 2/5/86.]

WAC 390-16-230 Surplus campaign funds--Use in future. (1) If at any time in the future any contribution or expenditure is received by or made from such surplus fund or funds for any purpose which would qualify the holder as a candidate or political committee, it will be presumed the holder of such funds has initiated a new candidacy or committee. Within fourteen days of the day such contribution or expenditure is received or made, such candidate or political committee shall file (a) a final report for the previous campaign as provided in RCW 42.17.080 and 42.17.090 and (b) a statement of organization and initial report for the new campaign as provided by RCW 42.17.040, 42.17.080 and 42.17.090. The surplus fund may be reported as one sum and listed as a contribution identified as "funds from previous campaign," provided that all augmentations to and all expenditures made from the retained surplus fund from the initial date of retention are reported in detail as to source, recipient, purpose, amount and date of each transaction.

- (2) A candidate who, or the political committee of a candidate which, retains surplus funds to use for the support or opposition of other candidates or of ballot propositions has established a continuing political committee, and must thereafter report as such.
- (3) A political committee formed to support or oppose a particular ballot proposition which retains surplus funds to use in support or opposition of candidates or of other ballot propositions has become a continuing political committee and must thereafter report as such.

[Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-16-230, filed 2/5/86; 82-14-016 (Order 82-04), § 390-16-230, filed 6/28/82; Order 70, § 390-16-230, filed 2/25/76; Order 62, § 390-16-230, filed 8/26/75.]

WAC 390-16-308 Identification of source of contribution. To identify the source of a contribution received by check or other written instrument, a candidate or treasurer shall ascertain the source of the contribution or type of business entity and apply the following:

Provided, that in cases where the source of the contribution is known and differs from the guidelines set forth below, the known source of the contribution shall be reported;

Provided further, that contributions made by or through a lobbyist shall identify the true and actual source of the funds for whom the contribution was made.

- (1) A contribution drawn upon a single account shall be attributed to the account holder as identified by the name printed on the face of the check or negotiable instrument.
- (2) A contribution drawn upon a joint account shall be attributed in equal proportion to each of the account holders as identified by the names printed on the face of the check or negotiable instrument unless the candidate or treasurer is notified in writing that the contribution should be allocated in different proportions.
- (3) A contribution made by a sole proprietor or drawn upon the account of a business which is a sole proprietorship shall be attributed to the owner of the business entity.
- (4) A contribution drawn upon the account of a partnership shall be attributed to the partnership as a separate entity except that;

Any check drawn upon the partnership account but which is to be paid from the capital account of one or more individual partners shall identify at the time of transmittal to the candidate or treasurer the name(s) of the contributing partner(s) and shall be attributed to the contributing partner(s).

- (5) A contribution drawn upon the account of a corporation, union, association or other similar organization shall be attributed to the corporation, union, association or other similar organization as a separate entity except that:
- (a) A contribution drawn upon the account of a wholly owned or controlled subsidiary shall identify the name of the parent or controlling corporation and the contribution shall be attributed to the parent or controlling corporation;

- (b) A contribution drawn upon the account of a controlled union subdivision shall identify the name of the controlling union and the contribution shall be attributed to the controlling union;
- (c) A contribution drawn upon the account of a controlled subdivision of an association or other similar organization shall name the controlling association or other similar organization and the contribution shall be attributed to the controlling association.
- (d) A subsidiary, union subdivision or subdivision of an association or other similar organization is "controlled" by another entity if it does not maintain executive and fiscal independence over its operations and functions as demonstrated by:
- (i) Whether the corporation or organization owns a controlling interest in the voting stock or securities of the subsidiary or subdivision;
- (ii) Whether the corporation or organization has the authority or ability to direct or participate in the governance of the subsidiary or subdivision through provisions of constitutions, bylaws, contracts or other rules, or through formal or informal practices or procedures;
- (iii) Whether the corporation or organization has the authority or ability to hire, appoint, demote or otherwise control the officers or other decisionmaking employees or members of the subsidiary or subdivision;
- (iv) Whether the corporation or organization has common or overlapping membership with the subsidiary or subdivision which indicates a formal or ongoing relationship between the two entities.
- (v) Whether the corporation or organization has common or overlapping officers or employees with the subsidiary or subdivision which indicates a formal or ongoing relationship between the two entities;
- (vi) Whether the corporation or organization provides funds or goods in a significant amount or on an ongoing basis through direct or indirect payments to the subsidiary or subdivision.
- (6) Contributions made by political committees established, financed, maintained, or controlled by any corporation, organization, or any other person, including any parent, subsidiary, branch, division, department, or local unit of such person, shall be considered to have been made by a single political committee.

[Statutory Authority: RCW 42.17.370. 90-20-088, § 390-16-308, filed 9/28/90, effective 10/29/90.]

- WAC 390-16-310 Limitation on contributions. The limitations on contributions as provided in RCW 42.17.105(8) shall be applied as follows:
- (1) The limitation on contributions shall apply to a "candidate" as that term is defined in RCW 42.17.020(5) when the candidate is contributing to his or her own campaign using his or her own personal funds.
- (2) The limitations on contributions shall apply separately to the contributions made by each spouse.
- (3) Minor children (children under 18 years of age) may make contributions which do not exceed the limitations on contributions if the contribution is properly attributed to the minor child and if;

- (a) The decision to contribute is made knowingly and voluntarily by the minor child;
- (b) The funds, goods, or services contributed are owned or controlled exclusively by the minor child, such as income earned by the child, the proceeds of a trust for which the child is the beneficiary, or a savings account opened and maintained exclusively in the child's name; and
- (c) The contribution is not made from the proceeds of a gift, the purpose of which was to provide funds to be contributed, or is not in any other way controlled by another individual.
- (4) Contributions from a business organized as a sole proprietorship and contributions from the owner of the sole proprietorship shall be aggregated for purposes of determining the limitations of contributions under to RCW 42.17.105(8).
- (5) The limitations on contributions shall apply separately to the contributions made by a partnership from the contributions made by an individual partner except that:

Contributions made from or charged against the capital account of an individual partner shall be aggregated with the partner's individual contributions for purposes of determining the limitations on contributions under RCW 42.17.105(8).

- (6) The limitations on contributions shall apply separately to the contributions made by a corporation, union, association or other similar organization from the contributions made by the subsidiary corporation, or subdivision of the union, association or other similar organization except that;
- (a) A contribution from a wholly owned or controlled subsidiary corporation or subdivision of a union, association or other similar organization shall be aggregated with the contributions of the parent or controlling corporation or organization for purposes of determining the limitations on contributions under RCW 42.17.105(8).
- (b) A subsidiary, union subdivision or subdivision of an association or other similar organization is "controlled" by another entity, if it does not maintain executive and fiscal independence over its operations and functions as demonstrated by the factors set forth in WAC 390-20-300 (i)-(vi).
- (7) The limitation on contributions shall apply separately to political committees except that;

Political committees which are established, financed, maintained or controlled by any corporation, organization or any other person, including any parent, subsidiary, branch, division, department, or local unit of such persons shall be aggregated and considered as having been made by a single political committee for purposes of determining the limitations on contributions under RCW 42.17.105(8).

[Statutory Authority: RCW 42.17.370. 90-20-088, § 390-16-310, filed 9/28/90, effective 10/29/90.]

[Title 390 WAC-p 42]

Chapter 390–18 WAC POLITICAL ADVERTISING

WAC	
390-18-010	Political advertising—Identification of sponsor.
390-18-020	Political advertising—Political party identification.
390-18-030	Political advertising—Exemptions from sponsor
	identification.
390_18_040	He of the terms "reelect " "retain " and "return "

WAC 390-18-010 Political advertising—Identification of sponsor. (1) For the purposes of RCW 42.17-.510 and this rule, "sponsor" means the candidate, political committee or other person paying for the advertising. If a person acts as an agent for another or is reimbursed by another for the payment, the original source of the payment is the sponsor.

- (2) With advertising for which no payment is demanded or for which a cost is not readily ascertainable, the sponsor is the candidate, political committee or person who solicits or arranges for the advertising to be displayed or broadcast.
- (3) If more than one person sponsors specific advertising, the identity of each sponsor must be shown. However, if a person contributes in cash or in-kind to a candidate or political committee to assist in paying the cost of advertising, it is unnecessary to include that contributor's name as a sponsor provided the contribution is reported in accordance with applicable provisions of chapter 42.17 RCW.
- (4) Printed advertising shall clearly state in an area apart from the body of the text that it has been paid for by the sponsor (Example: (1) Paid for by the XYZ Committee, mailing address, city, state, zip code; (2) Vote For John Doe, paid for by John Doe, mailing address, city, state, zip code). Broadcast advertising shall conform to the requirements of the Federal Communications Commission.
- (5)(a) Political advertising consisting of more than one page but intended to be presented as a single item (i.e. 3-page letter with return envelope) must identify the sponsor on the first page of the advertising. Identification on subsequent pages or inserts to the same advertising is not required. Identification on an enclosed return envelope or the envelope in which the advertising is sent is not sufficient.
- (b) Political advertising which is a collection of several items relating to more than one candidate or committee and distributed simultaneously must show the respective sponsor on the respective items.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–18–010, filed 7/9/85.]

WAC 390-18-020 Political advertising—Political party identification. (1) In newspaper advertising, brochures, mailings and similar printed advertising, a candidate's political party affiliation must be:

- (a) printed in letters no smaller than 10 point bold face type (or 1/8" high if type is not used),
- (b) placed in an area apart from the body of text of the advertisement.

- (2) On yard signs, bus signs, hand-held signs, banners, bumper strips, posters and similar type advertising, a candidate's political party affiliation must be:
- (a) printed in letters no smaller than 60 point type (or 5/8" high if type is not used),
- (b) printed in a color which contrasts with the background on which the party affiliation is printed.
- (3) The commission shall publish a suggested list of abbreviations or symbols which may be used by candidates and political committees which the commission finds will clearly identify political party affiliation.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–18–020, filed 7/9/85.]

WAC 390-18-030 Political advertising—Exemptions from sponsor identification. (1) The following forms of political advertising need not include the sponsor's name and address because such identification is impractical: Ashtrays, badges and badge holders, balloons, bingo chips, brushes, bumper stickers—size 4" x 15" or smaller, buttons, cigarette lighters, clothes pins, clothing, coasters, combs, cups, earrings, emery boards, envelopes, erasers, frisbees, glasses, golf balls, golf tees, hand-held signs, hats, horns, ice scrapers, inscriptions, key rings, knives, labels, letter openers, magnifying glasses, matchbooks, nail clippers, nail files, newspaper ads of one column inch or less, noisemakers, paper and plastic cups, paper and plastic plates, paper weights, pencils, pendants, pennants, pens, pinwheels, plastic tableware, pocket protectors, pot holders, reader boards where message is affixed in moveable letters, ribbons, 12-inch or shorter rulers, shoe horns, skywriting, staple removers, stickers—size 2-3/4" x 1" or smaller, sunglasses, sun visors, swizzle sticks, state or local voters pamphlets published pursuant to law, tickets to fund raisers, water towers, whistles, yard signs—size 4' x 8' or smaller, yo-yos, and all other similar items.

[Statutory Authority: RCW 42.17.370(1). 85–15–020 (Order 85–03), § 390–18–030, filed 7/9/85.]

- WAC 390-18-040 Use of the terms "reelect," "retain," and "return." (1) The term "reelect" when used in a political advertisement represents that the candidate is presently holding office, and was elected to it, and is seeking another term in that same position.
- (2) The term "reelect" may be used in a political advertisement by a nonincumbent candidate who has previously been elected to the position being sought provided that in the same advertisement it is clearly stated that the candidate is not the incumbent.
- (3) The term "retain" in a political advertisement represents that the candidate is the incumbent but does not represent that the candidate attained the position by election.
- (4) The term "return" in a political advertisement represents that the candidate now holds, or has previously held, the position being sought, but does not represent that the position was attained by election.
- (5) Stating the office sought (e.g., "mayor") by a candidate in a political advertisement without expressly stating the candidate is seeking election to the position

390-20-040

390-20-050

390-20-051

WAC

(e.g., "for mayor"; "Elect Smith Mayor") represents that the candidate presently holds that office. [Statutory Authority: RCW 42.17.370(1). 88–14–064 (Order 88–02), § 390–18–040, filed 7/1/88; 86–12–059 (Order 86–03), § 390–18–040, filed 6/3/86.]

Repealed by 80-02-055 (Order 80-01), filed 1/17/80. Statutory Authority: RCW 42.17.370(1). Forms for lobbyist registration—For statement of compensation paid to elected officials. [Order 45, § 390-20-030, filed 9/26/74; Order 9, § 390-20-030, filed 4/24/73.] Repealed by 80-02-106 (Order 80-02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1).

08-061 (Order 100), § 390-20-028, filed 7/24/78.]

Chapter 390-20 WAC FORMS FOR LOBBYING REPORTS, ELECTED OFFICIALS AND LEGISLATORS

WAC	
390-20-0101	Forms for lobbyist registration.
390-20-013	Lobbyist registration—Photograph—Requirements.
390-20-014	Registration during last calendar quarter of the bien-
	nial registration period.
390-20-015	Lobbyists registration—Termination.
390-20-017	Suspension of registration.
390-20-018	Lobbyist voluntary registration.
390-20-020	Forms for lobbyist report of expenditures.
390–20–023	Contributions to candidates, elected officials, political committees, or public office fund—Identification of source.
390-20-024	Lobbyist nonreportable expenses.
390-20-025	Lobbyists expenditures—Apportionment of expenses.
390-20-026	Definition of term "other expenses."
390-20-027	Definition—State elected official, candidate for state office.
390-20-052	Application of RCW 42.17.190—Reports of agency lobbying.
390-20-054	Agency lobbying—Reporting of lobbying by independent contractors.
390-20-100	Effect of Public Disclosure Act—Freedom of communication—Employer interference.
390-20-105	Lobbyist's employer—Meaning—Examples.
390-20-107	Lobbyist's employer—Reporting of "total expenditures."
390-20-110	Forms for lobbyist employer's report.
390–20–111	Form for lobbyist employers report of political contributions.
390-20-115	Forms for report of legislative activity by legislators and legislative committees.
390-20-120	Forms for report of legislative activity by public agencies.
390-20-125	Forms for registration and reporting by sponsors of grass roots lobbying campaigns.
390-20-130	Forms for statement of employment of legislators, state officers, and state employees.
390-20-140	Loss of RCW 42.17.160 exemptions.
390-20-141	Registration and reporting required when a lobbyist employs another lobbyist.
390-20-143	Application of lobbying provisions to organizations.
390-20-144	Registration and reporting by lobbyist organizations.
390-20-145	Reporting of lobbying events.

DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

390-20-010	Forms for lobbyist registration. [Order 62, § 390–20–010, filed 8/26/75; Order 45, § 390–20–010, filed 9/26/74; Order 6, § 390–20–010, filed 1/23/73.]
	Repealed by 78-02-063 (Order 96), filed 1/23/78.
390-20-022	Statutory Authority: RCW 42.17.370(1). Definition—Development. [Statutory Authority:
370-20-022	RCW 42.17.370(1). 88–06–019 (Resolution No. 88–
	01), § 390-20-022, filed 2/24/88.] Repealed by 90-
	16-083, filed 7/31/90, effective 8/31/90. Statutory
	Authority: RCW 42.17.370.
390-20-028	Definition of terms "communicate," "communica-
	tion," "communicating," and "legislation." [Statutory
	Authority: RCW 42.17.160(4) and 42.17.370(1), 78-

Forms—For report of legislative activity by legislators and legislative committees. [Order 45, § 390–20–040, filed 9/26/74; Order 5, § 390–20–040, filed 3/2/73.] Repealed by 80–02–106 (Order 80–02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1). Forms—For report of legislative activity by public

Forms—For report of legislative activity by public agencies. [Statutory Authority: RCW 42.17.370(1). 79–11–124 (Order 79–07), § 390–20–050, filed 11/6/79; Order 43, § 390–20–050, filed 9/26/74; Order 5, § 390–20–050, filed 3/2/73.] Repealed by 80–02–106 (Order 80–02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1).

Application of RCW 42.17.370(1).

Application of RCW 42.17.190 to lobbying of the legislature and governor. [Statutory Authority: RCW 42.17.160(4) and 42.17.370(1). 78–08–061 (Order 100), § 390–20–051, filed 7/24/78.] Repealed by 80–02–055 (Order 80–01), filed 1/17/80. Statutory Authority: RCW 42.17.370(1).

390–20–053 Application of RCW 42.17.190 to lobbying of other agencies. [Statutory Authority: RCW 42.17.160(4) and 42.17.370(1). 78–08–061 (Order 100), § 390–20–053, filed 7/24/78.] Repealed by 80–02–055 (Order 80–01), filed 1/17/80. Statutory Authority: RCW 42.17.370(1).

390-20-055 Application of RCW 42.17.190 to intra-agency activity. [Statutory Authority: RCW 42.17.160(4) and 42.17.370(1). 78-08-061 (Order 100), § 390-20-055, filed 7/24/78.] Repealed by 80-02-055 (Order 80-01), filed 1/17/80. Statutory Authority: RCW 42.17.370(1).

390-20-060 Forms—For registration and reporting by sponsors of grass roots lobbying campaigns. [Order 43, § 390-20-060, filed 9/26/74; Order 5, § 390-20-060, filed 3/2/73.] Repealed by 80-02-106 (Order 80-02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1).

390-20-070 Forms—For statement of employment of legislators, state officers, and state employees. [Order 43, § 390-20-070, filed 9/26/74; Order 5, § 390-20-070, filed 3/2/73.] Repealed by 80-02-106 (Order 80-02), filed 1/24/80. Statutory Authority: RCW 42.17.370(1).

390-20-080 Lobbyists—Termination of registration. [Order 49, § 390-20-080, filed 3/3/75.] Repealed by 80-03-089 (Order 80-03), filed 3/4/80. Statutory Authority: RCW 42.17.370(1).

390-20-085 Lobbyists expenditures—Apportionment of expenses. [Order 49, § 390-20-085, filed 3/3/75.] Repealed by 80-06-119 (Order 80-06), filed 5/30/80. Statutory Authority: RCW 42.17.370(1).

WAC 390-20-0101 Forms for lobbyist registration. The official form for lobbyist registration as required by RCW 42.17.150 is designated "L-1," revised 12/86. Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8-1/2" x 11" white paper.

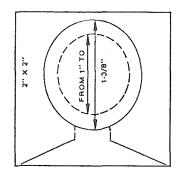
[Title 390 WAC—p 44] (1990 Ed.)

Lobbying Reports

STA	ATE OF WASHINGTON LOBBYIST R	ΕC	SISTRATION 198	7-88		6	THIS SPACE FOR	OFFICE USE
	THIS REGISTRATION IS VALID LOBBYIST NAME	UNT	IL JAN. 9, 1989 UNLESS SC	DONER TERMINATED	·			
•	LOBS 1131 NAME							
	PERMANENT BUSINESS ADDRESS							
	CITY		STATE		ZIP			
2.	TEMPORARY THURSTON COUNTY ADDRESS DL	IRIN	3 LEGISLATIVE SESSION	·····		TELEPHONE	<u> </u>	
	*					PERMAI TEMPOI	PARY:	
3.	EMPLOYER'S NAME AND ADDRESS (PERSON O	R GI	ROUP FOR WHICH YOU LOBBY)		EMPLOYER DESCRIPTION	'S OCCUPATION, BUSH ON OF PURPOSE OF O	NESS OR RGANIZATION
4.	NAME AND ADDRESS OF PERSON HAVING CUS	TOD	Y OF ACCOUNTS, RECEIPTS, B	OOKS OR OTHER DOCL	UMENTS WHICH	SUBSTANT	TATE LOBBYIST REPOR	TS.
5.	WHAT IS YOUR PAY (COMPENSATION) FOR LO	ВВУ	NG?	DESCRIPTION OF EMP	PLOYMENT (CHE	ECK ONE O	R MORE BOXES)	
	\$PER			FULL TIME EMPI	LOYEE		SOLE DU	TY IS LOBBYING
	(Hour, Day	, Mo	nth, Year)	PART TIME OR 1	TEMPORARY EM	PLOYEE		G IS ONLY A PART
	OTHER: EXPLAIN			CONTRACTOR, F	RETAINER OR S	IMILAR AGR	OF OTHE EEMENT	R DUTIES
				UNSALARIED OF	FICER OR MEM	BER OF GR	OUP	
6.	ARE YOU REIMBURSED FOR LOBBYING EXPENS	SES?	EXPLAIN WHICH EXPENSES.	DOES EMPLOYER PAY	Y ANY OF YOUR		EXPENSES DIRECTLY	17 IF
	YES: \$PER			YES, EXPLAIN WHICH	ONES.			
	YES: I AM REIMBURSED FOR EXPENSES.							
_	NO: I AM NOT REIMBURSED FOR EXPENS	ES.						
7.	HOW LONG DO YOU EXPECT TO LOBBY FOR T	HIS	ORGANIZATION?					
			DURING LEGISLATIVE SESSION	OTHER, E				
8.	IF ANY PART OF YOUR COMPENSATION IS CO THE AGREEMENT, ARRANGEMENT OR UNDERST	AND	IGENT ON THE SUCCESS OF A ING.	IN ATTEMPT TO INFLUE	ENCE LEGISLAT	ION, ATTAC	CH AN EXPLANATION F	ULLY DESCRIBING
9.	IS YOUR EMPLOYER A BUSINESS OR TRADE AS THE NAME AND ADDRESS OF EACH MEMBER WOR IS EXPECTED TO PAY OVER \$500 THIS YEAD NO YES. THE LIST IS ATTACHED	/HO	MAIDN OH SIMILAR OHGANIZA	EES, DUES OR OTHER	ON BEHALF OF PAYMENTS OVI	ITS MEMBE ER \$500 DL	HS7 IF "YES", ATTACH	A LIST SHOWING PAST TWO YEARS
10	DOES YOUR EMPLOYER HAVE A CONNECTED POLITICAL CONTRIBUTIONS INCLUDING PURCHA							R YOU TO MAKE
	NO YES, NAME OF THE COMMITTEE IS:							
11.	IE I OPRVIST IS A COMPANY PARTNERSHIP OF	511	II AD DUOINICCO ENTITY WHICH	EMBLOVE OTHERS TO	DEDEORM ACT	THAL LODD	VINO DUTIES LIST MA	AE OF EACH DED
	IF LOBBYIST IS A COMPANY, PARTNERSHIP OR SON WHO WILL LOBBY. (SEE WAC 390-20-143,	AND	144 FOR INSTRUCTIONS.)	EMPLOTS OTHERS TO	FERFORM AC	TOAL LOBB	TING DUTES, LIST NA	WE OF EACH FER
2.	AREAS OF INTEREST. LOBBYING IS MOST FRED				REMARKS			
c		ODE	WITH FOLLOWING SUBJECTS: SUBJECT	•				
0		08	Fiscal					
0	2 Business and Consumer Affairs	09	Higher Education		Ì			
0	Constitutions and Elections	10 11	☐ Human Services ☐ Labor		1			
0	Education	12	Law and Justice					
0	8 Environmental Affairs - Notural	13	Local Government		1			
J	Resources—Parks	14	State Government					
0	y I I Financial Institutions and	15 16	Transportation Other—specify					
	CERTIFICATION: I HEREBY CERTIFY THAT THE AND CORRECT STATEMENT.	E AB	OVE IS A TRUE, COMPLETE				MING THE EMPLOYMEN	T AUTHORITY
3.	LOBBYIST'S SIGNATURE		DATE				PRINTED AND TITLE	DATE
DC F	ORM L-1 (REV. 12/86)394-		NOT VALID UNLESS	S SIGNED BY BOTH	н			······································

[Title 390 WAC—p 45]

LOBBYIST IDENTIFICATION BOOKLET



NAME:

BUSINESS ADDRESS:

PHONE:

OLYMPIA ADDRESS:

PHONE:

EMPLOYERS' NAMES:

YEAR FIRST EMPLOYED AS A LOBBYIST: BIOGRAPHY:

INSTRUCTIONS

ATTACH THIS PAGE TO YOUR L-1 REGISTRATION.

ATTACH 2" x 2" PASSPORT TYPE, BLACK AND WHITE PHOTO. PHOTO SHOULD BE HEAD AND SHOULDERS, FULL FACE, AND TAKEN WITHIN LAST 12 MONTHS.

PLEASE WRITE, LIGHTLY IN PENCIL, NAME ON BACK OF PHOTO BEFORE ATTACHING. PHOTOS WILL NOT BE RETURNED.

PLEASE SEE INSTRUCTION BOOKLET FOR EXAMPLE OF BIOGRAPHY. LIST ALL EMPLOYERS ON THIS PAGE IF YOU HAVE MORE THAN ONE EMPLOYER. IF YOU LATER ADD ADDITIONAL EMPLOYERS, PDC WILL INCLUDE THEM FOR YOU.

PLEASE USE TYPEWRITER TO COMPLETE THIS PAGE.

PDC FORM L-1, PAGE 3 (Rev 11/82) -394-

[Statutory Authority: RCW 42.17.370(1). 87–05–001 (Order 87–01), § 390–20–0101, filed 2/5/87; 85–24–020 (Order 85–05), § 390–20–0101, filed 11/26/85; 82–21–020 (Order 82–07), § 390–20–0101, filed 10/12/82; 78–02–063 (Order 96), § 390–20–0101, filed 1/23/78.]

WAC 390-20-013 Lobbyist registration—Photograph—Requirements. The photograph to be submitted by a registering lobbyist shall satisfy the requirements of a photo acceptable for a United States passport. The photograph shall have been taken within twelve months of the date of registration.

[Statutory Authority: RCW 42.17.370(1). 85–24–020 (Order 85–05), § 390–20–013, filed 11/26/85; 82–14–016 (Order 82–04), § 390–20–013, filed 6/28/82.]

WAC 390-20-014 Registration during last calendar quarter of the biennial registration period. (1) A lobbyist who registers during the last calendar quarter of an even-numbered year may request in writing that the registration be valid until the second Monday of January three years hence.

(2) The lobbyist will be required to file monthly expense reports (PDC Form L-2) for each month in which he/she is registered.

(3) The lobbyist employer shall file the employer's report (PDC Form L-3) for each calendar year or portion thereof in which a lobbyist is registered.

[Statutory Authority: RCW 42.17.370(1). 87–08–025 (Order 87–02), § 390–20–014, filed 3/25/87.]

WAC 390-20-015 Lobbyists registration—Termination. A lobbyist who intends to cease lobbying activity may terminate his registration at any time by filing with the commission a signed statement, consistent with RCW 42.17.150(3) indicating his intention to terminate any further activity as a lobbyist or by so indicating on an L-2 for the month that termination has taken place. A lobbyist who terminates such registration shall file any reports required under the lobbyist reporting provisions for the period during which he was registered as a lobbyist. The employer of any such lobbyist shall not be relieved of any duty to file the report otherwise required by RCW 42.17.180.

[Statutory Authority: RCW 42.17.370(1). 85-24-020 (Order 85-05), § 390-20-015, filed 11/26/85; Order 62, § 390-20-015, filed 8/26/75.]

WAC 390-20-017 Suspension of registration. A lobbyist by notifying the commission in advance in writing may temporarily suspend his or her registration for any month(s) in which no lobbying will be done, no expenditures will be made for lobbying and no compensation will be received for lobbying.

(a) During the period when the suspension is effective, the commission will not require L-2 Reports to be filed.

- (b) The lobbyist may reinstate the registration by notifying the commission in writing. The notification must state the date the reinstatement is to be effective. It must also affirm that information on the original L-1 registration is still correct or include an amended L-1 Form.
- (c) Notification under this rule does not suspend or modify the requirement in RCW 42.17.150(4) for a new registration each odd-numbered year.

[Statutory Authority: RCW 42.17.370(1). 85–24–020 (Order 85–05), § 390–20–017, filed 11/26/85.]

WAC 390-20-018 Lobbyist voluntary registration. A person, exempt from registration under RCW 42.17-.160, who voluntarily registers as a lobbyist, shall not be required to file the reports required by RCW 42.17.170.

The employer of any voluntarily registered lobbyist shall not be required to file the reports provided in RCW 42.17.180.

[Statutory Authority: RCW 42.17.370(1). 85-24-020 (Order 85-05), § 390-20-018, filed 11/26/85.]

WAC 390-20-020 Forms for lobbyist report of expenditures. The official form for the lobbyist report of expenditures is designated "L-2," revised 9/90. Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8-1/2" x 11" white paper.



1/91

PDC OFFICE USE

LOBBYIST MONTHLY EXPENSE REPORT							
1. Lobbyist name.							
Mailing address.							
City.	State.		ZIP				
?· This report is for the period(Month) (Year)	This report cor amends the re		(Year)	Business telephon	0,		
ALL COMPLETE THIS include all expenditures by lobbylst and lobbylst lobbylst incurred during the reporting	's employer for or	on behalf of the		DU HAVE MORE TH	AN ONE EMPLOYER		
EXPENSE CATEGORY	TOTAL AMOUNT THIS MONTH All employers plus own expense (Columns a + b + c + d and allached pages)	Amounts paid from lobbylst's own funds, not reimbursed or attributed to an employer. Column A	Employer No	Employer No	Employer No Column D		
 COMPENSATION earned from employer for lobbying this period (salary, wages, retainer) 							
PERSONAL EXPENSES for travel, food and refreshments							
 ENTERTAINMENT, GIFTS, TRAVEL for legislators, state officials, their families (Itemize on reverse-#13) 							
 CONTRIBUTIONS to elected officials, candidates and political committees (Itemize on reverse#14) 							
7. ADVERTISING, PRINTING, INFORMATIONAL LITERATURE							
OTHER EXPENSES AND SERVICES (Itemize on reverse#15)							
9. TOTAL COMPENSATION AND EXPENSES INCURRED THIS MONTH				77, 27, 27, 27	the state of the s		
(Attach additional page(s) If you lobby for more than three employers.)							
10. EMPLOYERS' NAMES No (B)							
No. — (C)							
No (D)							
11. Subject matter of proposed legislation or other legislative activity or rulemaking the lobbylst was supporting or opposing. Subject Matter, issue or Bill No. Legislative Committee or State Agency Considering Matter Employer Represented							
INFORMATION CONTINUED ON ATTACHED PAGES							
Estimate the percentage of your time or lobbying effo	rt devoted to: the Leg	jislature%	State Agencies	%.			
12. TERMINATION: (COMPLETE THIS ITEM ONLY IF YO	U WISH TO TERMINAT	E YOUR REGISTRATION	₹)				
Date registration ends: I understand that an L-2 report is required for any mont I must lile a new registration report prior to lobbying to numbered year.	h or portion thereof in w	hich i am a registered ic uture. All registrations te	bbyist, t also underste rminate automatically	and that once I have le	rminated my registration, In January of each odd		
	, CERT	IFICATION					
I certify that this report is a true and complete acc attributable directly or indirectly to lobbying act specified.		LOBBYIST'S SIGN	IATURE		DATE		

Monetary or in-kind contributions exceeding \$25 to federal, state or local office cand legislative caucus fund, an elected official's public office fund, a political party, a politic rany grass roots lobbying campaign. Name of individual or committee receiving benefit. If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be again included in this L-2 report.) Intinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobb	ates, committees supporting or opposing these can committee supporting or opposing a candidate or employer for whom contribution was made.	ndidates, a ballot measi Amount.
Monetary or in-kind contributions exceeding \$25 to federal, state or local office candilegislative caucus fund, an elected official's public office fund, a political party, a politic or any grass roots lobbying campalgn. Date. Name of individual or committee receiving benefit. If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be significant included in this L-2 report.) Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobbying services or assistance in lobbying and payments for grass roots lobbying services.	committee supporting or opposing a candidate or	ballot measi
Monetary or in-kind contributions exceeding \$25 to federal, state or local office candilegislative caucus fund, an elected official's public office fund, a political party, a politic or any grass roots lobbying campaign. Date. Name of individual or committee receiving benefit. If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be again included in this L-2 report.) Intinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobby.	committee supporting or opposing a candidate or	ballot measi
Monetary or in-kind contributions exceeding \$25 to federal, state or local office candilegislative caucus fund, an elected official's public office fund, a political party, a politic or any grass roots lobbying campaign. Date. Name of individual or committee receiving benefit. If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be again included in this L-2 report.) Intinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobby.	committee supporting or opposing a candidate or	ballot measi
Monetary or in-kind contributions exceeding \$25 to federal, state or local office candilegislative caucus fund, an elected official's public office fund, a political party, a politic or any grass roots lobbying campaign. Date. Name of individual or committee receiving benefit. If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be again included in this L-2 report.) Intinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobby.	committee supporting or opposing a candidate or	ballot measi
Monetary or in-kind contributions exceeding \$25 to federal, state or local office candilegislative caucus fund, an elected official's public office fund, a political party, a politic or any grass roots lobbying campaign. Date. Name of individual or committee receiving benefit. If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be again included in this L-2 report.) Intinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobby.	committee supporting or opposing a candidate or	ballot measi
Monetary or in-kind contributions exceeding \$25 to federal, state or local office candilegislative caucus fund, an elected official's public office fund, a political party, a politic or any grass roots lobbying campalgn. Date. Name of individual or committee receiving benefit. If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be significant included in this L-2 report.) Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobbying services or assistance in lobbying and payments for grass roots lobbying services.	committee supporting or opposing a candidate or	ballot measi
Monetary or in-kind contributions exceeding \$25 to federal, state or local office candilegislative caucus fund, an elected official's public office fund, a political party, a politic or any grass roots lobbying campalgn. Date. Name of individual or committee receiving benefit. If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be significant included in this L-2 report.) Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobbying services or assistance in lobbying and payments for grass roots lobbying services.	committee supporting or opposing a candidate or	ballot measi
Monetary or in-kind contributions exceeding \$25 to federal, state or local office candilegislative caucus fund, an elected official's public office fund, a political party, a politic or any grass roots lobbying campaign. Date. Name of individual or committee receiving benefit. If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be again included in this L-2 report.) Intinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobby.	committee supporting or opposing a candidate or	ballot measi
Monetary or in-kind contributions exceeding \$25 to federal, state or local office candilegislative caucus fund, an elected official's public office fund, a political party, a politic or any grass roots lobbying campaign. Date. Name of individual or committee receiving benefit. If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be again included in this L-2 report.) Intinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobby.	committee supporting or opposing a candidate or	ballot measi
Monetary or in-kind contributions exceeding \$25 to federal, state or local office candilegislative caucus fund, an elected official's public office fund, a political party, a politic or any grass roots lobbying campalgn. Date. Name of individual or committee receiving benefit. If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be significant included in this L-2 report.) Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobbying services or assistance in lobbying and payments for grass roots lobbying services.	committee supporting or opposing a candidate or	ballot measi
Monetary or in-kind contributions exceeding \$25 to federal, state or local office candilegislative caucus fund, an elected official's public office fund, a political party, a politic or any grass roots lobbying campalgn. Date. Name of individual or committee receiving benefit. If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be significant included in this L-2 report.) Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobbying services or assistance in lobbying and payments for grass roots lobbying services.	committee supporting or opposing a candidate or	ballot measi
Monetary or in-kind contributions exceeding \$25 to federal, state or local office candilegislative caucus fund, an elected official's public office fund, a political party, a politic or any grass roots lobbying campalgn. Date. Name of individual or committee receiving benefit. If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be significant included in this L-2 report.) Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobbying services or assistance in lobbying and payments for grass roots lobbying services.	committee supporting or opposing a candidate or	ballot measi
Monetary or in-kind contributions exceeding \$25 to federal, state or local office candilegislative caucus fund, an elected official's public office fund, a political party, a politic or any grass roots lobbying campalgn. Date. Name of individual or committee receiving benefit. If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be significant included in this L-2 report.) Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobbying services or assistance in lobbying and payments for grass roots lobbying services.	committee supporting or opposing a candidate or	ballot measi
If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be significant included in this L-2 report.) Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobbying services or assistance in lobbying and payments for grass roots lobbying services or assistance in lobbying and payments for grass roots lobbying services or assistance in lobbying and payments for grass roots lobbying services or assistance in lobbying and payments for grass roots lobbying services.	committee supporting or opposing a candidate or	ballot measi
If contributions were made by a political action committee associated, affiliated or sponsor PAC on C-4 report need not be again included in this L-2 report.) ontinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobb	Employer for whom contribution was made.	Amount.
PAC on C-4 report need not be again included in this L-2 report.) ontinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including payment provide lobbying services or assistance in lobbying and payments for grass roots lobb		
PAC on C-4 report need not be again included in this L-2 report.) ontinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including payment provide lobbying services or assistance in lobbying and payments for grass roots lobb		
PAC on C-4 report need not be again included in this L-2 report.) ntinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including payment provide lobbying services or assistance in lobbying and payments for grass roots lobb		
PAC on C-4 report need not be again included in this L-2 report.) ontinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including payment provide lobbying services or assistance in lobbying and payments for grass roots lobb		
PAC on C-4 report need not be again included in this L-2 report.) ontinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including payment provide lobbying services or assistance in lobbying and payments for grass roots lobb		
PAC on C-4 report need not be again included in this L-2 report.) ontinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including payment provide lobbying services or assistance in lobbying and payments for grass roots lobb	1	
PAC on C-4 report need not be again included in this L-2 report.) ontinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including payment provide lobbying services or assistance in lobbying and payments for grass roots lobb	· ·	
PAC on C-4 report need not be again included in this L-2 report.) ontinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including payment provide lobbying services or assistance in lobbying and payments for grass roots lobb	·	
PAC on C-4 report need not be again included in this L-2 report.) ontinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including payment provide lobbying services or assistance in lobbying and payments for grass roots lobb		
PAC on C-4 report need not be again included in this L-2 report.) ontinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including payment provide lobbying services or assistance in lobbying and payments for grass roots lobb		
PAC on C-4 report need not be again included in this L-2 report.) ontinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including payment provide lobbying services or assistance in lobbying and payments for grass roots lobb		
PAC on C-4 report need not be again included in this L-2 report.) ontinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including payment provide lobbying services or assistance in lobbying and payments for grass roots lobb	1	
PAC on C-4 report need not be again included in this L-2 report.) ontinued on attached pages. PAC name: Payments by the lobbyist for other lobbying expenses and services, including payment provide lobbying services or assistance in lobbying and payments for grass roots lobb		
PAC name: Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobb	by your employer, show name of the PAC below. (Informa-	atlon reported
Payments by the lobbyist for other lobbying expenses and services, including paymer provide lobbying services or assistance in lobbying and payments for grass roots lobb		
	to subcontract lobbyists, expert witnesses and other ng campaigns (except advertising/printings costs list	ited in Item 7
Recipient's name and address Employer	r whom expense was incurred or lobbying done.	Amount.
	Briefly describe purpose of expense.	

[Statutory Authority: RCW 42.17.370. 90–20–088, § 390–20–020, filed 9/28/90, effective 10/29/90. Statutory Authority: RCW 42.17.370(1). 85-24-020 (Order 85-05), § 390–20–020, filed 11/26/85; 82-21-020 (Order 82-07), § 390–20–020, filed 10/12/82; 80-02-055 (Order 80-01), § 390–20–020, filed 1/17/80; Order 94, § 390–20–020, filed 10/31/77; Order 88, § 390–20–020, filed 12/29/76; Order 62, § 390–20–020, filed 8/26/75; Order 94, § 390–20–020, filed 9/26/74; Order 6, § 390–20–020, filed 3/23/73.]

WAC 390-20-023 Contributions to candidates, elected officials, political committees, or public office fund—Identification of source. If a lobbyist, as an agent for another person, makes a contribution to any candidate, elected official, political committee or public office fund and the existence of such agency and identity of its principal is not apparent on the face of the contribution instrument, the lobbyist shall simultaneously inform the recipient in writing as to the source of such funds and the identity of the principal.

[Statutory Authority: RCW 42.17.370(1). 85-24-020 (Order 85-05), § 390-20-023, filed 11/26/85; 79-09-041 (Order 79-04), § 390-20-023, filed 8/17/79.]

WAC 390-20-024 Lobbyist nonreportable expenses. A registered lobbyist who is not compensated for lobbying and, who in advance, informs the commission that the only expenses to be incurred are those exempt from reporting as provided in RCW 42.17.170 (2)(a)(i-iv) shall not be required to file an L-2 report.

[Statutory Authority: RCW 42.17.370(1). 85–24–020 (Order 85–05), § 390–20–024, filed 11/26/85.]

WAC 390-20-025 Lobbyists expenditures—Apportionment of expenses. For the purposes of compliance with RCW 42.17.170 (2)(a) requiring reporting of expenditures by lobbyists, a person registered and reporting as a lobbyist need only report those expenditures made or incurred for lobbying.

[Order 62, § 390-20-025, filed 8/26/75.]

WAC 390-20-026 Definition of term "other expenses." The term "other expenses" in RCW 42.17.170 shall be deemed to include only expenses or services paid or incurred or performed in connection with lobbying.

[Order 70, § 390–20–026, filed 2/25/76; Order 62, § 390–20–026, filed 8/26/75.]

WAC 390-20-027 Definition—State elected official, candidate for state office. For the purposes of reporting by employers of registered lobbyists pursuant to RCW 42.17.180, the terms "state elected official" and "candidate for state office" shall include governor, lieutenant governor, attorney general, state auditor, commissioner of public lands, insurance commissioner, secretary of state, superintendent of public instruction, state treasurer, state senator, state representative, justices of the supreme court and judges of the court of appeals.

[Order 71, § 390–20–027, filed 3/23/76.]

WAC 390-20-052 Application of RCW 42.17.190—Reports of agency lobbying. Pursuant to the authority granted in RCW 42.17.190(7), the commission adopts

- the following interpretations regarding the reporting of lobbying by public agencies pursuant to RCW 42.17.190:
- (1) The phrase "in-person lobbying" contained in RCW 42.17.190 (4)(d)(v)(B) includes activity which is intended to influence the passage or defeat of legislation, such as testifying at public hearings, but does not include activity which is not intended to influence legislation, such as attending a hearing merely to monitor or observe testimony and debate.
- (2) The phrase "a legislative request" contained in RCW 42.17.190 (4)(d)(ii) includes an oral request from a member of the legislature or its staff.
- (3) Pursuant to RCW 42.17.190(5), certain local agencies may elect to have lobbying activity on their behalf reported by their elected officials, officers and employees in the same manner as lobbyists who register and report under RCW 42.17.150 and 42.17.170:
- (a) Whenever such a local agency makes such an election, it shall provide the commission with a written notice.
- (b) After such an election, those who lobby on behalf of such local agency shall register and report all lobbying activity reportable under RCW 42.17.190(4) in the same manner as lobbyists who are required to register and report under RCW 42.17.150 and 42.17.170. Such a local agency shall report pursuant to RCW 42.17.180.
- (c) In order to terminate such an election, such a local agency shall provide the commission with a written notice and it shall report pursuant to RCW 42.17.190(4) thereafter.
- (d) The exemptions from reportable lobbying activity contained in RCW 42.17.190 (4)(d) apply to all agencies, whether or not they have exercised the election to report in the same manner as lobbyists who report under RCW 42.17.150, 42.17.170 and 42.17.180. The exemptions contained in RCW 42.17.160 (1), (3) and (4) do not apply to any agency.
- (4) Unless an agency has elected to report its lobbying pursuant to RCW 42.17.190 (5) and subsection (3) of this rule, an agency shall include the reportable lobbying activity on its behalf by an elected official in its quarterly report. Such an elected official does not file any separate report of that activity.
- (5) Reportable in-person lobbying by elected officials, officers and employees:
- (a) An elected official does not engage in reportable in-person lobbying on behalf of this agency unless and until that elected official has expended in excess of fifteen dollars of nonpublic funds in connection with such lobbying for or on behalf of any one or more members of the legislature or state elected officials or public officers or employees of the state of Washington during any three-month period as provided in RCW 42.17.190 (4)(d)(v)(B).
- (b) Other officers and employees do not engage in reportable in-person lobbying on behalf of their agency unless and until they have, in the aggregate, expended in excess of fifteen dollars of nonpublic funds in connection with such lobbying for or on behalf of any one or more

[Title 390 WAC-p 50]

members of the legislature or state elected officials or public officers or employees of the state of Washington or they have, in the aggregate, engaged in such lobbying for more than four days or parts thereof during any three month period as provided in RCW 42.17.190 (4)(d)(v)(B).

(c) When limits in (a) or (b) above have been exceeded, the agency shall report such elected official, officer, or employee as a "PERSON WHO LOBBIED THIS QUARTER" on the front of PDC Form L-5 and include a listing of those excess expenditures as noted on that form.

[Statutory Authority: RCW 42.17.370(1). 85–24–020 (Order 85–05), § 390–20–052, filed 11/26/85; 80–02–055 (Order 80–01), § 390–20–052, filed 1/17/80.]

WAC 390-20-054 Agency lobbying—Reporting of lobbying by independent contractors. (1) An independent contractor who is retained to lobby on behalf of an agency shall register and report as a lobbyist pursuant to RCW 42.17.150 and 42.17.170.

(2) An agency which retains an independent contractor as a lobbyist and reports all of its expenditures in connection therewith pursuant to RCW 42.17.190 shall not be obligated to file a report pursuant to RCW 42.17.180 with regard to that lobbyist.

[Statutory Authority: RCW 42.17.370(1). 81-08-025 (Order 81-01), § 390-20-054, filed 3/26/81.]

WAC 390-20-100 Effect of Public Disclosure Act—Freedom of communication—Employer interference. Except as provided by RCW 42.17.150 – 42.17.190, which require certain persons who lobby to register and file periodic reports with the commission, nothing in the Public Disclosure Act shall be deemed to impair or restrict the right of any individual to communicate to any member of the legislature or other legislative enactment of any other state agency, regardless of whether or not such matter as to which he or she communicates also affects, directly or indirectly, the interest of his or her employer: *Provided*, Such activity involves no unauthorized or unlawful use of an employer's time or funds.

Nothing in the act shall be deemed to necessitate or excuse if otherwise unlawful, any instruction, directive or order issues, orally or in writing, by any employer restraining such communication by an employee, or requiring such employee to obtain advance permission from such employer in order to make such communication. Any adverse action taken against such employee for the alleged violation of such instruction, directive or order, contrary to the policies expressed in these rules, which depends or purports to depend upon the provisions of the Public Disclosure Act for its validity, shall be considered unlawful. Any such action, when reported to or discovered by the commission, shall be reported to appropriate enforcement agencies for such civil or criminal action as may be appropriate.

[Statutory Authority: RCW 42.17.370(1). 85-24-020 (Order 85-05), § 390-20-100, filed 11/26/85; Order 62, § 390-20-100, filed 8/26/75.]

WAC 390-20-105 Lobbyist's employer—Meaning—Examples. (1) The term "lobbyist's employer" includes every person who engages or utilizes the services of any other person to lobby, upon an agreement express or implied, for compensation or for other consideration, as that term is defined in WAC 390-05-220. A person is a lobbyist's employer within the meaning of the act when:

(a) Other persons are actually employed by or receive consideration from such person in whole or in part[,] for lobbying;

- (b) Officers or [employees] [employers] of such person, lobby for or on behalf of such person, whether such duties are expressed in the corporate or partnership articles or bylaws or other writings of such employer, or in a written or oral contract, or exist by reason of a mutual understanding;
- (c) The lobbying services are secured or arranged for through an authorized representative.
- (2) A person shall not be deemed to be a lobbyist's employer solely because an employee of such person engages in lobbying.

[Statutory Authority: RCW 42.17.370(1). 88–14–064 (Order 88–02), § 390–20–105, filed 7/1/88; 85–24–020 (Order 85–05), § 390–20–105, filed 11/26/85; Order 62, § 390–20–105, filed 8/26/75.]

Reviser's note: RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.

WAC 390-20-107 Lobbyist's employer—Reporting of "total expenditures." (1) Consistent with the public policy expressed in RCW 42.17.010 (1) and (10), the obligation in RCW 42.17.180(3) for the employer of a lobbyist to report "total expenditures" for lobbying purposes requires reporting of all payments made to finance a lobbying effort, whether or not reportable by the lobbyist pursuant to RCW 42.17.170.

(2) "Total expenditures" requires inclusion of a pro rata share of general overhead expenditures for lobbying which are attributable to the lobbyist as an employee or whose contract is for activities and services in addition to lobbying. The term also requires reporting of separate, identifiable expenditures such as rental of additional office space, hiring of additional staff and secretarial assistance, specific advertising campaigns, expenditures for lobbyists who are exempt from registration per RCW 42.17.160, specific telephone installations and charges, and other such separate, identifiable expenditures made for the purpose of conducting a lobbying effort.

[Statutory Authority: RCW 42.17.370(1). 82–14–016 (Order 82–04), \S 390–20–107, filed 6/28/82.]

WAC 390-20-110 Forms for lobbyist employer's report. The official form for statement by employers of registered lobbyists as required by RCW 42.17.180 is designated "L-3," revised 9/90. Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington, 98504. Any attachments shall be on 8-1/2" x 11" white paper.

EMPLOYER'S LOBBYING EX	PENSES	PDD	
EMPLOYER'S NAME (USE COMPLETE COMPANY, ASSOCIATION, UNION OR ENT	ITY NAME)		
MAILING ADDRESS	TELEPHO	l c	
CITY STATE	ZIP	E U S E	
THIS REPORT MUST BE FILED BY MARCH 31, 1991. Include expendite Washington State Legislature and/or any state agency. Complete all section of the payments to lobbyist(s) for salary, contract, retainer and reimburseme include all contributions and expenses for entertainment, gifts and grass reconciled all contributions and expenses for entertainment, gifts and grass reconciled all contributions and expenses for entertainment.	ons. If entry is "none" or "o", so Indicent of other lobbying expenses. In the ots lobbying campaigns reported by the control of the control	ato. I amount listed for each l	obbylst, port. Total amount
· ·			
INFORMATION CONTINUED ON ATTACHED PAGE	TOTAL FROM ATTACHED PAG	E	
	TOTAL PAID DIRECTLY TO LO	DOMESTS	
When completing items 3 thru 6 below, DO NOT include expenditures	already reported in Item 2 above.		
3. Other expenditure made by the employer for lobbying purposes. Include	de any and all;		
a. Payments to vendors on behalf of or in support of registered lobbyl	sts (i.e., food, lodging, travel, crodit ca	ard purchases);	
 b. Payments to or on behalf of expert witnesses or others retained to por expertise that assists the employer's lobbying effort; 	provide lobbyling services who offer sp	oecialized knowledge	
 c. Payments for entertainment, gifts, tickets, passes, transportation and expenses) provided to legislators, state officials, state employees and responses. 	I travel expenses (including meals, loc members of their immediate families;	lgling and related (Also complete Item 8)	
 d. Payments for the composition, design, production and distribution of legislation; 	of Informational materials produced pri	marily for Influencing	
 e. Payments for grass roots lobbying expenses, including those previor for lobbying communications to clients or customers (other than corporganization). 	usly reported by employer on PDC For trate stockholders and members of an	orn L-6, and payments a association or	
 Political contributions to candidates for state office, including the legisla candidates or to committees supporting or opposing statewide ballot n 	dure, lo committees supporting or opp neasures. (Also complete Item 9.)	oosing those	
a. Contributions made directly by the employer, including those previo	ously reported on PDC Form L-3c.		
 If contributions were made by a political action committee associate name of PAC below. (Information reported by PAC on C-4 report need Name of PAC 	d, alliliated or sponsored by the emp d not be again included as part of this	loyer, show s L-3 report.)	
 Payments or exponditures to legislators and state officials and their spo honoring, or benefiting the legislator or official. Do not include payment of business. (Also complete Item 12.) 	ouses and dependents for the purposits for goods or services obtained in the	of influencing, to normal course	
Payments for other expenditures for lobbying, whether through or on b itemizing each expense (i.e., show date, recipient, purpose and amount	pehalf of a registered lobbyist or other al). Do not show payments included a	wise. Attach list ibove.	
		OBBYING EXPENSES s 2 lhru 6)	
7. This report must be certified by the president, secretary-treasurer or sli	milar officer of employer organization.		
CERTIFICATION	SIGNATURE OF EMPLOYER	,	DATE
I cortly that the information contained in this report is a tru- correct and complete statement in accordance with RC 42.17.180.	· ·		

в. E	ntertainment, gilts, tickets, passes, transportation	on and travel expenses (inc	luding	meals, lodging and	d related expenses) exceeding \$25 in value
P	provided to logislators, state officials, state emp	loyees and members of the	11 11 11 11 11 11 11 11 11 11 11 11 11	Cost or Value	Description of Entertainment,
	Name and the			Value	Gilt or Travel
П					
<u></u>	INFORMATION CONTINUED ON ATTACHED PAGES		idalos	or state office, the	legislature, committees supporting or opposing
1	hose candidates or committees supporting or o	opposing statewide ballet n	1085010	. Contributions fro	m an employer-affiliated PAC need not be listed.
	Name of Recipien	t .		Amount	
	INFORMATION CONTINUED ON ATTACHED PAGE		·		
10.	COMPENSATION OF \$1,000 OR MORE DURING T ELECTED OFFICIALS, SUCCESSFUL CANDIDATES				
	!	RELATIONSHIP TO CANDIDATE FEECTED OFFICIAL IF MEMBER		AMOUNT	DESCRIPTION OF CONSIDERATION OR SERVICES
	NAME	FAMILY.		(CODE)	EXCHANGED FOR COMPENSATION
			r.		L,
			CC	LAR AMOUNT DE A - \$ 1 to \$ 1,999	
				B • \$2,000 to \$9,999	
П			l	C - \$ 10,000 to 19,999 D - \$20,000 to \$49,99	
11.	COMPENSATION OF \$1,000 OR MORE DURING T		<u> </u>	E - \$50,000 or more	ERVICES PAID TO ANY CORPORATION, PARTNER-
•••	SHIP, JOINT VENTURE, ASSOCIATION OR OTHER MEDIATE FAMILY HOLDS OFFICE, PARTNERSHIP	I ENTITY IN WHICH A STATE E	LECTED	OFFICIAL, SUCCES	SEUL STATE CANDIDATE OR MEMBER OF THE IM-
	FIRM NAME	PERSON'S NAME		AMOUNT (CODE)	DESCRIPTION OF CONSIDERATION OR SERVICES EXCHANGED FOR COMPENSATION
	INFORMATION CONTINUED ON ATTACHED PAGE:	s			
12.	ANY EXPENDITURE, NOT OTHERWISE REPORTED OFFICE OR MEMBER OF THE IMMEDIATE FAMILY				D OFFICIAL, SUCCESSFUL CANDIDATE FOR STATE
	NAME			AMOUNT	PURPOSE
	NAME				
П	INFORMATION CONTINUED ON ATTACHED PAGE	g			N ₁ and
لسا	Michilary Hou countries ou VIIVous Espec	••			

(1990 Ed.)

[Statutory Authority: RCW 42.17.370. 90–22–018, § 390–20–110, filed 10/29/90, effective 11/29/90. Statutory Authority: RCW 42.17.370(1). 87–05–001 (Order 87–01), § 390–20–110, filed 2/5/87; 85–24–020 (Order 85–05), § 390–20–110, filed 11/26/85; 84–05–018 (Order 84–01), § 390–20–110, filed 2/10/84; Order 62, § 390–20–110, filed 8/26/75.]

Reviser's note: Notice of Objection: The Joint Administrative Rules Review Committee finds that WAC 390-20-110 has not been modified, amended, withdrawn, or repealed by the Public Disclosure Commission so as to conform with the intent of the Legislature as expressed in RCW 42.17.170 and 42.17.180. Therefore, pursuant to its authority under RCW 34.04.240, this notice of objection is filed.

The Joint Committee finds that WAC 390-20-110 requires the disclosure of information from lobbyists' employers which RCW 42.17-.170 specifically excludes from reporting by lobbyists. It is the opinion of the Joint Committee that the Commission is attempting to obtain information from lobbyists' employers which the Commission would

not otherwise be able to obtain from lobbyists themselves. This would thwart the express intent of the Legislature that such information is inappropriate for reporting. WAC 390-20-110 would effectively neuter the reporting exemptions in RCW 42.17.170—the Commission would have the information. This is not what the Legislature intended. [Joint Administrative Rules Review Committee, Memorandum, August 16, 1984—Filed August 28, 1984, WSR 84-18-014.]

WAC 390-20-111 Form for lobbyist employers report of political contributions. The official form entitled "Employer of Lobbyist Monthly Political Contribution Report" as required by RCW 42.17.180 (2)(a) is designated "L-3C." Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington, 98504. Any attachments must be on 8-1/2" x 11" white paper.

[Title 390 WAC—p 54] (1990 Ed.)



EMPLOYER OF LOBBYIST MONTHLY POLITICAL CONTRIBUTION REPORT

3 EVERGREEN PLAZA, FJ-42 YMPIA, WASHINGTON 88504-3342 Rephone (208) 753-1111	REPORT	L-3c	P D
Employer's Name (Use C	Complete Company, Association, Union or Entity Name)	6/90	C
Mailing Address		1	F I
City	State	ZIP	CE
Reporting Period (Month/	Year During Which Contribution(s) Occurred)		U S E

Who Must File Report: Employers of lobbyists registered in Washington State making one or more contributions, including in-kind contributions, during one calendar month totaling more than \$100 to a candidate for state or local office, an elected state or local office an officer or employee of any public agency, or a political committee. Employer contributions made through and reported by a registered lobbyist or an employer-affiliated PAC are not reportable on an L-3c

What Must Be Reported: Contributions, including a loan, gift, deposit, subscription, forgiveness of indebtedness, donation, advance, pledge, payment, or transfer of anything of value, including personal and professional services for less than full consideration. Contributions to campaign accounts and public office fund accounts are reportable.

When Is The Report Filed: Within 15 days after the last day of each calendar month during which reportable contributions were made. Reports are considered filed as of the post mark or hand-delivery date to PDC.

Itemize contributions that alone, or together with other contributions to the same recipient, total over \$100 during the calendar month specified above. If space provided is insufficient, use additional L-3c forms or 81/2" x 11" white paper.

DATE OF CONTRIBUTION	NAME AND ADDRESS OF RECIPIENT	DESCRIPTION OF CONTRIBUTION*	AMOUNT OR VALUE*
*See reverse for details			
		rue and complete to the best of my knowledg	e.
Name and title of perso employer's behalf (typ	on authorized to sign on e or print)	Signature	Date

PDC Form 1.-3c (6/90)-F

Description of Contribution

Monetary

Monetary contributions are those made in cash or by check, money order or other negotiable instrument. If total in amount column represents aggregate total given that recipient during the month (i.e., more than one contribution), indicate the date and amount of each contribution figured into the total.

For contributions given to incumbent candidates and elected officials, indicate whether the contribution is for the recipient's campaign account or public office account.

In-Kind

Donated goods or services qualify as reportable contributions. In-kind contributions include such things as discounts on products or services, free transportation, free or reduced-rate office space, personal services, polling services, professional assistance to campaign managers and help with preparation of political advertising.

Amount or Value of Contribution

If the aggregate amount or value contributed to one recipient (candidate, elected official, agency officer or employee, or political committee) during a calendar month was over \$100 -- and the aggregate contribution was not reported by your lobbyist on his/her monthly report or the aggregate contribution was not made through and reported by your affiliated PAC -- put the total contributed in the Amount or Value column and provide the other required information.

In-Kind

Value in-kind contributions at the amount you actually paid for the donated item or service or, if no purchase was made, value them at their fair market value. Fair market value is the amount a well-informed buyer or lessee, willing but not obligated to buy or lease, would pay; and what a well-informed seller, or lessor, willing but not obligated to sell or lease, would accept.

[Statutory Authority: RCW 42.17.370. 90–16–083, § 390–20–111, filed 7/31/90, effective 8/31/90.]

WAC 390-20-115 Forms for report of legislative activity by legislators and legislative committees. The official form for report of legislative activity by legislators

REPORT OF LEGISLATIVE EMPLOYEES

TO THE STATE OF WASHINGTON



PUBLIC DISCLOSURE COMMISSION

403 EVERGREEN PLAZA BUILDING 711 CAPITOL WAY OLYMPIA, WASHINGTON 98504 PHONE: 206-753-1111 and legislative committees as required by RCW 42.17.190 is designated "L-4." Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8-1/2" x 11" white paper.

REV. 2/76	LEGISLA COMMITTEES OF	LEGISLATURE
	THIS SPACE F	OR OFFICE USE

See complete instructions at bottom of page.	(Type or Print Clearly)		
Senate/House Committee or Legislator		DATE PREPARED	
		REPORT FOR CALENDAR	
		QUARTER ENDING:	
List below or on attached pages in preparation or enactment of	persons who were employed during this legislation or in the performance of legis	reporting period for the purpose of aidi slative duties.	ng
1. EMP	LOYEES COMPENSATED BY THE LEGI	SLATURE	
NAME AND ADDRESS	JOB TITLE	LEGISLATOR OR COMMITTEE TO WHICH ASSIGNED	SALARY THIS QUARTER
_			
INFORMATION IS INCLUDED ON ATTACHED PAGES.	FES COMPENSATED DIRECTLY BY TH	E LEGISI ATOR OR	<u> </u>
	EES COMPENSATED DIRECTLY BY TH TEE OR OTHERWISE COMPENSATED		0.11.157
NAME AND ADDRESS	JOB TITLE	LEGISLATOR OR COMMITTEE OR PERSON PAYING EMPLOYEE	SALARY THIS QUARTER
			de la constant de la
	•		
CERTIFICATION: I certify that the above is a true and correct statement in accordance with RCW 4	NAME e, complete 2.17.190.		
SIGNATURE	TITLE		
	L-4 INSTRUCTIONS		

WHO MUST REPORT:

Every legislator and committee of the legislature. The Chief Clerk of the House of Representatives and the Secretary of the Senate may provide consolidated reports in lieu of individual reports from each legislator and committee.

WHEN TO REPORT:

Reports for the preceding calendar quarter are due not later than April 10, July 10, October 10, and January 10. Negative reports are not required.

FORMS TO BE FILED WITH: Public Disclosure Commission 403 Evergreen Plaza Building Olympia, WA 98504

FOR ADDITIONAL INFORMATION:

Consult Chapter 42.17 Revised Code of Washington and Chapter 390-20 Washington Administrative Code or contact Public Disclosure Commission, phone 206-753-1111

PDC form L-4 (Rev. 2/76)

ava 3

[Statutory Authority: RCW 42.17.370(1). 85–24–020 (Order 85–05), § 390–20–115, filed 11/26/85; Order 71, § 390–20–115, filed 3/23/76; Order 62, § 390–20–115, filed 8/26/75.]

WAC 390-20-120 Forms for report of legislative activity by public agencies. The official form for the report of legislative activity by public agencies as required by RCW 42.17.190 is designated "L-5," revised 11/79.

Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8-1/2" x 11" white paper.

[Title 390 WAC-p 58]

PUBLIC DISCLOSURE COMMISSION 403 EVERGREEN PLAZA OI YMPIA WASHINGTON 98504 204-753-1111	PDC FORM 	BY STA GOVERN	LOBBYIN ATE AND MENT A	LOCAL
	THI	S SPACE FOR O	FFICE USE	and a second
	FILE NUMBER	P. M. O.	ATE	DATE RECEIVED
INSTRUCTIONS ARE PRINTED ON REVERSE				
AGENCY OR GOVERNMENTAL ENTITY NAME AND ADDRESS	DATE PREPARE	D		FOR CALENDAR R ENDING
	COUNTY		MONTH	YEAR
PERSONS THE PERSON	9 E. M. S. J. S. S. J. S.			144
NAME JOB TITLE		ANNUAL SALA		IME SPENT LOB- DURING QTR.
GENERAL DESCRIPTION OF LOBBYING ACTIVITIES OR OBJECTIVES. (INCLUDE BILL OR WAC	NUMBERS IF AN	IY)	
CHECK IF PERSON SPENT MORE THAN \$15 OF NON-PUBLIC FUN	DS IN LORBYING SEE I	NSTRUCTIONS (N DEVEDS	:F
NAME JOB TITLE	OS IIV ECONTING. SEE	ANNUAL SALAF	Y % OF	TIME SPENT LOB-
CHECK IF PERSON SPENT MORE THAN \$15 OF NON-PUBLIC FUN	DS IN LOBBYING. SEE I	NSTRUCTIONS C	N REVERS	E .
NAME JOB TITLE		ANNUAL SALAF		TIME SPENT LOB- DURING QTR.
GENERAL DESCRIPTION OF LOBBYING ACTIVITIES JA OBJECTIVES.	(INCLUDE BILL OR WAC	NUMBERS IF A	NY	
			* a	
CHECK IF PERSON SPENT MORE THAN \$15 OF NON-PUBLIC FUN	IDS IN LOBBYING, SEE II	NSTRUCTIONS O	N REVERS	£ .
EXPENDITURES FOR LOI REPORT ONLY THE SEPARATELY IDENTIFIABLE AND MEASUR			obbying p	URPOSEE
SALARIES OF PERSONS WHO LOBBIED Include only portion of quarter	ly salary attributable to lob	bying)	s	
TRAVEL (Include tood, lodging, per diem payments and cost of transportation)	ion used.)		s	The second secon
BROCHURES AND OTHER PUBLICATIONS WHOSE PRINCIPAL PURPOSE	E IS TO INFLUENCE LEGI	SLATION	s	
CONSULTANTS OR OTHER CONTRACTUAL SERVICES			\$	
	TOTAL THIS QUARTER		s	
	TOTAL TO DATE THIS Y	EAR	s	
CERTIFICATION: I certify that to the best of my knowledge the above is a true, complete and correct statement in accordance with BCW 42 i7 190	SIGNATURE OF AGENC	Y HEAD		· ·

PDC FORM L-5 (Rev.11-79) Replaces all previous editions,

ATTACH ADDITIONAL SHEETS IF MORE ROOM IS REQUIRED

These instructions apply only to government agencies reporting pursuant to RCW 42.17.190.

Who Should Report?

Each state agency, county, city, town, municipal corporation, quasi-municipal corporation or special purpose district which expends public funds for "lobbying". Please study the definitions of what is and is not included in lobbying to determine if your agency is required to report.

"Lobbying" means attempting to influence the passage or defeat of any legislation by the state legislature or the adoption or rejection of any rule, standard, rate or other legislative enactment by any state agency under chapters 28.19 and 34.04 RCW. "Legislation" means bills, resolutions, motions, amendments, nominations, and other matters pending or proposed in either house of the state legislature, and includes any other matter which may be the subject of action by either house, or any committee of the legislature and all bills and resolutions which having passed both houses, are pending approval by the Governor.

Lobbying Does Not Include

- 1. Requests for appropriations by a state agency to OFM pursuant to RCW 43.88 or requests by OFM to the legislature for appropriations other than its own agency budget. Note that an agency representative who, in person, contacts a legislator or committee on appropriations matters is lobbying.
- 2. Recommendations or reports to the legislature in response to a legislative request expressly requesting or directing a specific study, recommendation or report on a particular subject.
- 3. Official reports including recommendations submitted annually or biennually by a state agency as required by law.
- 4. Requests, recommendations or other communications between or within state agencies or between or within local agencies.
- 5. Telephone convertations or preparation of written correspondence.
- 6. Preparation or adoption of policy positions within an agency or group of agencies. Note that once a position is adopted, further action to advocate it may constitute lobbying.
- 7. Attempts to influence federal or local legislation.

Lobbying Not Reportable

- 1. In person lobbying totaling no more than four days or parts of days during any three month period in aggregate for all officials and employees of the agency. In person lobbying includes testifying at legislative committee hearings and state agency hearings on rules and regulations but does not include attendance merely to monitor or observe testimony and debate.
- 2. In person lobbying by any elected official on behalf of his agency or in connection with his powers, duties or compensation.

Expenditures Over \$15 of Non-public Funds

Any person (including an elected official) who expends more than \$15 of personal or non-public funds for or on behalf of one or more legislators, state elected officials or state public officers or employees in connection with in-person lobbying must be listed on the L-5 report. Attach a page showing the spender's name, and date, the source of funds and amount spent, and for whom the money was spent. Examples of these expenditures include entertainment, dinners and campaign contributions

Reports Required

The L5 report is submitted to cover each calendar quarter in which lobbying occurs. No report is required it no reportable lobbying has taken place during the quarter.

Due Dates

April 30 (1st quarter) October 31 (3rd quarter)

July 31 (2nd quarter) January 31 (4th quarter)

ONE CONSOLIDATED REPORT SHOULD BE SUBMITTED TO INCLUDE LOBBYING ACTIVITIES OF ALL DIVISIONS OR OFFICES OF AN AGENCY.

SEND REPORTS TO:

PUBLIC DISCLOSURE COMMISSION 403 EVERGREEN PLAZA MAIL STOP 1142 OLYMPIA, WA 98504

Special Note: In lieu of reporting as provided in RCW 42.17.190 any agency or lobbyist for an agency may elect to register and report as provided in RCW 42.17.150, .160, .170 and 180. An agency so choosing must notify PDC of that fact and obtain necessary reporting forms and instructions.

[Statutory Authority: RCW 42.17.370(1). 85-24-020 (Order 85-05), § 390-20-120, filed 11/26/85; 80-02-106 (Order 80-02), § 390-20-120, filed 1/24/80. Statutory Authority: RCW 42.17.160(4) and

42.17.370(1). 78–08–061 (Order 100), § 390–20–120, filed 7/24/78; Order 94, § 390–20–120, filed 10/31/77; Order 77, § 390–20–120, filed 6/2/76; Order 62, § 390–20–120, filed 8/26/75.]

WAC 390-20-125 Forms for registration and reporting by sponsors of grass roots lobbying campaigns. The official form for registration and reporting by sponsors of grass roots lobbying campaigns as required by RCW 42.17.200 is designated "L-6," revised 6/90. Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8-1/2" x 11" white paper.

	403 EYERGREEN PLAZA, FJ-42 OLYMPIA, WASHINGYON 98504-3342 Telephone (206) 753-1111		S ROO' BBYING	TS L	-6 P M O A R R R R K K
UNSOR'S NAME				()	
DOFFS					R E C E I
Υ		STATE	ZIP ·	PHONE	
	HE TOPIC(S) OR LEGISLATI	ON ABOUT WILL	L THE CAMPAIGN	IS CONDUCTED	2. THIS REPORT COVERS
NCLUDE BILL	L, RULE, RATE, STANDARD	NUMBER, IF AN	Y.	10 00,1200.120	REGISTRATION (INITIAL REPORT)
					MONTHLY REPORT
IST THE PRI	INCIPAL OFFICERS OF THE	GROUP OR OR	GANIZATION IF TH	SPONSOR IS A	FROM TO
	NION, ASSOCIATION, POLIT	TITLE	HON OR OTHER E	ADDRESS	(CAMPAIGN IS ENDED)
WHO IS ORG	SANIZING OR MANAGING THE	HE CAMPAIGN? L	IST PERSONS OR	FIRMS HIRED TO	ASSIST IN THE CAMPAIGN.
	D ADDRESS		TION OR BUSINESS		TERMS OF COMPENSATION
				-	
	RES MADE OR INCURRED				\$
1.		om line 4, last L			\$
1.	. Previous expenditures (fr	om line 4, last L			\$
1.	Previous expenditures (fr	om line 4, last L			S
1.	Previous expenditures (from Expenses this reporting A. Radio	om line 4, last L			\$
1.	Previous expenditures (from the Expenses this reporting to the A. Radio B. Television	om line 4, last L period: agazines			S
1.	Previous expenditures (from Expenses this reporting A. Radio B. Television C. Newspapers, m	om line 4, last L period: agazines			
1.	Previous expenditures (from Expenses this reporting A. Radio B. Television C. Newspapers, m D. Brochures, sign	om line 4, last L period: agazines s			
1.	Previous expenditures (from Expenses this reporting A. Radio B. Television C. Newspapers, m D. Brochures, sign E. Printing and ma	om line 4, last L period: agazines s tilling blic relations			
1.	Previous expenditures (from Expenses this reporting A. Radio B. Television C. Newspapers, m D. Brochures, sign E. Printing and ma	om line 4, last L period: agazines s tilling blic relations			
1.	Previous expenditures (from the Expenses this reporting of the A. Radio of the Expenses of the	om line 4, last L period: agazines s tilling blic relations			S
1.	Previous expenditures (fr Expenses this reporting of A. Radio B. Television C. Newspapers, m D. Brochures, sign E. Printing and materials of the properties	om line 4, last L period: agazines s s illing blic relations , travel, salaries			
1.	Previous expenditures (from the Expenses this reporting of the A. Radio of the B. Television of the Expenses, much as the Expenses of the Expe	om line 4, last L period: agazines s illing blic relations , travel, salaries	-6 report)		\$
1. 2.	Previous expenditures (from the Expenses this reporting of the A. Radio of the B. Television of the Expenses, much as the Expenses of the Expe	om line 4, last L period: agazines s tilling blic relations , travel, salaries	-6 report)		\$

CONTRIBUTIONS:			
LIST EACH PERSON OR ORG	SANIZATION WHO HAS CO	NTRIBUTED \$25 OR MORE ADDRESS, CITY, ZIP	DURING THIS REPORT PERIOD
TAL CONTRIBUTIONS THIS PERIOD NTRIBUTIONS PREVIOUSLY REPOR TAL CONTRIBUTIONS DURING THE ERTIFICATION: I HEREBY CER EPORT IS TRUE AND CORRECT	CAMPAIGN	OF PERJURY THAT THE I	
NAME AND TITLE (Type or Print)		SIGNATURE	, DATE
WHO SHOULD FILE THIS	FORM: Any person ma	RUCTIONS king grass roots lobbying	expenditures not reported by
three month period or e	xceeding \$500 in the a	ggregate in any one mo	000 in the aggregate in any nth in presenting a program ad, or calculated primarily to
FILING DEADLINE: With Thereafter, sponsors file month. Termination notice	monthly reports on the	10th of the month cove	ss roots lobbying campaign. ring the preceding calendar
SEND REPORT TO:		SCLOSURE COMMISSIO een Plaza Bidg., FJ-42	1

CALL (206) 753-1111

PDC FORM L-6 (REV. 6/90) -E-1076-

QUESTIONS:

[Statutory Authority: RCW 42.17.370. 90–16–083, \$ 390–20–125, filed 7/31/90, effective 8/31/90. Statutory Authority: RCW 42.17.370(1). 85–24–020 (Order 85–05), \$ 390–20–125, filed 11/26/85; Order 62, \$ 390–20–125, filed 8/26/75.]

WAC 390-20-130 Forms for statement of employment of legislators, state officers, and state employees.

The official form for statement of employment of legislators, state officers, and state employees as required by RCW 42.17.210 is designated "L-7." Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8-1/2" x 11" white paper.

Title 390 WAC: Public Disclosure Commission



STATEMENT OF EMPLOYMENT OF LEGISLATORS & STATE EMPLOYEES

FILING FORM	TO BE FILED BY: EMPLOYERS OF LEGISLATORS, STATE OFFICERS OR (Sec. 21) STATE EMPLOYEES
and the second s	

	PUBLIC DISCLOSURE COMMISSION CHAP. 1, LAWS OF 1973	STATE OFFICERS OR (Sec. 21) STATE EMPLOYEES					
	• • • • • • • • • • • • • • • • • • •		TIIIS S	PACE FO	R OF	FICE USE	❖
		P.M. DATE	DATE	RECVD.	ITI	EM NUMBER	
Sec	completion instructions at bottom of page. (Type or print clearly)				<u></u>		
EMPLOYER	RS NAME AND BUSINESS ADDRESS	DATE PREPARE	D	THIS F			
	·			[MENDS
						VIOUS FILING	
				(M	0.)	(Day)	(Yr.)
ITEM N	AME OF PERSON BEING EMPLOYED						
1			manufacture				
ITEM NA	ATURE OF EMPLOYMENT BY REPORTING EMPLOYER						
2							
	•						
ITEM A	MOUNT AND NATURE OF PAY OR CONSIDERATION	Maria de la companya					
ITEM							
3							
ITEM NA	ATURE OF STATE OFFICE OR EMPLOYMENT		·		**********		
4							
4							
	INSTRUCTIONS	SUBSCRIBED	AND SW	ORN BEF	ORE	ME THIS	
<u>w</u> Ho s⊦	HOULD FILE THIS FORM: Any person registered or required to						
be regist	tered as a lobbyist under this act or any employer of any person		DAY OF _			19	
employe	ed or required to be registered as a lobbyist under this act, who as a member of the legislature, an employee of the legislature, a						
member	of a state board or commission, or a full time state employee,	NOTARY PUB RESIDING IN:		AND FOR	THE	STATE OF WAS	SHINGTON
11.11.14.16?	employee remains partially employed by the state.	114					
						er oath, that the	
FILING	DEADLINE: Within 15 days after commencement of	a -	correct sta	tement in	accord	dance with Sec. 2	of this Law.
employn	ment.	SIGNATURE			_		
FORM	TO BE SUBMITTED TO: Registration and Reporting Section,		_				
Public D	Disclosure Commission, Office of Secretary of State - Olympia,	TITLE				T	DATE

EXCERPTS FROM PUBLIC DISCLOSURE LAW

Section 21. EMPLOYMENT OF LEGISLATORS, ATTACHES, OR STATE EMPLOYEES; STATEMENT, CONTENTS AND FILING. If any person registered or required to be registered as a lobbyist under this act employs, or if any employer of any person registered or required to be registered as a lobbyist under this act, employs any member of the legislature, or any member of any state board or commission, or any employee of the legislature, or fulltime state employee, if such new employee shall remain in the partial employ of the State or any agency therof, then the new employer shall file a statement under oath with the commission setting out the nature of the employment, the name of the person to be paid thereunder, and the amount of pay or consideration to be paid thereunder. The statement shall be filed within fifteen days after the commencement of such employment.

[Statutory Authority: RCW 42.17.370(1). 85-24-020 (Order 85-05), § 390-20-130, filed 11/26/85; Order 62, § 390-20-130, filed 8/26/75.]

WAC 390-20-140 Loss of RCW 42.17.160 exemptions. (1) For the purpose of determining compliance with RCW 42.17.220, a lobbyist's employer shall be responsible for the applicability of all of the exemptions

Section 21 of this Law is printed in full below,

Washington 98504

provided in RCW 42.17.160 to any lobbyist the employer employs, pays, or agrees to pay.

DATE

- (2) The commission recognizes that a lobbyist who initially intends in good faith to utilize the "casual lobbying" exemption from registration and reporting which is provided in RCW 42.17.160(4) may thereafter become ineligible for that exemption, thus violating RCW 42.17.150 and/or 42.17.170 by not having registered and/or reported within the prescribed time periods.
- (3) The commission shall not commence enforcement proceedings against a lobbyist or his or her employer in circumstances described in subsection (2) of this section if the lobbyist:
- (a) Registers pursuant to RCW 42.17.150 before doing any lobbying in excess of the exemption limitations in RCW 42.17.160(4); and
- (b) Files a report on Form L-2 when next due under RCW 42.17.170, which report includes all reportable information for the lobbying activities cumulatively causing the exemption limitations to be reached.
- (4) The duty under RCW 42.17.230(1) of a person required to register as a lobbyist to obtain and preserve all records necessary to substantiate required financial reports shall include such records of all activities which cumulatively cause the RCW 42.17.160(4) exemption limitations to be reached and exceeded.
- (5) A lobbyist whose only compensation or other consideration for lobbying is payment of or reimbursement for expenditures not required to be reported per RCW 42.17.170 (2)(a)(i-iv), does not qualify for exemption from registration and reporting per RCW 42.17.160(3).

[Statutory Authority: RCW 42.17.370(1). 85–24–020 (Order 85–05), § 390–20–140, filed 11/26/85; 82–14–016 (Order 82–04), § 390–20–140, filed 6/28/82. Statutory Authority: RCW 42.17.160(4) and 42.17.370(1). 78–07–038 (Order 99), § 390–20–140, filed 6/26/78.]

WAC 390-20-141 Registration and reporting required when a lobbyist employs another lobbyist. (1) If a registered lobbyist employs another lobbyist to perform lobbying activities in excess of the exemptions specified in RCW 42.17.150(2) or 42.17.160 then such registered lobbyist is also an employer of a registered lobbyist.

(2) Any person who becomes an employer of a registered lobbyist under such circumstances must (a) confirm such employment on the employee's L-1 registration statement; (b) in a written instrument filed with such employee's L-1 registration statement identify which clients the employee is authorized to represent; (c) file an annual L-3 report as an employer of a registered lobbyist; and (d) continue to file monthly L-2 reports as a registered lobbyist.

[Statutory Authority: RCW 42.17.370(1). 86-14-056 (Order 86-05), § 390-20-141, filed 6/27/86.]

WAC 390-20-143 Application of lobbying provisions to organizations. (1) A lobbyist other than a natural person shall be deemed to have properly restricted its lobbying activities and is eligible for the RCW 42.17.160(4) "casual lobbying" exemption during any three-month period in which its agents or employees do not make an expenditure of more than twenty-five dollars for or on behalf of legislators, state elected officials, public officers or employees of the state of Washington.

- (2) A lobbyist other than a natural person which does sponsor or coordinate or directly make unreported expenditures exceeding twenty-five dollars during a threemonth period, as fully described in subsection (1), must register and report as required by RCW 42.17.150 and 42.17.170: *Provided*, That it can satisfy these requirements by having an individual agent (a) register and reports as a lobbyist, and (b) include as part of Form L-2 a report of these and all other lobbying expenditures made on behalf of the nonnatural person during that three-month period.
- (3) An entity, including but not limited to a law firm, consulting firm, advertising agency, or other similar organization, which receives or expects to receive compensation for lobbying from any person, must register and report as a lobbyist pursuant to RCW 42.17.150 and 42.17.170: Provided, That membership dues or contributions to a nonprofit organization made for the purpose of promoting a general interest and not in return for lobbying on behalf of any specific member or contributor shall not be regarded as compensation for this purpose. Registration statements and reports shall list as the lobbyists both the firm or organization and each individual acting on its behalf. The person paying the compensation shall report under RCW 42.17.180 as a lobbyist's employer.

[Statutory Authority: RCW 42.17.370(1). 85–24–020 (Order 85–05), § 390–20–143, filed 11/26/85. Statutory Authority: RCW 42.17.160(4) and 42.17.370(1). 78–07–038 (Order 99), § 390–20–143, filed 6/26/78.]

WAC 390-20-144 Registration and reporting by lobbyist organizations. (1) Any firm, company, association or similar organization required to register as a lobbyist shall file one registration statement (PDC Form L-1) for each employer for whom the organization will lobby.

- (a) The lobbying organization will attach to the registration statement a photo and the biographical information required by RCW 42.17.155 (page 3 of the L-1 Form) for each individual agent of the organization who is authorized to lobby for that particular employer.
- (b) If the agent is authorized to lobby for several employers, only one photo and biographical sheet need be submitted.
- (c) The organization will notify the commission in writing when there is any change in the employment or assignment of agents who lobby.
- (2) One monthly expenditure report (PDC Form L-2) shall be submitted showing all expenditures made by the organization and its agents. It is unnecessary to prorate or attribute expenditures to individual agents of the organization. However, expenditures for entertainment exceeding \$25 per occasion shall identify the individual agent(s) who were present at the occasion. The L-2 report shall be signed by the president or chief executive officer of the lobbying organization.
- (3) If any individual agent of the organization ceases to lobby or the organization terminates that agent's authority to lobby, the organization shall notify PDC in

writing or by notation on the L-2 report of the termination

[Statutory Authority: RCW 42.17.370(1). 85-24-020 (Order 85-05), § 390-20-144, filed 11/26/85; 81-18-043 (Order 81-03), § 390-20-144, filed 8/28/81; 81-03-001 (Order 80-08), § 390-20-144, filed 1/8/81.]

WAC 390-20-145 Reporting of lobbying events. (1) A meeting or other gathering of individuals for which lobbying is a purpose or reasonably foreseeable result shall be reportable by or on behalf of the sponsoring person in accordance with WAC 390-20-143 and other applicable provisions of law: Provided, That the executive director is authorized to state in writing how all reportable information relative to a particular gathering shall be reported on Form L-2 whenever the application of the appropriate provisions of law is unclear to the reporting person, and this interpretation shall be reviewed and approved, modified or rejected by the commission at its next regular or special meeting.

(2) Any other lobbyist reporting such a gathering may incorporate by reference in his Form L-2 a Form L-2 which is filed on the sponsor's behalf and which reports the gathering in accordance with applicable provisions of law, including WAC 390-20-143(2) and subsection (1) of this rule.

[Statutory Authority: RCW 42.17.370(1). 85–24–020 (Order 85–05), § 390–20–145, filed 11/26/85. Statutory Authority: RCW 42.17.160(4) and 42.17.370(1). 78–07–038 (Order 99), § 390–20–145, filed 6/26/78.]

Chapter 390-24 WAC FORMS FOR REPORTS OF FINANCIAL AFFAIRS

WAC	
390-24-010	Forms for statement of financial affairs.
390-24-020	Forms for amending statement of financial affairs.
390-24-025	Time for filing statement of financial affairs.
390-24-030	Forms for reports of public office fund.
390-24-032	Definition—Nonreimbursed public office related
	expense.
390-24-100	Definition—Direct financial interest.
390-24-105	Definition—Written sworn statement.
390-24-110	Definition—Debt,
390-24-160	Definition—Professional staff member.
390-24-200	Descriptions of real property.
390-24-205	Report of legislation prepared, promoted or opposed.
390-24-210	Report of officers and directors of financial
	institutions.

DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

390-24-300 Form for report by public treasurers. [Order 77, § 390-24-300, filed 6/2/76.] Repealed by 84-05-018 (Order 84-01), filed 2/10/84. Statutory Authority: RCW 42.17.370(1).

WAC 390-24-010 Forms for statement of financial affairs. The official form for statements of financial affairs as required by RCW 42.17.240 is designated "F-1," revised 8/88. Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington, 98504. Any attachments must be on 8-1/2" x 11" white paper.

[Title 390 WAC-p 66]

PUBLIC DISCLOSURE COMMISSION 403 EVERGREEN PLAZA, F. OLYMPIA, WASHINGTON 982 Telephone (206) 753-1111	PDC FORM 604-3342 12-88	FINANCI elected and state	AL AFFA officials, e appoin	candid	ates	PDC OFF	ICE USE
tefer to instruction booklet for detailed	d assistance and	examples.	DOLLAR CODE	AMOUNT		R	
Deadlines: Incumbent elected and ap	pointed officials-	-by April 15	A \$1	to \$1,999 ,000 to \$9		E C E	
Candidates and others—was candidate or being new			C \$1	0,000 to \$9 0,000 to \$ 0,000 to \$	19,999	I V E	
ND REPORT TO PUBLIC DISCLOSURE COM			E \$5	0,000 or n	ore	D	
ST NAME FIRST	NAME	MIDDL	E INITIAL	NAMES OF	SPOUSE AND DEPE	If part	ICAL PARTY isan office or to appointment
DRESS						ľ	
Y COUNTY ECK YOUR FILING STATUS (mark only one box)	<u> </u>	ZIP		OFFICE YO	U HOLD OR ARE	A CANDIDATE FOR	:
An elected or state appointed offici	al filing annual re	eport		Office tit	le		
Final report as an elected official.	Term expired			County,	city district or	agency of the	office,
Candidate running in an election: n	ionth	year		name	and number:	•	
] Newly appointed to an elective offic	e			Position	number		
Newly appointed to a state appointi	ve office			Term be	gins:	ends:	
INCOME: List each employ		ce of income (penduring the period.					
NAME AND ADDRESS OF EMPLOYER OR SO			(Nepolt inte	occ	UPATION OR HOW C	OMPENSATION AM	OUNT: E CODE)
						,	,
Show; Self (S) Spouse (SP) Dependent (D)							
Show: Self (S)							
Spouse (SP) Dependent (D)							
CHECK HERE L IF CONTINUED C	N ATTACHED SHEET	.					
2 REAL ESTATE: List street a Washington re	ddress, assess eal estate with	or's parcel numb	oer, or le	gal desc which vo	ription AND	county for ear	ch parcel of
financial interest during the	reporting perio	d. (Show partner	ship, com	pany, etc	. real estate	On F-1 supple	ment.)
	(NZE CODE)				SIDERATION RECEI	VED	
OPERTY PURCHASED OR INTEREST ACQUIRED	CREDITO	DR'S NAME/ADDRESS	PAYMEI	NT TERMS	SECURITY GIVEN	MORTGAGE AM	OUNT-(USE CODE)
L OTHER PROPERTY ENTINELY OR PARTIALLY OWNED	 						
HECK HERE IF CONTINUED ON ATTACHE	SHEET						
C EODH E.1 (DEV 12/80) .PDC.1417. (\$500-3		CONTINUE ON D	EVEDOE				

3	ASSETS/INVESTMENTS—INTER	EST/DIVIDENDS:	other intan	gible property	held during	rance policies the reporting	period.	
	Name and address of each bank or f you or a family member had an accorduring the report period.		ich	OF ACCOUNT	DESCRIPTION OF			(USE CODE)
	B. Name and address of each insurance family member had a policy with a ca \$10,000 during the period.		a					
	C. Name and address of each company, agency, etc. in which you or a family financial interest worth over \$1,000. I ownership, retirement plan, IRA, notes property.	member owned or had nclude stocks, bonds,						
			, i					
	CREDITORS: List each creditor you		owed \$1,000	or more any t	ime during the	period, Don't	 ,	MOUNT
	include retail charge a	accounts, credit cards,	or mortgage		te reported in		ORIGINAL	PRESENT
	Check here if continued on a	allached sheet				And the second		
5	ANSWER EACH QUESTION BELOW page to this report. The supplement	If you answer YES is not required if all	to any of t	hese question	ns you must	also complet	e the sur	plement
	A. Were you, your spouse or dependents an at any time during the reporting period? B. Did you, your spouse or dependents have during the reporting period? C. Did you, your spouse or dependents own D. Did you, your spouse or dependents prepared your currently-held public office) at any	an ownership of 10% or m complete supplement, Part your own business at any to tre, promote or oppose stal	olement, Part A. ore in any comp A. ime during the interpolation, ru	pany, corporation, eporting period?	, partnership, join	nt venture or othe omplete suppleme	r business a	it any time
	OOLLAR CODE AMOUN'S	HAVE YOU Answered each			ontained in this	erlify under pen s report is true		
**************************************	B \$2,000 to \$9,999 C \$10,000 to \$19,999 D \$20,000 to \$49,999	Put your name each attached Kept a copy fo	page?	Signature				
	E \$50,000 or more	your records?		Telephone		Da	te	

PDC FORM

SUPPLEMENT
(12/88)

STATEMENT OF FINANCIAL AFFAIRS SUPPLEMENT PAGE

		SPOUSE, DEPENDENT CHILDREN AND OTHE	R DEPENDENTS IN Y		
LAS	T NAME	FIRST NAME		M.I.	DATE
A	BUSINESS INTERESTS: Legal Name: Report name used Trade or Operaling Name: Rep- Position or Ownership %: The o Brief Description of the Business Payments from Business Custom other commercial entity and eac	For each corporation, non-profit or other entity in which you ar cent or more owner—provide the on incorporation papers or other legal documents or name the entity uses for business purposes it diffice, title and/or percent of ownership held. a/Organization: Tell the nature of the entity—its mers and Other Government Agencies: List each the government agency (other than the one you see say what property, goods, services or other contents.	e an officer, de following info setablishing the entity lifterent from the legal repurpose, its product(s) corporation, partnership k/hold office) which pa	irector, genera rmation: /. name. , and/or the service)s(p. joint venture, sole pr id compensation of \$5	I partner, trustee, or 10 per- rendered. oprietorship, union, association, business or ,000 or more during the period to the entity.
	ENTITY NO. 1				
	LEGAL NAME:		Reporting for:	SelfS	Spouse Dependent
	TRADE OR OPERATING NAME	•		POSITION OR O	WNERSHIP %:
	ADDRESS:	•			
	ADDITIEGO.				
	BRIEF DESCRIPTION OF THE	BUSINESS/ORGANIZATION:			
	DAVMENTO FUTITY DECEMEN	FROM GOVERNMENTAL UNIT IN WH	IOU VOU SEEV II.	IOI D OFFICE	
	Purpose of p		ICH TOU SEEK/F	Amount (actual o	dollars)
	r urpose or p	zaymonto		Amount (actual)	sonara)
	1			* *	
	PAYMENTS ENTITY RECEIVED	FROM BUSINESS CUSTOMERS AND	OTHER GOVERN	MENT AGENCIES	OVER \$5,000:
	Customer r	name:		Purpose of p	ayment (amount not required)
				*:	
		IN WHICH ENTITY HELD A DIRECT FIN value of properly is over \$10,000. Lisel):			
					and the second
		ched sheet			
Che	eck here if continued on attac	cned sneet	1		
פוני פוני	DBH E-1 CHIPPLEHEHT (DEVISED 10180)	-C-1417-			•

[Title 390 WAC-p 69]

ENTITY NO.	. 2		Reporting for:	Self	_ Spouse	Dependent
LEGAL NAME				POSITION OF	R OWNERSHIP %:	
ADDRESS:	PERATING NAME:					
DDIEE DESCI	RIPTION OF THE BUSINESS/O	RGANIZATION:				
BHIEL DESCI	THE BOOKESOTO	, and the state of				
PAYMENTS E	NTITY RECEIVED FROM GOVE	ERNMENTAL UNIT IN W	/HICH YOU SEEK/H			
	Purpose of payments			Amount (acti	ual dollars)	
			•			
	e de la companya de		at in the second second			
						3
PAYMENTS I	ENTITY RECEIVED FROM BUSI	NESS CUSTOMERS AI	ND OTHER GOVERN	IMENT AGENO	CIES OVER \$5,000:	
	Customer name:			Purpose	of payment (amoun	t not required)
WAR OUT NOT O	M DEAL FOTATE IN MUNICIPE	ITITY UELD A DIDEOT	ENLANGIAL MITTER	OT (O1-1-		- AL - ENTITY
is 10% or mo	N REAL ESTATE IN WHICH EN pre and assessed value of prop nly for each parcel);					
and and com	ity for each parcery:					
Check here	continued on attached sheet					
					or proposed at	nto logislation a
LOBBYING	List persons for whom state rules, rates or st	andards for current	or deferred con	npensation.	Do not list pay	from governmen
PERSON TO WHOM SEE	body in which you are a	DESCRIPTION OF	nted official or p	c.		TION (USE CODE)
		and the second s				
C FORM F . 60000 FM	ENT (DEVISED 0/00) .C.1417.					

[Statutory Authority: RCW 42.17.370(1). 88-20-029 (Order 88-04), § 390-24-010, filed 9/29/88; 86-19-039 (Order 86-06), § 390-24-010, filed 9/12/86; 86-08-030 (Order 86-02), § 390-24-010, filed 3/26/86; 85-24-020 (Order 85-05), § 390-24-010, filed 11/26/85; 84-01-017 (Order 83-03), § 390-24-010, filed 12/9/83; 80-18-028 (Order 80-07), § 390-24-010, filed 12/1/80; 80-02-055 (Order 80-01), § 390-24-010, filed 1/17/80; Order 94, § 1/18/80; Order

WAC 390-24-020 Forms for amending statement of financial affairs. (1) The official form for amending statements of financial affairs as required by RCW 42-17.240 for all persons who have previously filed the Form F-1 is designated Form "F-1A, revised 12/86."

- (2) No more than three F-1A forms may be filed to amend a previously submitted statement of financial affairs (Form F-1). The form can be used only to update information required on an F-1.
- (3) The commission reserves the right to reject amendatory forms and require a new statement of financial affairs (Form F-1) at any time the amendments are confusing or create misunderstandings. Authority is delegated to the commission's executive director to make this determination.
- (4) Copies of Form F-1A are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments must be on 8 1/2" x 11" white paper.

JELIC DISCLOSURE COMMISSION 403 EVERGLEN PLAZA OLYMPIA, WASHINGTON 98504 206-755-1111	F-1∆ elected	T OF FINANCIAL AFFAIRS officials, candidates te appointed officials officials
INSTRUCTIONS	The second secon	ŤΚ
Please refer to the instruction book win WHO MUST REPCRT. All elected officials, per candidates designated state appointed officials teemen and candidates for those offices are eximited. To REPORT. By April 15, each year you pire December 31, or in January are still orbigated disease or persons appointed to office report with or being appointed.	chans appointed to elective office, (fiederal officials, precinct commit- iempt from reporting.) hold office Officials whose terms ex- ed to upport the following year: Can- in two weeks of becoming a candidate	A \$1 to \$1,999 I B \$2,000 to \$9,999 V
SEND REPORT TO: PUBLIC DISCI Last name First na		E \$50,000 or more Steinitial NAMES OF SPOUSE AND DEPENDENTS POLITICAL PART If partisan office o
Address		perfinent to appoint
City County	Zıp	
CHECK YOUR FILING STATUS (mark only one hox)		OFFICE YOU HOLD OR ARE A CANDIDATE FOR
An elected or state appointed office	cial filing annual report	Office title
Final report as an elected official.	Term expired	
Candidate running in the election:	monthyear	name and number:
		Position number
		Term begins:ends:
every four years. The Commission reserves the right to reports could cause confusion or misunde Complete the Statements below, sign yo Keep a copy for your own records. No change report. I have revier reports (if any) dated (1) to that information during the preserved.	equire that a complete F-1 repersistanding to persons reviewing the Power and send it to the Pow	ort dated and F-1A There have been no changes
F-1 Item No Add	Delete Cha	ange (Provide all information required by F-1 report.)
•		rplus campaign funds to defray non-reimbursed public office related in campaign reports (PDC C-4.) Instructions and forms are available
	SIGNATU	JRE
CERTIFICATION: I certify under penalt perjury that the information contained in eport is true and correct to the best of	this DAYTIME	E TELEPHONE DATE
knowledge.	,	

[Statutory Authority: RCW 42.17.370(1). 86–19–039 (Order 86–06), § 390–24–020, filed 9/12/86; 86–08–030 (Order 86–02), § 390–24–020, filed 3/26/86; 84–01–017 (Order 83–03), § 390–24–020, filed 12/9/83; 79–11–124 (Order 79–07), § 390–24–020, filed 11/6/79; Order 94, § 390–24–020, filed 10/31/77; Order 87, § 390–24–020, filed 11/19/76; Order 62, § 390–24–020, filed 8/26/75; Order 48, § 390–24–020, filed 3/3/75.]

WAC 390-24-025 Time for filing statement of financial affairs. It shall be the policy of the public disclosure commission to construe the filing requirements of RCW 42.17.240 for elected officials in the following manner: It is the interpretation of the commission that:

(1) Any person holding elected public office, except as exempted by the terms of RCW 42.17.240, and any appointed official and professional staff member listed or referenced in RCW 42.17.240, and any appointed official required to comply with the reporting requirements of RCW 42.17.240 by any other statute is required to file the statement of financial affairs if such person holds such public office between January 1 and April 15 of any year. Such report shall be for the preceding calendar year.

- (2) Any local elected official whose term of office expires immediately after December 31 shall file a statement of financial affairs for the calendar year which ended on that date.
- (3) Any local elected official who resigns his public office prior to the completion of his current term of office shall file a statement of financial affairs covering that portion of the year that he was in office.

[Statutory Authority: RCW 42.17.370(1). 86–19–039 (Order 86–06), § 390–24–025, filed 9/12/86; 86–08–030 (Order 86–02), § 390–24–025, filed 3/26/86; 84–01–017 (Order 83–03), § 390–24–025, filed 12/9/83; 80–03–089 (Order 80–03), § 390–24–025, filed 3/4/80; Order 62, § 390–24–025, filed 8/26/75.]

WAC 390-24-030 Forms for reports of public office fund. The official form for reports of public office fund as required by RCW 42.17.243 is designated "F-2." Copies of this form are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8 1/2" x 11" white paper.

PUBLI	DISCLOSURE COMMISSION 403 EVERGREEN PLAZA, OLYMPIA, WASHINGTON 98504 200-753-1111		PDC FORM (1/81)	PUBLIC OFF REPO	
				P.M. DATE	DATE RECEIVED
PLEA	ASE TYPE OR PRINT CLEARLY				
it Name	First Name	MI	Office You Hold	Period co	vered by report
708 \$					an 1 to Dec 31, 19
	County	Zip	1		<u> </u> To
	CONTRIBUTION	VS RECEIVED	(CASH AND C	HECKS)	
ate Contribut	or's Name and Address	TOTILOLIVED	(ONOTTAINE O	ricorto,	Amount
Che	ck here it continued on attached pag	9		TOTAL (Including Attached Page	
	OTHER (INCLUDE TRAVEL		ONS RECEIVED ND GOODS AND SI		
ate Contribu	tor's Name and Address. If Value is unknown inclu	ide description of contri	bution		Value
	_			TOTAL (Includi	
Chec	ck here if continued on attached pag	θ		TOTAL (Includi Attached Page	

	A & Comment of the Co	EXPENDITU	JRES MADE	· ,	
Date	Person to Whom Payment Made	Address		Nature of Expenditure	Атоия
				- F	
	·				
			*		
		*			
				a *	
				e e e	
					115
				•	
	; 1				
·					
					ļ
İ	' П			TOTAL (Including	<u> </u>
c	heck here if continued on attached	pages	Cinneture	Attached Pages)	Date
RTIFIC	CATION: I certify that this report is a contributions received and expend	true and complete ac-	Signature		Date
ount of on-reiml 2.17.24	bursed public office expenses a	itures made to defray is provided in RCW			

[Statutory Authority: RCW 42.17.370(1). 86–08–030 (Order 86–02), \S 390–24–030, filed 3/26/86; 80–18–028 (Order 80–07), \S 390–24–030, filed 12/1/80.]

WAC 390-24-032 Definition—Nonreimbursed public office related expense. A "nonreimbursed public office related expense" is an expenditure incurred by an elected or appointed official, or a member of his or her immediate family, solely because of being an official.

[Statutory Authority: RCW 42.17.370(1). 86-21-106 (Order 86-07), § 390-24-032, filed 10/20/86.]

WAC 390-24-100 Definition—Direct financial interest. For the purpose of RCW 42.17.241 (1)(b), the phrase "direct financial interest" means and includes any direct ownership interest in a bank or savings account, in the cash surrender value of an insurance policy, in stocks, bonds, securities, evidences of indebtedness, judgments, accounts receivable, and other monetary claims in liquidated amounts.

The term "direct financial interest" as used in that subsection, shall not be deemed to include:

- (1) Any direct financial interest which is required to be reported by such elected official or candidate under any other provision of chapter 42.17 RCW;
- (2) An account receivable by a business entity in the ordinary course of such entity's business.

[Statutory Authority: RCW 42.17.370(1). 86-08-030 (Order 86-02), § 390-24-100, filed 3/26/86; Order 62, § 390-24-100, filed 8/26/75.]

WAC 390-24-105 Definition—Written sworn statement. The term written, sworn statement for the purposes of RCW 42.17.240(6) shall mean a statement prepared by the elected official or candidate written and sworn to as to truth and accuracy to his best and actual knowledge or belief, of the candidate or elected official.

[Statutory Authority: RCW 42.17.370(1). 86–08–030 (Order 86–02), § 390–24–105, filed 3/26/86; Order 62, § 390–24–105, filed 8/26/75.]

WAC 390-24-110 Definition—Debt. (1) For the purpose of RCW 42.17.241 (1)(c), the term "debt" means and includes a personal obligation or liability to pay or return something of value.

(2) The term "debt" as used in RCW 42.17.241 (1)(c) shall not be deemed to include an account payable of a business entity in the ordinary course of such entity's business.

[Statutory Authority: RCW 42.17.370(1). 86-08-030 (Order 86-02), § 390-24-110, filed 3/26/86; Order 62, § 390-24-110, filed 8/26/75.]

WAC 390-24-160 Definition—Professional staff member. (1) A professional staff member of the office of the governor and of the legislature includes all individuals retained on a full or part—time basis whose primary responsibilities require the exercise of judgment and discretion in policy related matters, including, but not limited to, such individuals who are involved in the development of legislation. A professional staff member does not include individuals retained primarily for clerical, ministerial, or internal accounting and bookkeeping purposes.

(2) To insure that the provisions of Referendum 36 and this rule are properly and fairly administered and to provide guidance to affected individuals, the commission, through its chairman and executive director, shall confer annually in December with the governor, the secretary of the senate and the clerk of the house regarding the specific professional staff members believed to fall

within the criteria set forth in subsection (1) of this section. The executive director shall submit a report of those conferences to the commission at its December meeting for approval, disapproval or modification, or other determination. Each determination shall be based on an annual review of the positions and personnel to be retained by the affected governmental bodies during the ensuing year and shall constitute the commission's administrative interpretation of the term "professional staff member" in RCW 42.17.240 (2) and (3) and its application to such positions and personnel.

[Statutory Authority: RCW 42.17.370(1). 86-08-030 (Order 86-02), § 390-24-160, filed 3/26/86; Order 88, § 390-24-160, filed 12/29/76.]

WAC 390-24-200 Descriptions of real property. (1) For the purposes of reporting real property as required by RCW 42.17.241 [(1)] (h)-(k), the filer shall list the street address of each parcel, if there is one. If there is no address, other sufficient descriptions of the property would be (a) the assessor's parcel number, (b) the abbreviated legal description appearing on property tax statements, or (c) the complete legal description.

(2) Each property description shall be followed by the name of the county in which the property is located.

[Statutory Authority: RCW 42.17.370(1). 88-20-029 (Order 88-04), § 390-24-200, filed 9/29/88; 86-08-030 (Order 86-02), § 390-24-200, filed 3/26/86; Order 63, § 390-24-200, filed 9/10/75.]

Reviser's note: RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.

WAC 390-24-205 Report of legislation prepared, promoted or opposed. (1) Pursuant to RCW 42.17.241 (1)(e), an official must provide in each report required by that subsection:

- (a) The name of each governmental entity of which the official is an officer or employee,
- (b) A statement of each subject area on which the reporting official has prepared, promoted or opposed any legislation, rule, rate or standard for such entity,
- (c) The compensation received or promised for said service and,
- (d) All other persons for whom such services have been performed for current or deferred compensation, together with an itemization of such actual or proposed legislation, rules, rates and standards, and the amount of compensation paid or promised for the service.
- (2) A person need not report the information described in subsection (1)(a), (b), and (c) of this section as to any entity of which such person is an elected official

[Statutory Authority: RCW 42.17.370(1). 86-08-030 (Order 86-02), § 390-24-205, filed 3/26/86; Order 90, § 390-24-205, filed 1/20/77.]

WAC 390-24-210 Report of officers and directors of financial institutions. An elected official or candidate who is an officer or director of a financial institution may comply in part with RCW 42.17.241 (1)(g)(ii) by

incorporating by reference a list of the financial institution's officers and directors if such a list has been filed with the commission by the financial institution in the current year.

[Statutory Authority: RCW 42.17.370(1). 86-08-030 (Order 86-02), § 390–24–210, filed 3/26/86; Order 77, § 390–24–210, filed 6/2/76.]

Chapter 390-28 WAC

HARDSHIP EXEMPTIONS—HEARING EXAMINER SYSTEM

WAC	
390-28-020	Definition—Applicant.
390-28-025	Hearing to modify reporting requirements.
390–28–040	Hearing to modify reporting—Prehearing procedure and requirements.
390-28-050	Hearing to modify reporting—Alternate forms.
390-28-060	Hearing to modify reporting—Administrative law judge proceedings.
390–28–070	Hearing to modify reporting—By affidavit or sworn statement.
390–28–080	Hearing to modify reporting—Evidence, record, adverse decisions.
390-28-090	Hearing to modify reporting—Required findings.
390-28-100	Reporting modifications—Possible qualifications.

DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

390-28-010	Statement of policy. [Order 62, § 390-28-010, filed 8/26/75; Order 24, § 390-28-010, filed 2/21/74.]
	Repealed by 85-22-029 (Order 85-04), filed
	10/31/85. Statutory Authority: RCW 42.17.370(1).
390-28-021	Definition—Hearing officer. [Order 62, § 390–28–
	021, filed 8/26/75.] Repealed by 85-22-029 (Order
	85-04), filed 10/31/85. Statutory Authority: RCW
	42.17.370(1).
390-28-030	Hearing to modify reporting—Form—Right to by ap-
	plicants. [Order 62, § 390-28-030, filed 8/26/75;
	Order 24, § 390-28-030, filed 2/21/74.] Repealed by
	85-22-029 (Order 85-04), filed 10/31/85. Statutory
	Authority: RCW 42.17.370(1).

WAC 390-28-020 Definition-Applicant. The term applicant for the purposes of chapter 390–28 WAC shall mean any person as defined in RCW 42.17.020(21) that seeks a modification pursuant to RCW 42.17.370(9) and these rules.

[Statutory Authority: RCW 42.17.370(1). 85-22-029 (Order 85-04), § 390-28-020, filed 10/31/85; Order 62, § 390-28-020, filed 8/26/75; Order 24, § 390-28-020, filed 2/21/74.]

WAC 390-28-025 Hearing to modify reporting requirements. (1) Any person who considers compliance with any of the reporting requirements of chapter 42.17 RCW to be a manifestly unreasonable hardship in a particular case may apply for a modification of such reporting requirements pursuant to RCW 42.17.370(9) and further pursuant to these rules.

[Statutory Authority: RCW 42.17.370(1). 85-22-029 (Order 85-04), § 390-28-025, filed 10/31/85; Order 62, § 390-28-025, filed 8/26/75; Order 24, § 390-28-025, filed 2/21/74.]

WAC 390-28-040 Hearing to modify reporting--Prehearing procedure and requirements. (1) An applicant must file with the commission a written request for

hearing for suspension or modification of reporting requirements. The request should be submitted by the tenth day of the month preceding the month in which the report is due so that action on the request can be completed before the filing deadline.

- (2) The request should contain (a) the required report completed to the extent possible, (b) the applicant's evidence to be submitted at the hearing, [(c)] a statement of reasons why the reporting of required information would cause a manifestly unreasonable hardship, with as much detail as possible. (A general statement, such as "violates right of privacy" shall not be deemed as sufficient compliance with this requirement.) The applicant is encouraged to also include a proposed modification to the required reporting which, in the applicant's opinion, will relieve the perceived hardship.
- (3) The filing of a request for modification shall not suspend the reporting requirement of any portion of chapter 42.17 RCW.

[Statutory Authority: RCW 42.17.370(1). 85-24-020 (Order 85-05), § 390-28-040, filed 11/26/85; 80-03-089 (Order 80-03), § 390-28-040, filed 3/4/80; Order 62, § 390–28–040, filed 8/26/75; Order 24, § 390-28-040, filed 2/21/74.]

Reviser's note: RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.

WAC 390-28-050 Hearing to modify reporting--Alternate forms. (1) The commission shall hear the request unless it chooses to have it heard by an administrative law judge.

(2) The applicant may ask to have the request heard by an administrative law judge.

[Statutory Authority: RCW 42.17.370(1). 85-22-029 (Order 85-04), 390-28-050, filed 10/31/85; Order 62, § 390-28-050, filed 8/26/75; Order 24, § 390–28–050, filed 2/21/74.]

- WAC 390-28-060 Hearing to modify reporting--Administrative law judge proceedings. (1) The commission may request through the office of administrative hearings the appointment of an administrative law judge to hear individual applicants.
- (2) After such hearing is concluded, the administrative law judge shall prepare and distribute to the applicant and each commissioner a proposed decision determining the issue. The applicant shall have five days to file with the commission specific objections to the administrative law judge's proposed decision and to request an opportunity to present additional evidence to the commission. When written objections are timely filed, the commission, at the time of review and ratification, shall consider the whole record or such portions as may be cited by the administrative law judge, applicant or executive director. The commission may also hear additional testimony.
- (3) If the applicant files objections to the administrative law judge's proposed decision, the filing requirement from which the applicant has sought modification shall not be suspended unless the commission, upon notice of the filing of objections, determines that a temporary

suspension is justifiable pursuant to the criteria set out in RCW 42.17.370(9). Such suspension of filing requirements shall be granted only until the decision is finalized by formal action of the commission.

(4) At the next meeting at which the matter can be lawfully considered, the commission shall review and either ratify or modify or revise the proposed order.

[Statutory Authority: RCW 42.17.370(1). 85–22–029 (Order 85–04), § 390–28–060, filed 10/31/85; Order 67, § 390–28–060, filed 1/16/76; Order 62, § 390–28–060, filed 8/26/75; Order 24, § 390–28–060, filed 2/21/74.]

WAC 390-28-070 Hearing to modify reporting—By affidavit or sworn statement. (1) An applicant may choose to waive a personal appearance at a hearing conducted pursuant to chapter 390-28 WAC. In the event that an applicant chooses to waive such appearance, that person shall submit a written, sworn statement setting out in detail the rationale for requesting modification or suspension.

(2) The commission, or the administrative law judge, shall proceed to decide the application in the same manner as if an appearance were made: *Provided*, That in the event the commission or the administrative law judge is not able to reach a conclusion on the request because of an insufficiency of the evidence, it may adjourn the hearing for the purposes of gathering further evidence, or it may deny the application.

[Statutory Authority: RCW 42.17.370(1). 85–22–029 (Order 85–04), § 390–28–070, filed 10/31/85; Order 64, § 390–28–070, filed 11/25/75; Order 62, § 390–28–070, filed 8/26/75; Order 24, § 390–28–070, filed 2/21/74. Formerly WAC 390–28–080.]

WAC 390-28-080 Hearing to modify reporting-Evidence, record, adverse decisions. (1) All evidence presented at hearings of the commission held pursuant to chapter 390-28 WAC and RCW 42.17.370(9) shall be considered to be a public record: Provided, That the commission may close the hearing and hold an executive session if it finds that it is necessary to allow the applicant to provide sufficient evidence to assure that proper findings are made. All evidence presented at any portion of a hearing held in executive session identifying the matters for which the applicant requests modification under these rules shall be considered and held confidential by the commission unless otherwise ordered by a court of competent jurisdiction. In the event that an administrative law judge determines that testimony in private may be necessary, the judge shall immediately adjourn the hearing and refer the matter to the commission.

(2) Any decision or order adverse to an applicant rendered by the commission or administrative law judge shall be in writing or stated in the record and shall be accompanied by findings of fact and conclusions of law.

[Statutory Authority: RCW 42.17.370(1). 85–22–029 (Order 85–04), § 390–28–080, filed 10/31/85; Order 62, § 390–28–080, filed 8/26/75; Order 24, § 390–28–080, filed 2/21/74. Formerly WAC 390–28–070.]

WAC 390-28-090 Hearing to modify reporting—Required findings. The commission, after hearing as provided in these rules, may suspend the applicable reporting requirement of chapter 42.17 RCW if it finds that the literal application of such requirement works a manifestly unreasonable hardship in the case under consideration and if it also finds that such suspension or modification will not frustrate the purposes of the act. The commission shall suspend or modify such reporting requirement or requirements only to the extent necessary to substantially relieve such hardship, and only upon clear and convincing proof to support such claim.

[Statutory Authority: RCW 42.17.370(1). 85–22–029 (Order 85–04), § 390–28–090, filed 10/31/85; Order 62, § 390–28–090, filed 8/26/75; Order 24, § 390–28–090, filed 2/21/74.]

WAC 390-28-100 Reporting modifications—Possible qualifications. The following, or any of them, may be considered possible qualifications for a reporting modification:

- (a) Reporting any financial interest, otherwise required to be reported by RCW 42.17.241 (1)(b) of said act, if the financial institution or other entity in which the candidate or official having such interest does not engage in business in the state of Washington, or is not regulated in whole or in part by the office sought or held by such candidate or elected official, and provided that such reporting would present actual difficulties to the candidate or official and the interest in question would present no actual or potential conflict with the proper performance of the duties of the office sought or held, in the public interest.
- (b) Reporting any of the information required by RCW 42.17.241 (1)(f) and (g), if public disclosure would violate any legally recognizable confidential relationship: Provided, The information in question does not relate to a business entity which would be subject to the regulatory authority of the office sought or held by such candidate or elected official in whole or in part: And provided further, That such reporting would present actual difficulties to the candidate or official and the interest in question would present no actual or potential conflict with the performance of the duties of the office sought or held, in the public interest.
- (c) Reporting any of the information required by RCW 42.17.241 for members of the immediate family of a candidate or elected official, if such information relates to a financial interest held by such member under a bona fide separate property agreement, or other bona fide separate status and such financial interest does not constitute a present or prospective source of income to such candidate or elected official or to any other person who is dependent upon such candidate or elected official for support in whole or in part.
- (d) Reporting any other matter which would constitute an unreasonable hardship in a given case, when the matter reported would not indicate any actual or potential conflict with the proper performance of the duties of the office sought or held in the public interest.

[Statutory Authority: RCW 42.17.370(1). 85–22–029 (Order 85–04), § 390–28–100, filed 10/31/85; 80–02–106 (Order 80–02), § 390–28–

[Title 390 WAC-p 77]

100, filed 1/24/80; Order 64, § 390–28–100, filed 11/25/75; Order 62, § 390–28–100, filed 8/26/75; Order 24, § 390–28–100, filed 2/21/74.]

Chapter 390-32 WAC FAIR CAMPAIGN PRACTICES CODE

WAC	
390-32-010	Fair Campaign Practices Code for candidates and political committees.
390-32-020	Filing—Fair Campaign Practices Code.
39032030	Complaints—Fair Campaign Practices Code.

DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

390-32-040 Fair Campaign Practices Code for state-wide ballot issues. [Order 92, § 390-32-040, filed 7/22/77.] Repealed by 85-22-029 (Order 85-04), filed 10/31/85. Statutory Authority: RCW 42.17.370(1).

WAC 390-32-010 Fair Campaign Practices Code for candidates and political committees. Pursuant to the provisions of RCW 42.17.370 (1) and (6) the public disclosure commission adopts this Fair Campaign Practices Code:

- (1) I shall conduct my campaign, and to the extent reasonably possible shall insist that my supporters conduct themselves, in a manner consistent with the best American tradition, discussing the issues and presenting my record and policies with sincerity and candor.
- (2) I shall uphold the right of every qualified voter to free and equal participation in the election process.
- (3) I shall not participate in, and I shall condemn, personal vilification, defamation, and other attacks on any opposing candidate or party which I do not believe to be truthful, provable, and relevant to my campaign.
- (4) I shall not use or authorize, and I shall condemn material relating to my campaign which falsifies, misrepresents, or distorts the facts, including but not limited to malicious or unfounded accusations creating or exploiting doubts as to the morality, patriotism or motivations of any party or candidate.
- (5) I shall not appeal to, and I shall condemn appeals to, prejudices based on race, creed, sex or national origin.
- (6) I shall not practice, and I shall condemn practices, which tend to corrupt or undermine the system of free election or which hamper or prevent the free expression of the will of the voters.
- (7) I shall promptly and publicly repudiate the support of any individual or group which resorts, on behalf of my candidacy or in opposition to that of my opponent(s) to methods in violation of the letter or spirit of this code.
- (8) I shall refrain from any misuse of the Public Disclosure Law, chapter 42.17 RCW to gain political advantage for myself or any other candidate.

[Statutory Authority: RCW 42.17.370(1). 85–22–029 (Order 85–04), § 390–32–010, filed 10/31/85; Order 93, § 390–32–010, filed 8/26/77; Order 64, § 390–32–010, filed 11/25/75; Order 62, § 390–32–010, filed 8/26/75; Order 50, § 390–32–010, filed 3/3/75.]

- WAC 390-32-020 Filing—Fair Campaign Practices Code. (1) A copy of the code provided in WAC 390-32-010 shall be printed in appropriate campaign reporting instructions made available to candidates and political committees.
- (2) Neither failure to subscribe to the code nor to complete that section of the C-1 registration statement pertaining to the code shall constitute a violation of chapter 42.17 RCW.

[Statutory Authority: RCW 42.17.370(1). 86–08–030 (Order 86–02), § 390–32–020, filed 3/26/86; 85–22–029 (Order 85–04), § 390–32–020, filed 10/31/85; Order 93, § 390–32–020, filed 8/26/77; Order 91, § 390–32–020, filed 7/22/77; Order 62, § 390–32–020, filed 8/26/75; Order 59, § 390–32–020, filed 7/16/75; Order 52, § 390–32–020, filed 4/17/75.]

WAC 390-32-030 Complaints—Fair Campaign Practices Code. (1) Written and signed complaints alleging a violation of one or more specific provisions of the Fair Campaign Practices Code for candidates and political committees (WAC 390-32-010) may be submitted to the public disclosure commission by any person.

- (2) Upon receipt of a complaint, the executive director shall forward a copy of the complaint to the complainee within 24 hours, accompanied by a request for a response to the complaint returned within 5 days from the date of mailing.
- (3) Upon receipt of the complainee's response, the executive director shall forward a copy to the complainant. A copy of the complaint and the response shall be sent to news media. The complaint and the response shall be available at the commission office for public inspection and copying. If the complainee does not respond within 5 days, the complaint shall be made public without a response.
- (4) The commission will make no attempt to secure a reply to and will make no public release of complaints received within 8 days of an election.
- (5) The commission will not issue comments or opinions about complaints or responses.
- (6) In the absence of any contrary intention as expressed by the complainant, the filing of a complaint with the commission constitutes implied consent to have the complainant's identity disclosed.

[Statutory Authority: RCW 42.17.370(1). 85–22–029 (Order 85–04), § 390–32–030, filed 10/31/85; Order 93, § 390–32–030, filed 8/26/77; Order 91, § 390–32–030, filed 7/22/77.]

Chapter 390-37 WAC ENFORCEMENT PROCEDURES--INVESTIGATIVE HEARINGS

WAC	
390-37-010	Enforcement procedures—Policy.
390-37-020	Enforcement procedures—Initiation of complaint.
390-37-030	Enforcement procedures—Status of citizen complainant and others.
390–37–040	Enforcement procedures—Procedures for filing citizen complaints.
390–37–050	Enforcement procedures—Respondent's notice of complaint.

390–37–060	Enforcement procedures—Investigation of complaints—Initiation of hearing.
39037063	Enforcement procedures—Demand for information-
	Subpoenas.
390–37–070	Enforcement procedures—Complaints dismissible b executive director.
390-37-090	Enforcement procedures—Cases resolvable by stipulation.
390-37-100	Enforcement procedures—Conduct of hearings.
390-37-150	Reconsideration and review of decisions.
390-37-210	Hearings—Subpoenas.

DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

390-37-080	Enforcement procedures—Prehearing conference.
	[Order 81, § 390-37-080, filed 7/22/76.] Repealed
	by 84-12-017 (Order 84-03), filed 5/25/84. Statu-
	tory Authority: RCW 42.17.370(1).

390-37-200 Investigative hearings—Commission policy. [Order 81, § 390-37-200, filed 7/22/76.] Repealed by 84-12-017 (Order 84-03), filed 5/25/84. Statutory Authority: RCW 42.17.370(1).

390-37-205 Investigative hearings—Respondent's notice to appear. [Order 81, § 390-37-205, filed 7/22/76.] Repealed by 84-12-017 (Order 84-03), filed 5/25/84. Statutory Authority: RCW 42.17.370(1).

390-37-215 Investigative hearings—Conducted by commission or hearing officer. [Order 81, § 390-37-215, filed 7/22/76.] Repealed by 84-12-017 (Order 84-03), filed 5/25/84. Statutory Authority: RCW 42.17.370(1).

390-37-220 Investigative hearings—Procedures. [Order 81, § 390-37-220, filed 7/22/76.] Repealed by 84-12-017 (Order 84-03), filed 5/25/84. Statutory Authority: RCW 42.17.370(1).

390-37-225 Investigative hearings—Disposition of case by hearing officer. [Order 81, § 390-37-225, filed 7/22/76.]
Repealed by 84-12-017 (Order 84-03), filed 5/25/84. Statutory Authority: RCW 42.17.370(1).

390-37-230 Investigative hearings—Disposition of case by commission. [Order 81, § 390-37-230, filed 7/22/76.]
Repealed by 84-12-017 (Order 84-03), filed 5/25/84. Statutory Authority: RCW 42.17.370(1).

390-37-300 Late filings—Civil penalties. [Statutory Authority: RCW 42.17.370(1). 82-02-007 (Order 81-04), § 390-37-300, filed 12/28/81; Order 84, § 390-37-300, filed 8/18/76.] Repealed by 82-14-016 (Order 82-04), filed 6/28/82. Statutory Authority: RCW 42.17.370(1).

390-37-305 Late filings—Administrator review. [Statutory Authority: RCW 42.17.370(1). 82-02-007 (Order 81-04), § 390-37-305, filed 12/28/81; Order 84, § 390-37-305, filed 8/18/76 and 8/20/76.] Repealed by 82-14-016 (Order 82-04), filed 6/28/82. Statutory Authority: RCW 42.17.370(1).

390-37-310 Late filings—Waiver of penalty. [Order 84, § 390-37-310, filed 8/18/76] Repealed by 82-02-007 (Order 81-04), filed 12/28/81. Statutory Authority: RCW 42.17.370(1).

390-37-312 Late filings—Criteria for waiver; procedures for disposition. [Statutory Authority: RCW 42.17.370(1). 82-02-007 (Order 81-04), § 390-37-312, filed 12/28/81.] Repealed by 82-14-016 (Order 82-04), filed 6/28/82. Statutory Authority: RCW 42.17.370(1).

390-37-315 Late filings—Petition for waiver—Disposition by commission. [Order 84, § 390-37-315, filed 8/18/76] Repealed by 82-02-007 (Order 81-04), filed 12/28/81. Statutory Authority: RCW 42.17.370(1).

390-37-320 Late filings—Waiver petition—Judicial review and enforcement. [Statutory Authority: RCW 42.17.370(1). 82-02-007 (Order 81-04), § 390-37-320, filed 12/28/81; Order 84, § 390-37-320, filed 8/18/76.] Repealed by 82-14-016 (Order 82-04), filed 6/28/82. Statutory Authority: RCW 42.17.370(1).

WAC 390-37-010 Enforcement procedures—Policy. The commission recognizes the need for published uniform rules setting forth commission policies and procedures for cases in which violations or apparent violations of chapter 42.17 RCW are brought to its attention. The policy of the commission shall be to facilitate the resolution of compliance matters in a fair and expeditious manner.

[Order 79, § 390-37-010, filed 6/25/76.]

WAC 390-37-020 Enforcement procedures—Initiation of complaint. (1) A complaint alleging a violation of chapter 42.17 RCW may be brought to the attention of the commission staff by:

- (a) A member of the public;
- (b) The commission staff;
- (c) A commission member, who shall then be disqualified from participating in the decision of an enforcement hearing that may arise from the complaint; or
- (d) Referral from the office of the attorney general or any other law enforcement agency.
- (2) The person or entity against whom a complaint is filed shall be known as the respondent.

[Statutory Authority: RCW 42.17.370(1). 84–12–017 (Order 84–03), § 390–37–020, filed 5/25/84; Order 79, § 390–37–020, filed 6/25/76.]

WAC 390-37-030 Enforcement procedures—Status of citizen complainant and others. (1) When a citizen complaint has been filed with the commission, neither the complainant nor any other person shall have special standing to participate or intervene in the investigation or consideration of the complaint by the commission. However, the staff shall give notice to the complainant of any open commission hearings on the matter and the complainant may be called as a witness in any enforcement hearing or investigative proceeding.

(2) The complainant or any other person may submit documentary evidence and/or written factual or legal statements to the commission at any time. The complainant or any other person wishing to be heard in a compliance matter may request permission in advance of a public hearing on the matter or at such hearing, and the commission may grant such person a reasonable opportunity to be heard.

(3) A person not satisfied with the dismissal of a complaint by the commission or its executive director when no violation is found, may pursue an appropriate remedy under RCW 42.17.400(4).

[Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-37-030, filed 2/5/86; 84-12-017 (Order 84-03), § 390-37-030, filed 5/25/84; Order 79, § 390-37-030, filed 6/25/76.]

WAC 390-37-040 Enforcement procedures—Procedures for filing citizen complaints. (1) A complaint filed with the commission, relating to an elected official or a candidate for elective office, shall be in writing and signed by the complainant under oath.

(2) A complaint filed with the commission, other than a complaint specified in subsection (1) of this section, may be made informally.

- (3) A complaint filed under the provisions of either subsection (1) or (2) of this section should include:
- (a) A statement of the nature of the alleged violation or violations, date, time and place of each occurrence and name of person or persons responsible; and
- (b) All available documentation and other evidence which the complainant is able to supply to demonstrate a reason for believing that a violation of chapter 42.17 RCW has occurred.

[Statutory Authority: RCW 42.17.370(1). 84–12–017 (Order 84–03), § 390–37–040, filed 5/25/84; Order 79, § 390–37–040, filed 6/25/76.]

WAC 390-37-050 Enforcement procedures—Respondent's notice of complaint. Within ten days of receipt by the commission of a complaint which on its face appears to have merit, the commission shall notify the respondent that a complaint has been filed. The notice shall set forth the nature of the complaint and its origin (citizen complaint, commission or other) and the statutory provision alleged to have been violated.

[Statutory Authority: RCW 42.17.370(1). 79-08-046 (Order 79-03), § 390-37-050, filed 7/19/79; Order 81, § 390-37-050, filed 7/22/76.]

- WAC 390-37-060 Enforcement procedures—Investigation of complaints—Initiation of hearing. (1) The executive director shall initiate an enforcement hearing whenever an investigation reveals facts which the executive director has reason to believe are a material violation of chapter 42.17 RCW and do not constitute substantial compliance.
- (2) The respondent shall be notified of the date of the hearing no later than twenty days before that date pursuant to WAC 10-08-040.
- (3) The staff shall provide the respondent, at his/her request, with copies of all materials to be presented by the staff at the hearing.
- (4) It is the policy of the commission during the course of any investigation that all records generated or collected as a result of that investigation are exempt from public inspection and copying under RCW 42.17.310 (1)(d). If a request is made for any such record which implicates the privacy of an individual, written notice of the records request will be provided to the individual in order that such individual may request a protective order from a court under RCW 42.17.330.

[Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-37-060, filed 2/5/86; 84-12-017 and 84-12-029 (Orders 84-03 and 84-03A), § 390-37-060, filed 5/25/84 and 5/29/84; Order 81, § 390-37-060, filed 7/22/76.]

- WAC 390-37-063 Enforcement procedures—Demand for information—Subpoenas. (1) During the course of an audit or an investigation, the executive director may issue a "demand for information" directed to any person who probably possesses information which is relevant and material to the audit or the investigation. The "demand for information" shall
- (a) Specifically describe the information which is sought, and

- (b) Set forth a reasonable time and place for the production of the information, and
- (c) Notify the person that if the information is not produced, the executive director will present a request to the commission, at its next regular or special meeting, to issue a subpoena for the information pursuant to RCW 42.17.370(5).

The "demand for information" may be personally delivered or sent by certified mail, return receipt requested.

- (2) The commission may issue a subpoena under RCW 42.17.370(5) to compel persons to appear and give testimony and may require the production of any books, papers, correspondence, memorandums or other documents which the commission deems relevant and material.
- (3) Whenever the commission will consider the issuance of a subpoena, the executive director will place the matter on the published agenda for that meeting and, in addition, give the respondent, if any, and the person to whom the subpoena would be directed, at least five days written notice of the time and place where the meeting will be held.

[Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-37-063, filed 2/5/86; 82-02-007 (Order 81-04), § 390-37-063, filed 12/28/81.]

WAC 390-37-070 Enforcement procedures—Complaints dismissible by executive director. The executive director, with the concurrence of the chairman, at any time prior to consideration by the commission, may dismiss a complaint which on its face, or as shown by investigation, does not show reason to believe that a material violation of chapter 42.17 RCW has occurred.

[Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-37-070, filed 2/5/86; 84-12-017 (Order 84-03), § 390-37-070, filed 5/25/84; Order 81, § 390-37-070, filed 7/22/76.]

- WAC 390-37-090 Enforcement procedures—Cases resolvable by stipulation. (1) When the executive director and respondent agree that some or all of the facts are uncontested, the executive director and respondent shall prepare a stipulated statement of fact for presentation to the commission.
- (2) The commission may ask that additional facts be presented if it deems any stipulation to be inadequate.
- (3) The commission shall refer the matter to the executive director for further investigation or other action consistent with the commission's deliberations if the commission does not approve the stipulated statement of fact.

[Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-37-090, filed 2/5/86; 84-12-017 (Order 84-03), § 390-37-090, filed 5/25/84; Order 81, § 390-37-090, filed 7/22/76.]

- WAC 390-37-100 Enforcement procedures—Conduct of hearings. (1) An enforcement hearing shall be conducted pursuant to the Administrative Procedure Act (chapter 34.05 RCW) and its supporting regulations (chapter 10-08 WAC).
- (2) An enforcement hearing shall be heard either by the commission or [,] under RCW 34.12.040 or

[Title 390 WAC-p 80]

34.12.050(2), by a duly designated administrative law judge.

- (3) Upon the conclusion of an enforcement hearing heard by an administrative law judge, the judge shall prepare and present to the commission findings of fact, conclusions of law, and a proposed decision determinative of the matter. A copy of the findings of fact, conclusions of law and the proposed decision shall be served upon the executive director and the respondent. Both the respondent and the executive director shall be afforded an opportunity to file exceptions and written argument with the commission. The commission shall review the proposed decision at its next regular meeting or at a special meeting called for that purpose. The commission shall consider the whole record or such portions as shall be cited by the parties. Oral argument may be heard at the discretion of the commission.
- (4) After either a hearing by the commission or review by the commission of the proposed decision of an administrative law judge the commission may find that:
- (a) Respondent did not violate the act, as alleged, and dismiss the case; or
- (b) Respondent violated chapter 42.17 RCW, as alleged, and determine the sanction, if any, to be imposed, or
- (c) Respondent is in apparent violation of chapter 42-.17 RCW, its own remedy is inadequate and enter its order referring the matter to the appropriate law enforcement agency as provided in RCW 42.17.360.
 - (5) Upon the conclusion of a hearing, the commission
- (a) Shall set forth in writing its findings of fact, conclusions of law and decision on the merits of the case; and
- (b) Shall deliver, either in person or by mail, to each respondent and their representative a copy of the findings of fact, conclusions of law and decision.
- (6) When the commission finds an apparent violation and refers the matter to an enforcement agency, the commission shall give to the respondent written notice of such finding and order of referral.

[Statutory Authority: RCW 42.17.370. 90-16-083, § 390-37-100, filed 7/31/90, effective 8/31/90. Statutory Authority: RCW 42.17.370(1). 86-04-071 (Order 86-01), § 390-37-100, filed 2/5/86; 85-15-020 (Order 85-03), § 390-37-100, filed 7/9/85; 84-12-017 (Order 84-03), § 390-37-100, filed 5/25/84; Order 81, § 390-37-100, filed 7/22/76.]

Reviser's note: RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.

- WAC 390-37-150 Reconsideration and review of decisions. (1) For purposes of this rule, "decision" means any findings, conclusions, order, or other action by the commission which is reviewable by a court.
- (2) A decision may be reconsidered only upon (a) the written request of the person aggrieved thereby or (b) the motion or written request of a commissioner who voted on the prevailing side when that decision was made.

- (3) Such a request for reconsideration shall be served, or motion made, within thirty days after service of the decision of which reconsideration is sought.
- (4) A request or motion for reconsideration shall specify the grounds therefor.
- (5) Upon being served with a decision, the respondent may treat that decision as final for the purpose of petitioning for judicial review. The commission may not reconsider any decision after being served with a petition for judicial review.
- (6) When a request for reconsideration is served, or motion made, enforcement of the decision of which reconsideration is sought shall be stayed and the decision shall not be final until the commission has acted on the reconsideration.
- (7) The commission shall act on the reconsideration, at the next meeting at which it practicably may do so, by: (a) Deciding whether to reconsider its decision, and (b) if it decides to do so, either affirming or amending its decision: *Provided*, That before a decision may be amended other than by lowering a penalty, the respondent shall be given notice and an opportunity to be heard if, and in the same manner as, required for the original decision.

[Statutory Authority: RCW 42.17.370(1). 79-08-046 (Order 79-03), § 390-37-150, filed 7/19/79.]

- WAC 390-37-210 Hearings—Subpoenas. (1) The commission, upon request by any party, may subpoena persons to appear and give testimony and may require the production of any books, papers, correspondence, memorandums or other records which the commission deems relevant or material.
- (2) Such subpoena will issue and may be enforced in the form and manner set forth in RCW 34.05.446 and WAC 10-08-120.

[Statutory Authority: RCW 42.17.370. 90–16–083, § 390–37–210, filed 7/31/90, effective 8/31/90. Statutory Authority: RCW 42.17.370(1). 86–04–071 (Order 86–01), § 390–37–210, filed 2/5/86; 85–15–020 (Order 85–03), § 390–37–210, filed 7/9/85; 84–12–017 (Order 84–03), § 390–37–210, filed 5/25/84; Order 81, § 390–37–210, filed 7/22/76.]