

the intermediary or carrier in the provider's own state on the appropriate Medicare billing form.

(b) If the state of Washington is checked on the form as the party responsible for medical bills, the intermediary or carrier may bill on behalf of the provider or may return the claim to the provider for submission to the state of Washington.

(7) For reimbursement for out-of-state inpatient hospital services, see WAC 388-550-4000.

(8) The department shall reimburse out-of-state outpatient hospital services billed under the physician's current procedural terminology codes at an amount that is the lower of:

(a) The billed amount; or

(b) The rate paid by the Washington state Title XIX Medicaid program.

(9) Out-of-state providers shall present final charges to MAA within three hundred sixty-five days of the date of service. In no case shall the state of Washington be liable for payment of charges received beyond one year from the date services were rendered.

[Statutory Authority: RCW 74.08.090, 74.09.730, 74.04.050, 70.01.010, 74.09.200, [74.09.]500, [74.09.]530 and 43.20B.020. 98-01-124, § 388-550-6700, filed 12/18/97, effective 1/18/98.]

## Title 390 WAC

### PUBLIC DISCLOSURE COMMISSION

#### Chapters

- 390-16** Forms for campaign financing reporting—Contributions.
- 390-20** Forms for lobbying reports, elected officials and legislators.
- 390-24** Forms for reports of financial affairs.

### Chapter 390-16 WAC

#### FORMS FOR CAMPAIGN FINANCING REPORTING—CONTRIBUTIONS

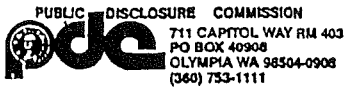
#### WAC

- 390-16-041 Forms—Summary of total contributions and expenditures.
- 390-16-071 Annual report of major contributors and persons making independent expenditures.
- 390-16-313 Independent expenditure—Definition and application.

**WAC 390-16-041 Forms—Summary of total contributions and expenditures.** (1) The official form for reports of contributions and expenditures by candidates and political committees who use the "full" reporting option is designated "C-4," revised 3/97, and includes Schedule A, revised 11/93, Schedule B, revised 11/93, Schedule C, revised 3/93, and Schedule L, revised 11/93.

(2) The official form for reports of contributions and expenditures by candidates and political committees who use the "abbreviated" reporting option is designated "C-4abb," revised 11/93.

(3) Copies of these forms are available at the Commission Office, Room 403, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8-1/2" x 11" white paper.



**SUMMARY, FULL REPORT  
RECEIPTS AND EXPENDITURES**

**C4**  
(397)

PDC OFFICE USE

Candidate or Committee Name (Do not abbreviate. Include full name)

Mailing Address

City

Zip + 4

Office Sought (Candidates)

**\*For PACs, Parties & Caucus Committees:** During this report period, did the committee make an independent expenditure (i.e., an expense not considered a contribution) supporting or opposing a state or local candidate?

Report Period Covered

From (last C-4)

To (end of period)

Final Report?

Yes \_\_\_ No \_\_\_

\*See reverse side.

Yes \_\_\_ No \_\_\_

**RECEIPTS**

1. Previous total cash and in kind contributions (From line 8, last C-4) (If beginning a new campaign or calendar year, see instruction booklet).....
2. Cash received (From line 2, Schedule A).....
3. In kind contributions received (From line 1, Schedule B).....
4. Total cash and in kind contributions received this period (Line 2 plus 3).....
5. Loan principal repayments made (From line 2, Schedule L)..... ( )
6. Corrections (From line 1 or 3, Schedule C)..... Show + or (-).....
7. Net adjustments this period (Combine line 5 & 6)..... Show + or (-).....
8. Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7).....
9. Total pledge payments due (From line 2, Schedule B) [ ]

**EXPENDITURES**

10. Previous total cash and in kind expenditures (From line 17, last C-4) (If beginning a new campaign or calendar year, see instruction booklet).....
11. Total cash expenditures (From line 4, Schedule A).....
12. In kind expenditures (goods & services) (From line 1, Schedule B).....
13. Total cash and in kind expenditures made this period (Line 11 plus line 12).....
14. Loan principal repayments made (From line 2, Schedule L)..... ( )
15. Corrections (From line 2 or 3, Schedule C)..... Show + or (-).....
16. Net adjustments this period (Combine lines 14 & 15)..... Show + or (-).....
17. Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16).....

**CANDIDATES ONLY**

	Won	Lost	Unopposed	Name not on ballot
Primary election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Treasurer's Daytime Telephone No.:

( )

**CASH SUMMARY**

18. Cash on hand (Line 8 minus line 17) (Line 18 should equal your bank account balance(s) plus your petty cash balance.).....
19. Liabilities: (Sum of loans and debts owed)..... ( )
20. Balance (Surplus or deficit) (Line 18 minus line 19).....

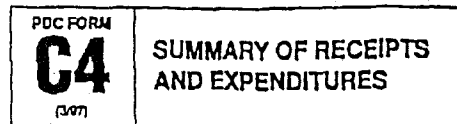
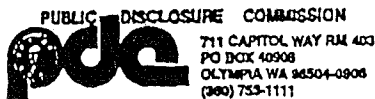
**CERTIFICATION:** I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge.

Candidate's Signature

Date

Treasurer's Signature

Date



Please consult PDC instruction manuals when completing this report.  
Reporting requirements are contained in and governed by RCW 42.17 and WAC 390.

- WHO MUST FILE** Each candidate and political committee using Full Reporting.
- FILING DATES**
- 1) File with C-1 or C-100 (Registration form) if you received contributions or made expenditures before registering.
  - 2) File on the 10th of each month if contributions or expenditures are over \$200 since last C-4 was filed. (These 10th-of-the-month reports are not required if another C-4 must be filed during that month. See #3 below.)
  - 3) For each primary, general and special election in which the candidate or political committee makes an expenditure, file
    - 21 days prior to the election
    - 7 days prior to the election
    - 10th of the first month after the election — see note below

(Note: Not required after primary election from candidates who will be in the general election or from continuing political committees.)
  - 4) File final report when campaign is finished or committee closes operation. Often, this coincides with the primary or general post-election, 10th-of-the-month report.
- All reports are considered filed as of the postmark date or the date hand-delivered to PDC.
- WHERE TO SEND REPORTS**
- Send original C-4 reports, along with all schedules and attachments, to PDC. Candidates send a duplicate copy to their County Auditor (County Elections Department). Political committees send a copy to County Auditor of the county in which their headquarters is located or, if no headquarters, the county in which their treasurer resides.
- Candidates for city offices, city ballot issue committees and other political committees who give to city candidates or ballot issue committees should check with city clerk regarding any local filing requirements.
- \*FOR ALL PACS, POLITICAL PARTIES & CAUCUS POLITICAL COMMITTEES**
- The question posted near the top of the front side of this form regarding independent expenditures applies to ALL POLITICAL COMMITTEES required to file C-4 reports, except ballot issue committees that neither contribute to candidates nor make independent expenditures regarding them and candidate committees (because they are prohibited from making expenditures that are not directly related to their own campaigns).
- All other Political Committees and PACs must indicate whether they made any independent expenditures supporting or opposing one or more candidates for state or local office.
- If the response is "yes," the independent expenditure(s) **MUST** be itemized on the appropriate schedule (either Schedule A, or Part 3 of Schedule B), showing:
- the date of the expense;
  - the name and address of the vendor or recipient of the funds;
  - if using Schedule A, an "I" in the Code column;
  - the name and office sought of the candidate supported or opposed;
  - an indication of support or opposition; and
  - a brief description of the expense (e.g., brochure mailed to absentee voters).

**CASH RECEIPTS AND EXPENDITURE**

SCHEDULE **A**  
to C4 (11/93)

Candidate or Committee Name (Do not abbreviate. Use full name.)

1. CASH RECEIPTS (Contributions) which have been reported on C3. List each deposit made since last C4 report was submitted.

Date of deposit	Amount	Date of deposit	Amount	Date of deposit	Amount	Total deposits

2. TOTAL CASH RECEIPTS

Enter also on line 2 of C4

CODES FOR CLASSIFYING EXPENDITURES: If one of the following codes is used to describe an expenditure, no other description is generally needed. The exceptions are:

- 1) If expenditures are in-kind or earmarked contributions to a candidate or committee or independent expenditures that benefit a candidate or committee, identify the candidate or committee in the Description block;
- 2) When reporting payments to vendors for travel expenses, identify the traveller and travel purpose in the Description block; and
- 3) If expenditures are made directly or indirectly to compensate a person or entity for soliciting signatures on a statewide initiative or referendum petition, use code "V" and provide the following information on an attached sheet: name and address of each person/entity compensated, amount paid each during the reporting period, and cumulative total paid all persons to date to gather signatures.

CODE DEFINITIONS ON REVERSE	C - Contributions (monetary, in-kind & transfers) I - Independent Expenditures L - Literature, Brochures, Printing B - Broadcast Advertising (Radio, TV) N - Newspaper and Periodical Advertising O - Other Advertising (yard signs, buttons, etc.) V - Voter Signature Gathering	P - Postage, Mailing Permits S - Surveys and Polls F - Fundraising Event Expenses T - Travel, Accommodations, Meals M - Management/Consulting Services W - Wages, Salaries, Benefits G - General Operation and Overhead
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3. EXPENDITURES

- a) Expenditures of \$50 or less, including those from petty cash, need not be itemized. Add up these expenditures and show the total in the amount column on the first line below.
- b) Itemize each expenditure of more than \$50 by date paid, name and address of vendor, code/description, and amount.
- c) For each payment to a candidate, campaign worker, PR firm, advertising agency or credit card company, attach a list of detailed expenses or copies of receipts/invoices supporting the payment.

Date Paid	Vendor or Recipient (Name and Address)	Code	Purpose of Expense and/or Description	Amount
N/A	Expenses of \$50 or less	N/A	N/A	

Total from attached pages \_\_\_\_\_

4. TOTAL CASH EXPENDITURES

Enter also on line 11 of C4 \_\_\_\_\_

**EXPENDITURE CODE DEFINITIONS AND USES**  
(for use on Schedule A and Schedule B, Item 3)

- C MONETARY, IN-KIND AND EARMARKED CONTRIBUTIONS** your campaign legally makes to other campaigns. Put a "C" in the Code column, in the Description column specify who was benefited and, if in-kind, what was purchased.
- I INDEPENDENT EXPENDITURES** (those expenditures that benefit other candidates or committees but are made independently of them). Put an "I" in the Code column and fully describe purpose.
- L LITERATURE**. Use "L" for expenditures made for the preparation and production of campaign literature and printed solicitations, including expenditures for mailing lists, design, photography, copy, layout, printing and reproduction. Use "P" for literature mailing costs.
- B BROADCAST ADVERTISING**. Use "B" for expenditures associated with the production and purchase of radio and television advertising.
- N NEWSPAPER & PERIODICAL ADVERTISING**. Use "N" for expenditures associated with the production and purchase of advertising in newspapers, periodicals and other publications.
- O OTHER ADVERTISING**. Use "O" for expenditures associated with the production and purchase of advertising on billboards, yard signs and campaign paraphernalia such as buttons, bumper stickers, T-shirts, etc.
- V VOTER SIGNATURE GATHERING**. Use "V" for expenditures made directly or indirectly to compensate a person or entity for soliciting or procuring signatures on a statewide initiative or referendum petition. Attach itemization of each such payment.
- P POSTAGE**. Use "P" for expenditures for stamps, postage, United Parcel Service, Federal Express and direct mail services (postage only). Use "L" for design and other production costs associated with producing campaign literature.
- F FUNDRAISING EVENTS**. Use "F" for expenditures associated with holding a fundraiser, including payments to restaurants, hotels, caterers, other food and refreshment vendors, entertainers and speakers. Use "L" for expenditures for printed matter produced in connection with fundraising events.
- S SURVEYS AND POLLS**. Use "S" for expenditures associated with designing or producing polls, reports on election trends, voter surveys, telemarketing, telephone banks, GOTV drives, etc.
- T TRAVEL, ACCOMMODATIONS, MEALS**. Use "T" for expenditures associated with travel. If vendor has been paid directly, identify the traveller in Description column. If travel payment was made to credit card company or traveller (for out-of-pocket expenses), itemize expenses on separate sheet and attach to Schedule A.
- M MANAGEMENT AND CONSULTING SERVICES**. Use "M" for salaries, fees and commissions paid to campaign management companies and contract consultants, including law firms, whether the person is retained or formally employed by the campaign (for tax withholding purposes).
- W WAGES, SALARIES, BENEFITS**. Use "W" for expenditures associated with hiring campaign employees and other freelance workers who provide miscellaneous services other than campaign management or consulting.
- G GENERAL OPERATION AND OVERHEAD**. Use "G" for general campaign operating expenses and overhead, including filing fees, miscellaneous campaign expenses, headquarters rental, utilities, and purchase or rental of office equipment and furniture for the campaign.



**IN KIND CONTRIBUTIONS, PLEDGES, ORDERS,  
DEBTS, OBLIGATIONS**

SCHEDULE **B**  
to C4  
(11/83)

Candidate or Committee Name (Do not abbreviate. Use full name.)

**1. IN KIND CONTRIBUTIONS RECEIVED (goods, services, discounts, etc.)**

Date Received	Contributor's Name and Address	Description of Contribution	Fair Market Value	Aggregate Total	P	G	If \$100 or more, Employer Name, City, State & Occup.
					R	E	
							Occupation
							Occupation
							Occupation
	<input type="checkbox"/> Check here if additional pages are attached	<b>TOTAL</b> (Enter also on line 3 and line 12 of C4)	_____				Occupation

**2. PLEDGES RECEIVED BUT NOT YET PAID. List each pledge of \$100.00 or more.**

Date Notified of Pledge	Name and Address of Pledge Maker	Fair Market Value	Aggregate Total	P	G	If \$100 or more, Employer Name, City, State & Occup.
				R	E	
						Occupation
						Occupation
	<input type="checkbox"/> Check here if additional pages are attached	<b>TOTAL</b> (include new pledges above and all other outstanding pledges. (Enter also on line 9 of C4)	_____			Occupation

**3. ORDERS PLACED, DEBTS, OBLIGATIONS. (Give estimate if actual amount not know. Exclude loans. Report loans on Schedule L.)**

- a. List each debt, obligation or estimated expenditure that is more than \$250.00.
- b. List each debt, obligation or estimated expenditure that is more than \$50.00 and has been outstanding for over 30 days.

Expenditure Date	Vendor's/Recipient's Name and Address	Amount Owed	Code*	OR	Description of Obligation
	<input type="checkbox"/> Check here if additional pages are attached	<b>TOTAL</b> (Include in line 19 of C4)	_____		

**EXPENDITURE CODE DEFINITIONS AND USES**  
(for use on Schedule A and Schedule B, Item 3)

- C MONETARY, IN-KIND AND EARMARKED CONTRIBUTIONS** your campaign legally makes to other campaigns. Put a "C" in the Code column, in the Description column specify who was benefited and, if in-kind, what was purchased.
- I INDEPENDENT EXPENDITURES** (those expenditures that benefit other candidates or committees but are made independently of them). Put an "I" in the Code column and fully describe purpose.
- L LITERATURE**. Use "L" for expenditures made for the preparation and production of campaign literature and printed solicitations, including expenditures for mailing lists, design, photography, copy, layout, printing and reproduction. Use "P" for literature mailing costs.
- B BROADCAST ADVERTISING**. Use "B" for expenditures associated with the production and purchase of radio and television advertising.
- N NEWSPAPER & PERIODICAL ADVERTISING**. Use "N" for expenditures associated with the production and purchase of advertising in newspapers, periodicals and other publications.
- O OTHER ADVERTISING**. Use "O" for expenditures associated with the production and purchase of advertising on billboards, yard signs and campaign paraphernalia such as buttons, bumper stickers, T-shirts, etc.
- V VOTER SIGNATURE GATHERING**. Use "V" for expenditures made directly or indirectly to compensate a person or entity for soliciting or procuring signatures on a statewide initiative or referendum petition. Attach itemization of each such payment.
- P POSTAGE**. Use "P" for expenditures for stamps, postage, United Parcel Service, Federal Express and direct mail services (postage only). Use "L" for design and other production costs associated with producing campaign literature.
- F FUNDRAISING EVENTS**. Use "F" for expenditures associated with holding a fundraiser, including payments to restaurants, hotels, caterers, other food and refreshment vendors, entertainers and speakers. Use "L" for expenditures for printed matter produced in connection with fundraising events.
- S SURVEYS AND POLLS**. Use "S" for expenditures associated with designing or producing polls, reports on election trends, voter surveys, telemarketing, telephone banks, GOTV drives, etc.
- T TRAVEL, ACCOMMODATIONS, MEALS**. Use "T" for expenditures associated with travel. If vendor has been paid directly, identify the traveller in Description column. If travel payment was made to credit card company or traveller (for out-of-pocket expenses), itemize expenses on separate sheet and attach to Schedule A.
- M MANAGEMENT AND CONSULTING SERVICES**. Use "M" for salaries, fees and commissions paid to campaign management companies and contract consultants, including law firms, whether the person is retained or formally employed by the campaign (for tax withholding purposes).
- W WAGES, SALARIES, BENEFITS**. Use "W" for expenditures associated with hiring campaign employees and other freelance workers who provide miscellaneous services other than campaign management or consulting.
- G GENERAL OPERATION AND OVERHEAD**. Use "G" for general campaign operating expenses and overhead, including filing fees, miscellaneous campaign expenses, headquarters rental, utilities, and purchase or rental of office equipment and furniture for the campaign.



**CORRECTIONS**

SCHEDULE **C**  
to C4

Candidate or Committee Name (Do not abbreviate. Use full name.)

Date

**1. CONTRIBUTIONS AND RECEIPTS** (Include mathematical corrections.)

Date of report	Contributor's name or description of correction	Amount reported	Corrected amount	Difference (+ or -)
Total corrections to contributions Enter on line 6 of C4. Show + or (-).				

**2. EXPENDITURES** (Include mathematical corrections.)

Date of report	Vendor's 's name or description of correction	Amount reported	Corrected amount	Difference (+ or -)
Total corrections to expenditures Enter on line 15 of C4. Show + or (-).				

**3. REFUNDS FROM VENDORS.** The below listed amounts have been received as refunds on expenditures previously reported. The refund has been deposited and reported on C3 report, Line 1d.

Date of refund	Source/person making refund	Amount of refund
Total refunds Enter as (-) on line 6 & line 15 of C4.		

**LOANS**

See Instructions and examples on reverse

SCHEDULE TO C3 OR C4

**L**  
(11/93)

Candidate or committee name \_\_\_\_\_

**1. LOAN RECEIVED.** (Loans are considered contributions and are subject to any applicable limit.)

Date loaned	Lender's name and address	<table border="1"><tr><td>P</td><td>G</td></tr><tr><td>R</td><td>E</td></tr><tr><td>I</td><td>N</td></tr></table>	P	G	R	E	I	N	Amount of loan	Annual interest rate	Repayment schedule	Date due
P	G											
R	E											
I	N											

Also include this amount on line 1c, C3 report ➤

Name and Address of Each Loan Endorser, Co-signer

P	G
R	E
I	N

Amount Liable For

Aggregate Total

If Total Contributed is \$100 or More, Show Endorser's Occupation and Name, City, & State of Employer

Check here if continued on attached sheet.

**2. LOAN PAYMENTS.** (Candidates may be repaid amount loaned or \$3,000 per election, which ever is less. See instruction manual for details.)

Date paid	Lender's name and address	Principal paid	Interest paid	Total payment	Balance owed

Total Principal Paid (Enter also on lines 5 and 14, C-4 report) ➤

Total Payments (Enter as an expenditure on Schedule A) ➤

**3. LOAN FORGIVEN.**

Date	Lender's name and address	Original amount	Principal repaid	Amount forgiven	Balance owed

**4. LOAN STILL OWED.** (List each loan which has previously been reported and still has a balance due.)

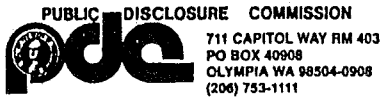
Date	Lender's name and address	Original amount	Principal repaid or forgiven	Amount owed

Subtotal \_\_\_\_\_

New loans received during this reporting period \_\_\_\_\_

Check here if continued on attached sheet.

Total Loans Owed (Include in total on line 19, C-4 report) \_\_\_\_\_



SCHEDULE TO C3 OR C4	<b>L</b> (11/93)	<b>LOANS</b>
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Please consult PDC instruction manuals when completing this schedule.  
Reporting requirements are contained in and governed by chapters 42.17 RCW and 390-16 WAC.

- WHO MUST FILE** Each candidate and political committee using full reporting that receives one or more campaign loans..
- FILING DATES** When a loan is received by the campaign, complete Part 1 and file the Schedule L with the C-3 report that corresponds with the loan's deposit into the account. Use a separate schedule for each loan received.
- When a loan is paid or forgiven, in whole or in part, complete Part 2 and/or Part 3 and file the Schedule L with the C-4 covering the period when the payment or forgiveness occurred.
- When one or more loans remain unpaid, complete Part 4 and file the schedule with each C-4 report until all loans are repaid in full or forgiven. (The same schedule may be used to show loan payments, forgiveness information and to show which loans remain unpaid.)

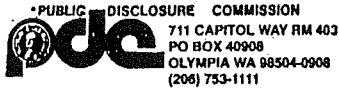
LOAN RECEIVED  
(Information would appear on separate Schedule L)

LOAN PAYMENTS

LOAN FORGIVEN

LOANS STILL OWED

LOANS		See instructions and examples on reverse		SCHEDULE TO C3 OR C4		<b>L</b> (11/93)	
Candidate or committee name Adrian Adams for State Representative							
<b>1. LOAN RECEIVED.</b> (Loans are considered contributions and are subject to any applicable limit.)							
Date loaned	Lender's name and address	Amount of loan	Annual interest rate	Repayment schedule	Date due		
2/12/9X	Tyler Adams (candidate's wife)	\$500	12%	\$100/month	Not fixed		
2/12/9X	Tyler Adams	500	Same	Same	Same		
		Also include this amount on line 1c, C3 report		\$1,000			
Name and address of each loan lender, Co signer Conrad Smith 8419 West View Court Anyplace, WA 90000							
		Amount Lender Paid	Aggregate Total	If Total Contributed is \$100 or more, show Employer's Name and Name, City & State of Employer			
		\$500	\$500	ABC Company, Madison, WI Sales Manager			
[*] Check here if continued on attached sheet.							
<b>2. LOAN PAYMENTS.</b> (Candidates may be repaid amount loaned or \$3,000 per election, whichever is less. See instruction manual for details.)							
Date paid	Lender's name and address	Principal paid	Interest paid	Total payment	Balance owed		
3/30/9X	Tyler Adams	\$100	\$10	\$110	\$900		
3/31/9X	Michael Murray	100	None	100	400		
		Total Principal Paid (Enter also on lines 8 and 14, C-4 report)		\$200			
				Total Payments (Enter as an expenditure on Schedule A)		\$210	
<b>3. LOAN FORGIVEN.</b>							
Date	Lender's name and address	Original amount	Principal repaid	Amount forgiven	Balance owed		
3/15/9X	Kelly Adams	\$250	None	\$150	\$100		
<b>4. LOAN STILL OWED.</b> (List each loan which has previously been reported and still has a balance due.)							
Date	Lender's name and address	Original amount	Principal repaid or forgiven	Amount owed			
2/12/9X	Tyler Adams	\$1,000	\$100	\$ 900			
1/22/9X	Michael Murray	500	100	400			
3/01/9X	Kelly Adams	250	150	100			
3/11/9X	K. M. Lawrence	1,000	0	1,000			
				Subtotal		\$2,400	
				New loans received during this reporting period		0	
				Total Loans Owed (Include in total on line 19, C-4 report)		\$2,400	
[*] Check here if continued on attached sheet							



ABBREVIATED REPORT RECEIPTS AND EXPENDITURES

<b>ABB C4</b> (11/93)	PDC OFFICE USE
	P M A R K  R E C E I V E D

Candidate or Committee Name (Do not abbreviate. Include full name) \_\_\_\_\_

Mailing Address \_\_\_\_\_

City \_\_\_\_\_ Zip + 4 \_\_\_\_\_ Office Sought (Candidates) \_\_\_\_\_

1. PERIOD COVERED BY REPORT: From: \_\_\_\_\_ To: \_\_\_\_\_ Final Report: Yes \_\_\_\_\_ No \_\_\_\_\_

a. Candidates: Start of campaign through the end of the month in which the election occurred.

b. Ballot Measure Committees: Start of campaign through the end of the month in which the election occurred.

c. Continuing Committees filing post-election report: January 1 through end of the month in which election occurred.

d. Continuing Committees filing annual report: Calendar year (January 1 through December 31).

2. RECEIPTS

a. Cash on hand from previous campaign or year (Include money in checking, savings and other accounts) \_\_\_\_\_

b. Cash contributions received this campaign or year (Include monetary contributions, loans, fund raising and cash contributions by a candidate) \_\_\_\_\_

c. Total cash receipts (Add lines 2a + 2b) \_\_\_\_\_

d. Other contributions, including in-kind (Include candidate's and committee workers' out of pocket expenditures, donated goods and services, filing fees paid by others and similar non-cash contributions) \_\_\_\_\_

e. Total contributions (Add lines 2c + 2d) \_\_\_\_\_

3. EXPENSES

a. Cash expenditures \_\_\_\_\_

b. Other expenditures. (Enter the amount shown on line 2d above here. Non-cash contributions are listed as both received and expended. Disregard any materials which may remain on hand.) \_\_\_\_\_

c. Total expenditures (Add lines 3a + 3b) \_\_\_\_\_

4. SURPLUS/DEFICIT

a. Cash on hand at end of reporting period (Subtract: line 3a from 2c) \_\_\_\_\_

b. Debts and obligations owed \_\_\_\_\_

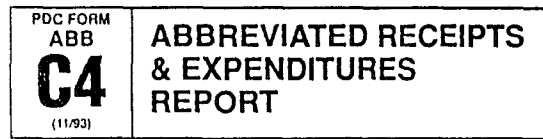
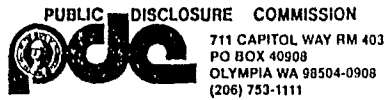
c. Surplus or deficit \_\_\_\_\_

CANDIDATES

Please complete:	Primary election	Won	Lost	Unopposed	Name not on ballot
	General election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

CERTIFICATION: I certify that this report is true and correct to the best of my knowledge.

Candidate's Signature _____	Date _____	Treasurer's Signature (if a political committee) _____	Date _____
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Please consult PDC instruction manuals when completing this report.  
 Reporting requirements are contained in and governed by chapters 42.17 RCW and 390-16 WAC.

- WHO MUST FILE** Each candidate and political committee using Abbreviated Reporting.
- FILING DATES**
- 1) Special election candidates and political committees supporting or opposing special election candidates or ballot issues file on the 10th of the month following the election.
  - 2) Candidates who lose in the primary and political committees supporting or opposing primary election ballot issues file on October 10.
  - 3) Candidates who are in the general election and political committees making expenditures supporting or opposing general election candidates or ballot measures file on December 10.
  - 4) Continuing political committees not taking part in elections during a year file annual reports on January 10 cover the preceding calendar year.
  - 5) A final report is filed whenever a candidate's committee or a political committee ceases operation, disposes of any surplus campaign funds and has a zero account balance. Final reports may be filed at any time and may coincide with one of the due dates listed above.

All reports are considered filed as of the postmark date or the date hand-delivered to PDC.

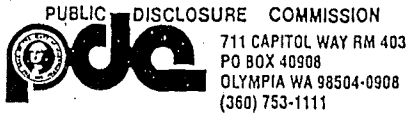
- WHERE TO FILE**
- Send original C-4 ABB report to PDC at the above address. Candidates send a duplicate copy to their County Auditor (County Elections Department). Political committees send a copy to County Auditor of the county in which their headquarters is located or, if no headquarters, the county in which their treasurer resides.
- (Candidates for city offices, city ballot issue committees and other political committees who give to city candidates or ballot issue committees check with city clerk regarding any local filing requirement.)

[Statutory Authority: RCW 42.17.370(1) and 42.17.090 (1)(k). 97-06-085, § 390-16-041, filed 3/3/97, effective 4/3/97. Statutory Authority: RCW 42.17.390. 94-05-011, § 390-16-041, filed 2/3/94, effective 3/6/94. Statutory Authority: RCW 42.17.370. 93-09-002, § 390-16-041, filed 4/8/93, effective 5/9/93; 92-18-002, § 390-16-041, filed 8/20/92, effective 9/20/92; 92-05-080, § 390-16-041, filed 2/18/92, effective 3/20/92; 91-22-033, § 390-16-041, filed 10/30/91, effective 11/30/91; 90-16-083, § 390-16-041, filed 7/31/90, effective 8/31/90; 89-20-068, § 390-16-041, filed 10/4/89, effective 11/4/89. Statutory Authority: RCW 42.17.370(1). 86-08-030 (Order 86-02), § 390-16-041, filed 3/26/86; 86-04-071 (Order 86-01), § 390-16-041, filed 2/5/86; 84-05-018 (Order 84-01), § 390-16-041, filed 2/10/84; 82-11-026 (Order 82-03), § 390-16-041, filed 5/10/82; 82-02-007 (Order 81-04), § 390-16-041, filed 12/28/81; Order 91, § 390-16-041, filed 7/22/77; Order 62, § 390-16-041, filed 8/26/75; Order 60, § 390-16-041, filed 7/16/75.]

**WAC 390-16-071 Annual report of major contributors and persons making independent expenditures.** [(1)]

Any person, other than an individual [(1)] (a) who made contributions to state office candidates and statewide ballot proposition committees totaling more than \$10,000 in the aggregate during the preceding calendar year, or [(2)] (b) who made independent expenditures regarding state office candidates and statewide ballot propositions totaling more than \$500 in the aggregate during the preceding calendar year, shall file with the commission an annual report required pursuant to RCW 42.17.180. This report shall not be required of a lobbyist employer filing an annual L-3 report pursuant to RCW 42.17.180 or of a candidate's authorized committee or a political committee provided the information has been properly reported pursuant to RCW 42.17.080 and .090.

(2) The report is entitled "Special Political Expenditures" and is designated with PDC form number C-7 revised 1/98.



Special Political Expenditures

C7

1/98

P  
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1. Name (Use complete company, association, union or entity name.)

Attention (Identify person to whom inquiries about the information below should be directed.)

Mailing Address

Telephone

City

State

Zip + 4

THIS REPORT MUST BE FILED BY THE LAST DAY OF FEBRUARY. Disclose all payments or expenditures the reporting entity made and accrued during the previous calendar year for the types of activities described below. Complete each section. Use "none" or "0" when appropriate. Follow the directions on the attached instructions.

Summary of Expenditures

Amount

- 2. Political contributions to candidates for legislative or statewide executive office, committees supporting or opposing these candidates, or committees supporting or opposing statewide ballot measures. Also complete Item 8.
  - a. Aggregate contributions made by the filer.
  - b. If contributions were made by a political committee associated, affiliated or sponsored by the employer, show the PAC name below. (Information reported by the PAC on C-4 reports need not be again included as part of this report.)  
Name of PAC \_\_\_\_\_
- 3. Independent expenditures supporting or opposing a candidate for legislative or statewide executive office or a statewide ballot measure. Show aggregate amount. Also complete Item 9.
- 4. Expenditures for entertainment, gifts, tickets, passes, transportation and travel expenses (including meals, lodging and related expenses) provided to legislators, state officials, state employees and members of their immediate families. Show aggregate amount. Also complete Item 10.
- 5. Expenditures to or on behalf of legislators, state officials, their spouses and dependents for the purpose of influencing, honoring or benefiting the legislator or official. Show aggregate amount. Also complete Item 13.
- 6. Other expenditures related to lobbying state officials, whether payment is made to, through or on behalf of a registered lobbyist. Attach list itemizing each expense. Show date, recipient, purpose and amount.

7. Total Reportable Expenses  
(Items 2 thru 6)

Itemized Expenditures

- 1. Contributions totaling over \$25 to a legislative or statewide executive office candidate, a committee formed to support or oppose one of these candidates or a committee supporting or opposing a statewide ballot measure.

Name of Recipient

Amount

Date

Information continued on attached pages

CONTINUE ON REVERSE

**Campaign Financing Reporting**

390-16-071

9. Independent expenditures in support of or opposition to a) a legislative or statewide executive office candidate or b) a statewide ballot measure. See instructions for definition of "independent expenditure."

Candidate's Name, Office Sought & Party or Ballot Measure & Brief Description	Amount	Date and Description of Expense (Note if Support or Oppose)
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Information continued on attached pages

10. Entertainment, gifts, tickets, passes, transportation and travel expenses (including meals, lodging and related expenses) provided to legislators, state officials, state employees and members of their immediate families.

Name and Title	Cost or Value	Date and Description of Entertainment, Gift or Travel
----------------	---------------	---

Information continued on attached pages

1. Compensation of \$1,500 or more during the preceding calendar year for employment or professional services paid to state elected officials, successful candidates for state office and each member of their immediate families.

Name	Relationship to Candidate or Official, if Family Member	Amount (Code)	Description of Consideration or Services Exchanged for Compensation
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Information continued on attached pages

2. Compensation of \$1,500 or more during the preceding calendar year for professional services paid to any corporation, partnership, joint venture, association or other entity in which state elected official, successful state candidate or member of their immediate family holds office, partnership, directorship or ownership interest of 10% or more.

Firm Name	Person's Name	Amount (Code)	Description of Consideration or Services Exchanged for Compensation
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Information continued on attached pages

3. Any expenditure, not otherwise reported, made directly or indirectly to a state elected official, successful candidate for state office or member of their immediate family, if made to honor, influence or benefit the person because of his or her official position.

Name	Amount	Date and Description of Expense
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Information continued on attached pages

This report must be certified by the president, secretary-treasurer or similar officer of reporting entity.

I certify that this report is true, complete and correct to the best of my knowledge.

Signature of Officer

Date

Printed Name and Title of Officer:



[Statutory Authority: RCW 42.17.370(1) and 42.17.180 (1)(h). 98-01-062, § 390-16-071, filed 12/11/97, effective 1/11/98. Statutory Authority: RCW 42.17.390. 95-01-074A, § 390-16-071, filed 12/16/94, effective 1/16/95; 94-11-016, § 390-16-071, filed 5/5/94, effective 6/5/94.]

**Reviser's note:** RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.

### WAC 390-16-313 Independent expenditure—

**Definition and application.** (1) "Independent expenditure," as that term is used in chapter 42.17 RCW, except RCW 42.17.100, means an "expenditure" as defined in RCW 42.17.020 that has each of the following elements:

(a) It is made in support of or in opposition to a candidate for public office, except federal elective office or precinct committee officer, by a person who is not (i) a candidate for that office, (ii) an authorized committee of that candidate for that office, (iii) a person who has received the candidate's encouragement or approval to make the expenditure, if the expenditure pays in whole or in part for any political advertising supporting that candidate or promoting the defeat of any other candidate or candidates for that office, or (iv) a person with whom the candidate has collaborated for the purpose of making the expenditure, if the expenditure pays in whole or in part for any political advertising supporting that candidate or promoting the defeat of any other candidate or candidates for that office;

(b) The expenditure pays in whole or in part for any political advertising that either specifically names the candidate supported or opposed, or clearly and beyond any doubt identifies the candidate without using the candidate's name;

(c) The expenditure, alone or in conjunction with another expenditure or other expenditures of the same person in support of or opposition to that candidate, has a value equal to or greater than the amount specified for independent expenditures in WAC 390-05-400. A series of expenditures, each of which is under the applicable amount in WAC 390-05-400, constitutes one independent expenditure if their cumulative value is equal to or greater than the amount specified in WAC 390-05-400; and

(d) The expenditure is not a contribution as defined in RCW 42.17.020 and clarified by WAC 390-05-210.

(2) **Exempt Activities.** The following activities are not considered independent expenditures for purposes of RCW 42.17.100, .180, .510 or .550:

(a) Ordinary home hospitality;

(b) A news item, feature, commentary, or editorial in a regularly scheduled news medium that is of primary interest to the general public, that is in a news medium controlled by a person whose business is that news medium, and that is not controlled by a candidate or a political committee;

(c) An internal political communication primarily limited to (i) the members of or contributors to a political party organization or political committee, (ii) the officers, management staff or stockholders of a corporation or similar enterprise, or (iii) the members of a labor organization or other membership organization;

(d) Messages in the form of reader boards, banners, or yard or window signs displayed on a person's own property or the property occupied by a person. However, a facility

used for such political advertising for which a rental charge is normally made shall be reported as an in-kind contribution at its fair market value and counts towards any applicable contribution limit of the person providing the facility; or

(e) The rendering of personal services of the sort commonly performed by volunteer campaign workers or incidental expenses personally incurred by volunteer campaign workers not in excess of fifty dollars personally paid by the worker.

[Statutory Authority: RCW 42.17.370(1). 97-10-055, § 390-16-313, filed 5/1/97, effective 6/1/97; 96-09-016, § 390-16-313, filed 4/8/96, effective 5/9/96.]

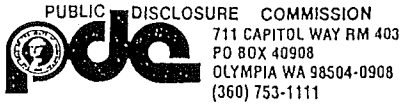
## Chapter 390-20 WAC

### FORMS FOR LOBBYING REPORTS, ELECTED OFFICIALS AND LEGISLATORS

#### WAC

390-20-110 Forms for lobbyist employers report.

**WAC 390-20-110 Forms for lobbyist employers report.** The official form for statement by employers of registered lobbyists as required by RCW 42.17.180 is designated "L-3," revised 1/98. Copies of this form are available at the commission office 711 Capitol Way, Room 403, Evergreen Plaza Building, PO Box 40908, Olympia, Washington, 98504-0908. Any attachments shall be on 8-1/2" x 11" white paper.



# Employer's Lobbying Expenses

<b>L3</b>	P D C
	O F F I C E
	U S E
	1/98

1. Employer's Name (Use complete company, association, union or entity name.) \_\_\_\_\_

Attention (Identify person to whom inquiries about the information below should be directed; NOT the lobbyist.) \_\_\_\_\_

Mailing Address \_\_\_\_\_ Telephone \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip + 4 \_\_\_\_\_

THIS REPORT MUST BE FILED BY THE LAST DAY OF FEBRUARY. Include expenditures made and accrued during the previous calendar year for lobbying the Washington State Legislature and/or any state agency. Complete all sections. Use "none" or "0" when applicable.

2. Identify each of your lobbyists/lobbying firms below. In column 1, show the full amount of salary or fee each earned for lobbying. In column 2, show the full amount paid (plus obligated) for other lobbying related expenses that were made by or through the lobbyist and reported by the lobbyist on the monthly L-2 report (e.g., contributions to legislative candidates, reimbursement for entertainment expenses, etc.). Compute the subtotals across and down the columns; put the grand total of expenses incurred by or through lobbyists in the space designated.

Names of Registered Lobbyists (if payments were to lobbying firm, list firm name)	Col 1-Salary	Col 2-Other	Total Amount
Total From Attached Page			
<input type="checkbox"/> Information continued on attached pages			Total Expenses By or Through Lobbyists

**DO NOT INCLUDE EXPENDITURES ALREADY ACCOUNTED FOR IN ITEM 2 ABOVE** when completing Items 3 through 7 below.

3. Other expenditures made by the employer for lobbying purposes. Show total expenditures made/accrued:
- a. to vendors on behalf of or in support of registered lobbyists (e.g., entertainment credit card purchases); \_\_\_\_\_
  - b. to or on behalf of expert witnesses or others retained to provide lobbying services who offer specialized knowledge or expertise that assists the employer's lobbying effort; \_\_\_\_\_
  - c. for entertainment, tickets, passes, travel expenses (e.g., transportation, meals, lodging, etc.) and enrollment or course fees provided to legislators, state officials, state employees and members of their immediate families; (Also complete Item 9.) \_\_\_\_\_
  - d. for composing, designing, producing and distributing informational materials for use primarily to influence legislation; and \_\_\_\_\_
  - e. for grass roots lobbying expenses, including those previously reported by employer on Form L-6, and payments for lobbying communications to clients/customers (other than to corporate stockholders and members of an organization or union). \_\_\_\_\_
4. Political contributions to candidates for legislative or statewide executive office, committees supporting or opposing these candidates, or committees supporting or opposing statewide ballot measures. (Also complete Item 10.)
- a. Contributions made directly by the employer, including those previously reported on PDC Form L-3c. \_\_\_\_\_
  - b. If contributions were made by a political committee associated, affiliated or sponsored by the employer, show the PAC name below. (Information reported by the PAC on C-4 reports need not be again included as part of this L-3 report.)  
 Name of PAC \_\_\_\_\_
5. Independent expenditures supporting or opposing a candidate for legislative or statewide executive office or a statewide ballot measure. (Also complete Item 11.) \_\_\_\_\_
6. Expenditures to or on behalf of legislators, state officials, their spouses and dependents for the purpose of influencing, honoring or benefiting the legislator or official. (Normal course of business payments are not reportable.) (Also complete Item 14.) \_\_\_\_\_
7. Other lobbying-related expenditures, whether through or on behalf of a registered lobbyist. Attach list itemizing each expense (i.e., show date, recipient, purpose and amount). Do not include payments accounted for above. \_\_\_\_\_
- Total Lobbying Expenses**  
(Items 2 thru 7) \_\_\_\_\_

This report must be certified by the president, secretary-treasurer or similar officer of lobbying employer.

Certification: I certify that this report is true, complete and correct to the best of my knowledge.	Signature of Employer Officer	Date
Printed Name and Title of Officer:	_____	

C-13 11

CONTINUE ON REVERSE

Entertainment, tickets, passes, travel expenses (including transportation, meals, lodging, etc.) and enrollment or course fees provided to legislators, state officials, state employees and members of their immediate families. See instruction manual for details.

Name and Title	Cost or Value	Date and Description of Expense
] Information continued on attached pages		

0. Contributions (not reported by the lobbyist) totaling over \$25 to a legislative or statewide executive office candidate, a committee formed to support or oppose one of these candidates or a committee supporting or opposing a statewide ballot measure. Do not list employer-affiliated PAC contributions.

Name of Recipient	Amount	Date (and, if In-Kind, Description)
] Information continued on attached pages		

1. Independent expenditures in support of or opposition to a) a legislative or statewide executive office candidate or b) a statewide ballot proposition. See instruction manual for definition of "Independent expenditure."

Candidate's Name, Office Sought & Party or Ballot Proposition Number & Brief Description	Amount	Date and Description of Expense (Note if Support or Oppose)
] Information continued on attached pages		

2. Compensation of \$1,500 or more during the preceding calendar year for employment or professional services paid to state elected officials, successful candidates for state office and each member of their immediate family.

Name	Relationship to Candidate or Elected Official if Member of Family	Amount (Code)	Description of Consideration or Services Exchanged for Compensation
] Information continued on attached pages			

DOLLAR	
CODE	AMOUNT
A	\$1 to \$2,999
B	\$3,000 to \$14,999
C	\$15,000 to \$29,999
D	\$30,000 to \$74,999
E	\$75,000 or more

3. Compensation of \$1,500 or more during the preceding calendar year for professional services paid to any corporation, partnership, joint venture, association or other entity in which state elected official, successful state candidate or member of their immediate family holds office, partnership, directorship or ownership interest of 10% or more.

Firm Name	Person's Name	Amount (Code)	Description of Consideration or Services Exchanged for Compensation
] Information continued on attached pages			

4. Any expenditure, not otherwise reported, made directly or indirectly to a state elected official, successful candidate for state office or member of their immediate family, if made to honor, influence or benefit the person because of his or her official position.

Name	Amount	Date and Purpose
] Information continued on attached pages		

[Statutory Authority: RCW 42.17.370(1) and 42.17.180 (1)(h). 98-01-062, § 390-20-110, filed 12/11/97, effective 1/11/98. Statutory Authority: RCW 42.17.370(1). 96-01-103, § 390-20-110, filed 12/19/95, effective 1/19/96. Statutory Authority: RCW 42.17.390. 95-01-074A, § 390-20-110, filed 12/16/94, effective 1/16/95. Statutory Authority: RCW 42.17.370. 93-04-072, § 390-20-110, filed 1/29/93, effective 3/1/93; 90-22-018, § 390-20-110, filed 10/29/90, effective 11/29/90. Statutory Authority: RCW 42.17.370(1). 87-05-001 (Order 87-01), § 390-20-110, filed 2/5/87; 85-24-020 (Order 85-05), § 390-20-110, filed 11/26/85; 84-05-018 (Order 84-01), § 390-20-110, filed 2/10/84; Order 62, § 390-20-110, filed 8/26/75.]

**Reviser's note: Notice of Objection:** The Joint Administrative Rules Review Committee finds that WAC 390-20-110 has not been modified, amended, withdrawn, or repealed by the Public Disclosure Commission so as to conform with the intent of the Legislature as expressed in RCW 42.17.170 and 42.17.180. Therefore, pursuant to its authority under RCW 34.04.240, this notice of objection is filed.

The Joint Committee finds that WAC 390-20-110 requires the disclosure of information from lobbyists' employers which RCW 42.17.170 specifically excludes from reporting by lobbyists. It is the opinion of the Joint Committee that the Commission is attempting to obtain information from lobbyists' employers which the Commission would not otherwise be able to obtain from lobbyists themselves. This would thwart the express intent of the Legislature that such information is inappropriate for reporting. WAC 390-20-110 would effectively neuter the reporting exemptions in RCW 42.17.170—the Commission would have the information. This is not what the Legislature intended. [Joint Administrative Rules Review Committee, Memorandum, August 16, 1984—Filed August 28, 1984, WSR 84-18-014.]

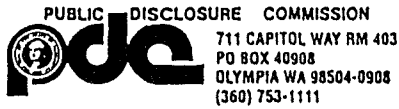
## Chapter 390-24 WAC

### FORMS FOR REPORTS OF FINANCIAL AFFAIRS

#### WAC

390-24-010	Forms for statement of financial affairs.
390-24-020	Forms for amending statement of financial affairs.
390-24-301	Changes in dollar amounts of reporting thresholds and code values.

**WAC 390-24-010 Forms for statement of financial affairs.** The official form for statements of financial affairs as required by RCW 42.17.240 is designated "F-1," revised 11/97. Copies of this form are available at the Commission Office, 711 Capitol Way, Room 403, Evergreen Plaza Building, PO Box 40908, Olympia, Washington 98504-0908. Any attachments must be on 8-1/2" x 11" white paper.



PDC FORM <b>F-1</b> (11/97)	<b>PERSONAL FINANCIAL                  AFFAIRS STATEMENT</b>	P O A R K R E C E I V E D PDC OFFICE USE
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Refer to instruction manual for detailed assistance and examples.  
**Deadlines: Incumbent elected and appointed officials—by April 15. Candidates and others—within two weeks of becoming a candidate or being newly appointed to a position.**  
**SEND REPORT TO PUBLIC DISCLOSURE COMMISSION.**

DOLLAR CODE	AMOUNT
A	\$1 to \$2,999
B	\$3,000 to \$14,999
C	\$15,000 to \$29,999
D	\$30,000 to \$74,999
E	\$75,000 or more

Last Name	First	Middle Initial	Names of Spouse and Dependents	Political Party if partisan office or pertinent to appointment
Mailing Address				
City	County	Zip + 4		

Filing Status (Check only one box.) <input type="checkbox"/> An elected official or state appointed official filing annual report <input type="checkbox"/> Final report as an elected official. Term expired _____ <input type="checkbox"/> Candidate running in an election: month _____ year _____ <input type="checkbox"/> Newly appointed to an elective office <input type="checkbox"/> Newly appointed to a state appointive office	Office Held or Sought Office title _____ County, city, district or agency of the office, name and number: _____ Position number _____ Term begins: _____ ends: _____
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<b>1</b>	<b>INCOME</b>	List each employer, or other source of income (pension, social security, legal judgment) from which you or a family member received \$1,500 or more during the period. (Report interest and dividends in Item 3 on reverse)
Show: Self (S) Spouse (SP) Dependent (D)	Name and Address of Employer or Source of Compensation	Occupation or How Compensation Was Earned
		Amount: (Use Code)
Check here <input type="checkbox"/> if continued on attached sheet		

<b>2</b>	<b>REAL ESTATE</b>	List street address, assessor's parcel number, or legal description AND county for each parcel of Washington real estate with value of over \$7,500 in which you or a family member held a personal financial interest during the reporting period. (Show partnership, company, etc. real estate on F-1 supplement.)
Property Sold or Interest Divested	Assessed Value (Use Code)	Name and Address of Purchaser
		Nature and Amount (Use Code) of Payment or Consideration Received
Property Purchased or Interest Acquired		Creditor's Name/Address
		Payment Terms
		Security Given
		Mortgage Amount—(Use Code) Original      Current
All Other Property Entirely or Partially Owned		
Check here <input type="checkbox"/> if continued on attached sheet		

**3 ASSETS / INVESTMENTS—INTEREST / DIVIDENDS** List bank and savings accounts, insurance policies, stock, bonds and other intangible property held during the reporting period.

A. Name and address of each bank or financial institution in which you or a family member had an account over \$15,000 any time during the report period.	Type of Account or Description of Asset	Asset Value (Use Code)	Income Amount: (Use Code)
B. Name and address of each insurance company where you or a family member had a policy with a cash or loan value over \$15,000 during the period.			
C. Name and address of each company, association, government agency, etc; in which you or a family member owned or had a financial interest worth over \$1,500. Include stocks, bonds, ownership, retirement plan, IRA, notes, and other intangible property.			

Check here  if continued on attached sheet

**4 CREDITORS** List each creditor you or a family member owed \$1,500 or more any time during the period. Don't include retail charge accounts, credit cards, or mortgages or real estate reported in item 2.

Creditor's Name and Address	Terms of Payment	Security Given	AMOUNT (USE CODE)	
			Original	Present

Check here  if continued on attached sheet

**5** All filers answer questions A thru D below. If the answer is YES to any of these questions, the F-1 Supplement must also be completed as part of this report. If all answers are NO and you are a candidate for state or local office, an appointee to a vacant elective office, or a state executive officer filing your Initial report, no F-1 Supplement is required.

Incumbent elected officials and state executive officers filing an annual financial affairs report also must answer question E. An F-1 Supplement is required of these officeholders unless all answers to questions A thru E are NO.

- Were you, your spouse or dependents an officer, director, general partner or trustee of any corporation, company, union, association, joint venture or other entity at any time during the reporting period? \_\_\_\_\_ If yes, complete Supplement, Part A.
- Did you, your spouse or dependents have an ownership of 10% or more in any company, corporation, partnership, joint venture or other business at any time during the reporting period? \_\_\_\_\_ If yes, complete Supplement, Part A.
- Did you, your spouse or dependents own a business at any time during the reporting period? \_\_\_\_\_ If yes, complete Supplement, Part A.
- Did you, your spouse or dependents prepare, promote or oppose state legislation, rules, rates or standards for current or deferred compensation (other than pay for a currently-held public office) at any time during the reporting period? \_\_\_\_\_ If yes, complete Supplement, Part B.
- Only for Persons Filing Annual Report. Regarding the receipt of items not provided or paid for by your governmental agency during the previous calendar year: 1) Did you, your spouse or dependents (or any combination thereof) accept a gift of food or beverages costing over \$50 per occasion? \_\_\_\_\_ or 2) Did any source other than your governmental agency provide or pay in whole or in part for you, your spouse and/or dependents to travel or to attend a seminar or other training? \_\_\_\_\_ If yes to either or both questions, complete Supplement, Part C.

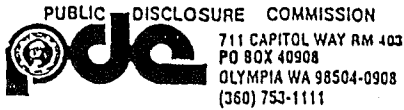
ALL FILERS EXCEPT CANDIDATES. Check the appropriate box.

I hold a state elected office or am an executive state officer. I have read and am familiar with RCW 42.52.180 regarding the use of public resources in campaigns.

I hold a local elected office. I have read and am familiar with RCW 42.17.130 regarding the use of public facilities in campaigns.

CERTIFICATION: I certify under penalty of perjury that the information contained in this report is true and correct to the best of my knowledge.

Signature \_\_\_\_\_ Date \_\_\_\_\_  
 Daytime Telephone: ( ) \_\_\_\_\_



PDC FORM <b>F-1</b> SUPPLEMENT (11/97)	<b>SUPPLEMENT PAGE</b> <b>PERSONAL FINANCIAL AFFAIRS STATEMENT</b>
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PROVIDE INFORMATION FOR YOURSELF, SPOUSE, DEPENDENT CHILDREN AND OTHER DEPENDENTS IN YOUR HOUSEHOLD

LAST NAME	FIRST	MIDDLE INITIAL	DATE
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**A OFFICES HELD, BUSINESS INTERESTS:** For each corporation, non-profit organization, association, union, partnership, joint venture or other entity in which you, your spouse or dependents are an officer, director, general partner, trustee, or 10 percent or more owner—provide the following information:

- Legal Name: Report name used on legal documents establishing the entity.
- Trade or Operating Name: Report name used for business purposes if different from the legal name.
- Position or Percent of Ownership: The office, title and/or percent of ownership held.
- Brief Description of the Business/Organization: Report the purpose, product(s), and/or the service(s) rendered.
- Payments from Governmental Unit: If the governmental unit in which you hold or seek office made payments to the business entity concerning which you're reporting, show the purpose of each payment and the actual amount received.
- Payments from Business Customers and Other Government Agencies: List each corporation, partnership, joint venture, sole proprietorship, union, association, business or other commercial entity and each government agency (other than the one you seek/hold office) which paid compensation of \$7,500 or more during the period to the entity. Briefly say what property, goods, services or other consideration was given or performed for the compensation.
- Washington Real Estate: Identify real estate owned by the business entity if the qualifications referenced below are met.

ENTITY NO. 1 Reporting for: Self \_\_\_\_\_ Spouse \_\_\_\_\_ Dependent \_\_\_\_\_

LEGAL NAME: POSITION OR PERCENT OF OWNERSHIP

TRADE OR OPERATING NAME:

ADDRESS:

BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:

PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE:

Purpose of payments	Amount (actual dollars)
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PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS AND OTHER GOVERNMENT AGENCIES OVER \$7,500:

Customer name:	Purpose of payment (amount not required)
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WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTEREST (Complete only if ownership in the ENTITY is 10% or more and assessed value of property is over \$15,000. List street address, assessor parcel number, or legal description and county for each parcel):

Check here  if continued on attached sheet

ENTITY NO. 2

Reporting for: Self \_\_\_\_\_ Spouse \_\_\_\_\_ Dependent \_\_\_\_\_

LEGAL NAME:

POSITION OR PERCENT OF OWNERSHIP

TRADE OR OPERATING NAME:

ADDRESS:

BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:

PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE:

Purpose of payments

Amount (actual dollars)

PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS AND OTHER GOVERNMENT AGENCIES OVER \$7,500:

Customer name:

Purpose of payment (amount not required)

WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTEREST (Complete only if ownership in the ENTITY is 10% or more and assessed value of property is over \$15,000. List street address, assessor parcel number, or legal description and county for each parcel):

Check here  if continued on attached sheet

**B LOBBYING** List persons for whom you or any immediate family member lobbied or prepared state legislation or state rules, rates or standards for current or deferred compensation. Do not list pay from government body in which you are an elected official or professional staff member.

Person to Whom Services Rendered	Description of Legislation, Rules, Etc.	Compensation (Use Code)

Check here  if continued on attached sheet

**C FOOD TRAVEL SEMINARS** Complete this section if a source other than your own governmental agency paid for or otherwise provided all or a portion of the following items to you, your spouse or dependents, or a combination thereof: 1) Food and beverages costing over \$50 per occasion; 2) Travel occasions; or 3) Seminars, educational programs or other training.

Date Received	Donor's Name, City and State	Brief Description	Actual Dollar Amount	Value (Use Code)

Check here  if continued on attached sheet



[Statutory Authority: RCW 42.17.370 (1) and (11) and 42.17.241 (1)(n). 97-23-020, § 390-24-010, filed 11/10/97, effective 1/1/98. Statutory Authority: RCW 42.17.370(1). 96-09-017, § 390-24-010, filed 4/8/96, effective 5/9/96. Statutory Authority: RCW 42.17.370. 91-24-011, § 390-24-010, filed 11/22/91, effective 12/23/91. Statutory Authority: RCW 42.17.370(1). 88-20-029 (Order 88-04), § 390-24-010, filed 9/29/88; 86-19-039 (Order 86-06), § 390-24-010, filed 9/12/86; 86-08-030 (Order 86-02), § 390-24-010, filed 3/26/86; 85-24-020 (Order 85-05), § 390-24-010, filed 11/26/85; 84-01-017 (Order 83-03), § 390-24-010, filed 12/9/83; 80-18-028 (Order 80-07), § 390-24-010, filed 12/1/80; 80-02-055 (Order 80-01), § 390-24-010, filed 1/17/80; Order 94, § 390-24-010, filed 10/31/77; Order 87, § 390-24-010, filed 11/19/76; Order 62, § 390-24-010, filed 8/26/75; Order 48, § 390-24-010, filed 3/3/75; Order 44, § 390-24-010, filed 9/26/74; Order 6, § 390-24-010, filed 3/23/73.]

**WAC 390-24-020 Forms for amending statement of financial affairs.** (1) The official form for amending statements of financial affairs as required by RCW 42.17.240 for all persons who have previously filed the Form F-1 is designated Form "F-1A," revised 11/97.

(2) No more than three F-1A forms may be filed to amend a previously submitted statement of financial affairs (Form F-1). The form can be used only to update information required on an F-1.

(3) The commission reserves the right to reject amendatory forms and require a new statement of financial affairs (Form F-1) at any time the amendments are confusing or create misunderstandings. Authority is delegated to the commission's executive director to make this determination.

(4) Copies of Form F-1A are available at the Commission Office, 711 Capitol Way, Room 403, Evergreen Plaza Building, PO Box 40908, Olympia, Washington 98504-0908. Any attachments must be on 8-1/2" x 11" white paper.



PDC FORM F-1A (11/97)

PERSONAL FINANCIAL AFFAIRS STATEMENT Short Form

PDC OFFICE USE

PARSONS RECEIVED

The F-1A form is designed to simplify reporting for persons who have no changes or only minor changes to an F-1 report previously filed. A complete F-1 form must be filed at least every four years; an F-1A form may be used for no more than three consecutive reports. Deadlines: Incumbent elected and appointed officials—by April 15. Candidates and others—within two weeks of becoming a candidate or being newly appointed to a position.

Table with 2 columns: DOLLAR CODE, AMOUNT. Rows A-E with corresponding dollar ranges.

Form fields: Last Name, First, Middle Initial, Names of Spouse and Dependents, Political Party, Mailing Address, City, County, Zip + 4.

Filing Status (Check only one box.) and Office Held or Sought section with checkboxes and text fields.

Select either "No Change Report" or "Minor Change Report," whichever reflects your situation. Supply all the requested information.

Check here [ ] if continued on attached sheet

FOOD TRAVEL SEMINARS

Complete this section if a source other than your own governmental agency paid for or otherwise provided all or a portion of the following items to you, your spouse or dependents, or a combination thereof: 1) Food and beverages costing over \$50 per occasion; 2) Travel occasions; or 3) Seminars, educational programs or other training.

Table with 5 columns: Date Received, Donor's Name, City and State, Brief Description, Actual Dollar Amount, Value (Use Code).

Check here [ ] if continued on attached sheet

ALL FILERS EXCEPT CANDIDATES. Check the appropriate box. I hold a state elected office... I hold a local elected office...

CERTIFICATION: I certify under penalty of perjury that the information contained in this report is true and correct to the best of my knowledge. Signature, Date, Daytime Telephone.

[Statutory Authority: RCW 42.17.370 (1) and (11) and 42.17.241 (1)(n). 97-23-020, § 390-24-020, filed 11/10/97, effective 1/1/98. Statutory Authority: RCW 42.17.370(1). 96-09-017, § 390-24-020, filed 4/8/96, effective 5/9/96. Statutory Authority: RCW 42.17.370. 91-24-011, § 390-24-020, filed 11/22/91, effective 12/23/91. Statutory Authority: RCW 42.17.370(1). 86-19-039 (Order 86-06), § 390-24-020, filed 9/12/86; 86-08-030 (Order 86-02), § 390-24-020, filed 3/26/86; 84-01-017 (Order 83-03), § 390-24-020, filed 12/9/83; 79-11-124 (Order 79-07), § 390-24-020, filed 11/6/79; Order 94, § 390-24-020, filed 10/31/77; Order 87, § 390-24-020, filed 11/19/76; Order 62, § 390-24-020, filed 8/26/75; Order 48, § 390-24-020, filed 3/3/75.]

**WAC 390-24-301 Changes in dollar amounts of reporting thresholds and code values.** Pursuant to the commission's authority in RCW 42.17.370(11) to revise the monetary reporting thresholds and code values found in chapter 42.17 RCW to reflect changes in economic conditions, the following revisions are made:

Statutory Section	Subject Matter	Amount Enacted or Last Revised	Revision Effective January 1, 1998
.241(1)(b)	Bank Accounts	\$10,000	\$15,000
.241(1)(b)	Other Intangibles	\$1,000	\$1,500
.241(1)(c)	Creditors	\$1,000	\$1,500
.241(1)(f)	Compensation	\$1,000	\$1,500
.241(1)(g)(ii)	Compensation to		
	Business Entity	\$5,000	\$7,500
.241(1)(g)	Bank Interest Paid	\$1,200	\$1,800
.241(1)(h)	Real Property—		
	Acquired	\$5,000	\$7,500
.241(1)(i)	Real Property—		
	Divested	\$5,000	\$7,500
.241(1)(j)	Real Property—		
	Held	\$5,000	\$7,500
.241(1)(k)	Real Property—		
	Business	\$10,000	\$15,000
.241(1)(l)	Food and Beverages	\$50	\$50
.241(2)	Dollar Code A	Up to \$1,999	Up to \$2,999
	Dollar Code B	\$2,000—\$9,999	\$3,000—\$14,999
	Dollar Code C	\$10,000—\$19,999	\$15,000—\$29,999
	Dollar Code D	\$20,000—\$49,999	\$30,000—\$74,999
	Dollar Code E	\$50,000 and up	\$75,000 and up

[Statutory Authority: RCW 42.17.370 (1) and (11) and 42.17.241 (1)(n). 97-23-020, § 390-24-301, filed 11/10/97, effective 1/1/98.]

## Title 392 WAC PUBLIC INSTRUCTION, SUPERINTENDENT OF

### Chapters

- 392-120 Finance—University of Washington transition school and early entrance program allocations.**
- 392-121 Finance—General apportionment.**
- 392-130 Finance—Self-funded plans for employee benefits.**

- 392-132 Finance—Nonhigh participatory finance— Including transfer of M and O levy authority from high to nonhigh districts.**
- 392-137 Finance—Nonresident attendance.**
- 392-142 Transportation—Replacement and depreciation allocation.**
- 392-165 Special service programs—Title VI— Innovative Education Program Strategies of the Education Consolidation and Improving America's Schools Act of 1994, financial assistance to local school districts.**

### Chapter 392-120 WAC

#### FINANCE—UNIVERSITY OF WASHINGTON TRANSITION SCHOOL AND EARLY ENTRANCE PROGRAM ALLOCATIONS

#### WAC

- 392-120-025 Definition—Full-time equivalent student.
- 392-120-027 Definition—Count date.
- 392-120-028 Definition—Annual average full-time equivalent student.
- 392-120-030 Definition—School year.
- 392-120-055 Responsibilities—University of Washington.
- 392-120-065 Calculation of quarterly allocation.

**WAC 392-120-025 Definition—Full-time equivalent student.** As used in this chapter, "full-time equivalent student" means an eligible student enrolled in and attending all courses required for continued enrollment in the transition school, and also means an eligible student who is enrolled in the early entrance program for 12 college credits or more per quarter.

[Statutory Authority: RCW 28A.185.040. 97-20-002 (Order 97-05), § 392-120-025, filed 9/17/97, effective 10/18/97. Statutory Authority: RCW 28A.58.217. 90-09-038 (Order 90-04), § 392-120-025, filed 4/12/90, effective 5/13/90; 88-19-026 (Order 88-21), § 392-120-025, filed 9/12/88.]

**WAC 392-120-027 Definition—Count date.** As used in this chapter "count date" means the first day of transition school or first early entrance program day during the month on which eligible students are counted and their full-time equivalency is determined. Count dates occur in the months of October through June. Eligible students counted during the summer are counted during the months of June through August.

[Statutory Authority: RCW 28A.185.040. 97-20-002 (Order 97-05), § 392-120-027, filed 9/17/97, effective 10/18/97.]

**WAC 392-120-028 Definition—Annual average full-time equivalent student.** As used in this chapter, an "annual average full-time equivalent student" means an eligible student who is a full-time equivalent student on each of the count dates in October through June: *Provided*, That a student electing to attend the summer quarter in lieu of attending the autumn, winter, or spring quarter will be counted during the summer months of June through August. No individual student shall be counted as more than one full-time equivalent student on any count date or more than one annual average full-time equivalent student in any September 1 through August 31 period for the purposes of claiming and