

# Title 260 WAC

## HORSE RACING COMMISSION

<p><b>Chapters</b></p> <p><b>260-08</b></p> <p><b>260-12</b></p> <p><b>260-13</b></p> <p><b>260-14</b></p> <p><b>260-16</b></p> <p><b>260-20</b></p> <p><b>260-24</b></p> <p><b>260-28</b></p> <p><b>260-32</b></p> <p><b>260-34</b></p> <p><b>260-36</b></p> <p><b>260-40</b></p> <p><b>260-42</b></p> <p><b>260-44</b></p> <p><b>260-48</b></p> <p><b>260-52</b></p> <p><b>260-56</b></p> <p><b>260-60</b></p> <p><b>260-64</b></p> <p><b>260-66</b></p> <p><b>260-70</b></p> <p><b>260-72</b></p> <p><b>260-75</b></p> <p><b>260-76</b></p> <p><b>260-80</b></p> <p><b>260-84</b></p> <p><b>260-88</b></p>	<p><b>Practice and procedure.</b></p> <p><b>General rules.</b></p> <p><b>Licensing requirements for new tracks and transfers of existing tracks.</b></p> <p><b>Special rules relating to commissioners and commission employees.</b></p> <p><b>Special types of races.</b></p> <p><b>Association grounds and facilities.</b></p> <p><b>Association officials and employees.</b></p> <p><b>Ownerships, trainers and employees.</b></p> <p><b>Jockeys, apprentices and agents.</b></p> <p><b>Drug and alcohol testing of licensees and employees.</b></p> <p><b>Occupational permits and licenses.</b></p> <p><b>Entries, starts, declarations and scratches.</b></p> <p><b>Postponements, cancellations and preferences.</b></p> <p><b>Weights and equipment.</b></p> <p><b>Mutuels.</b></p> <p><b>The race—Paddock to finish.</b></p> <p><b>Objections and protests.</b></p> <p><b>Claiming.</b></p> <p><b>Winnings.</b></p> <p><b>Walking over.</b></p> <p><b>Controlled medication program.</b></p> <p><b>Communications to and from grounds.</b></p> <p><b>Satellite locations.</b></p> <p><b>Bookmaking.</b></p> <p><b>Corrupt and prohibited practices.</b></p> <p><b>Fines and suspensions.</b></p> <p><b>Appeal to the commission.</b></p>	<p>260-68-070</p> <p>260-68-080</p> <p>260-68-090</p> <p>260-68-100</p> <p>260-68-110</p> <p>260-68-120</p> <p>260-68-130</p> <p>260-68-140</p> <p>260-68-150</p>	<p>Containers—Seals—Saliva test, distilled water to be used. [Rules of racing, § 368, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p> <p>Testing horses of owner or trainer under investigation. [Rules of racing, § 369, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p> <p>Persons permitted at testing place. [Rules of racing, § 370, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p> <p>Drugs, stimulants, narcotics—Attempted use or use affecting racing condition—Duty to protect horse—Penalties—Denial or return of prize—Eligibility of other horses. [Order 72-4, § 260-68-100, filed 6/27/72; Rules of racing, § 371, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p> <p>Drugs, stimulants, narcotics—Voiding track record. [Rules of racing, § 372, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p> <p>Drugs, stimulants, narcotics—Attempted use or use affecting speed of horse—Penalties. [Order 72-4, § 260-68-120, filed 6/27/72; Rules of racing, § 373, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p> <p>Drugs, stimulants, narcotics—Attempted use or use affecting speed of horse—Duty to protect horse—Denial or return of prize—Eligibility of other horses. [Rules of racing, § 374, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p> <p>Hypodermic instruments. [Rules of racing, § 375, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p> <p>Who may administer medications—Reports. [Rules of racing, § 376, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p>
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### DISPOSITION OF CHAPTERS FORMERLY CODIFIED IN THIS TITLE

<p><b>Chapter 260-68</b></p> <p><b>MEDICATION AND DRUGS</b></p>	
<p>260-68-010</p> <p>260-68-020</p> <p>260-68-030</p> <p>260-68-040</p> <p>260-68-050</p> <p>260-68-060</p>	<p>Commission may require association to set apart place for medication and testing. [Rules of racing, § 361, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p> <p>Horses to be sent to testing enclosure, when. [Rules of racing, §§ 362, 363, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p> <p>Taking specimens—Presence of owner or representative required—Cooperation enjoined—Penalty. [Rules of racing, § 364, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p> <p>Transmittal of specimens to chief chemist. [Rules of racing, § 365, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p> <p>Sampling medicines and drugs. [Rules of racing, § 366, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p> <p>Identification of medicine prerequisite to action on report. [Rules of racing, § 367, filed 4/21/61.] Repealed by Order 74.1, filed 5/22/74, effective 7/1/74. Later promulgation, see chapter 260-70 WAC.</p>

### Chapter 260-997 INDEX TO TITLE 260 WAC (HORSE RACING COMMISSION)

<p>260-997</p>	<p>Commission's index to Title 260 WAC. [Rules of racing, Index, filed 1/21/64.] Repealed by 82-09-016 (Order 82-03), filed 4/19/82. Statutory Authority: RCW 67.16.020 and 67.16.040.</p>
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### Chapter 260-08 WAC PRACTICE AND PROCEDURE

<p><b>WAC</b></p>	
<p>260-08-005</p> <p>260-08-600</p> <p>260-08-610</p> <p>260-08-620</p> <p>260-08-630</p> <p>260-08-640</p> <p>260-08-650</p> <p>260-08-660</p> <p>260-08-670</p> <p>260-08-680</p> <p>260-08-690</p> <p>260-08-700</p> <p>260-08-710</p> <p>260-08-720</p>	<p>Horse racing commission—Composition—Duties.</p> <p>Disclaimer of public liability.</p> <p>Public records—Officer.</p> <p>Requests for public records.</p> <p>Copying fees.</p> <p>Exemptions.</p> <p>Review of denials of public records.</p> <p>Protection of public records.</p> <p>Proceedings before the commission—Application.</p> <p>Proceedings—Notice.</p> <p>Proceedings—Notice to limited English speaking parties.</p> <p>Computation of time.</p> <p>Continuances.</p> <p>Filing and service of papers.</p>

260-08-730	Subpoenas.		Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-740	Prehearing conference.		
260-08-750	Evidence.	260-08-270	Depositions and interrogatories in contested cases—Protection of parties and deponents. [Regulation 08.270, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-760	Testimony under oath or affirmation.		
260-08-770	Reporting-recording.		
260-08-780	Teleconference hearings.		
260-08-790	Cameras—Recording devices.		
260-08-800	Presiding officer.	260-08-280	Depositions and interrogatories in contested cases—Oral examination and cross-examination. [Regulation 08.280, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-810	Initial or final order.		
260-08-820	Petition for rulemaking—Form, content and filing.		
260-08-830	Consideration and disposition.		
<b>DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER</b>			
260-08-010	Appearance and practice before commission—Who may appear. [Regulation 08.010, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-290	Depositions and interrogatories in contested cases—Recordation. [Regulation 08.290, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-030	Appearance and practice before commission—Solicitation of business unethical. [Regulation 08.030, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-300	Depositions and interrogatories in contested cases—Signing attestation and return. [Regulation 08.300, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-040	Appearance and practice before commission—Standards of ethical conduct. [Regulation 08.040, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-310	Depositions and interrogatories in contested cases—Use and effect. [Regulation 08.310, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-050	Appearance and practice before commission—Appearance by former employee of commission or former employee of attorney general's staff. [Regulation 08.050, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-320	Depositions and interrogatories in contested cases—Fees of officers and deponents. [Regulation 08.320, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-060	Appearance and practice before commission—Former employee as expert witness. [Regulation 08.060, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-330	Depositions upon interrogatories—Submission of interrogatories. [Regulation 08.330, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-070	Computation of time. [Regulation 08.070, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-340	Depositions upon interrogatories—Interrogation. [Regulation 08.340, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-080	Notice and opportunity for hearing in contested cases. [Regulation 08.080, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-350	Depositions upon interrogatories—Attestation and return. [Regulation 08.350, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-090	Service of process—By whom served. [Regulation 08.090, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-360	Depositions upon interrogatories—Provisions of deposition rule. [Regulation 08.360, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-100	Service of process—Upon whom served. [Regulation 08.100, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-370	Official notice—Matters of law. [Regulation 08.370, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-110	Service of process—Service upon parties. [Regulation 08.110, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-380	Official notice—Material facts. [Regulation 08.380, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-120	Service of process—Method of service. [Regulation 08.120, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-390	Presumptions. [Regulation 08.390, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-130	Service of process—When service complete. [Regulation 08.130, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-400	Stipulations and admissions of record. [Regulation 08.400, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-140	Service of process—Filing with commission. [Regulation 08.140, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-410	Form and content of decisions in contested cases. [Regulation 08.410, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-230	Depositions and interrogatories in contested cases—Right to take. [Regulation 08.230, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-420	Definition of issues before hearing. [Regulation 08.420, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-240	Depositions and interrogatories in contested cases—Scope. [Regulation 08.240, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-430	Prehearing conference rule—Authorized. [Regulation 08.430, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-250	Depositions and interrogatories in contested cases—Officer before whom taken. [Regulation 08.250, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.	260-08-440	Prehearing conference rule—Record of conference action. [Regulation 08.440, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
260-08-260	Depositions and interrogatories in contested cases—Authorization. [Regulation 08.260, effective 4/7/60.]	260-08-450	Submission of documentary evidence in advance. [Regulation 08.450, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
		260-08-460	Excerpts from documentary evidence. [Regulation 08.460, effective 4/7/60.] Repealed by 93-24-015, filed

- 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
- 260-08-470 Expert or opinion testimony and testimony based on economic and statistical data—Number and qualifications of witnesses. [Regulation 08.470, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
- 260-08-480 Expert or opinion testimony and testimony based on economic and statistical data—Written sworn statements. [Regulation 08.480, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
- 260-08-490 Expert or opinion testimony and testimony based on economic and statistical data—Supporting data. [Regulation 08.490, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
- 260-08-500 Expert or opinion testimony and testimony based on economic and statistical data—Effect of noncompliance with WAC 260-08-470 or 260-08-480. [Regulation 08.500, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
- 260-08-510 Continuances. [Regulation 08.510, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
- 260-08-520 Rules of evidence—Admissibility criteria. [Regulation 08.520, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
- 260-08-530 Rules of evidence—Tentative admission—Exclusion—Discontinuance—Objections. [Regulation 08.530, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
- 260-08-540 Petitions for rule making, amendment or repeal—Who may petition. [Regulation 08.540, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
- 260-08-550 Petitions for rule making, amendment or repeal—Requirements. [Regulation 08.550, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
- 260-08-560 Petitions for rule making, amendment or repeal—Commission must consider. [Regulation 08.560, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
- 260-08-570 Petitions for rule making, amendment or repeal—Notice of disposition. [Regulation 08.570, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
- 260-08-580 Declaratory rulings. [Regulation 08.580, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.
- 260-08-590 Forms. [Regulation 08.590, effective 4/7/60.] Repealed by 93-24-015, filed 11/19/93, effective 12/20/93. Statutory Authority: RCW 67.16.040.

**WAC 260-08-005 Horse racing commission—Composition—Duties.** The horse racing commission, composed of three members appointed by the governor, is responsible for licensing, regulating and supervising all horse racing meets in the state where the parimutuel system is used. The commission functions through periodic public meetings held throughout the state and where required, conducts hearings in accordance with this chapter. Various commission employees, where required, assist the commission with the statutory duties and the enforcement of chapters 260-12 through 260-84 WAC. The public may obtain information and make submissions at the main commission office as well as the temporary field offices at each of the several licensed tracks when operating.

[Statutory Authority: RCW 67.16.040. 93-24-019, § 260-08-005, filed 11/19/93, effective 12/20/93. § 260-08-005, filed 10/6/67.]

(1999 Ed.)

**WAC 260-08-600 Disclaimer of public liability.** No racing commissioner, employee or custodian shall be liable, nor shall a cause of action exist, for any loss or damage based upon release of a public record if the commissioner, employee or custodian so releasing acted in good faith in attempting to comply with the provisions of this chapter and chapter 42.17 RCW.

[Statutory Authority: RCW 67.16.040. 93-24-016, § 260-08-600, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-610 Public records—Officer.** A public records officer shall be appointed by the executive secretary of the commission. Such person shall maintain all records kept at the main office. For those records maintained at field offices, the public records officer shall be the presiding steward at that location.

[Statutory Authority: RCW 67.16.040. 93-24-016, § 260-08-610, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-620 Requests for public records.** Persons requesting opportunity to copy or inspect the commission's public records shall follow these procedures:

- (1) Informal oral requests may be made to the commission's main office.
- (2) The commission may require a person who has made an informal request to submit a formal written request.
- (3) All formal requests shall be submitted by mail or personally.
- (4) Each formal request shall include the following information:
  - (a) The name of the person or persons making the request.
  - (b) The time of day and calendar date on which the request is made.
  - (c) The nature of the request, including description of the requested records by title, subject matter, date and other means of enabling the staff of the commission to identify the requested records and make them available.
  - (d) A signed statement that the material will not be used for commercial purposes, in the event that a list of any type is included in the material requested.
  - (5) The staff of the commission shall assist any person making a request, whether formal or informal, in identifying the requested record or records but in the case of formal request, return the formal request for resubmission with additional description of the requested records.

[Statutory Authority: RCW 67.16.040. 93-24-016, § 260-08-620, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-630 Copying fees.** No fee shall be charged for the inspection of public records. The commission may charge a fee per page for providing copies of public records and for use of the office's copy equipment, subject to a minimum charge per order of \$1.00, plus postage at actual cost. This charge is the amount necessary to reimburse the office for its actual costs incident to such copying and mailing or transmission.

[Statutory Authority: RCW 67.16.040. 93-24-016, § 260-08-630, filed 11/19/93, effective 12/20/93.]

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**WAC 260-08-640 Exemptions.** (1) The commission reserves the right to determine that a public record requested in accordance with WAC 260-08-620 is exempt under the provisions of RCW 47.17.310.

(2) In addition, pursuant to RCW 42.17.260(1), the commission reserves the right to delete identifying details when it makes available or publishes any record in any cases where there is a reason to believe that disclosure of such details would be an invasion of personal privacy protected by chapter 42.17 RCW. The commission will fully justify such deletion in writing.

(3) All denials of requests for public records must be accompanied by a written statement specifying the reason for the denial, including a statement of the specific exemption authorizing the withholding of the record and a brief explanation of how the exemption applies to the records withheld.

[Statutory Authority: RCW 67.16.040. 93-24-016, § 260-08-640, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-650 Review of denials of public records.** (1) Any person who objects to the denial of a request for a public record may petition for prompt review of such decision by tendering a written request for review. The written request shall specifically refer to the written statement by the public information officer or other staff member which constituted or accompanied the denial and must be made in writing prior to the end of the second business day following the denial.

(2) After receiving a written request for review of a decision denying a public record, the public records officer or other staff member denying the request shall refer it to the chairperson of the commission or his or her designee. The chairperson of the commission or designee shall immediately consider the matter and either affirm or reverse such denial within five business days following the original denial.

(3) Administrative remedies shall not be considered exhausted until the chairperson of the commission or his or her designee has returned the petition with a decision or until the close of the fifth business day following denial of inspection, whichever occurs first.

[Statutory Authority: RCW 67.16.040. 93-24-016, § 260-08-650, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-660 Protection of public records.** (1) No person shall knowingly alter, deface, or destroy public records of the commission.

(2) Original copies of public records shall not be removed from premises where maintained by the office.

(3) Care and safekeeping of public records of the commission, furnished pursuant to a request for inspection or copying, shall be the sole responsibility of the requestor.

(4) Records furnished for public inspection or copying shall be returned in good condition and in the same file sequence or organization as when furnished.

(5) Boisterous or otherwise disruptive conduct by those requesting public records of the commission shall not be permitted.

[Statutory Authority: RCW 67.16.040. 93-24-016, § 260-08-660, filed 11/19/93, effective 12/20/93.]

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**WAC 260-08-670 Proceedings before the commission—Application.** An application for a hearing or proceeding before the commission may be on a form provided by the commission for that purpose or in other writing signed by the applicant. The application for hearing should specify the issue to be brought before the commission.

[Statutory Authority: RCW 67.16.040. 93-24-016, § 260-08-670, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-680 Proceedings—Notice.** (1) In any proceeding held by the commission, all parties shall be served with a notice of hearing not less than seven days before the date of the hearing. Notice shall include the information specified in RCW 34.05.434 and if the hearing is to be conducted by teleconference call the notice shall so state.

(2) The notice shall state that if a limited English-speaking or hearing impaired party or witness needs an interpreter, a qualified interpreter will be appointed and that there will be no cost to the party or witness. The notice shall include a form for a party to indicate whether the party needs an interpreter and to identify the primary language or hearing impaired status of the party.

(3) Defects in notice may not be waived unless:

(a) The chairperson of the commission determines that the waiver has been made knowingly, voluntarily and intelligently.

(b) The party's representative, if any, consents.

(c) If a party is an impaired person, the waiver is requested through the use of a qualified interpreter.

[Statutory Authority: RCW 67.16.040. 93-24-016, § 260-08-680, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-690 Proceedings—Notice to limited English speaking parties.** When the commission is notified or otherwise made aware that a limited-English-speaking person is a party in a proceeding, all notices concerning the hearing, including notices of hearing, continuance, and dismissal, shall either be in the primary language of the party or shall include a notice in the primary language or [of] the party which describes the significance of the notice and how the party may receive assistance in understanding and responding to, if necessary, the notice.

[Statutory Authority: RCW 67.16.040. 93-24-017, § 260-08-690, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-700 Computation of time.** In computing any period of time prescribed or allowed by any applicable statute or rule, the day of the act, event, or default after which the designated period of the time begins to run is not to be included. The last day of the period so computed is to be included.

[Statutory Authority: RCW 67.16.040. 93-24-017, § 260-08-700, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-710 Continuances.** (1) Postponements, continuance, extensions of time, and adjournments may be ordered by the presiding officer on his or her own motion or may be granted on timely request of any party, with notice to all other parties, showing good and sufficient cause therefor.

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(2) A request for a continuance made prior to the hearing date may be oral or written and shall state that the party seeking the continuance has notified all other parties of the request and that either all other parties agree to the continuance or that all parties do not agree to the continuance. If all parties do not agree to the continuance, the presiding officer shall promptly schedule a prehearing conference to receive argument and to rule on the request.

[Statutory Authority: RCW 67.16.040. 93-24-017, § 260-08-710, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-720 Filing and service of papers.** (1) All notices, pleadings, and other papers filed with the presiding officer shall be served upon all counsel and representatives or record and upon unrepresented parties or upon their agents designated by them of law.

(2) Service shall be made personally or, unless otherwise provided by law, by first-class, registered, or certified mail, or by commercial parcel delivery company.

(3) Service by mail shall be regarded as completed upon deposit in the United States mail properly stamped and addressed. Service by commercial parcel delivery shall be regarded as completed upon delivery to the company with charges prepaid.

(4) Papers required to be filed with the commission shall be deemed filed upon actual receipt during office hours at the main office of the commission.

(5) Where proof of service is required by statute or rule, filing the papers with the commission, together with one of the following, shall constitute proof of service:

(a) An acknowledgement of service.

(b) A certificate that the person signing the certificate did on the date of the certificate serve the papers upon all parties of record in the proceeding by delivering a copy thereof in person.

(c) A certificate that the person signing the certificate did on the date of the certificate serve the papers upon all parties of record in the proceeding by:

(i) Mailing a copy thereof, properly addressed with postage prepaid, to each party to the proceeding or his or her attorney or authorized agent.

(ii) Depositing a copy thereof, properly addressed with charges prepaid, with a commercial parcel delivery company.

[Statutory Authority: RCW 67.16.040. 93-24-017, § 260-08-720, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-730 Subpoenas.** (1) Subpoenas shall be issued and enforced, and witness fees paid, as provided in RCW 34.05.446.

(2) Every subpoena shall identify the party causing the issuance of the subpoena and shall state the name of the agency and the title of the proceeding and shall command the person to whom it is directed to attend and give testimony or produce designated books, documents, or things under his or her control at the time and place set for the hearing.

(3) A subpoena may be served by any suitable person over 18 years of age, by exhibiting and reading it to the witness, or by giving him or her a copy thereof, or by leaving such copy at the place of his or her abode with a person of suitable age and discretion of residing within. When service

(1999 Ed.)

is made by any other person than an officer authorized to serve process, proof of service shall be made by affidavit.

(4) The presiding officer, upon motion made promptly and in any event at or before the time specified in the subpoena for compliance therewith, may (a) quash or modify the subpoena if it is unreasonable and oppressive or (b) condition denial of motion upon advancement by the person in whose behalf the subpoena is issued of the reasonable cost of producing the books, papers, documents, or tangible things.

[Statutory Authority: RCW 67.16.040. 93-24-017, § 260-08-730, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-740 Prehearing conference.** (1) The presiding officer upon his or her own motion or upon request of a party may direct the parties or their representatives to engage on a prehearing conference or conferences to consider:

(a) Simplification of the issues.

(b) The necessity or desirability of amendments to the pleadings.

(c) The possibility of obtaining stipulations, admissions of fact and admissions of genuineness of documents which will avoid unnecessary proof.

(d) Limitations on the number and, consolidation of the examination of witnesses.

(e) Procedural matters.

(f) Distribution of written testimony and exhibits to the parties prior to the hearing.

(g) Such other matters as may aid in the disposition or settlement of the proceeding.

(2) Prehearing conferences may be held by telephone conference call or at a time and place specified by the presiding officer.

(3) Following the prehearing conference, the presiding officer shall issue an order reciting the action taken at the conference, the amendments allowed to the pleadings, and the agreements made by the parties concerning all of the matters considered. If no objection to such notice is filed within ten days after the date of such notice is mailed, it shall control the subsequent course of the proceeding unless modified for good cause by subsequent order.

(4) In any proceeding the presiding officer may, in his or her discretion, conduct a conference prior to the taking of testimony, or may recess the hearing for such conference, for the purpose of carrying out the purpose of this rule. The presiding officer shall state on the record the results of such conference.

(5) Nothing in this rule shall be construed to limit the right of the commission to attempt informal settlement of a proceeding or hearing at any time.

[Statutory Authority: RCW 67.16.040. 93-24-017, § 260-08-740, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-750 Evidence.** (1) All rulings upon objections to the admissibility of evidence shall be made in accordance with the provisions of RCW 34.05.452.

(2) Where practical, the presiding officer may order:

(a) That all documentary evidence which is to be offered during the hearing, or portions of the hearing, be submitted to the presiding officer and to the other parties sufficiently in

advance to permit study in preparation of cross-examination and rebuttal evidence.

(b) That documentary evidence not submitted in advance as required in (a) of this subsection be not received in evidence in the absence of a clear showing that the offering party had good cause for his or her failure to produce the evidence sooner, unless it is submitted for impeachment purposes.

(c) That the authenticity of all documents submitted in advance in a proceeding in which such submission is required be deemed admitted unless written objection thereto is filed prior to the hearing, except that a party will be permitted to challenge such authenticity at a later time upon a clear showing of good cause for failure to have filed such written statement.

(3) No former employee of the commission shall appear except with permission of the commission, as an expert witness on behalf of other parties in a proceeding in which he or she previously took an active part in the investigations as a representative of the commission.

(4) The refusal of a witness to answer any question which has been ruled to be proper shall, in the discretion of the presiding officer, be ground for striking all testimony previously given by such witness on related matter.

(5) Any party bound by a stipulation or admission of record may, at any time prior to closure of the hearing, be permitted to withdraw the same in whole or in part by showing to the satisfaction of the presiding officer that such stipulation or admission was made inadvertently or under a bona fide mistake of fact contrary to the true fact and that its withdrawal at the time proposed will not unjustly prejudice the rights of other parties to the proceeding.

[Statutory Authority: RCW 67.16.040. 93-24-017, § 260-08-750, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-760 Testimony under oath or affirmation.** (1) Every person called as a witness in a hearing shall swear or affirm that the testimony he or she is about to give in the hearing shall be the truth according to the provisions of RCW 5.28.020 through 5.28.060.

(2) Every interpreter shall, before beginning to interpret, take an oath that a true interpretation will be made to the person being examined of all proceedings in a language or manner which the person understands, and that the interpreter will repeat the statements of the person being examined to the commission, in the English language, to the best of the interpreter's skill and judgement.

[Statutory Authority: RCW 67.16.040. 93-24-018, § 260-08-760, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-770 Reporting-recording.** All hearings shall be recorded by manual, electronic, or other type of recording device.

[Statutory Authority: RCW 67.16.040. 93-24-018, § 260-08-770, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-780 Teleconference hearings.** (1) The presiding officer, with the concurrence of the commission, may conduct all or part of a hearing by telephone, television, or other electronic means, if each participant in the hearing has an opportunity to participate in, to hear, and if technically

feasible, to see the entire proceeding while it is taking place, provided the presiding officer shall grant the motion on any person showing good cause for having the hearing conducted in person at a rescheduled time.

(2) Documentary evidence shall be submitted in advance as provided in WAC 260-08-750.

[Statutory Authority: RCW 67.16.040. 93-24-018, § 260-08-780, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-790 Cameras—Recording devices.** Photographic and recording equipment shall be permitted at hearings; however, the presiding officer may impose such conditions upon their use as he or she deems necessary to prevent disruption of the hearing.

[Statutory Authority: RCW 67.16.040. 93-24-018, § 260-08-790, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-800 Presiding officer.** The presiding officer shall have the authority to:

- (1) Determine the order of presentation of evidence.
- (2) Administer oaths and affirmation.
- (3) Issue subpoenas.
- (4) Rule on procedural matters, objections and motions.
- (5) Rule on offers of proof and receive relevant evidence.
- (6) Interrogate witnesses in an impartial manner so as to develop any facts deemed necessary to fairly and adequately decide the matter.
- (7) Call additional witnesses and request additional exhibits deemed necessary to complete the record and receive such evidence subject to full opportunity for cross-examination and rebuttal by all parties.
- (8) Take any appropriate action necessary to maintain order during the hearing.
- (9) Permit or require oral argument or briefs and determine the time limits for submission thereof.
- (10) Take any other action necessary and authorized by any applicable statute [statute] or rule.
- (11) Waive any requirement of these rules unless a party shows that it would be prejudiced by such a waiver.

[Statutory Authority: RCW 67.16.040. 93-24-018, § 260-08-800, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-810 Initial or final order.** Every decision and order, whether initial or final, shall:

- (1) Be correctly captioned as to the name of the commission and the name of the proceeding or hearing.
- (2) Designate all parties and representatives participating in the proceeding.
- (3) Include a concise statement, of the nature and background of the proceeding.
- (4) Contain appropriate numbered findings of fact meeting the requirements in RCW 34.05.461.
- (5) Contain appropriate numbered conclusions of law, including citations of statutes and rules relied upon.
- (6) Contain an initial or final order disposing of all contested issues.
- (7) Contain a statement describing post hearing remedies.

[Statutory Authority: RCW 67.16.040. 93-24-018, § 260-08-810, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-820 Petition for rulemaking—Form, content and filing.** A petition for adoption, amendment or repeal of a rule shall generally adhere to the following form:

(1) At the top of the page shall appear the wording "Before the Washington horse racing commission". On the left side of the page below the foregoing the following caption shall be set out: "In the matter of the petition of (name of the petitioning party) for rulemaking." Opposite the foregoing caption shall appear the word "petition".

(2) The body of the petition shall be set out in numbered paragraphs. The first paragraph shall state the name and address of the petitioning party and whether the petitioner seeks adoption of a new rule or rules, or amendment or repeal of an existing rule or rules. The second paragraph, in case of a proposed new rule or amendment of an existing rule, shall set forth the desired rule in its entirety. Where the petition is for repeal of an existing rule, such shall be stated and the rule proposed to be repealed shall either be set forth in full or shall be referred to by agency rule number. The third paragraph shall set forth concisely the reasons for the proposal of the petitioner and shall contain a statement as to the interest of the petitioner in the subject matter of the rule. Additional numbered paragraphs may be used to give full explanation of the petitioner's reason for the action sought.

(3) Petitions shall be dated and signed by the person or entity named in the first paragraph or by the petitioner's attorney. The original and two legible copies shall be filed with the commission.

[Statutory Authority: RCW 67.16.040. 93-24-018, § 260-08-820, filed 11/19/93, effective 12/20/93.]

**WAC 260-08-830 Consideration and disposition.** (1) Each petition for the adoption, amendment, or repeal of a rule shall be considered by the commission and the commission, in its discretion, solicit comments or invite discussion concerning the matter prior to disposition of the petition.

(2) If the agency denies the petition, the denial shall be served upon the petitioner.

[Statutory Authority: RCW 67.16.040. 93-24-018, § 260-08-830, filed 11/19/93, effective 12/20/93.]

**Chapter 260-12 WAC  
GENERAL RULES**

**WAC**

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**WAC 260-12-001 Promulgation.** Chapter 55, Laws of 1933, created and established the Washington horse racing commission, and vested said commission with full powers to prescribe rules, regulations and conditions under which all horse racing, upon the result of which there shall be wagering, shall be conducted within the state of Washington.

The rules of racing as adopted and herein set forth are published and declared the rules and regulations of racing for the state of Washington. They have been compiled with the hope that they will promote racing on a high plane and encourage the breeding and ownership of thoroughbred horses in this state.

Anyone who enters or causes a horse to run, or who owns a share of any horse which takes part in any race held at a meeting conducted under a license from the Washington horse racing commission, or any corporation, association, official or person participating in any such meeting in any capacity, is expected to be conversant with and to comply with the present rules governing racing.

Such persons, corporations and associations hereby agree to submit, without any reservation, to all the rules and consequences resulting therefrom.

WASHINGTON HORSE RACING COMMISSION

Will Bachofner, Chairman,  
Robert Mead, Commissioner,  
Warren Chinn, Commissioner,  
Blaine Johnson, Secretary.

[Rules of racing, Promulgation, filed 4/21/61.]

**WAC 260-12-010 Definitions.** In applying the rules herein set forth and all amendments thereof the following definitions, constructions and interpretations shall apply, except where otherwise indicated in said rules:

(1) Age of a horse is reckoned as beginning on the first day of January in the year in which the horse is foaled.

(2) "Arrears" shall mean all moneys due for entrance forfeits, fees (including jockey's, etc. fees), forfeitures, subscriptions, stake, purchase money in claiming races, and also any default in money incident to the rules.

(3) "Authorized agent" shall mean a person appointed by a written instrument signed and acknowledged before a notary public by the owner and filed in accordance with the rules.

(4) "Association" shall mean any person or persons, associations, or corporations licensed by the commission to conduct racing for any stake, purse or reward.

(5) "Breeder" of a horse shall mean the owner of its dam at the time of foaling.

(6) "Breeding place" shall mean the place of horse's birth.

(7) "Calendar day" shall mean twenty-four hours ending at midnight.

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(8) "Declaration" shall mean the act of withdrawing an entered horse from a race before the closing of overnight entries.

(9) "Entry" shall mean according to the requirement of the text (a) a horse made eligible to run in a race, (b) two or more which are entered or run in a race owned by the same owner or trained by the same trainer.

(10) "Equipment," as applied to a horse, shall mean whips, blinkers, tongue straps, muzzle, nosebands, bits, shadow rolls, martingales, breast plates, bandages, boots and plates.

(11) "Forfeit" shall mean money due because of an error, fault, neglect of duty, breach of contract, or a penalty.

(12) "Grounds" shall mean all real property owned or leased by an association used in the conduct of a race meet.

(13) "Horse" includes filly, mare, colt, horse, gelding or ridgling.

(14) "Jockey" shall mean a race rider, whether a licensed jockey, apprentice or amateur.

(15) "Maiden" shall mean a horse which at the time of starting has never won a race on the flat in any country, at a track which is covered by a recognized racing publication showing the complete results of the race. A maiden which has been disqualified after finishing first is still to be considered a maiden.

(16) "Meeting" shall mean the entire consecutive period for which license to race has been granted to any one association by the commission.

(17) "Month" shall mean a calendar month.

(18) "Nominator" shall mean a person in whose name a horse is entered for a race.

(19) "Owner" includes sole owner, part owner or lessee of a horse. An interest only in the winnings of a horse does not constitute part ownership.

(20) "Place" in racing shall mean first, second or third and in that order is called "win," "place," and "show."

(21) "Post position" shall mean the position assigned to the horse at the starting line of the race.

(22) "Post time" shall mean the time set for the arrival at the starting point of the horses in a race and must be shown a reasonable time prior to the race on a clock device, provided for that purpose, prominently displayed and clearly readable from the grandstand.

(23) "Race" shall mean a contest between horses for purse, stakes, or reward on any licensed course and in the presence of judge or judges. A race which overfills may be contested in two or more divisions.

(a) "Claiming race" shall mean a race in which any horse entered therein may be claimed in conformity with the rules.

(b) "Free handicap" shall mean a handicap in which no liability for entrance money is incurred.

(c) "Handicap" shall mean a race in which the weights to be carried by the entered horses are adjusted by a handicapper or board of handicappers for the purpose of equalizing their respective chances of winning.

(d) "Highweight handicap" shall mean a handicap in which the weight assigned to the top horse in that handicap is not less than 140 pounds.

(e) "Match" shall mean a private sweepstakes between two horses which are the property of two different owners. If

prior to the running of the race either of the horses entered in the match dies, or if either owner dies the match is void. It remains a match even if money or any other award is added to the stakes.

(f) "Optional claiming race" shall mean a race restricted to horses entered to be claimed for a stated claiming price and to those which have started previously for that claiming price or less. In the case of horses entered to be claimed in such a race, the race will be considered, for the purposes of these rules, a claiming race.

(g) "Overnight race" shall mean a race for which entries close seventy-two hours, or less, before the time set for the first race of the day on which such race is to be run.

(h) "Owner's handicap" shall mean a race wherein the owner fixes, at the time of entry, the weight his horse is to carry.

(i) "Post race" shall mean a race in which the subscribers announce at declaration time the horse, or horses, each intends to start, without limitations of choice other than prescribed by the rules and conditions of the race.

(j) "Private sweepstakes" shall mean a race to which no money or other prize is added, and which, previous to closing, has not been advertised, either by publication, or by circular or entry blank, or in any other way.

(k) "Produce race" shall mean a race to be run for by the produce of horses named or described at the time of entry.

(l) "Purse race" shall mean a race for money or any other prize to which the owners of the horses engaged do not contribute.

(24) "Race day" shall mean any period of twenty-four hours beginning at midnight and included in the period of a race meeting and in the matter of penalties the word "day" means a "calendar day."

(25) "Recognized meeting" shall mean any meeting wherever held under the sanction of a turf authority having reciprocal relations with the commission and other turf authorities (approved by said commission) for the mutual enforcement of rulings imposed on persons guilty of fraudulent turf practices of any kind.

(26) "Rules" shall mean the rules herein prescribed and any amendments or additions thereto.

(27) "Scratch" shall mean the act of withdrawing an entered horse from the race after the closing of overnight entries.

(28) "Scratch time" shall mean the time set by the association for the closing of applications for permission to withdraw from races of that day.

(29) "Stake race" or "sweepstakes" shall mean a race for which nominations close more than seventy-two hours in advance of its running and for which subscribers contributed money toward its purse, or a race for which horses are invited by an association to run for a guaranteed purse of thirty thousand dollars or more without payment of stakes.

(30) "Starter." A horse is a "starter" for a race when the stall doors of the starting gate open in front of it at the time the starter dispatches the horses.

(31) "Stewards" shall mean the stewards of the meeting or their duly appointed deputies.

(32) "Subscription" shall mean the act of nominating to a stake race.

(33) "Untried horse" shall mean a horse whose produce are maidens.

(34) "Walk over" shall mean a situation in which two horses in entirely different interest do not run in a race.

(35) "Weight for age" shall mean standard weight according to the rules. A "weight for age" race is one in which all horses carry weight according to the scale without penalties or allowances.

(36) "Year" shall mean a calendar year.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 81-15-034 (Order 81-06), § 260-12-010, filed 7/10/81; 81-08-013 (Order 81-01), § 260-12-010, filed 3/24/81; Rules of racing, Rule 1.22(22), filed 8/23/66; Rules of racing, §§ 1-1.47, filed 4/21/61; subsection (12) amended, filed 3/11/65; subsection (14) amended, filed 8/26/65.]

**WAC 260-12-020 To whom rules apply.** (1) The rules of racing herein prescribed, and any amendments or additions thereto, apply to all persons, associations, partnerships, or corporations holding or conducting a meeting within the state of Washington licensed by the commission where racing shall be permitted for any stake, purse or reward.

(2) The rules shall also apply to any participant in, or patron of, any such licensed meetings.

[Rules of racing, §§ 2, 3, filed 4/21/61.]

**WAC 260-12-030 Rules limited to Washington.** In reading the rules, unless the text otherwise specifies, it shall be understood, without constant reference thereto, that they apply only in Washington.

[Rules of racing, § 4, filed 4/21/61.]

**WAC 260-12-040 Licenses conditioned on observance.** Every license to hold a meeting is granted upon the condition that the licensee shall accept, observe, and enforce said rules. Furthermore, it shall be the duty of each and every officer, director, and every official and employee of said licensee to observe and enforce the rules.

[Rules of racing, § 5, filed 4/21/61.]

**WAC 260-12-050 Execution, filing, of application for license.** Application to the Washington horse racing commission for a license to conduct a race meeting during the next succeeding season of racing must be filed with the secretary of the commission, over the signature of an executive officer of the association not later than February 1st. Once a license is granted, the commission may at any time, upon a showing of good cause, extend, reduce or otherwise modify the dates over which a racing association may conduct a race meet pursuant to that license.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 81-18-020 (Order 81-07), § 260-12-050, filed 8/25/81; Rules of racing, § 6, filed 4/21/61.]

**WAC 260-12-060 Application does not commit commission.** The application for racing dates and the allotment thereof shall not commit the commission to the granting of a license or licenses to conduct race meetings upon the dates allotted.

[Rules of racing, § 7, filed 4/21/61.]

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**WAC 260-12-070 May refuse to issue license—Criteria.** The commission may refuse to issue a license to conduct a race meeting when in its judgment such refusal shall appear to be for the best interest of legitimate racing and of the public. The commission will consider especially the following matters:

(1) Opportunity for the sport to properly develop;

(2) Avoidance of competition with established tracks;

(3) Extent of community support for the promotion and continuance of the tracks;

(4) The character and reputation of the men identified with the undertaking.

[Rules of racing, § 8, filed 4/21/61.]

**WAC 260-12-080 Assignment of license—Racing days.** No license or any part thereof shall be transferable or assignable in any manner or in any particular without the consent of the racing commission, and it shall not be permissible of any racing days other than those stipulated.

[Rules of racing, § 9, filed 4/21/61.]

**WAC 260-12-090 Amendment, etc., of rules.** Any and all of the rules may be amended, altered, repealed or supplemented by new and additional rules.

[Rules of racing, § 10, filed 4/21/61.]

**WAC 260-12-100 Laws and rules paramount—Misconduct, punishment.** The laws of Washington and the rules promulgated by the commission supersede the conditions of a race, or the regulations of a race meeting. The racing commission may punish independently any misconduct of any persons connected with racing.

[Rules of racing, § 11, filed 4/21/61.]

**WAC 260-12-110 Commission's right of entry.** Members of the commission and its designated representatives shall have the right of full and complete entry to any and all parts of the grounds, and mutuel plants of the association licensed to conduct horse racing.

[Rules of racing, § 12, filed 4/21/61.]

**WAC 260-12-115 Equipment and apparatus subject to approval.** All equipment, devices or apparatus used to officially record, time, photograph, film or videotape the racing program, or used within the pari-mutuel department for the sale, calculation, display of odds, or encashment of tickets, is subject to the approval of the commission.

[Order 77.1, § 260-12-115, filed 4/22/77.]

**WAC 260-12-120 Commission offices and personnel.** Each association shall provide within its grounds an office for the use, and to be at the disposal of the commission and all its officials. The commission shall have such employees or inspectors, who shall perform such duties as may be assigned to them by the commission.

[Rules of racing, § 13, filed 4/21/61.]

**WAC 260-12-130 Participants, patrons, bound by rules.** Every person participating in and every patron of a licensed meeting shall abide by said laws and rules, and accept the steward's decisions on any and all question to which their authority extends, subject to the right of appeal to the commission.

[Rules of racing, § 14, filed 4/21/61.]

**WAC 260-12-140 Owners, etc., bound by rules.** All owners and trainers of horses, and their stable employees are subject to the laws of Washington and the rules promulgated by the commission beginning on the day an association accepts entries for the first day of racing of a meet. Said owners, trainers and stable employees shall abide by said laws and rules and accept the decision of the stewards on any and all questions to which their authority extends, subject to their right of appeal to the commission.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 81-08-013 (Order 81-01), § 260-12-140, filed 3/24/81; Rules of racing, § 15, filed 4/21/61.]

**WAC 260-12-150 Denial of admission to grounds—Suspended persons and horses.** No person or horse ruled off, by or under suspension, by any recognized turf authority, trotting association, quarter horse association included, shall be admitted to the grounds of any association. For exception, see WAC 260-12-170.

[Rules of racing, § 18, filed 4/21/61.]

**WAC 260-12-160 Denial of admission to grounds—Narcotics offenders.** No person who has been convicted for illegal possession, sale or giving away of any narcotic or controlled substance shall be permitted on the grounds of an association, except by permission of the board of stewards.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 80-01-033 (Order 79-05), § 260-12-160, filed 12/17/79; Rules of racing, § 19, filed 4/21/61.]

**WAC 260-12-170 Eligibility of horses of suspended person.** When a person is ruled off a course or suspended, every horse owned in whole or part by him, or under his care, management, training or superintendence shall be ineligible to be entered or to start in any race until said horse or horses have been reinstated by the rescinding of said person's penalty, or by transfer through bona fide sale, or by placement of horse or horses in the hands of a licensed trainer approved by the stewards.

[Rules of racing, § 20, filed 4/21/61.]

**WAC 260-12-180 Safety helmets required.** All owners and trainers, when exercising horses, and all jockeys, apprentice jockeys, exercise boys, outriders, pony boys and pony girls when performing these duties shall wear a safety helmet approved by the commission.

[Rules of racing, § 24, filed 4/21/61.]

**WAC 260-12-190 Racing hours.** Each association shall conduct horse racing only between the hours of 12 noon and 11:30 p.m., unless otherwise specifically authorized by the commission.

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[Order 73.8, § 260-12-190, filed 10/23/73; Rules of racing, § 321, filed 4/21/61.]

**WAC 260-12-200 Number of races per day.** The total number of races and the number of exotic races (i.e., daily double, quinella, exacta and trifecta) allowed per day at all tracks shall be subject to the approval of the commission.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 82-07-016 (Order 82-02), § 260-12-200, filed 3/9/82; Rules of racing, § 322, filed 4/21/61.]

**WAC 260-12-210 Post time of first race.** Post time of the first race at each meeting must be approved by the commission.

[Rules of racing, § 323, filed 4/21/61.]

**WAC 260-12-220 Race conditions and rewards to be filed.** Each association conducting racing on Washington tracks shall file with the commission, the conditions of races it proposes to hold, together with the stakes, purse or rewards.

[Rules of racing, § 324, filed 4/21/61.]

**WAC 260-12-230 Information to be filed before opening of meeting.** In not less than ten days before opening of a race meeting, each association licensed to conduct a race meeting or meetings on Washington tracks shall file with the commission:

(1) A complete schedule of the rates of admission fees the association proposes to make at the meeting or meetings for which dates have been awarded.

(2) A financial statement of the association.

(3) A list of stockholders as of the date of application and the amount of stock held by each. Any change in the personnel of officers or stockholders, or in the holdings of any individual stockholder of an association shall be reported to the commission immediately. This rule shall apply during the life of any permit granted by the commission.

The commission may call for further data and information in writing, or it may ask the officers of any association to appear in person before it. There shall thereafter be no change made in any said admission fees except upon the desire changes being submitted to the commission in writing five days prior to the effective date of such changes.

[Rules of racing, § 326, filed 4/21/61.]

**WAC 260-12-235 Accepted conditions of race meeting.** (1) The commission, recognizing the necessity of an association to comply with the requirements of its license and to fulfill its obligation to the public and the state of Washington with the best possible uninterrupted services, in the comparatively short licensed period, herein provides that all associations, officials, horsemen, owners, trainers, jockeys, grooms, horseshoers, employees, and all licensees, who have accepted directly or indirectly, with reasonable advance notice, the conditions under which said association engages and plans to conduct such race meeting, shall be bound thereby.

(2) Any association, officials, horsemen, owners, trainers, employees, and all licensees who so accept such conditions shall, before they terminate or discontinue their employ-



ment engagements or activities, give the commission and the association with whom they are engaged, at least fifteen days notice in writing of their intentions to terminate or discontinue their employment, engagements or activities under such conditions. The commission may upon notice to all parties of interest, conduct a hearing or hearings with respect to any termination or discontinuance of employment: *Provided, however,* That no group of licensees shall be required to comply with the notice requirements of this rule when track conditions are deemed to be unsafe or hazardous.

[Order 75-1, § 260-12-235, filed 2/18/75.]

**WAC 260-12-240 Commission to approve distribution of passes, etc.** Distribution to the public of free passes, tickets, badges or other forms of admission shall be subject to the approval of the commission.

[Rules of racing, § 327, filed 4/21/61.]

**WAC 260-12-250 Problem gambling information sign must be posted.** The legislature recognizes that some individuals in Washington state are problem or compulsive gamblers. Because the state promotes and regulates gambling through the activities of the lottery commission, gambling commission and horse racing commission, the state has the responsibility to continue to provide resources for the support of services for problem and compulsive gamblers. RCW 9.46.071 requires that the lottery commission, gambling commission and horse racing commission shall jointly develop informational signs concerning problem and compulsive gambling, and that signs shall be placed in establishments of horse racing licensees, gambling licensees and lottery retailers.

All Class A, B and C licensees shall post problem and compulsive gambling informational signs in locations of their establishments, including satellite locations, which are clearly visible in patron traffic areas. The informational signs will be provided to the licensee by the horse racing commission and will contain a toll-free hot line number for problem and compulsive gamblers.

If a licensee fails to post the problem and compulsive gambling informational signs in its establishment or satellite locations, it shall be fined \$50.00 for the first violation, \$100.00 for the second violation and \$200.00 for each violation noted thereafter.

[Statutory Authority: RCW 67.16.040. 95-07-142, § 260-12-250, filed 3/22/95, effective 4/22/95.]

**Chapter 260-13 WAC**

**LICENSING REQUIREMENTS FOR NEW TRACKS AND TRANSFERS OF EXISTING TRACKS**

**WAC**

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**CLASS A LICENSE**

**WAC 260-13-010 Identification of applicant for Class A license.** An application for a Class A license must include, on a form prepared by the commission, the name, address, and telephone number of the applicant and the name, position, address, telephone number, and authorized signature of an individual to whom the commission may make inquiry.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-010, filed 6/16/86.]

**WAC 260-13-020 Applicant's affidavit.** An application for a Class A license must include, on a form prepared by the commission, an affidavit of the chief executive officer of a major financial participant in the applicant setting forth:

- (1) That application is made for a Class A license to own and operate a horse racing facility at which parimutuel betting is conducted. The Class A license is granted directly to a licensee who will have complete control over the horse racing and the facility including all aspects of ownership and operation.

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(2) That affiant is the agent of the applicant, its owners, partners, members, directors, officers, and personnel and is duly authorized to make the representations in the application on their behalf. Documentation of the authority must be attached.

(3) That the applicant seeks a grant of a privilege from the state of Washington, and the burden of proving the applicant's qualifications rests at all times with the applicant.

(4) That the applicant consents to inquiries by the state of Washington, its employees, the commission members, staff, and agents into the financial, character, and other qualifications of the applicant by contacting individuals and organizations.

(5) That the applicant, its owners, partners, members, directors, officers, and personnel accept any risk of adverse public notice, embarrassment, criticism, or other circumstance, including financial loss, which may result from action with respect to the application and expressly waive any claim which otherwise could be made against the state of Washington, its employees, the commission, staff, or agents.

(6) That affiant has read the applicant's identification and disclosures and knows the contents; the contents are true to affiant's own knowledge, except matters therein stated on information and belief; as to those matters, affiant believes them to be true.

(7) That the applicant recognizes all representations in the application are binding on it, and false or misleading information in the application, omission of required information or significant deviation from representations in the application may result in denial, revocation, or suspension of a license or imposition of a fine.

(8) That the applicant will comply with chapter 67.16 RCW and all rules of the commission.

(9) The affiant's signature, name, organization, position, address, and telephone number.

(10) The date.

[Statutory Authority: RCW 67.16.020, 67.16.040 and 67.16.075. 86-21-081 (Resolution No. 86-04), § 260-13-020, filed 10/16/86. Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-020, filed 6/16/86.]

**WAC 260-13-030 Disclosure of ownership and control.** An applicant for a Class A license must disclose:

(1) The type of organizational structure of the applicant, whether individual business corporation, nonprofit corporation, partnership, joint venture, trust, association, or other.

(2) If the applicant is an individual, the applicant's full legal name, whether the applicant is a United States citizen, any aliases and business names currently used by the applicant, and copies of state and federal tax returns for the past five years.

(3) If the applicant is a corporation:

(a) The applicant's full corporate name and any trade names currently used by the applicant.

(b) The jurisdiction and date of incorporation.

(c) The date the applicant commenced doing business in Washington and, if the applicant is incorporated outside Washington, a copy of the applicant's certificate of authority to do business in Washington.

(d) Copies of the applicant's articles of incorporation, bylaws, and state and federal corporate tax returns for the past five years.

(e) The general nature of the applicant's business.

(f) Whether the applicant is publicly held as defined by the rules and regulations of the securities and exchange commission.

(g) The classes of stock of the applicant. As to each class, the number of shares authorized, number issued, number outstanding, par value per share, issue price, current market price, number of shareholders, terms, position, rights, and privileges must be disclosed.

(h) If the applicant has any other obligations or securities authorized or outstanding which bear voting rights either absolutely or upon any contingency, the nature thereof, face or par value, number of units authorized, number outstanding, and conditions under which they may be voted.

(i) The names, in alphabetical order, addresses and telephone numbers of the directors and, in a separate listing, officers of the applicant. As to each director and officer, the number of shares held of record as of the application date or beneficially of each class of stock, including stock options and subscriptions, and units held of record or beneficially of other obligations or securities which bear voting rights must be disclosed.

(j) The names, in alphabetical order, addresses and telephone numbers of each record holder as of the date of application or beneficial owner of shares, including stock options and subscriptions, of the applicant or units of other obligations or securities which bear voting rights. As to each holder of shares or units, the number and class or type of shares or units must be disclosed.

(k) Whether the requirements of the Securities Act of 1933 and Securities and Exchange Act of 1934, as amended, and securities and exchange commission rules and regulations have been met in connection with issuance of applicant's securities, and copies of most recent registration statement and annual report filed with the securities and exchange commission.

(l) Whether the securities registration and filing requirements of the applicant's jurisdiction of incorporation have been met, and a copy of most recent registration statement filed with the securities regulator in that jurisdiction.

(m) Whether the securities registration and filing requirements of the state of Washington have been met. If they have not, the applicant must disclose the reasons why. The applicant must provide copies of all securities filing with the Washington department of licensing securities division during the past five years.

(4) If the applicant is an organization other than a corporation:

(a) The applicant's full name and any trade names currently used by the applicant.

(b) The jurisdiction of organization of the applicant.

(c) The date the applicant commenced doing business in Washington.

(d) Copies of any agreements creating or governing the applicant's organization and the applicant's state and federal tax returns for the past five years.

(e) The general nature of the applicant's business.

(f) The names, in alphabetical order, address and telephone numbers of any partners and officers of the applicant and other persons who have or share policymaking authority. As to each, the applicant must disclose the nature and extent of any ownership interest, including options, or other voting interest, whether absolute or contingent, in the applicant.

(g) The names, in alphabetical order, addresses and telephone numbers of any individual or other entity holding a record or beneficial ownership interest, including options, as of the date of the application or other voting interest, whether absolute or contingent, in the applicant. As to each, the applicant must disclose the nature and extent of the interest.

(5) If a nonindividual record or beneficial holder of an ownership or other voting interest of one percent or more in the applicant is identified pursuant to subsection (3)(i) or (j) or (4)(f) or (g), the applicant must make its best effort to disclose the information required by those clauses as to record or beneficial holders of an ownership or other voting interest of one percent or more in that nonindividual holder. The disclosure required by those clauses must be repeated, in turn, until all indirect individual record and beneficial holders of ownership or other voting interests in applicant are so identified. The term "best effort," as used in this and subsequent sections of this chapter, means an active and serious attempt which is made in good faith, and goes beyond due diligence, to provide the information required to be disclosed. When an applicant is unable, despite its best effort, to provide the information required, it shall explain fully and document its inability to do so.

(6) Whether the applicant is directly or indirectly controlled to any extent or in any manner by another individual or entity. If so, the applicant must disclose the identity of the controlling entity and a description of the nature and extent of control.

(7) Any agreements or understandings which the applicant or any individual or entity identified pursuant to this part has entered into regarding ownership or operation of applicant's horse racing facility, and copies of any written agreements.

(8) Any agreements or understandings which the applicant has entered into for the payment of fees, rents, salaries, or other compensation by the applicant, and copies of any written agreements.

(9) Whether the applicant, any partner, director, officer, other policymaker, holder of a direct or indirect record or beneficial ownership interest or other voting interest or control of one percent or more in the applicant has held or holds a license or permit issued by a governmental authority to own and operate a horse racing facility or conduct any aspect of horse racing or gambling. If so, the applicant must disclose the identity of the license or permit holder, nature of the license or permit, issuing authority, and dates of issuance and termination.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-030, filed 6/16/86.]

**WAC 260-13-040 Disclosure of character information.** An applicant for a Class A license must make its best effort, as defined in WAC 260-13-030(5), to disclose whether

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the applicant or any individual or other entity identified above has:

(1) Been charged in a criminal proceeding with a felony or fraud, misrepresentation, theft, larceny, embezzlement, tax evasion, robbery, burglary, bribery, extortion, jury tampering, obstruction of justice, perjury, an antitrust violation or conspiracy to commit any of the foregoing. If so, the applicant must disclose the date charged, court, whether convicted, date convicted, crime convicted of, and sentence.

(2) Been a party in a civil proceeding and alleged to have engaged in an unfair or anticompetitive business practice, a securities violation, or false or misleading advertising. If so, the date of commencement, court, circumstances, date of decision, and result.

(3) Had a horse racing, gambling, or other business license or permit revoked or suspended or renewal denied or been a party in a proceeding to do so. If so, the applicant must disclose the date of commencement, forum, circumstances, date of decision, and result.

(4) Been accused in an administrative or judicial proceeding of violation of a statute or rule relating to unfair labor practices, discrimination, horse racing, or gambling. If so, the applicant must disclose the date of commencement, forum, circumstances, date of decision, and result.

(5) Commenced an administrative or judicial action against a governmental regulator of horse racing or gambling. If so, the applicant must disclose the date of commencement, forum, circumstances, date of decision, and result.

(6) Been the subject of voluntary or involuntary bankruptcy proceedings. If so, the applicant must disclose the date of commencement, forum, circumstances, date of decision, and result.

(7) Failed to satisfy any judgment, decree, or order of an administrative or judicial tribunal. If so, the applicant must disclose the date and circumstances.

(8) Been delinquent in filing a tax report required or remitting a tax imposed by any government. If so, the applicant must disclose the date and circumstances.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-040, filed 6/16/86.]

**WAC 260-13-050 Disclosure of improvements and equipment.** An applicant for a Class A license must disclose with respect to the parimutuel horse racing facility it will own and operate:

(1) The address of the facility, its size, and geographical location, including reference to county and municipal boundaries.

(2) A site map which reflects current and proposed highways and streets adjacent to the facility.

(3) The types of racing for which the facility is designed, whether thoroughbred, harness, quarter horse, or other.

(4) Racetrack dimensions by circumference, width, banking, location of chutes, length of stretch, distance from judges' stand to first turn and type of surface. If the facility has more than one racetrack, the applicant must provide a description of each.

(5) A description of horse stalls at the facility, giving the dimensions of stalls, separation, location, and total number of stalls.

(6) A description of the grandstand, giving total seating capacity, total reserved seating capacity, indoor and outdoor seating capacity, configuration of grandstand seating and parimutuel and concession facilities within the grandstand; the number and location of men's and women's restrooms, drinking fountains, and medical facilities available to patrons; and a description of public pedestrian traffic patterns throughout the grandstand.

(7) A description of the detention barn, giving distance from detention barn to track and paddock, number of sampling stalls, placement of viewing ports on each stall, location of post-mortem floor, number of wash stalls with hot and cold water and drains and availability of video monitors; and a description of the walking ring.

(8) A description of the paddock, number of stalls in the paddock, height from the floor to lowest point of the stall ceiling and entrance, and paddock public address and telephone services.

(9) A description of the jockeys' and drivers' quarters, giving changing areas, a listing of equipment to be installed in each, and the location of the jockeys' quarters in relation to the paddock.

(10) A description of the parimutuel tote, giving approximate location of bettors' windows and cash security areas, and a description of the equipment, including the provider if known.

(11) A description of the parking, giving detailed attention to access to parking from surrounding streets and highways. Number of parking spaces available, distinguishing between public and other; a description of the road surface on parking areas and the distance between parking and the grandstand; and a road map of the area showing the relationship of parking to surrounding streets and highways.

(12) A description of the height, type of construction, and materials of perimeter fence; whether the perimeter fence is topped by a barbed wire apron at least two feet wide and directed outward at a forty-five degree angle; and whether there is a clear zone at least four feet wide around the outside of the entire perimeter fence.

(13) A description of improvements and equipment at the racetrack for security purposes in addition to perimeter fence, including the provider of equipment if known.

(14) A description of starting, timing, photo finish, and photo-patrol or video equipment, including the provider if known.

(15) A description of work areas for the commission members, officers, employees, and agents.

(16) A description of access of the facility to public transportation, specifics of the type of transportation and schedules, road maps of area indicating pick-up and drop-off points.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-050, filed 6/16/86.]

#### **WAC 260-13-060 Disclosure of development process.**

An applicant for a Class A license must disclose with regard to development of its horse racing facility:

(1) The total cost of construction of the facility, distinguishing between fixed costs and projections.

(2) Separate identification of the following costs, distinguishing between fixed costs and projections:

(a) Facility design;

(b) Land acquisition;

(c) Site preparation;

(d) Improvements and equipment, separately identifying the costs of WAC 260-13-050 (4) through (15) and other categories of improvements and equipment;

(e) Interim financing;

(f) Permanent financing;

(g) Organization, administrative, accounting, and legal.

(3) Documentation of fixed costs.

(4) The schedule for construction of the facility, including estimated completion date.

(5) Schematic drawings.

(6) Copies of any contracts with and performance bonds from the:

(a) Architect or other design professional;

(b) Project engineer;

(c) Construction engineer;

(d) Contractors and subcontractors; and

(e) Equipment procurement personnel.

(7) Whether the site has been acquired or leased by applicant. If so, the applicant must provide the documentation. If not, the applicant must disclose what actions the applicant must take in order to use the site.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-060, filed 6/16/86.]

#### **WAC 260-13-070 Disclosure of financial resources.**

An applicant for a Class A license must disclose the following with regard to financial resources:

(1) An audited financial statement reflecting the applicant's current assets, including investments in affiliated entities, loans and advances receivable and fixed assets and current liabilities, including loans and advances payable, long-term debt and equity.

(2) Equity and debt sources of funds to develop, own, and operate the horse racing facility:

(a) With respect to each source of equity contribution, identification of the source, amount, form, method of payment, nature and amount of present commitment, documentation and actions which the applicant will take to obtain more certain commitments and commitments for additional amounts; and

(b) With respect to each source of debt contribution, identification of the source, amount, terms of debt, collateral, identity of guarantors, nature and amount of commitments, documentation and actions which the applicant will take to obtain more certain commitments and commitments for additional amounts.

(3) Identification and description of sources of additional funds if needed due to cost overruns, nonreceipt of expected equity or debt funds, failure to achieve projected revenues or other cause.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-070, filed 6/16/86.]

#### **WAC 260-13-080 Disclosure of financial plan.**

An applicant for a Class A license must disclose with regard to

its financial plan the financial projections for the development period and each of the first five racing years, with separate schedules based upon the number of racing days and types of parimutuel betting the applicant requires to break even and the optimum number of racing and types of betting applicant seeks each year. The commission will utilize financial projections in deciding whether to issue Class A licenses. Neither acceptance of a license application nor issuance of a license shall bind the commission as to matters within its discretion, including, but not limited to, assignment of racing days and designation of types of permissible parimutuel pools. The disclosure must include:

- (1) The following assumptions and support for them:
  - (a) The average daily attendance;
  - (b) Average daily per capita handle and average bet;
  - (c) Retainage;
  - (d) Admissions to track, including ticket prices and free admissions;
  - (e) Parking volume, fees, and revenues;
  - (f) Concessions, gift shop, and program sales;
  - (g) Cost of purses;
  - (h) Parimutuel expense;
  - (i) State taxes;
  - (j) Real estate taxes;
  - (k) Breeder fund;
  - (l) Payroll;
  - (m) Operating supplies and services;
  - (n) Utilities;
  - (o) Repairs and maintenance;
  - (p) Insurance;
  - (q) Travel expense;
  - (r) Membership expense;
  - (s) Security expense;
  - (t) Legal and audit expense;
  - (u) Debt service; and
  - (v) Federal taxes;
- (2) The following profit and loss elements:
  - (a) Total revenue, including projected revenues from retainage and breakage, admissions, parking, and concessions, gift, and program operations;
  - (b) Total operating expenses, including anticipated expenses for:
    - (i) Purses;
    - (ii) Parimutuel;
    - (iii) Sales tax;
    - (iv) Breakage to state;
    - (v) Real estate tax;
    - (vi) Admissions tax;
    - (vii) Breeder fund;
    - (viii) Special assessments;
    - (ix) Cost of concession goods, gifts, and programs;
    - (x) Advertising and promotion;
    - (xi) Payroll;
    - (xii) Operating supplies and service;
    - (xiii) Maintenance and repairs;
    - (xiv) Insurance;
    - (xv) Security;
    - (xvi) Legal and audit; and
    - (xvii) Federal and state income taxes.

(c) Nonoperating expenses, including anticipated expenses for debt service, facility depreciation and identification of method used, and equipment depreciation and identification of method used;

(3) Projected cash flow, including assessment of:

(a) Income, including equity contributions, debt contributions, interest income, operating revenue; and

(b) Disbursements, including land, improvements, equipment, debt service, operating expense, organizational expense; and

(4) Projected balance sheets as of the end of the development period and each of the five racing years setting forth:

(a) Current, fixed, and other noncurrent assets;

(b) Current and long-term liabilities; and

(c) Capital accounts.

The applicant must also disclose an accountant's review report of the financial projections.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-080, filed 6/16/86.]

**WAC 260-13-090 Disclosure of governmental actions.** An applicant for a Class A license must disclose with regard to actions of government agencies:

(1) The street and highway improvements necessary to ensure adequate access to applicant's horse racing facility, and the cost of improvements, status, likelihood of completion, and estimated date.

(2) The sewer, water, and other public utility improvements necessary to serve applicant's facility, and the cost of improvements, status, likelihood of completion, and estimated date.

(3) If applicant has obtained any required government approvals for its development, ownership, and operation of its horse racing facility;

(a) A description of the approval, unit of government, date, and documentation.

(b) Whether public hearings were held. If they were, the applicant must disclose when and where the hearings were conducted. If they were not held, the applicant must disclose why they were not held.

(c) Whether the unit of government attached any conditions to approval. If so, the applicant must disclose these conditions, including documentation.

(4) Whether any required governmental approvals remain to be obtained, as well as a description of the approval, unit of government, status, likelihood of approval, and estimated date.

(5) Whether an environmental assessment of the facility has been or will be prepared. If so, the applicant must disclose its status and the governmental unit with jurisdiction, and provide a copy of any assessment.

(6) Whether an environmental impact statement is required for applicant's facility. If so, the applicant must disclose its status and the governmental unit with jurisdiction, and provide a copy of any statement.

(7) Whether the applicant is in compliance with all statutes, charter provisions, ordinances, and regulations pertaining to the development, ownership, and operation of its horse racing facility. If the applicant is not in compliance, the appli-

cant must disclose the reasons why the applicant is not in compliance.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-090, filed 6/16/86.]

**WAC 260-13-100 Disclosure of management.** An applicant for a Class A license must disclose with regard to the development, ownership, and operation of its parimutuel horse racing facility:

(1) A description of the applicant's management plan, with budget and identification of management personnel by function, job descriptions, and qualifications for each management position, and a copy of the organization chart;

(2) Management personnel to the extent known with respect to each:

(a) Legal name, aliases, and previous names;

(b) Current residence and business addresses and telephone numbers;

(c) Qualifications and experience in the following areas:

(i) General business;

(ii) Real estate development;

(iii) Construction;

(iv) Marketing, promotion, and advertising;

(v) Finance and accounting;

(vi) Horse racing;

(vii) Parimutuel betting;

(viii) Security; and

(ix) Human and animal health and safety; and

(d) Description of the terms and conditions of employment and a copy of the agreement;

(3) Consultants and other contractors who have provided or will provide management-related services to applicant to extent known and with respect to each:

(a) Full name;

(b) Current address and telephone number;

(c) Nature of services;

(d) Qualifications and experience;

(e) Description of terms and conditions of any contractor's agreement, and a copy of the agreement;

(4) Memberships of the applicant, management personnel, and consultants [in] [on] horse racing organizations;

(5) Description of the applicant's security plan, including:

(a) Number of deployment of security personnel used by [the] applicant during a race meeting, security staff levels, and deployment at other times;

(b) Specific security plans for perimeter, stabling facilities, parimutuel betting facilities, purses and cash room;

(c) Specific plans to discover persons at the horse racing facility who have been convicted of a felony, had a license suspended, revoked, or denied by the commission or by the horse racing authority of another jurisdiction or are a threat to the integrity of [a] racing in Washington;

(d) Description of video monitoring equipment and its use;

(e) Whether the applicant will be a member of the Thoroughbred Racing Protective Bureau or other security organization; and

(f) Coordination of security with law enforcement agencies;

(6) Description of the applicant's plans for human and animal health and safety, including emergencies;

(7) Description of the applicant's marketing, promotion, and advertising plans;

(8) A description of the applicant's plan for concessions, including whether the licensee will operate concessions and, if not, who will, to the extent known;

(9) A description of training of the applicant's personnel;

(10) A description of plans for compliance with all laws pertaining to discrimination, equal employment, and affirmative action; policies regarding recruitment, use, and advancement of minorities; policies with respect to minority contracting; a copy of equal employment opportunity statement and policy of the applicant dated and signed by chief executive officer; and a copy of affirmative action policy and procedures dated and signed; and identification of the affirmative action officer, including name, title, address, and telephone number.; and

(11) A description of the applicant's plan for conduct of horse racing, including types of racing, number of days, weeks, specific dates, number of races per day, time of day, and special events;

(12) A description of the applicant's plan for purses, including total purses, formula, minimum, stakes races, and purse handling procedures;

(13) A description of the applicant's plan for parimutuel betting, including, number of line divisions, windows, selling machines and clerks; use or duties of each; and accounting procedures, including its proposed system of internal audit and supervisory controls.

[Statutory Authority: RCW 67.16.040. 92-17-002, § 260-13-100, filed 8/5/92, effective 9/5/92. Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-100, filed 6/16/86.]

**Reviser's note:** RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.

**WAC 260-13-110 Disclosure of public service.** An applicant for a Class A license must disclose its plans for promotion of the orderly growth of horse racing in Washington and education of the public with respect to horse racing and parimutuel betting.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-110, filed 6/16/86.]

**WAC 260-13-120 Disclosure of impact of facility.** An applicant for a Class A license must disclose the impact of its horse racing facility, including:

(1) Economic impact, including:

(a) Employment created and specifics as to number of jobs, whether permanent or temporary, type of work, compensation, employer, and how created;

(b) Purchases of goods and services and specifics as to money amounts and types of purchases;

(c) Public and private investment; and

(d) Tax revenues generated;

(2) Ecological impact;

(3) Impact on energy conservation and development of alternative energy sources; and



## (4) Social impact.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-120, filed 6/16/86.]

**WAC 260-13-130 Disclosure of public support and opposition.** An applicant for a Class A license must disclose public support and opposition, whether by a governmental official or agency or private individual or group and must supply documentation.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-130, filed 6/16/86.]

**WAC 260-13-140 Effects on competition.** An applicant for a Class A license must disclose the effects of its ownership and operation of its horse racing facility on competitors within the horse racing industry. This disclosure must analyze the impact on all other existing race tracks in Washington at the time and the racing dates are projected to commence.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-140, filed 6/16/86.]

**WAC 260-13-150 Disclosure of assistance in preparation of application.** An applicant for a Class A license must disclose the names, addresses, and telephone numbers of individuals who assisted applicant in preparation of its application.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-150, filed 6/16/86.]

**WAC 260-13-160 Personal information and authorization for release.** In an application for a Class A license the applicant must make its best effort, as defined above to include the following with respect to each individual identified as an applicant, partner, director, officer, other policy-maker, or holder of a direct or indirect record or beneficial ownership interest or other voting interest or control of one percent or more in the applicant and each individual identified pursuant to WAC 260-13-030.

(1) Full name, business and residence addresses and telephone numbers, last five residence addresses, date of birth, place of birth, Social Security number, if the individual is willing to provide it, and two references; and

(2) An authorization for release of personal information, on a form prepared by the commission, signed by the individual and providing that he or she:

(a) Authorizes a review by and full disclosure to an agent of the Washington state patrol of all records concerning the individual, whether the records are public, nonpublic, private, or confidential;

(b) Recognizes the information reviewed or disclosed may be used by the state of Washington, its employers, the commission, members, staff and agents to determine the signer's qualifications for a Class A license; and

(c) Releases authorized providers and users of the information from any liability under state or federal data privacy law.

(3) This rule will not apply to information that properly comes within privileges recognized by the law such as between attorney and client.

(1999 Ed.)

[Statutory Authority: RCW 67.16.020, 67.16.040 and 67.16.075. 86-21-081 (Resolution No. 86-04), § 260-13-160, filed 10/16/86. Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-160, filed 6/16/86.]

**WAC 260-13-170 Class A license criteria.** The commission may refuse to issue a Class A license to conduct a race meeting when in its judgment such refusal shall appear to be for the best interest of legitimate racing and of the public. In making this determination, the commission must consider the following factors and indices:

(1) The integrity of the applicant, its partners, directors, officers, policymakers, managers, and holders of ownership or other voting interests or control, including:

(a) Criminal record;

(b) Involvement in litigation over business practices;

(c) Involvement in disciplinary actions over a business license or permit or refusal to renew a license or permit;

(d) Involvement in proceedings in which unfair labor practices, discrimination, or government regulation of horse racing or gambling was an issue;

(e) Involvement in bankruptcy proceedings;

(f) Failure to satisfy judgments, orders, or decrees;

(g) Delinquency in filing of tax reports or remitting taxes; and

(h) Any other indices related to integrity which the commission deems crucial to its decision making as long as the same indices are considered with regard to all applicants;

(2) The types and variety of parimutuel horse racing which applicant will offer;

(3) The quality of physical improvements and equipment in applicant's facility, including:

(a) Racetrack or tracks;

(b) Stabling;

(c) Grandstand;

(d) Detention barn;

(e) Paddock;

(f) Jockeys' and drivers' quarters;

(g) Parimutuel tote;

(h) Parking;

(i) Access by road and public transportation;

(j) Perimeter fence;

(k) Other security improvements and equipment;

(l) Starting, timing, photo finish, and photo-patrol or video equipment;

(m) Commission work areas; and

(n) Any other indices related to quality which the commission deems crucial to its decision making as long as the same indices are considered with regard to all applicants;

(4) Imminence of completion of facility;

(5) Financial ability to develop, own, and operate a parimutuel horse racing facility successfully, including:

(a) Ownership and control structure;

(b) Amounts and reliability of development costs;

(c) Certainty of site acquisition or lease;

(d) Current financial condition;

(e) Sources of equity and debt funds, amounts, terms and conditions and certainty of commitment;

(f) Provision for cost overruns, nonreceipt of expected equity or debt funds, failure to achieve projected revenues or other financial adversity;

- (g) Feasibility of financial plan; and
- (h) Any other indices related to financial ability which the commission deems crucial to its decision making as long as the same indices are considered with regard to all applicants;
- (6) Status of governmental actions required by the applicant's facility, including:
  - (a) Necessary road improvements;
  - (b) Necessary public utility improvements;
  - (c) Required governmental approvals for development, ownership, and operation of the facility;
  - (d) Acceptance of any required environmental assessment and preparation of any required environmental impact statement; and
  - (e) Any other indices related to status of governmental actions which the commission deems crucial to its decision making as long as the same indices are considered with regard to all applicants;
- (7) Management ability of the applicant, including:
  - (a) Qualifications of managers, consultants, and other contractors to develop, own, and operate a parimutuel horse racing facility;
  - (b) Security plan;
  - (c) Plans for human and animal health and safety;
  - (d) Marketing, promotion, advertising plans;
  - (e) Concessions plan;
  - (f) Plan for training personnel;
  - (g) Equal employment and affirmative action plans; and
  - (h) Any other indices related to management ability which the commission deems crucial to its decision making as long as the same indices are considered with regard to all applicants;
- (8) Compliance with applicable statutes, charters, ordinances, or regulations;
- (9) Efforts to promote orderly growth or horse racing in Washington and educate public with respect to horse racing and parimutuel betting;
- (10) Impact of facility, including:
  - (a) Economic impact, including employment created, purchases of goods and services, public and private investment and taxes generated;
  - (b) Ecological impact;
  - (c) Impact on energy conservation and development of alternative energy sources;
  - (d) Social impact;
  - (e) Costs of public improvements; and
  - (f) Any other indices related to impact which the commission deems crucial to its decision making as long as the same indices are considered with regard to all applicants;
- (11) Extent of public support and opposition; and
- (12) Effects on competition, with existing tracks, including:
  - (a) Number, nature, and relative location of other Class A licenses;
  - (b) Minimum and optimum number of racing days sought by the applicant; and
  - (c) Any other indices of the impact of competition which the commission deems crucial to decision making as long as the same indices are considered with regard to all applicants.

The commission also must consider any other information which the applicant discloses and is relevant and helpful to a proper determination by the commission.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-170, filed 6/16/86.]

### CLASS B LICENSE

**WAC 260-13-175 Definition of "applicant."** In all rules relating to applications of Class B licenses the term "applicant" shall mean lessee. As used in WAC 260-13-180; 260-13-200; 260-13-210; 260-13-230; 260-13-240; 260-13-320; 260-13-330; 260-13-350; 260-13-360; and 260-13-440, for all new track facilities constructed after January 1, 1991, the term "applicant" shall mean both lessee and lessor.

[Statutory Authority: RCW 67.16.040. 92-17-002, § 260-13-175, filed 8/5/92, effective 9/5/92.]

**WAC 260-13-180 Identification of applicant for Class B license.** An application for a Class B license must include, on a form prepared by the commission, the name, address, and telephone number of the applicant, and the name, position, address, telephone number, and authorized signature of an individual to whom the commission may make inquiry.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-180, filed 6/16/86.]

**WAC 260-13-190 Applicant's affidavit.** An application for a Class B license must include, on a form prepared by the commission, an affidavit of the chief executive officer of or a major financial participant in the applicant setting forth:

(1) That application is made for a Class B license to sponsor and manage horse racing on which parimutuel betting is conducted. The Class B license is granted directly to a licensee who will have complete control over the horse racing but who does not retain ownership of the facility itself as in a Class A license.

(2) That affiant is the agent of the applicant, its owners, partners, members, directors, officers, and personnel and is duly authorized to make the representations in the application on their behalf. Documentation of the authority must be attached.

(3) That the applicant seeks a grant of a privilege from the state of Washington, and the burden of proving the applicant's qualifications rests at all times with the applicant.

(4) That the applicant consents to inquiries by the state of Washington, its employees, the commission, members, staff, agents into the financial, character, and other qualifications of the applicant by contacting individuals and organizations.

(5) That the applicant, its owners, partners, members, directors, officers, and personnel accept any risk of adverse public notice, embarrassment, criticism, or other circumstance, including financial loss, which may result from action with respect to the application and expressly waive any claim which otherwise could be made against the state of Washington, its employees, the commission, staff, or agents.

(6) That affiant has read the applicant's identification and disclosures and knows the contents; the contents are true to affiant's own knowledge, except matters therein stated on

information and belief; as to those matters, affiant believes them to be true.

(7) That the applicant recognizes all representations in the application are binding on it, and false or misleading information in the application, omission of required information, or significant deviation from representations in the application may result in denial, revocation, or suspension of a license or imposition of a fine.

(8) That the applicant will comply with chapter 67.16 RCW and all rules of the commission.

(9) Affiant's signature, name, organization, position, address, and telephone number.

(10) The date.

[Statutory Authority: RCW 67.16.020, 67.16.040 and 67.16.075. 86-21-081 (Resolution No. 86-04), § 260-13-190, filed 10/16/86. Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-190, filed 6/16/86.]

**WAC 260-13-200 Disclosure of ownership and control.** An applicant for a Class B license must disclose:

(1) The type of organizational structure of the applicant, whether individual, business corporation, nonprofit corporation, partnership, joint venture, trust, association, or other entity.

(2) If the applicant is an individual, the applicant's full legal name, whether the applicant is a United States citizen, any aliases and business names currently used by the applicant, and copies of state and federal tax returns for the past five years.

(3) If the applicant is a corporation:

(a) The applicant's full corporate name and any trade names currently used by the applicant.

(b) The jurisdiction and date of incorporation.

(c) The date the applicant commenced doing business in Washington and, if the applicant is incorporated outside Washington, a copy of the applicant's certificate of authority to do business in Washington.

(d) Copies of the applicant's articles of incorporation, bylaws, and state and federal corporate tax returns for the past five years.

(e) The general nature of the applicant's business.

(f) Whether the applicant is publicly held as defined by the rules and regulations of the securities and exchange commission.

(g) Classes of stock of the applicant. As to each class, the number of shares authorized, number issued, number outstanding, par value per share, issue price, current market price, number of shareholders, terms, position, rights, and privileges must be disclosed.

(h) If the applicant has any other obligations or securities authorized or outstanding which bear voting rights either absolutely or upon any contingency, the nature thereof, face or par value, number of units authorized, number outstanding, and conditions under which they may be voted.

(i) The names, in alphabetical order, addresses and telephone numbers of the directors and, in a separate listing, officers of the applicant. As to each director and officer, the number of shares held of record as of the application date or beneficially of each class of stock, including stock options and subscriptions, and units held of record or beneficially of

other obligations or securities which bear voting rights must be disclosed.

(j) The names, in alphabetical order, addresses and telephone numbers of each record holder as of the date of application or beneficial owner of shares, including stock options and subscriptions, of the applicant or units of other obligations or securities which bear voting rights. As to each holder of shares or units, the number and class or type of shares or units must be disclosed.

(k) Whether the requirements of the Securities Act of 1933 and Securities and Exchange Act of 1934, as amended, and securities and exchange commission rules and regulations have been met in connection with issuance of applicant's securities, and copies of most recent registration statement and annual report filed with the securities and exchange commission.

(l) Whether the securities registration and filing requirements of the applicant's jurisdiction of incorporation have been met, and a copy of most recent registration statement filed with the securities regulator in that jurisdiction.

(m) Whether the securities registration and filing requirements of the state of Washington have been met. If they have not, the applicant must disclose the reasons why. The applicant must provide copies of all securities filing with the Washington department of licensing securities division during the past five years.

(4) If the applicant is an organization other than a corporation:

(a) The applicant's full name and any trade names currently used by the applicant.

(b) Jurisdiction of organization of the applicant.

(c) Date the applicant commenced doing business in Washington.

(d) Copies of any agreements creating or governing the applicant's organization and the applicant's state and federal tax returns for the past five years.

(e) The general nature of the applicant's business.

(f) Names, in alphabetical order, addresses, and telephone numbers of any partners and officers of the applicant and other persons who have or share policymaking authority. As to each, the applicant must disclose the nature and extent of any ownership interest, including options, or other voting interest, whether absolute or contingent, in the applicant.

(g) Names, in alphabetical order, addresses and telephone numbers of any individual or other entity holding a record or beneficial ownership interest, including options, as of the date of the application or other voting interest, whether absolute or contingent, in the applicant. As to each, the applicant must disclose the nature and extent of the interest.

(5) If a nonindividual record or beneficial holder of an ownership or other voting interest of one percent or more in the applicant is identified pursuant to subsection (3)(i) or (j), or (4)(f) or (g) of this section, the applicant must make its best effort, as defined above, to disclose the information required by those clauses as to record or beneficial holders of an ownership or other voting interest of one percent or more in that nonindividual holder. The disclosure required by those clauses must be repeated, in turn, until all indirect individual record and beneficial holders of ownership or other voting interests in applicant are so identified.

(6) Whether the applicant is directly or indirectly controlled to any extent or in any manner by another individual or entity. If so, the applicant must disclose the identity of the controlling entity and a description of the nature and extent of control.

(7) Any agreements or understandings which the applicant or any individual or entity identified pursuant to this part has entered into regarding applicant's sponsorship or management of horse racing, and copies of any written agreements.

(8) Any agreements or understanding which the applicant has entered into for the payment of fees, rents, salaries, or other compensation by the applicant, and copies of any written agreements.

(9) Whether the applicant, any partner, director, officer, other policymaker, holder of a direct or indirect record or beneficial ownership interest or other voting interest or control of one percent or more in the applicant has held or holds a license or permit issued by a governmental authority to own and operate a horse racing facility or conduct any aspect of horse racing or gambling. If so, the applicant must disclose the identity of the license or permit holder, nature of the license or permit, issuing authority, and dates of issuance and termination.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-200, filed 6/16/86.]

**WAC 260-13-210 Disclosure of character information.** An applicant for a Class B license must make its best effort, as defined above, to disclose whether the applicant or any individual or other entity identified in WAC 260-13-200 (2) and (3) has:

(1) Been charged in a criminal proceeding with a felony or fraud, misrepresentation, theft, larceny, embezzlement, tax evasion, robbery, burglary, bribery, extortion, jury tampering, obstruction of justice, perjury, an antitrust violation, or conspiracy to commit any of the foregoing. If so, the applicant must disclose the date charged, court, whether convicted, date convicted, crime convicted of, and sentence.

(2) Been a party in a civil proceeding and alleged to have engaged in an unfair or anticompetitive business practice, a securities violation, or false or misleading advertising. If so, the applicant must disclose the date of commencement, court, circumstances, date of decision, and result.

(3) Had a horse racing, gambling, or other business license or permit revoked or suspended or renewal denied or been a party in a proceeding to do so. If so, the applicant must disclose the date of commencement, forum, circumstances, date of decision, and result.

(4) Been accused in an administrative or judicial proceeding of violation of a statute or rule relating to unfair labor practices, discrimination, horse racing, or gambling. If so, the applicant must disclose the date of commencement, forum, circumstances, date of decision, and result.

(5) Commenced an administrative or judicial action against a governmental regulator of horse racing or gambling. If so, the applicant must disclose the date of commencement, forum, circumstances, date of decision, and result.

(6) Been the subject of voluntary or involuntary bankruptcy proceedings. If so, the applicant must disclose the date

of commencement, forum, circumstances, date of decision, and result.

(7) Failed to satisfy any judgment, decree, or order of an administrative or judicial tribunal. If so, the applicant must disclose the date and circumstances.

(8) Been delinquent in filing a tax report required or remitting a tax imposed by any government. If so, the applicant must disclose the date and circumstances.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-210, filed 6/16/86.]

**WAC 260-13-220 Disclosure of improvements and equipment.** An application for a Class B license must disclose with respect to the facility at which it will sponsor and manage parimutuel horse racing:

(1) The address of the facility at which the applicant will sponsor and manage horse racing, size, and geographical location, including reference to county and municipal boundaries.

(2) A site map which reflects current and proposed highways and streets adjacent to the facility.

(3) The types of racing for which the facility is designed, whether thoroughbred, harness, quarter horse, or other.

(4) Racetrack dimensions by circumference, width, banking, location of chutes, length of stretch, distance from judges' stand to first turn and type of surface. If the facility has more than one racetrack, the applicant must provide a description of each.

(5) A description of horse stalls at the facility, giving the dimensions of stalls, separation, location, and total number of stalls.

(6) A description of the grandstand, giving total seating capacity, total reserved seating capacity, indoor and outdoor seating capacity, configuration of grandstand seating and parimutuel and concession facilities within the grandstand; the number and location of men's and women's restrooms, drinking fountains, and medical facilities available to patrons; and a description of public pedestrian traffic patterns throughout the grandstand.

(7) A description of the detention barn, giving distance from detention barn to track and paddock, number of sampling stalls, placement of viewing ports on each stall, location of post-mortem floor, number of wash stalls with hot and cold water and drains and availability of video monitors; and a description of the walking ring.

(8) A description of the paddock, number of stalls in the paddock, height from the floor to lowest point of the stall ceiling and entrance, and paddock public address and telephone services.

(9) A description of the jockeys' and drivers' quarters, giving changing areas, a listing of equipment to be installed in each, and the location of the jockeys' quarters in relation to the paddock.

(10) A description of the parimutuel tote, giving approximate location of bettors' windows and cash security areas; and a description of the equipment, including the provider if known.

(11) A description of the parking, giving detailed attention to access to parking from surrounding streets and highways, number of parking spaces available, distinguishing

between public and other; a description of the road surface on parking areas and the distance between parking and the grandstand; and a road map of the area showing the relationship of parking to surrounding streets and highways.

(12) A description of the height, type of construction, and materials of perimeter fence; whether the perimeter fence is topped by a barbed wire apron at least two feet wide and directed outward at a forty-five degree angle; and whether there is a clear zone at least four feet wide around the outside of the entire perimeter fence.

(13) A description of improvements and equipment at the racetrack for security purposes in addition to perimeter fence, including the provider of equipment if known.

(14) A description of starting, timing, photo finish, and photo-patrol or video equipment, including the provider if known.

(15) A description of work areas for the commission members, officers, employees, and agents.

(16) A description of access of the facility to public transportation, specifics of types of transportation and schedules; and a road map of area indicating pick-up and drop-off points.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 86-13-056 (Order 86-03), § 260-13-220, filed 6/16/86.]

**WAC 260-13-230 Disclosure of authorization to use horse racing facility.** An applicant for a Class B license must disclose the terms and conditions of the lease or other agreement authorizing the applicant to sponsor and manage parimutuel horse racing at a licensed facility and provide a copy of the agreement.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 86-13-056 (Order 86-03), § 260-13-230, filed 6/16/86.]

**WAC 260-13-240 Disclosure of financial resources.** An applicant for a Class B license must disclose the following with regard to financial resources:

(1) An audited financial statement reflecting the applicant's current assets, including investments in affiliated entities, loans and advances receivable and fixed assets and current liabilities, including loans and advances payable, long-term debt and equity;

(2) Equity and debt sources of funds to sponsor and manage horse racing:

(a) With respect to each source of equity contribution, identification of the source, amount, form, method of payment, nature and amount of present commitment, documentation and actions which the applicant will take to obtain more certain commitments and commitments for additional amounts;

(b) With respect to each source of debt contribution, identification of the source, amount, terms of debt, collateral, identity of guarantors, nature and amount of commitments, documentation and actions which the applicant will take to obtain more certain commitments and commitments for additional amounts; and

(3) Identification and description of sources of additional funds if needed due to cost overruns, nonreceipt of expected equity or debt funds, failure to achieve projected revenues or other cause.

(1999 Ed.)

[Statutory Authority: RCW 67.16.020 and 67.16.040, 86-13-056 (Order 86-03), § 260-13-240, filed 6/16/86.]

**WAC 260-13-250 Disclosure of financial plan.** An applicant for a Class B license must disclose with regard to its financial plan the financial projections for any development period in each of the first or next three racing years, with separate schedules based upon the number of racing days and types of parimutuel betting the applicant requires to break even and the optimum number of racing and types of betting applicant seeks each year. The commission will utilize financial projections in deciding whether to issue Class B licenses. Neither acceptance of a license application nor issuance of a license shall bind the commission as to matters within its discretion, including, but not limited to, assignment of racing days and designation of types of permissible parimutuel pools. The disclosure must include:

- (1) The following assumptions and support for them:
  - (a) The average daily attendance;
  - (b) Average daily per capita handle and average bet;
  - (c) Retainage;
  - (d) Admissions to track, including ticket prices and free admissions;
  - (e) Parking volume, fees, and revenues;
  - (f) Concessions, gift shop, and program sales;
  - (g) Cost of purses;
  - (h) Parimutuel expense;
  - (i) State taxes;
  - (j) Real estate taxes;
  - (k) Breeder fund;
  - (l) Payroll;
  - (m) Operating supplies and services;
  - (n) Utilities;
  - (o) Repairs and maintenance;
  - (p) Insurance;
  - (q) Travel expense;
  - (r) Membership expense;
  - (s) Security expense;
  - (t) Legal and audit expense;
  - (u) Debt service; and
  - (v) Federal taxes;
- (2) The following profit and loss elements:
  - (a) Total revenue, including projected revenues from retainage and breakage, admissions, parking, and concessions, gift, and program operations;
  - (b) Total operating expenses, including anticipated expenses for:
    - (i) Purses;
    - (ii) Parimutuel;
    - (iii) Sales tax;
    - (iv) Breakage to state;
    - (v) Real estate tax;
    - (vi) Admissions tax;
    - (vii) Breeder fund;
    - (viii) Special assessments;
    - (ix) Cost of concession goods, gifts, and programs;
    - (x) Advertising and promotion;
    - (xi) Payroll;
    - (xii) Operating supplies and service;
    - (xiii) Maintenance and repairs;

- (xiv) Insurance;
- (xv) Security;
- (xvi) Legal and audit; and
- (xvii) Federal and state income taxes.

(c) Nonoperating expenses, including anticipated expenses for debt service, facility depreciation and identification of method used, and equipment depreciation and identification of method used;

(3) Projected cash flow, including assessment of:

(a) Income, including equity contributions, debt contributions, interest income, operating revenue; and

(b) Disbursements, including land, improvements, equipment, debt service, operating expense, organizational expense; and

(4) Projected balance sheets as of the end of the development period and three racing years setting forth current, fixed, and other noncurrent assets; current and long-term liabilities; and capital accounts.

An applicant must also disclose an accountant's review report of the financial projections.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-250, filed 6/16/86.]

**WAC 260-13-260 Disclosure of governmental actions.** An applicant for a Class B license must disclose with regard to actions of government agencies:

(1) If the applicant has obtained any required government approvals for its management and sponsorship of horse racing:

(a) A description of the approval, unit of government and date, and documentation.

(b) Whether public hearings were held. If they were, the applicant must disclose when and where the hearings were conducted. If they were not held, the applicant must disclose why they were not held.

(c) Whether the unit of government attached any conditions to approval. If so, the applicant must disclose these conditions, including documentation.

(2) Whether any required governmental approvals remain to be obtained, as well as a description of the approval, unit of government, status, likelihood of approval, and estimated date.

(3) Whether the applicant is in compliance with all statutes, charter provisions, ordinances, and regulations pertaining to the sponsorship and management of horse racing. If the applicant is not in compliance, the applicant must disclose the reasons why the applicant is not in compliance.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-260, filed 6/16/86.]

**WAC 260-13-270 Disclosure of management.** An applicant for a Class B license must disclose with regard to its management of parimutuel horse racing:

(1) A description of the applicant's management plan, with budget and identification of management personnel by function; job descriptions and qualifications for each management position; and a copy of the organization chart;

(2) Management personnel to the extent known with respect to each:

- (a) Legal name, aliases, and previous names;

(b) Current residence and business addresses and telephone numbers;

(c) Qualifications and experience in the following areas:

(i) General business;

(ii) Marketing, promotion, and advertising;

(iii) Finance and accounting;

(iv) Horse racing;

(v) Parimutuel betting;

(vi) Security;

(vii) Human and animal health and safety; and

(d) Description of the terms and conditions of employment, and a copy of the agreement;

(3) Consultants and other contractors to extent known who have provided or will provide management-related services to applicant and with respect to each:

(a) Full name;

(b) Current address and telephone number;

(c) Nature of services;

(d) Qualifications and experience;

(e) Description of terms and conditions of any contractor's agreement, and a copy of the agreement;

(4) Memberships of the applicant, management personnel, and consultants in horse racing organizations;

(5) A description of the applicant's security plan, including:

(a) Number and deployment of security personnel used by applicant during a race meeting; security staff levels; and deployment at other times;

(b) Specific security plans for perimeter, stabling facilities, parimutuel betting facilities, purses, and cash room;

(c) Specific plans to discover persons at the horse racing facility who have been convicted of a felony, had a license suspended, revoked, or denied by the commission or by the horse racing authority of another jurisdiction, or are a threat to the integrity of racing in Washington;

(d) Description of video monitoring equipment and its use;

(e) Whether the applicant will be a member of the Thoroughbred Racing Protective Bureau or other security organization; and

(f) Coordination of security with law enforcement agencies;

(6) A description of applicant's plans for human and animal health and safety, including emergencies;

(7) A description of the applicant's marketing, promotion, and advertising plans;

(8) A description of the applicant's plan for conduct of horse racing, including types of racing, number of days, weeks, specific dates, number of races per day, time of day, and special events;

(9) A description of applicant's plan for purses, including total purses, formula, minimum, stakes races, and purse-handling procedures;

(10) A description of the applicant's plan for parimutuel betting, including number of line divisions, windows, selling machines, and clerks; use or duties of each; and accounting procedures, including its proposed system of internal audit and supervisory controls;



(11) A description of the applicant's plan for concessions, including whether licensee will operate concessions and, if not, who will to the extent known;

(12) A description of training of the applicant's personnel; and

(13) A description of plans for compliance with all laws pertaining to discrimination, equal employment, and affirmative action; policies regarding recruitment, use, and advancement of minorities; policies with respect to minority contracting; a copy of equal employment opportunity statement and policy of the applicant dated and signed by chief executive officer; a copy of affirmative action policy and procedures dated and signed; and identification of the affirmative action officer, including name, title, address, and telephone number.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-270, filed 6/16/86.]

**WAC 260-13-280 Disclosure of public service.** An applicant for a Class B license must disclose its plans for promotion of the orderly growth of horse racing in Washington and education of the public with respect to horse racing and parimutuel betting.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-280, filed 6/16/86.]

**WAC 260-13-290 Disclosure of economic impact.** An applicant for a Class B license must disclose the economic impact of its sponsorship and management of horse racing, including:

(1) Employment created, including specifics as to number of jobs, permanent or temporary, type of work, compensation, employer, and how created;

(2) Purchases of goods and services, including specifics as to money amounts and types of purchases; and

(3) Tax revenues generated.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-290, filed 6/16/86.]

**WAC 260-13-300 Disclosure of public support and opposition.** An applicant for a Class B license must disclose public support and opposition, whether by a governmental official, agency, private individual, or group, and provide documentation.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-300, filed 6/16/86.]

**WAC 260-13-310 Effects on competition.** An applicant for a Class B license must disclose the effects of its sponsorship and management of horse racing on competitors within the horse racing industry.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-310, filed 6/16/86.]

**WAC 260-13-320 Disclosure of assistance in preparation of application.** An applicant for a Class B license must disclose the names, addresses, and telephone numbers of individuals who assisted applicant in preparation of its application.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-320, filed 6/16/86.]

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**WAC 260-13-330 Personal information and authorization for release.** In an application for a Class B license the applicant must make its best effort, as defined in WAC 260-13-030(5), to include the following with respect to each individual identified pursuant to WAC 260-13-200 as an applicant, partner, director, officer, other policymaker, or holder of a direct or indirect record or beneficial ownership interest or other voting interest or control of one percent or more in the applicant and each individual identified in WAC 260-13-200:

(1) Full name, business and residence addresses, and telephone numbers, last five residence addresses, date of birth, place of birth, Social Security number, if the individual is willing to provide it, and two references; and

(2) An authorization for release of personal information, on a form prepared by the commission, signed by the individual and providing that he or she:

(a) Authorizes a review by and full disclosure to an agent of the Washington state patrol of all records concerning the individual, whether the records are public, nonpublic, private, or confidential;

(b) Recognizes the information reviewed or disclosed may be used by the state of Washington, its employers, the commission, members, staff and agents to determine the signer's qualifications for a Class B license; and

(c) Releases authorized providers and users of the information from any liability under state or federal data privacy law.

(3) This rule will not apply to information that properly comes within privileges recognized by the law such as between attorney and client.

[Statutory Authority: RCW 67.16.020, 67.16.040 and 67.16.075. 86-21-081 (Resolution No. 86-04), § 260-13-330, filed 10/16/86. Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-330, filed 6/16/86.]

**WAC 260-13-340 Class B license criteria.** The commission may refuse to issue a Class B license to conduct a race meeting when in its judgment such refusal shall appear to be for the best interest of legitimate racing and of the public. In making this determination, the commission must consider the following factors and indices:

(1) The integrity of the applicant, its partners, directors, officers, policymakers, managers, and holders of ownership or other voting interests or control, including:

(a) Criminal records;

(b) Involvement in litigation over business practices;

(c) Involvement in disciplinary actions over a business license or permit or refusal to renew a license or permit;

(d) Involvement in proceedings in which unfair labor practices, discrimination, or government regulation of horse racing or gambling was an issue;

(e) Involvement in bankruptcy proceedings;

(f) Failure to satisfy judgments, orders, or decrees;

(g) Delinquency in filing of tax reports or remitting taxes;

(h) Any other indices related to integrity which the commission deems crucial to decision making as long as the same indices are considered with regard to all applicants;

(2) The types and variety of parimutuel horse racing which applicant will offer;

(3) The quality of physical improvements and equipment applicant will use, including:

- (a) Racetrack or tracks;
- (b) Stabling;
- (c) Grandstand;
- (d) Detention barn;
- (e) Paddock;
- (f) Jockeys' and drivers' quarters;
- (g) Parimutuel tote;
- (h) Parking;
- (i) Access by road and public transportation;
- (j) Perimeter fence;
- (k) Other security improvements and equipment;
- (l) Starting, timing, photo finish, and photo-patrol or video equipment;
- (m) Commission work areas; and
- (n) Any other indices related to quality which the commission deems crucial to decision making as long as the same indices are considered with regard to all applicants;
- (4) Financial ability to sponsor and manage parimutuel horse racing facility successfully, including:
  - (a) Ownership and control structure;
  - (b) Terms and conditions of the applicant's authorization to use facility;
  - (c) Current financial condition;
  - (d) Sources of equity and debt funds, amounts, terms and conditions, and certainty of commitment;
  - (e) Provisions for cost overruns, nonreceipt of expected equity or debt funds, failure to achieve projected revenues or other financial adversity;
  - (f) Feasibility of financial plan; and
  - (g) Any other indices related to financial ability which the commission deems crucial to its decision making as long as the same indices are considered with regard to all applicants;
- (5) Status of necessary government approvals and compliance with applicable statutes, charters, ordinances, and regulations;
- (6) Management ability of the applicant, including:
  - (a) Qualifications of managers, consultants, and other contractors manage parimutuel horse racing;
  - (b) Security plan;
  - (c) Plans for human and animal health and safety;
  - (d) Marketing, promotion, and advertising plans;
  - (e) Plan for conducting horse racing;
  - (f) Plan for purses;
  - (g) Plan for parimutuel betting;
  - (h) Concessions plan;
  - (i) Plan for training personnel;
  - (j) Equal employment and affirmative action plans; and
  - (k) Any other indices related to management which the commission deems crucial to its decision making as long as the same indices are considered with regard to all applicants;
- (7) Efforts to promote orderly growth of horse racing in Washington and educate public with respect to horse racing and parimutuel betting;
- (8) Economic impact, including employment, purchases, and taxes;
- (9) Extent of public support and opposition; and
- (10) Effects on competition, including:

(a) Number, nature, and relative location of other Class B licenses;

(b) Minimum and optimum number of racing days sought by the applicant; and

(c) Any other indices related to effects on competition which the commission deems crucial to decision making as long as the same indices are considered with regard to all applicants.

The commission also must consider any other information which the applicant discloses and is relevant and helpful to a proper determination by the commission.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-340, filed 6/16/86.]

#### CLASS A AND B LICENSES

**WAC 260-13-350 Class A and B license application disclosures.** An applicant for a Class A or B license in its disclosures must:

(1) Provide disclosures in printed or typewritten form on 8-1/2 by 11 inch paper. Immediately preceding each response, an applicant must restate what disclosure is sought. Any attachments or exhibits must be lettered or numbered separately. An applicant must provide photographs of any three-dimensional exhibits.

(2) Make its best effort, as defined above, to provide all information required to be disclosed.

(3) Provide only information relevant to disclosures requested by the commission.

(4) Upon request of the commission or its agents, provide copies of any documents used in the preparation of its application.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-350, filed 6/16/86.]

**WAC 260-13-360 Class A and B license application submission.** An applicant for a Class A or B license must submit to the executive secretary of the racing commission:

(1) All documents which are part of its application as a single assemblage; and

(2) A letter of transmittal to the commission and, in sealed envelopes, an original and twenty copies of the application.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 86-13-056 (Order 86-03), § 260-13-360, filed 6/16/86.]

**WAC 260-13-370 Investigation fee for Class A and B licenses.** An applicant for a Class A or B license must submit to the commission's designee at the time of application a certified check or bank draft to the order of the state of Washington in the amount of fifty thousand dollars to cover the costs of the investigation mandated by these rules. Upon completion of the investigation, the commission must refund promptly to the applicant any amount by which the fifty thousand dollars exceeds the actual costs of investigation. If costs of the investigation at any time exceed fifty thousand dollars, the applicant must remit the amount of the difference by certified check or bank draft within ten days after receipt of a bill from the commission. Should an applicant fail to pay additional amounts when billed, the commission shall suspend all

further action or investigation on the application until receipt of all monies due and owing. The commission may, at its discretion require an additional amount by way of deposit if necessary to complete its investigation. An individual or other entity applying for Class A and B licenses simultaneously must submit only one fifty thousand dollar investigation fee.

[Statutory Authority: RCW 67.16.040, 92-17-002, § 260-13-370, filed 8/5/92, effective 9/5/92. Statutory Authority: RCW 67.16.020 and 67.16.040, 86-13-056 (Order 86-03), § 260-13-370, filed 6/16/86.]

**WAC 260-13-380 Clarification of Class A and B license application requirements.** The commission must designate an individual who will clarify Class A and B license application requirements upon the oral or written request of a potential applicant. The designee must respond to clarification requests in writing within five days. No interpretation of application requirements by any other person will be binding upon the commission.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 86-13-056 (Order 86-03), § 260-13-380, filed 6/16/86.]

**WAC 260-13-390 Changes in Class A and B license applications.** The commission may only consider a substantive amendment to a Class A or B license application after its submission if such amendment is made at the direction of the commission and/or is deemed by the commission to be in the best interests of the racing industry.

[Statutory Authority: RCW 67.16.040, 92-17-002, § 260-13-390, filed 8/5/92, effective 9/5/92. Statutory Authority: RCW 67.16.020 and 67.16.040, 86-13-056 (Order 86-03), § 260-13-390, filed 6/16/86.]

**WAC 260-13-400 Deadlines for submission of Class A and B license applications.** Deadlines for submission of a Class A or B license application may be specified by the commission.

[Statutory Authority: RCW 67.16.040, 92-17-002, § 260-13-400, filed 8/5/92, effective 9/5/92. Statutory Authority: RCW 67.16.020 and 67.16.040, 86-13-056 (Order 86-03), § 260-13-400, filed 6/16/86.]

**WAC 260-13-410 Oral presentation by applicant for a Class A or B license.** The commission must provide an applicant for a Class A or B license an opportunity to make an oral presentation of its application to the commission before the commission decides whether to issue a license. This part does not require that the commission afford an applicant more than one opportunity to make an oral presentation before the commission makes its decision.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 86-13-056 (Order 86-03), § 260-13-410, filed 6/16/86.]

**WAC 260-13-420 Payment of Class A and B license fees.** A Class A or B license does not become effective until the commission receives a certified check or bank draft to the order of the state of Washington in the amount of the license fee as follows and is void if the license fee is not received within ten days after issuance.

(1) Nonrefundable fee of ten thousand dollars for a Class A license;

(2) A fee for a Class B license equal to one hundred dollars times the optimum number of racing days sought in the

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license application. The commission must refund promptly to the licensee any amount by which the fee paid exceeds one hundred dollars times the number of actual days of racing sponsored and managed by the licensee.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 86-13-056 (Order 86-03), § 260-13-420, filed 6/16/86.]

**WAC 260-13-430 Class A and B license application information.** False or misleading information in a Class A or B license application, omission of required information, or substantial deviation from representations in the application is cause for denial, revocation, or suspension of a license or imposition of a fine.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 86-13-056 (Order 86-03), § 260-13-430, filed 6/16/86.]

**WAC 260-13-440 Delay in completion of racetrack facility.** Failure of a Class A or B licensee to complete substantially the construction of its racetrack facility and installation of equipment within thirty days after the completion date stated in its license application is cause for revocation or suspension of the license, and the commission may impose a penalty of one thousand dollars on the licensee for each day of delay. The penalty does not apply if and to the extent the licensee proves that the delay arose out of causes beyond the control and without the fault or negligence of the licensee, its contractors and subcontractors. Such causes may include, but are not restricted to, acts of God or enemies of the United States, acts of government in either its sovereign or contractual capacity, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes, and unusually severe weather, but in every case the delay must be beyond the control and without fault or negligence of the licensee, its contractors and subcontractors. If the cause of delay is the default of a contractor or subcontractor and if the licensee proves the default arose out of causes beyond the control of the licensee, its contractors and subcontractors, the above penalty may not be imposed for the delay unless the supplies or services to be furnished by contractor or subcontractor were obtainable from other sources in sufficient time to permit the licensee to meet the completion date.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 86-13-056 (Order 86-03), § 260-13-440, filed 6/16/86.]

**WAC 260-13-450 Construction, expansion, extension, alteration, or remodeling of facilities.** No Class A or B licensee may construct, expand, extend, or alter, or remodel a racetrack facility at a cost in excess of ten thousand dollars without the approval of the commission. Failure to obtain approval is cause for revocation or suspension of a license or imposition of a fine.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 86-13-056 (Order 86-03), § 260-13-450, filed 6/16/86.]

**WAC 260-13-460 Identification of applicant for Class C license.** An application for a Class C license must include, on a form prepared by the commission, the name, address, telephone number of the applicant and the name, position, address, telephone number, and authorized signa-

ture of an individual to whom the commission may make inquiry.

[Statutory Authority: RCW 67.16.020, 67.16.040 and 67.16.075. 86-21-081 (Resolution No. 86-04), § 260-13-460, filed 10/16/86.]

**WAC 260-13-470 Applicant's affidavit.** An application for a Class C license must include, on a form prepared by the commission, an affidavit of the applicant or director of racing, setting forth:

(1) That application is made for a Class C license to sponsor and manage horse racing at which pari-mutuel betting is conducted. The Class C license is granted directly to the licensee who will have the responsibility for operating a nonprofit race meet or special fair meet.

(2) That affiant is the agent of the applicant, its owners, partners, members, directors, officers, and personnel and is duly authorized to make the representations in the application on their behalf. Documentation of the authority must be attached.

(3) That the applicant seeks a grant of a privilege from the state of Washington and the burden of proving the applicant's qualifications rests at all times with the applicant.

(4) That the applicant consents to inquiries by the state of Washington, its employees, the commission members, staff, and agents into the financial, character, and other qualifications of the applicant by contacting individuals and organizations.

(5) That the applicant, its owners, partners, members, directors, officers, and personnel accept any risk of adverse public notice, embarrassment, criticism, or other circumstance, including financial loss, which may result from action with respect to the application and expressly waive any claim which otherwise could be made against the state of Washington, its employees, the commission, staff, or agents.

(6) That affiant has read the applicant's identification and disclosures and knows the contents; the contents are true to affiant's own knowledge, except matters therein stated on information and belief; as to those matters, affiant believes them to be true.

(7) That the applicant recognizes all representations in the application are binding on it, and false or misleading information in the application, omission of required information or significant deviation from representations in the application may result in denial, revocation, or suspension of a license or imposition of a fine.

(8) That the applicant will comply with chapter 67.16 RCW and all rules of the commission.

(9) Affiant's signature, name, organization, position, address, and telephone number.

(10) The date.

[Statutory Authority: RCW 67.16.020, 67.16.040 and 67.16.075. 86-21-081 (Resolution No. 86-04), § 260-13-470, filed 10/16/86.]

**WAC 260-13-480 Other requirements for Class C license.** An application for a Class C license must include a short and concise statement providing the information required under WAC 260-13-030 through 260-13-160. If information of a more detailed nature is necessary for consideration of the application, a request for it will be made by the

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executive secretary of the commission and the request must be complied with.

[Statutory Authority: RCW 67.16.020, 67.16.040 and 67.16.075. 86-21-081 (Resolution No. 86-04), § 260-13-480, filed 10/16/86.]

**WAC 260-13-490 Class C license criteria.** The Class C criteria shall be the same as for Class A license or Class B license set forth in WAC 260-13-170 and 260-13-340.

[Statutory Authority: RCW 67.16.020, 67.16.040 and 67.16.075. 86-21-081 (Resolution No. 86-04), § 260-13-490, filed 10/16/86.]

## Chapter 260-14 WAC

### SPECIAL RULES RELATING TO COMMISSIONERS AND COMMISSION EMPLOYEES

#### WAC

260-14-010	Definitions.
260-14-020	Prohibited acts.
260-14-030	Ownership interest in associations.
260-14-040	Wagering.
260-14-050	Ownership interests in race horses.
260-14-060	Performance of compensated services on behalf of associations.
260-14-070	Violations.

**WAC 260-14-010 Definitions.** For the purposes of chapter 260-14 WAC, unless otherwise indicated by the context in which the term is used, the following terms shall have the meaning set forth herein:

(1) "Commissioner," shall mean any member of the Washington state horse racing commission, and any member of the immediate family of such commissioner.

(2) "Employee," shall mean any full or part time employee of the commission not normally engaged in direct regulatory functions. Included in such group are the executive secretary, Olympia office personnel, and registration clerks.

(3) "Regulatory employee," shall include all of the officials named in WAC 260-24-010 and any other employee compensated on a per race day basis or engaged in direct regulatory functions.

(4) "Thing of economic value," shall have the same meaning as that term has in chapter 42.18 RCW.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 83-19-054 (Order 83-04), § 260-14-010, filed 9/19/83; Order 73.3, § 260-14-010, filed 6/28/73.]

**WAC 260-14-020 Prohibited acts.** No commissioner, employee or regulatory employee shall accept any thing of economic value from any association except as set forth in these rules.

[Order 73.3, § 260-14-020, filed 6/28/73.]

**WAC 260-14-030 Ownership interest in associations.**

(1) No employee or commissioner during his term of office, shall acquire any ownership interest in any association which seeks race meet dates. Any ownership interest in any such association owned prior to such membership on the commission or employment by the commission shall be disposed of within thirty days of the time such employee or commissioner accepts employment or takes office unless the commissioner or employee elects to place such ownership in a trust for the duration of his term of office or employment. In

such case, said employee, or commissioner shall place such ownership interest in a trust approved by the commission, such trust to provide that any dividends or other profit distribution shall redound to the benefit of a charitable purpose approved by the commission, and that no ownership interest shall be returned to such commissioner or employee at the expiration of such trust unless an amount equal to any increment in value which may have occurred during such trust shall be paid by the commissioner or employee to a charity approved by the commission. In determining whether an increment in value has occurred the trust instrument may provide that a normal rate of interest on the ownership interest, had it been reduced to cash, need not be included in ascertaining such increment.

(2) No regulatory employee shall have an ownership interest in any association conducting a race meeting at which he is employed by the commission.

(3) Copies of any trust agreement by which a commissioner or employee retains an interest or potential interest in an association shall be filed with the commission and maintained in a separate file in the Olympia offices of said commission. Such file shall be open and available for public inspection during regular office hours of the commission.

[Order 73.3, § 260-14-030, filed 6/28/73.]

**WAC 260-14-040 Wagering.** No regulatory employee shall make any wager on the outcome of any horse race at a meeting at which he is employed. No commissioner or employee shall make any wager except through authorized pari-mutuel betting.

[Order 73.3, § 260-14-040, filed 6/28/73.]

**WAC 260-14-050 Ownership interests in race horses.**

(1) No regulatory employee shall have any interest in any race horse running at any race meet at which he is employed.

(2) No employee of the commission, except temporary clerks, shall have any ownership interest in any race horse running at any track under jurisdiction of the commission except as provided by subparagraph (3) hereof.

(3) An employee may retain an interest in a horse as lessor, or an option to purchase or repurchase a horse only under the following conditions:

(a) An affidavit containing:

(i) The name of the horse or horses in which the employee has retained an interest is filed with the commission;

(ii) The nature of the interest retained is disclosed in said affidavit. (i.e., lessor, option, etc.); and

(iii) The nature of the retained interest is such that no purse money or owner's bonuses earned in the state of Washington shall redound directly or indirectly to such employee.

(4) No commissioner shall have any ownership interest in any race horse running under the jurisdiction of the commission unless he discloses such interest in an affidavit filed with the commission.

(5) Copies of affidavits filed hereunder shall be maintained in a separate file in the offices of the commission in Olympia, Washington, and shall be open and available for public inspection during regular office hours of said commission.

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[Order 75.2, § 260-14-050, filed 4/17/75; Order 73.3, § 260-14-050, filed 6/28/73.]

**WAC 260-14-060 Performance of compensated services on behalf of associations.** (1) No commissioner or employee of the commission shall receive any compensation whatsoever for any services performed for or on behalf of an association.

(2) No regulatory employee shall receive any compensation from an association for services performed during a race meet for which he is employed by the commission.

(3) Nothing in this rule shall be deemed to prohibit the performance of such services by a regulatory employee either before or after a race meet if authorization in writing is granted by the commission prior to the time any services for which compensation may be reasonably expected are performed. Such authorization may be obtained only in accordance with subparagraph (4) hereof.

(4) Upon receiving a request to perform services for which compensation shall be due for or on behalf of an association by a regulatory employee or an association or both, the executive secretary shall investigate and determine whether the performance of such services is bona fide. If he determines that the performance of such services is bona fide and that the compensation to be paid therefor is reasonably related to the performance of such services, he may authorize in writing such services to be performed pending final action by the commission. Should the commission later determine that the executive secretary was in error in granting such authorization, it shall promptly notify the regulatory employee and the association and such services shall thereupon immediately cease and no compensation may be paid such regulatory employee for services performed after such notification.

(5) Copies of written authorizations issued pursuant to this section shall be maintained in a separate file in the offices of the commission in Olympia, Washington, and shall be open and available for public inspection during regular office hours of said commission.

[Order 73.3, § 260-14-060, filed 6/28/73.]

**WAC 260-14-070 Violations.** (1) Any wilful violation of any of the foregoing rules by any commissioner shall be deemed to be official misconduct in office and shall be reported to the governor for appropriate action.

(2) Any wilful violation by any employee or regulatory employee shall be deemed to be misconduct and shall be grounds for immediate discharge. In the event that such violation occurs between race meets by an employee normally employed for the duration of a race meet, such employee shall be deemed to be ineligible for employment by the commission at the pertinent race meet for a period of at least one year.

[Order 73.3, § 260-14-070, filed 6/28/73.]

**Chapter 260-16 WAC  
SPECIAL TYPES OF RACES**

WAC  
260-16-010

Harness racing.

260-16-020	Quarter horse racing.
260-16-030	Produce races.
260-16-040	Washington-bred horses.
260-16-050	Certification of Washington-bred horses.
260-16-060	Certification of Washington-bred horses—Thoroughbreds.
260-16-070	Racing commission funds.
260-16-080	Certification of Washington-bred horses—Standardbreds.
260-16-090	Arabian horses—Certification.

**WAC 260-16-010 Harness racing.** Harness racing, when conducted by licensees of the Washington horse racing commission shall be conducted in accordance with the rules and regulations of one of the recognized trotting associations. The racing commission reserves the right to refuse a license to any person or association proposing to hold a race meeting under rules and regulations not satisfactory to the racing commission. Persons and horses ruled off under authority of any recognized trotting association shall stand ruled off the courses licensed by the Washington horse racing commission.

[Rules of racing, § 29, filed 4/21/61.]

**WAC 260-16-020 Quarter horse racing.** The official stud book and registry of the American Quarter Horse Association shall be recognized as the sole official registry for American quarter horses.

The rules of the commission govern quarter horse racing wherever they are applicable. When not applicable the stewards may enforce rules of the American Quarter Horse Association, so long as they are not inconsistent with the rules of the commission.

[Rules of racing, § 30, filed 4/21/61.]

**WAC 260-16-030 Produce races.** (1) In making an entry for a produce race, the produce is entered by specifying the dam and the sire or sires.

(2) If the produce of a mare is foaled before the 1st of January, or if there is no produce, or if the produce is dead when dropped, or if twins are foaled, the entry of such mare is void.

(3) In produce races, allowances for the produce of untried horses must be claimed before the time of closing, and are not lost by subsequent winnings.

[Rules of racing, §§ 207, 208, 209, filed 4/21/61.]

**WAC 260-16-040 Washington-bred horses.** For the purpose of encouraging the breeding within this state, of valuable thoroughbred race horses, at least one race of each day's meeting shall consist exclusively of Washington-bred horses. If sufficient competition cannot be had among such class of horses, said race may be eliminated for said day and a substitute race, also for Washington-bred horses, provided instead. (Section 8, chapter 55, Laws of 1933.)

Proof that horses entered in such races were bred in Washington rests with the owner. Certificate of registration or the evidence of a breeder or other responsible person will be accepted. Affidavits may be demanded at the discretion of the stewards.

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Eligibility for the owners bonus and the breeder awards under RCW 67.16.075, 67.16.102, and 67.16.175 are provided for in WAC 260-16-060.

[Statutory Authority: RCW 67.16.020, 67.16.040 and 67.16.075. 86-21-081 (Resolution No. 86-04), § 260-16-040, filed 10/16/86; Rules of racing, § 320, filed 4/21/61.]

**WAC 260-16-050 Certification of Washington-bred horses.** (1) For purposes of the distribution of the owners bonus and breeder awards, a Washington-bred horse is one that meets the following requirements:

(a) The horse was foaled within the boundaries of the state of Washington; and

(b) It is officially certified by the associations designated by the racing commission.

(2) The following associations presently comprised of a majority of owners and/or breeders of their respective breeds in the state of Washington are recognized by the racing commission for the purpose of certification of Washington-bred horses for the distribution of the owners bonus and breeder awards provided for in RCW 67.16.075 and 67.16.102:

(a) The Washington Thoroughbred Breeders Association, for thoroughbreds;

(b) The Washington State Standardbred Association, for standardbred harness horses;

(c) The Northern Racing Quarter Horse Association, for quarter horses;

(d) The Washington State Appaloosa Racing Association, for appaloosas;

(e) The Washington State Arabian Horse Racing Association, for Arabian horses; and

(f) The Washington State Paint Horse Association, for paint horses.

(3) The racing commission may determine that other organizations should participate in the certification process if the organization is one that represents a majority of the owners and/or the breeders and, it is deemed to be in the best interests of racing. For other breeds specified in the racing act, organizations may present to the racing commission documentation that they represent a majority of the owners and/or the breeders.

[Statutory Authority: RCW 67.16.020, 67.16.040 and 67.16.075. 86-21-081 (Resolution No. 86-04), § 260-16-050, filed 10/16/86.]

**WAC 260-16-060 Certification of Washington-bred horses—Thoroughbreds.** (1) Certification of thoroughbreds foaled prior to 1987 shall be as follows:

(a) All thoroughbreds foaled prior to the year 1987 shall be certified Washington-breds provided (i) that the horse was foaled within the boundaries of the state of Washington; and (ii) that the jockey club certificate of foal registration shall state that said foal was foaled in the state of Washington.

(2) Certification of thoroughbreds foaled in 1987 and thereafter shall, contingent on funding being provided by the legislature, be as follows:

(a) All thoroughbreds foaled in 1987 and thereafter shall be certified Washington-breds provided (i) that the horse was foaled within the boundaries of the state of Washington; (ii) that the jockey club certificate of foal registration shall state that said horse was foaled in the state of Washington; and (iii)

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that the jockey club certificate of foal registration shall have affixed to it the certification stamp or seal of the Washington Horse Breeders Association. Said certification stamp or seal shall be affixed to each foal's jockey club certificate of foal registration only after the owner or breeder of a foal shall make application to the Washington Horse Breeders Association for certification as a Washington-bred and said association shall complete the certification process.

(b) Applications for certification of Washington-breds shall be processed by the Washington Horse Breeders Association at a cost of seventy-five dollars per foal, which cost shall be paid to the association by the Washington horse racing commission. If said application shall be filed prior to September 30 of the foals' weanling year, there shall be no additional cost to the owner or breeder.

(c) Applications for certification of Washington-breds made after September 30 of the foal's weanling year or prior to September 30 of the foal's yearling year shall be processed at an additional cost of twenty-five dollars, which cost shall be paid to the association by the owner or breeder of the foal at the time of filing the application.

(d) Applications for certification of Washington-breds made after September 30 of the foal's yearling year or prior to January 1 of the foal's two year old year shall be processed at an additional cost of seventy-five dollars, which cost shall be paid to the association by the owner or breeder of said foal at the time of filing the application.

(e) Applications for certification of Washington-breds made after January 1 of the foals' two year old year and prior to January 1 of the foal's three year old year shall be processed at an additional cost of one hundred fifty dollars, which cost shall be paid to the association by the owner or breeder of said foal at the time of filing the application.

(f) Applications for certification of Washington-breds made after January 1 of the foal's three year old year shall be precluded and such foals shall be ineligible for certification as Washington-breds.

(3) It shall be the responsibility of the owner or breeder to ensure that all Washington-breds he or she owns or has bred are certified pursuant to the standards established by the Washington horse racing commission.

(4) Owners and breeders of thoroughbreds foaled in 1987 and thereafter shall be precluded from receiving any owners bonus or breeder awards based on the race earnings of said foals prior to their certification as a Washington-bred.

(5) Owners and breeders of certified Washington-breds foaled in 1987 and thereafter who shall receive an owners bonus or breeder awards shall refund to the Washington horse racing commission any amount so received in the event it is later determined that any information provided to the association during the certification process which formed the basis for certification as a Washington-bred was incorrect or false.

[Statutory Authority: RCW 67.16.020, 67.16.040 and 67.16.075. 86-21-081 (Resolution No. 86-04), § 260-16-060, filed 10/16/86.]

**WAC 260-16-070 Racing commission funds.** Racing commission funds generated through parimutuel handle by a particular breed shall not be used for certification of any other breed.

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[Statutory Authority: RCW 67.16.020, 67.16.040 and 67.16.075. 86-21-081 (Resolution No. 86-04), § 260-16-070, filed 10/16/86.]

**WAC 260-16-080 Certification of Washington-bred horses—Standardbreds.** (1) Certification of standardbreds foaled prior to 1987 shall be as follows:

(a) All standardbreds foaled prior the year 1987 shall be certified as Washington-breds provided that (i) the horse was foaled within the boundaries of the state of Washington; (ii) the United States Trotting Association Registration Certificate shall state that said foal was foaled in the state of Washington; and, (iii) the Washington State Standardbred Association Certificate of Washington-bred has been issued for said horse.

(b) Application for certification shall be made by the owner (registered as such by the United States Trotting Association) of the standardbred to be certified as Washington-bred, at a cost of twenty-five dollars to said owner. The application fee shall accompany the application.

(c) Application for certification shall be made no later than twelve months from the effective date of these rules or thirty days prior to racing, whichever is sooner.

(2) Certification of standardbreds foaled in 1987 and thereafter shall, contingent on funding being provided by the legislature, be as follows:

(a) All standardbreds foaled in 1987 and thereafter shall be certified Washington-breds provided that (i) the horse was foaled within the boundaries of the state of Washington; (ii) the United States trotting association registration certificate shall state that said foal was foaled in the state of Washington; and (iii) that the Washington State Standardbred Association Certificate of Washington-bred has been issued for said horse.

Said certificate shall be issued only after the owner or breeder of a foal shall have made application to the Washington State Standardbred Association for certification as a Washington-bred, and said association shall have completed the certification process.

(b) Applications for certification of Washington-breds shall be processed by the Washington State Standardbred Association at a cost of seventy-five dollars per foal, which cost shall be paid to the association by the Washington horse racing commission. If said application shall be filed prior to December 31st of the year of foaling, then there shall be no additional cost to the owner or breeder.

(c) Applications for certification of Washington-breds made after December 31st of the year of foaling, but prior to May 15th of the foal's yearling year, shall be processed at an additional cost of twenty-five dollars, which cost shall be paid to the association by the applicant at the time of filing the application.

(d) Applications for certification of Washington-breds made after May 15th of the foal's yearling year but no later than December 31st of the foal's yearling year shall be processed at an additional cost of seventy-five dollars, which cost shall be paid to the association by the applicant at the time of filing the application.

(e) After December 31st of the foal's yearling year, no application can be made for certification of a Washington-

bred, and further, any such foal not previously registered shall be ineligible for certification as a Washington-bred.

(3) It shall be the responsibility of the owner to ensure that all Washington-breds so owned are certified pursuant to the process of certification established by the Washington State Standardbred Association. In the event the owner does not certify the Washington-bred foal in a timely manner, then the breeder may, at its option, make application for, and receive certification of said foal as a Washington-bred. However, said application must be made no later than December 31st of the foal's yearling year.

(4) Owners and breeders of standardbreds foaled in 1987, and thereafter, shall be precluded from receiving any owners bonus or breeders award based on the race earnings of said foals prior to certification of said foals as Washington-breds.

(5) Owners and breeders of certified Washington-breds foaled in 1986, and thereafter, who shall receive an owners bonus, or breeders award, shall refund to the Washington horse racing commission any amount so received, in the event it is later determined that any information provided to the association during the certification process which formed the basis for certification as a Washington-bred, was incorrect or untrue.

[Statutory Authority: RCW 67.16.020, 67.16.040 and 67.16.075. 86-21-081 (Resolution No. 86-04), § 260-16-080, filed 10/16/86.]

**WAC 260-16-090 Arabian horses—Certification.** (1) Certification of Arabian horses shall be as follows: The breeder or owner of an Arabian horse shall apply to the Washington State Arabian Horse Racing Association (WSAHRA) for such certification. Forms will be provided by the WSAHRA for the applicant to complete and return to WSAHRA. These include a form to be completed by the owner or manager (or an authorized agent of the owner or manager) of the farm on which the horse was foaled, and a form to be completed by the current owner of the horse.

(2) Certification of Arabian horses foaled in 1987 or before shall be as follows: Arabian horses foaled in Washington in 1987 or before shall be certified as "Washington-bred" by the WSAHRA when application for such certification has been approved by the WSAHRA, and provided that the completed application forms are accompanied by a fee of ten dollars per horse and are received by the WSAHRA by December 31, 1988. No applications for certification of horses born in 1987 or before shall be accepted after December 31, 1988.

(3) Certification of Arabian horses foaled in 1988 or thereafter shall be as follows: Arabian horses foaled in Washington in 1988 or thereafter shall be certified as "Washington-bred" by the WSAHRA for a fee of ten dollars, provided that the completed application forms and proper fees for such certification are received by the WSAHRA by December 31 of the year in which they are foaled.

If such application forms or fees for certification are received by the WSAHRA after December 31 of the year they are foaled, but by December 31 of the year after the horse is foaled, then there will be a charge of fifty dollars for such certification. However, no application for certification

will be accepted beyond December 31 of the year after the horse is foaled.

[Statutory Authority: RCW 67.16.075, 67.16.020 and 67.16.040. 88-06-017 (Order 88-01), § 260-16-090, filed 2/24/88.]

## Chapter 260-20 WAC

### ASSOCIATION GROUNDS AND FACILITIES

#### WAC

260-20-010	Duty to maintain race track.
260-20-020	Duty of commission employees relative to health, safety, and order.
260-20-030	Fire prevention.
260-20-035	Nonparimutuel wagering prohibited.
260-20-040	Credentials for admission to grounds, stables, and enclosures.
260-20-050	Badges and passes.
260-20-060	Unauthorized persons—Exclusion from stables.
260-20-070	Unauthorized persons—Exclusion from paddock.
260-20-075	Firearms prohibited on association grounds.
260-20-090	Associations to maintain police and watchman service—List.
260-20-100	Responsibility of police and watchmen—Letter of instructions.
260-20-110	Stable enclosures—Fencing—Admission to.
260-20-120	Report by bureau or security officer of arrests and bookings.
260-20-130	Report by officer in charge of night force.
260-20-140	Electric timing apparatus.
260-20-150	Patron gates.
260-20-160	Ambulances.
260-20-170	First-aid equipment and personnel.
260-20-180	Sanitary facilities for jockeys.
260-20-190	Living quarters for stable employees.
260-20-200	Drinking water, toilets, for patrons and invitees.
260-20-210	Manure and refuse disposal.
260-20-220	Standard color designations for distance poles.

#### DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

260-20-080	Exclusion of certain horses, five years or older. [Rules of racing, § 23, filed 4/21/61.] Repealed by 91-17-074, filed 8/21/91, effective 9/21/91. Statutory Authority: RCW 67.16.040.
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**WAC 260-20-010 Duty to maintain race track.** Racing associations shall at all times maintain their race tracks in good condition and with a special consideration for the comfort and safety of the public, of the horses stabled, exercising or entered to race thereat, and of all whose business requires their attendance thereat; and to this end shall have available adequate and proper implements to maintain a uniform track, weather conditions permitting.

[Rules of racing, § 335, filed 4/21/61.]

**WAC 260-20-020 Duty of commission employees relative to health, safety, and order.** Designated employees of the commission shall give his or their attention to matters pertaining to the safety and health of the public; and conveniences provided for it; the traffic conditions, and the order maintained.

[Rules of racing, § 342, filed 4/21/61.]

**WAC 260-20-030 Fire prevention.** Associations shall make reasonable provisions for fire prevention, protection against fire, and fire suppression within the enclosure. Smoking is prohibited in barns (except tackrooms), stables, shed-rows, hay sheds, and any area prohibited by state or local law.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 80-01-033 (Order 79-05), § 260-20-030, filed 12/17/79; Rules of racing, § 26, filed 4/21/61.]

**WAC 260-20-035 Nonparimutuel wagering prohibited.** No association shall conduct, or permit to be conducted, on its grounds during a race day any gambling or wagering other than on a horse race by the parimutuel method.

[Statutory Authority: RCW 61.16.020 [67.16.020] and 67.16.040. 82-18-050 (Order 82-06), § 260-20-035, filed 8/30/82.]

**WAC 260-20-040 Credentials for admission to grounds, stables, and enclosures.** No one shall be permitted to enter in or about the grounds, stables or stable enclosures who does not have in his possession a license issued by the commission as owner, trainer, jockey, apprentice, agent, stable foreman, groom, exercise boy, plater, valet or veterinarian, or proper credentials issued by the association, and a full record of these credentials shall [be] compiled and open to inspection at all times.

[Rules of racing, § 339, filed 4/21/61.]

**WAC 260-20-050 Badges and passes.** No tax free badge or pass may be issued to any horseman, in any capacity, without the approval of the commission; all badges or passes so approved must be recorded in a book kept by the racing secretary and each badge or pass must be numbered and kept in numerical order in the records; the commission shall have the right at all times to inspect such records.

[Rules of racing, § 244, filed 4/21/61.]

**WAC 260-20-060 Unauthorized persons—Exclusion from stables.** Each racing association shall police its grounds at all times in such a manner as to preclude the admission of any person in and around the stables, excepting those being duly licensed by the commission, or authorized by the association. If the commission finds that the stables of an association are not being properly policed and unauthorized persons are found in and around the stables, the association may be fined an amount not exceeding \$200.00, in the discretion of the commission for each day in which the infraction was found to occur.

[Order 73.7, § 260-20-060, filed 12/3/73; Rules of racing, § 21, filed 4/21/61.]

**WAC 260-20-070 Unauthorized persons—Exclusion from paddock.** Racing associations shall exclude from the paddock, in the interest of public safety, all those persons who have no immediate business with the horses entered, except members of the commission, their duly assigned representatives and those having special permission from the association.

[Rules of racing, § 22, filed 4/21/61.]

**WAC 260-20-075 Firearms prohibited on association grounds.** Each racing association shall exclude from its grounds any person found to have firearms in his possession, except security personnel employed by the association or commission and law enforcement officers. Any licensee or permit holder who brings firearms onto the grounds of any

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racing association, except security personnel and law enforcement officers, may be subject to revocation or suspension of such license or permit, and any other authorized penalty the stewards may deem necessary.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 81-08-013 (Order 81-01), § 260-20-075, filed 3/24/81.]

**WAC 260-20-090 Associations to maintain police and watchman service—List.** Each association shall maintain and furnish complete police and watchman service night and day in and about all stable enclosures and furnish to the commission each day a complete tabulation list thereof, showing name, duty, place stationed and portions of enclosures supervised by such policeman and watchman.

[Rules of racing, § 336, filed 4/21/61.]

**WAC 260-20-100 Responsibility of police and watchmen—Letter of instructions.** Watchman and policeman so employed shall be individually responsible for the certain part of the stable enclosure where they are on duty and shall immediately investigate and report the presence of any one during the night or day who may be within said stable enclosure without possessing proper credentials. A letter of instructions to all watchmen and policemen shall be addressed to each of them by the race track association covering fully their duties and their strict obligation to keep stable enclosures free from outsiders and hangers-on, and a copy thereof furnished to the commission.

[Rules of racing, § 337, filed 4/21/61.]

**WAC 260-20-110 Stable enclosures—Fencing—Admission to.** All such stable enclosures must be properly fenced and admission granted only on proper license or credentials actually shown to the gateman.

[Rules of racing, § 338, filed 4/21/61.]

**WAC 260-20-120 Report by bureau or security officer of arrests and bookings.** A written report shall be made to the commission daily by the head of the thoroughbred racing protective bureau or security officer if [the] thoroughbred racing protective bureau is not in charge at each race track stating in detail all arrests or persons booked in their office. This report further shall include all persons picked up for drunkenness, touting, disorderly conduct, fraudulent use of badges, or other misdemeanors, giving in detail the charges together with the names and addresses of such offenders.

[Rules of racing, § 340, filed 4/21/61.]

**WAC 260-20-130 Report by officer in charge of night force.** A nightly report shall also be given by the officer in charge of the night force stating in detail any disturbances, drunkenness, or disorderly conduct in and about the back stretch and stable area, giving in detail the names, badge numbers, and license numbers of any horsemen committing any offenses whatsoever.

[Rules of racing, § 341, filed 4/21/61.]

**WAC 260-20-140 Electric timing apparatus.** Where electric timing is used the apparatus must be of a type approved by the commission.

[Rules of racing, § 278, filed 4/21/61.]

**WAC 260-20-150 Patron gates.** All gates used for admission of patrons must be of a type approved by the commission.

[Rules of racing, § 328, filed 4/21/61.]

**WAC 260-20-160 Ambulances.** Racing associations shall furnish and maintain both a man ambulance and a horse ambulance each day that their tracks may be opened for racing or exercising horses, equipped and ready for immediate duty.

[Rules of racing, § 329, filed 4/21/61.]

**WAC 260-20-170 First-aid equipment and personnel.** Each racing association shall equip and maintain at its track temporary facilities with not less than two beds, equipped with such first-aid appliances and material as shall be approved by the commission, and shall provide the attendance of a competent physician and one licensed nurse, registered nurse, or physician's assistant, at the option of the track, thereat during racing hours. A racing association conducting a meet with an average daily handle of one hundred twenty thousand dollars or less may provide at its track a licensed paramedic in lieu of a physician if the services of a competent physician cannot be obtained.

[Statutory Authority: RCW 67.16.075, 67.16.020 and 67.16.040. 88-06-017 (Order 88-01), § 260-20-170, filed 2/24/88. Statutory Authority: RCW 67.16.020 and 67.16.040. 81-15-033 (Order 81-05), § 260-20-170, filed 7/10/81; Rules of racing, § 330, filed 4/21/61.]

**WAC 260-20-180 Sanitary facilities for jockeys.** Each racing association shall make such sanitary arrangements as baths, toilets, etc., for the use of jockeys, as may be reasonably required by the commission, the same to be conveniently located on the grounds.

[Rules of racing, § 331, filed 4/21/61.]

**WAC 260-20-190 Living quarters for stable employees.** Each racing association shall provide adequate and sanitary living quarters, with proper sanitary arrangements pertaining thereto, for stable employees.

[Rules of racing, § 332, filed 4/21/61.]

**WAC 260-20-200 Drinking water, toilets, for patrons and invitees.** Each racing association shall on every racing day provide and maintain [in] a strictly sanitary condition such adequate toilets and facilities for furnishing drinking water for its patrons and persons having business at the track, as may be reasonably required by the commission.

[Rules of racing, § 333, filed 4/21/61.]

**WAC 260-20-210 Manure and refuse disposal.** Each racing association shall provide proper and well located boxes or pits for separately receiving stable manure and other refuse, situated well distant from living quarters, and such

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boxes and pits shall be emptied and their contents entirely removed from the premises of the association daily, and the area sprayed or dusted for insects each day.

[Rules of racing, § 334, filed 4/21/61.]

**WAC 260-20-220 Standard color designations for distance poles.** The distance poles shall be as follows:

1/4 Poles	Red and White
1/8 Poles	Green and White
1/16 Poles	Black and White

[Rules of racing, § 424, filed 3/11/65.]

## Chapter 260-24 WAC

### ASSOCIATION OFFICIALS AND EMPLOYEES

#### WAC

260-24-030	Submission of roster to commission—Approval—Substitutions.
260-24-500	Racing officials.
260-24-510	Stewards.
260-24-520	Racing secretary.
260-24-530	Horsemen's bookkeeper.
260-24-540	Mutuel manager.
260-24-550	Official veterinarian(s).
260-24-560	Horse identifier.
260-24-570	Paddock judge.
260-24-580	Starter.
260-24-590	Security director, association.
260-24-600	Commission security inspector(s).
260-24-610	Commission auditor.
260-24-620	Clerk of scales.
260-24-630	Jockey room supervisor.
260-24-640	Film analyst.
260-24-650	Clocker(s).
260-24-660	Race timer.
260-24-670	Paddock plater.
260-24-680	Mutuel inspector.
260-24-690	Outrider(s).
260-24-700	Any other person designated by the commission.

### DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER

260-24-010	Officials enumerated. [Order 75.7, § 260-24-010, filed 4/30/76; Order 75.4, § 260-24-010, filed 9/4/75; Order 73.7, § 260-24-010, filed 12/3/73; Order 72-6, § 260-24-010, filed 10/13/72; Rule 233, filed 1/30/67; Rule 233, filed 8/23/66; Rule 233, filed 5/4/66; Rule 233, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
260-24-020	Officials—Duties—Qualifications. [Order 72-4, § 260-24-020, filed 6/27/72; Rule 234, filed 1/30/67; Rule 234, filed 8/23/66; Rule 234, filed 5/4/66; Rule 234, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
260-24-040	Disqualification for acting at unrecognized meeting. [Rule 235, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
260-24-050	Trafficking in horses, contracts, insurance, prohibited. [Rule 236, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
260-24-060	Wagering prohibited. [Rule 237, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
260-24-070	Duty to report violations of rules. [Rule 238, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
260-24-080	Clerk of the scales. [Order 75-1, § 260-24-080, filed 2/18/75; Rule 58, filed 1/30/67; Rules 58 through 63, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.

- 260-24-090 Handicapper. [Rule 138, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-100 Mutuel manager. [See chapter 260-48 WAC.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-110 Paddock judge. [Rules 184, 185, and 186, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-120 Patrol judges. [Rules 200 and 201, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-130 Placing judges. [See WAC 260-52-050.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-140 Racing secretary—General duties. [Rule 239, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-150 Racing secretary—Official program for each racing day. [Rules 240 and 241, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-160 Racing secretary—To keep record of all races. [Rule 242, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-170 Racing secretary—Duties with regard to stabling. [Rule 243, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-180 Racing secretary—List of entries—Posting—Available to newspapers. [Rule 246, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-190 Starter—Duties at start of race. [See WAC 260-52-030.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-200 Starter—Appointment of assistants—Misconduct toward jockeys. [Rule 250, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-210 Starter—Schooling of horses. [Rule 251, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-220 Starter—To approve entries of two year olds. [Rule 252, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-230 Starter—May fine and suspend jockeys. [Order 73-8, § 260-24-230, filed 10/23/73; Rule 253, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-240 Stewards—Responsibility to commission. [Rule 255, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-250 Stewards—Authority over personnel and grounds. [Rule 256, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-260 Stewards—Powers as to cases not covered by rules—Increased penalties. [Rule 257, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-270 Stewards—Supervision of entries and declarations. [Rule 258, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-280 Stewards—Authority to award punishment. [Statutory Authority: RCW 67.16.020 and 67.16.040. 87-15-019 (Resolution No. 87-02), § 260-24-280, filed 7/8/87; 81-08-013 (Order 81-01), § 260-24-280, filed 3/24/81; Order 72-6, § 260-24-280, filed 10/13/72; Rule 259, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-290 Stewards—Inspection of documents. [Rule 260, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-300 Stewards—Determining disqualifications in case of fouls. [Rule 261, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-310 Stewards—Duty hours—Sessions. [Rule 262, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-320 Stewards—Substitutes. [Rule 263, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-330 Stewards—Deputies. [Rule 264, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-340 Stewards—Stewards pro tem. [Rule 265, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-350 Stewards—Report of appointment of deputy. [Rule 266, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-360 Stewards—Number in stand during race. [Rule 267, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-370 Stewards—Duty to notice questionable conduct. [Rule 268, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-380 Stewards—Substitution of jockeys. [Rule 289, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-390 Stewards—Placing horse in the temporary charge of trainer. [Rule 270, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-400 Stewards—Getting horses to gate at post time. [Rule 271, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-410 Stewards—Accident before offtime—Excusing horse. [Rule 272, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-420 Stewards—Settlement of protests and complaints. [Rule 273, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-430 Stewards—Infractions—Reports to commission. [Rule 274, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-440 Stewards—Violation of rule other than a rule of the race—Procedure. [Rule 275, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-450 Timers. [Rule 276, filed 4/21/61.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-460 Veterinarians. [Rules 358, 359 and 360, filed 4/21/61; subsection (1) amended, filed 5/4/66; subsection (3) amended, filed 8/26/65.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-465 Veterinarians—Disposal, sterilization of instruments. [Rule 426, filed 8/23/66.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-470 Clocker-identifier. [Statutory Authority: RCW 67.16.020. 79-06-002 (Order 79-1), § 260-24-470, filed 5/4/79; Rule 427, filed 1/30/67.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.
- 260-24-480 Film analyst. [Rule 428, filed 1/30/67.] Repealed by 98-01-145, filed 12/19/97, effective 1/19/98. Statutory Authority: RCW 67.16.040.

**WAC 260-24-030 Submittal of roster to commission—Approval—Substitutions.** At least ten days prior to the first day of a race meeting the association shall submit in writing to the commission the names of all association racing officials engaged for the meeting, and no association racing official shall be qualified to act until he shall have been approved by the commission. In the event of incapacitation of

any such approved association official the association may, with the approval of the commission, appoint a substitute.

[Rule 232, filed 1/30/67; Rule 232, filed 4/21/61.]

**WAC 260-24-500 Racing officials.** (1) Officials at a race meeting include the following:

- (a) Stewards;
- (b) Racing secretary;
- (c) Horsemen's bookkeeper;
- (d) Mutuel manager;
- (e) Official veterinarian(s);
- (f) Horse identifier;
- (g) Paddock judge;
- (h) Starter;
- (i) Security director, association;
- (j) Commission security inspector(s);
- (k) Commission auditor;
- (l) Clerk of scales;
- (m) Jockey room supervisor;
- (n) Film analyst;
- (o) Clocker(s);
- (p) Race timer;
- (q) Paddock plater;
- (r) Mutuel inspector;
- (s) Outrider(s);
- (t) Any other person designated by the commission.

(2) The commission officials of a race meeting shall be designated prior to each race meeting and those commission officials shall be compensated by the commission.

The association officials of a race meeting shall include but are not limited to: racing secretary, mutuel manager, starter, horsemen's bookkeeper, association security director, jockey room supervisor and outrider(s).

(3) Eligibility:

- (a) To qualify as a racing official, the appointee shall be;
  - (i) Of good character and reputation;
  - (ii) Familiar with the duties of the position and with the commission's rules of racing;
  - (iii) Mentally and physically able to perform the duties of the job; and
  - (iv) In good standing and not under suspension or ineligible in any racing jurisdiction.

(b) To qualify for appointment as a steward the appointee shall be an Association of Racing Commissioners International-accredited steward and be in good standing with all Association of Racing Commissioners International member jurisdictions. The commission may waive this requirement for Class C race meetings.

(4) The commission, in its sole discretion, may determine the eligibility of a racing official and, in its sole discretion, may approve or disapprove any such official for licensing.

(5) While serving in an official capacity, racing officials and their assistants shall not:

- (a) Participate in the sale or purchase, or ownership of any horse racing at the meeting; unless disclosed in advance and approved by the board of stewards;
- (b) Sell or solicit horse insurance on any horse racing at the meeting;

(c) Be licensed in any other capacity without permission of the commission, or in case of an emergency, the permission of the stewards;

(d) Wager on the outcome of any race for which parimutuel wagering is conducted under the jurisdiction of the commission; or

(e) Consume or be under the influence of alcohol or any prohibited substances while performing official duties.

(6) Racing officials and their assistants shall immediately report to the stewards every observed violation of these rules.

(7) Complaints against officials:

(a) Complaints against any steward shall be made in writing to the commission and signed by the complainant;

(b) Any complaint against a racing official other than a steward shall be made to the stewards in writing and signed by the complainant. All such complaints shall be reported to the commission by the stewards, together with a report of the action taken or the recommendation of the stewards;

(c) A racing official may be held responsible by the stewards or the commission for the actions of their assistants;

(8) Appointment:

(a) A person shall not be appointed to more than one racing official position at a meeting unless specifically approved by the commission;

(b) The commission shall appoint or approve its officials for each race meeting, the officials shall perform the duties as outlined herein and such other duties as are necessary as determined by the commission or its executive secretary.

(9) Where an emergency vacancy exists among racing officials, the stewards or the association, with the stewards' approval, shall fill the vacancy immediately. Such appointment shall be reported to the commission and shall be effective until the vacancy is filled in accordance with these rules.

(10) Should any steward be absent at race time, and no approved alternate steward be available, the remaining stewards shall appoint a substitute for the absent steward. If a substitute steward is appointed, the commission and the association shall be notified by the stewards.

[Statutory Authority: RCW 67.16.040, 98-01-145, § 260-24-500, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-510 Stewards.** (1) General authority:

(a) The stewards for each meeting shall be responsible to the commission for the conduct of the race meeting in accordance with these rules;

(b) The stewards shall enforce these rules and the racing laws of this jurisdiction;

(c) The stewards' authority includes supervision of all racing officials, track management, licensed personnel, other persons responsible for the conduct of racing, and patrons, as necessary to insure compliance with these rules;

(d) All nominations, entries, declarations and scratches shall be conducted under the supervision of the stewards;

(e) The stewards shall have authority to resolve conflicts or disputes related to racing and to discipline violators in accordance with the provisions of these rules;

(f) The stewards shall take notice of any questionable conduct with or without complaint thereof;



(g) The stewards have the authority to interpret the rules and to decide all questions of racing not specifically covered by the rules;

(h) Should any case occur which may not be covered by these rules of racing, it shall be determined by the stewards of the race meeting in conformity with justice and in the best interest of racing; and the stewards of the meeting are hereby given authority to exercise their full power, recommending to the commission the impositions of more severe penalties, if in their judgment the penalty should be more drastic.

(2) The stewards' period of authority shall commence 10 days prior to the beginning, or at such other time as is necessary in the opinion of the commission, of each meeting and shall terminate with the completion of their business pertaining to the meeting. One of the three stewards shall be designated as the presiding steward by the commission.

(3) Disciplinary action:

(a) The stewards shall take notice of alleged misconduct or rule violations and initiate investigations into such matters;

(b) The stewards shall have authority to charge any licensee with a violation of these rules, to conduct hearings and to impose disciplinary action in accordance with these rules;

(c) The stewards may compel the attendance of witnesses and the submission of documents or potential evidence related to any investigation or hearing;

(d) The stewards may at any time inspect license documents, registration papers and other documents related to racing;

(e) The stewards shall have the power to administer oaths and examine witnesses;

(f) The stewards shall consult with the official veterinarian to determine the nature and seriousness of a laboratory finding or an alleged medication violation;

(g) The stewards may impose any of the following penalties on a licensee for a violation of these rules;

(i) Issue a reprimand;

(ii) Assess a fine;

(iii) Require forfeiture or redistribution of purse or award, when specified by applicable rules;

(iv) Place a licensee on probation;

(v) Suspend a license or racing privileges;

(vi) Revoke a license; or

(vii) Exclude from grounds under the jurisdiction of the commission.

(h) The stewards may suspend a license for not more than one year per violation; or they may impose a fine not to exceed \$2,500 per violation; or they may suspend and fine; or they may order that a person be ineligible for licensing. For violations covered by Chapter 260-70 WAC Medication, the stewards shall follow the penalty guidelines as set forth in WAC 260-70-690;

(i) A stewards' ruling shall not prevent the commission from imposing a more severe penalty;

(j) The stewards may refer any matter to the commission and may include recommendations for disposition. The absence of a stewards' referral shall not preclude commission action in any matter;

(k) Purses, prizes, awards and trophies shall be redistributed if the stewards or commission order a change in the official order of finish;

(l) All fines imposed by the stewards shall be paid to the commission within 48 hours after the ruling is issued, unless otherwise ordered.

(4) Protests, objections and complaints. The stewards shall cause an investigation to be conducted and shall render a decision in every protest, objection and complaint made to them. They shall maintain a record of all protests, objections and complaints. The stewards shall file daily with the commission a copy of each protest, objection or complaint and any related ruling. The stewards are vested with the power to determine the extent of disqualification in case of fouls. They may place the offending horse behind such horses as in their judgment it interfered with, or they may place it last.

(5) Stewards' presence:

(a) On each racing day at least one steward shall be on duty at the track from 3 hours prior to first race post time. The full board of stewards shall sit in regular session to exercise their authority and perform the duties imposed on them by the rules of racing;

(b) Three stewards shall be present in the stewards' stand during the running of each race. In case of emergency, the stewards may, during the meeting, appoint a substitute subject to the confirmation of the commission.

(6) Order of finish for parimutuel wagering:

(a) The stewards shall determine the official order of finish for each race in accordance with these rules of racing;

(b) The decision of the stewards as to the official order of finish, including the disqualification of a horse or horses as a result of any event occurring during the running of the race, shall be final for purposes of distribution of the parimutuel wagering pool.

(7) The stewards have the authority to cancel wagering on an individual betting interest or on an entire race and also have the authority to cancel a parimutuel pool for a race or races, if such action is necessary to protect the integrity of parimutuel wagering.

(8) Records and reports:

(a) The stewards shall prepare a daily report, detailing their actions and observations made during each day's race program. The report shall contain the name of the racetrack, the date, the weather and track conditions, claims, inquiries, objections and hearings and any unusual circumstances or conditions. The report shall be signed by each steward and be filed with the commission;

(b) Not later than seven days after the last day of a race meeting, the presiding steward shall submit to the commission a written report regarding the race meeting. The report shall contain:

(i) The stewards' observations and comments regarding the conduct of the race meeting, the overall conditions of the association grounds during the race meeting; and

(ii) Any recommendations for improvement by the association or action by the commission.

(9) Stewards' list:

(a) The stewards shall maintain a stewards' list of the horses which are ineligible to be entered in a race because of poor or inconsistent performance or behavior on the racetrack that may endanger the health or safety of other participants in racing;



(b) The stewards may place a horse on the stewards' list when there exists a question as to the exact identification or ownership of said horse;

(c) A horse which has been placed on the stewards' list because of inconsistent performance or behavior, may be removed from the stewards' list when, in the opinion of the stewards, the horse can satisfactorily perform competitively in a race without endangering the health or safety of other participants in racing;

(d) A horse which has been placed on the stewards' list because of questions as to the exact identification or ownership of said horse, may be removed from the stewards' list when, in the opinion of the stewards, proof of exact identification and/or ownership has been established.

(10) When the stewards feel that a rule, other than a rule of the race, has been violated by any person, the procedure shall be as follows:

(a) He or she shall be summoned to a hearing before the stewards, called for that purpose;

(b) Adequate notice of said hearing shall be given to the summoned party. The stewards' decision as to what is adequate notice shall be final;

(c) No penalty shall be imposed until such hearing;

(d) Nonappearance of the summoned party after adequate notice shall be construed as a waiver of right to hearing before the stewards;

(e) No special announcement of the hearing or of the alleged infraction of rules shall be made until after said hearing. Immediately after a hearing, provided the matter is settled, the stewards shall transmit their findings in a stewards ruling to the commission and to the party in question. Thereafter, if a penalty is imposed for the infraction of the rules but only in the case of penalty, the commission may make a public statement.

(11) Nothing in this rule shall prohibit the stewards from taking necessary action to prevent or avoid the immediate danger to the public health, safety or welfare or the integrity of racing.

[Statutory Authority: RCW 67.16.040, 98-01-145, § 260-24-510, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-520 Racing secretary.** (1) The racing secretary shall be responsible for the programming of races during the race meeting, compiling and publishing condition books, assigning weights for handicap races, and shall receive all entries, subscriptions, declarations and scratches. The racing secretary may employ one or more assistants who may assist in performing the following duties. An assistant racing secretary shall assume the duties of the racing secretary in that person's absence.

(2) Foal, health and other eligibility certificates:

(a) The racing secretary shall be responsible for receiving, inspecting and safeguarding the foal and health certificates, Equine Infectious Anemia (EIA) test certificates and other documents of eligibility for all horses competing at the track or stabled on the grounds;

(b) The racing secretary shall record the alteration of the sex of a horse on the horse's foal certificate and report such to the appropriate breed registry and past performance services;

(c) The racing secretary shall record on a horse's registration certificate when a posterior digital neurectomy (heel nerving) is performed on that horse.

(3) The racing secretary shall maintain a list of nerved horses which are on association grounds and shall make the list available for inspection by other licensees participating in the race meeting.

(4) The racing secretary shall maintain a list of all fillies or mares on association grounds who have been covered by a stallion. The list shall also contain the name of the stallion to which each filly or mare was bred and shall be made available for inspection by other licensees participating in the race meeting.

(5) It shall be the duty of the racing secretary to assign to applicants such stabling as he may deem proper to be occupied by horses in preparation for racing. He/she shall determine all conflicting claims of stable privileges and maintain a record of arrivals and departures of all horses stabled on association grounds.

(6) Conditions and eligibility:

(a) The racing secretary shall establish the conditions and eligibility for entering races and cause them to be published to owners, trainers and the commission and be posted in the racing secretary's office:

(b) For the purpose of establishing conditions, winnings shall be considered to include all monies and prizes won up to the time of the start of a race;

(c) Winnings during the year shall be calculated by the racing secretary from the preceding January 1.

(7) Listing of horses, the racing secretary shall:

(a) Examine all entry blanks to verify information as set forth therein; and

(b) Select the horses to start and the also eligible horses from those entries received in accordance with these rules.

(8) Upon completion of the draw each day, the racing secretary shall post a list of entries in a conspicuous location in his/her office and make the list available.

(9) The racing secretary shall publish the official daily program, ensuring the accuracy therein of the following information:

(a) Sequence of races to be run and post time for the first race;

(b) Purse, conditions and distance for each race, and current track record for such distance;

(c) The name of licensed owners of each horse, indicated as leased, if applicable, and description of racing colors to be carried;

(d) The name of the trainer and the name of the jockey named for each horse together with the weight to be carried;

(e) The post position and saddle cloth number or designation for each horse if there is a variance with the saddle cloth designation;

(f) Identification of each horse by name, color, sex, age, sire and dam; and

(g) Such other information as may be requested by the association or the commission.

(10) The racing secretary shall examine nominations received for early closing events, late closing events and stakes events to verify the eligibility of all such nominations and compile lists thereof for publication.

(11) The racing secretary shall be caretaker of the permanent records of all stakes and shall verify that all entrance monies due are paid prior to entry for races conducted at the meeting.

[Statutory Authority: RCW 67.16.040, 98-01-145, § 260-24-520, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-530 Horsemen's bookkeeper.** The horsemen's bookkeeper shall maintain the records and accounts and perform the duties described herein and maintain such other records and accounts and perform such other duties as the association and commission may prescribe.

(1) Records:

(a) The records shall include the name, mailing address, social security number or federal tax identification number, and the state or country of residence of each horse owner, trainer or jockey participating at the race meeting who has funds due or on deposit in the horsemen's account;

(b) The records shall include a file of all required statements: of partnerships, syndicates, corporations, assignments of interest, lease agreements and registrations of authorized agents;

(c) All records of the horsemen's bookkeeper shall be kept separate and apart from the records of the association;

(d) All records of the horsemen's bookkeeper including records of accounts and monies and funds kept on deposit are subject to inspection by the commission at any time;

(e) The association licensee is subject to disciplinary action by the commission for any violations of or non-compliance with the provisions of this rule.

(2) Monies and funds on account:

(a) All monies and funds on account with the horsemen's bookkeeper shall be maintained;

(i) Separate and apart from monies and funds of the association;

(ii) In an account designated as Horsemen's Account; and

(iii) In an account insured by the Federal Deposit and Insurance Corporation.

(b) The horsemen's bookkeeper shall be bonded in accordance with commission stipulations;

(c) The amount of purse money earned is credited in the currency of the jurisdiction in which the race was run. There shall be no appeal for any exchange rate loss at the time of transfer of funds from another jurisdiction.

(3) Payment of purses:

(a) The horsemen's bookkeeper shall receive, maintain and disburse the purses of each race and all stakes, entrance money, jockey fees, purchase money in claiming races, along with all applicable taxes and other monies that properly come into his/her possession in accordance with the provisions of commission rules;

(b) The horsemen's bookkeeper may accept monies due belonging to other organizations or recognized meetings, provided prompt return is made to the organization to which the money is due;

(c) The fact that purse money has been distributed prior to the issuance of a laboratory report shall not be deemed a finding that no chemical substance has been administered, in

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violation of these rules, to the horse earning such purse money;

(d) The horsemen's bookkeeper shall disburse the purse of each race and all stakes, entrance money, jockey fees and purchase money in claiming races, along with all applicable taxes, upon request, within 48 hours of receipt of notification that all tests with respect to such races have cleared the drug testing;

(e) Absent a prior request, the horsemen's bookkeeper shall disburse monies to the persons entitled to receive same within 15 days after the last race day of the race meeting, including purses for official races, provided that all tests with respect to such races have cleared the drug testing laboratory and provided further that no protest or appeal has been filed with the stewards or the commission;

(f) In the event a protest or appeal has been filed with the stewards or the commission, the horsemen's bookkeeper shall disburse the purse within 48 hours of receipt of dismissal or a final nonappealable order disposing of such protest or appeal.

[Statutory Authority: RCW 67.16.040, 98-01-145, § 260-24-530, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-540 Mutuel manager.** The mutuel manager is responsible for the operation of the parimutuel department and shall:

(1) Be responsible for the correctness of all pay-off prices;

(2) Maintain records of all wagers and provide information regarding betting patterns;

(3) Employ licensed individuals to aid in the operation of the parimutuel department;

(4) Make emergency decisions regarding the operation of the parimutuel department; and

(5) Be responsible for the enforcement of the association policy and procedures relating to the mutuel department.

[Statutory Authority: RCW 67.16.040, 98-01-145, § 260-24-540, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-550 Official veterinarian(s).** The official veterinarian(s) shall:

(1) Be employed by the commission;

(2) Be a graduate veterinarian and be licensed to practice in this jurisdiction;

(3) Recommend to the stewards any horse deemed unsafe to be raced, or a horse that it would be inhumane to allow to race;

(4) Place horses on the veterinarian's list and remove horses from the veterinarian's list;

(5) Place horses on the bleeder list and remove horses from the bleeder list;

(6) Supervise and control the test barn;

(7) Supervise the taking of all specimens for testing according to procedures approved by the commission;

(8) Provide proper safeguards in the handling of all laboratory specimens to prevent tampering, confusion or contamination;

(9) Provide the stewards with a written statement regarding the nature and seriousness of all laboratory reports of prohibited substances in equine samples;

(10) Have jurisdiction over the practicing licensed veterinarians within the enclosure for the purpose of these rules;

(11) Report to the commission the names of all horses humanely destroyed or which otherwise expire at the meeting and the reasons therefore;

(12) Maintain all required records of postmortem examinations performed on horses which have died on association grounds;

(13) Be available to the stewards prior to scratch time each racing day at a time designated by the stewards to inspect any horses and report on their condition as may be requested by the stewards;

(14) Be present in the paddock during saddling, on the racetrack during the post parade and at the starting gate until the horses are dispatched from the gate for the race;

(15) Inspect any horse when there is a question as to the physical condition of such horse;

(16) Recommend scratching a horse to the stewards if, in his/her opinion the horse is physically incapable of exerting its best effort to win;

(17) Inspect any horse which appears in physical distress during the race or at the finish of the race; and shall report such horse together with his/her opinion as to the cause of the distress to the stewards;

(18) Refuse employment or payment, directly or indirectly, from any horse owner or trainer of a horse racing or intending to race in this jurisdiction while employed as the official veterinarian for the commission;

(19) Review and consult with the applicants and the stewards regarding commission license applications of practicing veterinarians;

(20) Cooperate with practicing veterinarians and other regulatory agencies to take measures to control communicable and/or reportable equine diseases;

(21) Periodically review all horse papers under the jurisdiction of the commission to ensure that all required test and health certificates are current and properly filed in accordance with these rules; and

(22) Be authorized to humanely destroy any horse deemed to be so seriously injured that it is in the best interests of racing the horse to so act.

[Statutory Authority: RCW 67.16.040. 98-01-145, § 260-24-550, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-560 Horse identifier.** The horse identifier shall:

(1) When required, ensure the safekeeping of registration certificates and racing permits for horses stabled and/or racing on association grounds;

(2) Inspect documents of ownership, eligibility, registration or breeding necessary to ensure the proper identification of each horse scheduled to compete at a race meeting;

(3) Examine every starter in the paddock for sex, color, markings and lip tattoo or other identification method approved by the appropriate breed registry and the commission for comparison with its registration certificate to verify the horse's identity; and

(4) Supervise the tattooing, branding or other method of identification approved by the appropriate breed registry and

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the commission for identification of any horse located on association grounds.

(5) The horse identifier shall report to the stewards any horse not properly identified or whose registration certificate is not in conformity with these rules.

[Statutory Authority: RCW 67.16.040. 98-01-145, § 260-24-560, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-570 Paddock judge.** (1) The paddock judge shall:

(a) Supervise the assembly of horses in the paddock no later than fifteen (15) minutes before the scheduled post time for each race;

(b) Maintain a written record of all equipment, inspect all equipment of each horse saddled and report any change thereof to the stewards;

(c) Prohibit any change of equipment without the approval of the stewards;

(d) Ensure that the saddling of all horses is orderly, open to public view, free from public interference, and that horses are generally mounted at the same time, and leave the paddock for the post in proper sequence;

(e) Supervise paddock schooling of all horses approved for such by the stewards;

(f) Report to the stewards any observed cruelty to a horse;

(g) Ensure that only properly authorized persons are permitted in the paddock; and

(h) Report to the stewards any unusual or illegal activities.

(2) Paddock judge's list:

(a) The paddock judge shall maintain a list of horses which shall not be entered in a race because of poor or inconsistent behavior in the paddock that endangers the health or safety of other participants in racing;

(b) At the end of each race day, the paddock judge shall provide a copy of the list to the stewards;

(c) To be removed from the paddock judge's list, a horse must be schooled in the paddock and demonstrate to the satisfaction of the paddock judge and the stewards that the horse is capable of performing safely in the paddock.

[Statutory Authority: RCW 67.16.040. 98-01-145, § 260-24-570, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-580 Starter.** (1) The starter shall:

(a) Have complete jurisdiction over the starting gate, the starting of horses and the authority to give orders not in conflict with the rules as may be required to ensure all participants an equal opportunity to a fair start;

(b) Appoint and supervise assistant starters who have demonstrated they are adequately trained to safely handle horses in the starting gate. In emergency situations, the starter may appoint qualified individuals to act as substitute assistant starters;

(c) Ensure that a sufficient number of assistant starters are available for each race;

(d) Assign the starting gate stall positions to assistant starters and notify the assistant starters of their respective stall positions more than 10 minutes before post time for the race;

(e) Assess the ability of each person applying for a jockey's license in breaking from the starting gate and working a horse in the company of other horses, and shall make said assessment known to the stewards; and

(f) Load horses into the gate in any order deemed necessary to ensure a safe and fair start.

(2) Assistant starters, with respect to an official race, shall not:

(a) Handle or take charge of any horse in the starting gate without the expressed permission of the starter;

(b) Impede the start of a race;

(c) Apply a whip or other device, with the exception of steward-approved twitches, to assist in loading a horse into the starting gate;

(d) Slap, boot or otherwise dispatch a horse from the starting gate;

(e) Strike or use abusive language to a jockey; or

(f) Accept or solicit any gratuity or payment other than his/her regular salary, directly or indirectly, for services in starting a race.

(3) No horse shall be permitted to start in a race unless approval is given by the starter. The starter shall maintain a starter's list of all horses which are ineligible to be entered in any race because of poor or inconsistent behavior or performance in the starting gate. Such horse shall be refused entry until it has demonstrated to the starter that it has been satisfactorily schooled in the gate and can be removed from the starter's list. Schooling shall be under the direct supervision of the starter.

(4) The starter and assistant starter shall report all unauthorized activities to the stewards.

[Statutory Authority: RCW 67.16.040. 98-01-145, § 260-24-580, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-590 Security director, association.** The security director shall be employed by the association and shall be directly responsible for maintaining the security and safety of the racing association's grounds. He/she shall issue daily reports to the commission security inspector outlining staffing and any incidents or occurrences which may constitute a violation of the "rules of racing." The security director will work closely with the board of stewards and commission security inspector(s) to facilitate the licensing, regulation and supervision of licensees and the racing association grounds. The security director may be requested to perform such other specific duties as are mutually agreed upon between the board of stewards and the racing association.

[Statutory Authority: RCW 67.16.040. 98-01-145, § 260-24-590, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-600 Commission security inspector(s).** The commission security inspector(s) shall be employed by the commission and report to the commission executive secretary and the stewards. His/her duties shall include investigation of allegations of wrongdoing and violations of the "rules of racing," presentation of cases before the stewards and other duties as set forth by the commission or the stewards.

[Statutory Authority: RCW 67.16.040. 98-01-145, § 260-24-600, filed 12/19/97, effective 1/19/98.]

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**WAC 260-24-610 Commission auditor.** The commission auditor shall be responsible for:

(1) Verifying the calculations of the parimutuel department;

(2) Calculating and/or verify the monetary commissions due;

(3) Maintaining the Washington bred owners bonus fund (including filing of tax information); and

(4) Various accounting and auditing services as requested by the commission or the stewards.

[Statutory Authority: RCW 67.16.040. 98-01-145, § 260-24-610, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-620 Clerk of scales.** The clerk of scales shall:

(1) Verify the presence of all jockeys in the jockeys' room at the appointed time;

(2) Verify that all such jockeys have a current jockey's license issued by the commission;

(3) Verify the correct weight of each jockey at the time of weighing out and weighing in and report any discrepancies to the stewards immediately;

(4) Oversee the security of the jockeys' room including the conduct of the jockeys and their attendants;

(5) Promptly report to the stewards any infraction of the rules with respect to weight, weighing, riding equipment or conduct;

(6) Record all required data on the scale sheet and submit that data to the horsemen's bookkeeper at the end of each race day;

(7) Maintain the record of applicable winning races on all apprentice certificates at the meeting;

(8) Release apprentice jockey certificates, upon the jockey's departure or upon the conclusion of the race meet; and

(9) Assume the duties of the jockey room supervisor in the absence of such employee.

[Statutory Authority: RCW 67.16.040. 98-01-145, § 260-24-620, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-630 Jockey room supervisor.** The jockey room supervisor shall:

(1) Supervise the conduct of the jockeys and their attendants while they are in the jockey room;

(2) Keep the jockey room clean and safe for all jockeys;

(3) Ensure all jockeys are in the correct colors before leaving the jockey room to prepare for mounting their horses;

(4) Keep a daily video list as dictated by the stewards and have it displayed in plain view for all jockeys;

(5) Keep a daily program displayed in plain view for the jockeys so they may have ready access to mounts that may become available;

(6) Keep unauthorized persons out of the jockey room; and

(7) Report to the stewards any unusual occurrences in the jockey room.

[Statutory Authority: RCW 67.16.040. 98-01-145, § 260-24-630, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-640 Film analyst.** The film analyst, when utilized, shall be responsible for assisting the stewards and other commission officials in the interpretation of video coverage of each race. The analyst shall perform such other duties as are designated by the board of stewards.

[Statutory Authority: RCW 67.16.040, 98-01-145, § 260-24-640, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-650 Clocker(s).** (1) The clocker(s) shall be present during training hours at each track on association grounds, which is open for training, to identify each horse working out and to accurately record the distances and times of each horse's workout.

(2) Each day, the clocker(s) shall prepare a list of workouts that describes the name of each horse which worked, along with the distance and time of each horse's workout.

(3) At the conclusion of training hours, the clocker shall deliver a copy of the list of workouts to the stewards and the racing secretary.

[Statutory Authority: RCW 67.16.040, 98-01-145, § 260-24-650, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-660 Race timer.** (1) The timer shall accurately record the time elapsed between the start and finish of each race.

(2) The time shall be recorded from the instant that the first horse leaves the point from which the distance is measured until the first horse reaches the finish line.

(3) At the end of a race, the timer shall post the official running time on the infield totalisator board.

(4) At a racetrack equipped with an appropriate infield totalisator board, the timer shall post the quarter times (splits) for races in fractions as a race is being run. For quarter horse races, the timer shall post the official times in hundredths of a second.

(5) For back-up purposes, the timer shall also use a stopwatch to time all races. In time trials, the timer shall ensure that at least three stopwatches are used by the stewards or their designees.

(6) The timer shall maintain a written record of fractional and finish times of each race and have same available for inspection by the stewards or the commission on request.

[Statutory Authority: RCW 67.16.040, 98-01-145, § 260-24-660, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-670 Paddock plater.** The paddock plater shall be available during racing hours to perform emergency shoeing repairs on horses in either the receiving barn, the paddock or during the parade to post. When directed by the board of stewards, the paddock plater shall report horses which are wearing caulks and on which feet. With permission of the stewards the paddock plater may assume other duties as requested by the association.

[Statutory Authority: RCW 67.16.040, 98-01-145, § 260-24-670, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-680 Mutuel inspector.** The mutuel inspector shall oversee parimutuel wagering activity, including but not limited to, testing of the totalisator system, working with the board of stewards, commission auditor and

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mutuel manager as related to chapter 260-48 WAC and shall perform other duties as directed by the commission.

[Statutory Authority: RCW 67.16.040, 98-01-145, § 260-24-680, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-690 Outrider(s).** The duty of the outrider(s) shall be to maintain safety on the racetrack during training hours insuring that all persons entering onto the racetrack have the proper safety equipment. During racing hours, prior to each race, the outrider(s) shall be responsible for maintaining order during the post parade and insuring that the horses arrive at the starting gate at post time. The outrider(s) shall inform the stewards of any questionable conduct and shall perform other duties as directed by the stewards.

[Statutory Authority: RCW 67.16.040, 98-01-145, § 260-24-690, filed 12/19/97, effective 1/19/98.]

**WAC 260-24-700 Any other person designated by the commission.** The commission may create additional racing official positions, as needed. Persons selected for these positions shall be considered racing officials and shall be subject to the general eligibility requirements outlined in this chapter.

[Statutory Authority: RCW 67.16.040, 98-01-145, § 260-24-700, filed 12/19/97, effective 1/19/98.]

## Chapter 260-28 WAC

### OWNERSHIPS, TRAINERS AND EMPLOYEES

#### WAC

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**WAC 260-28-010 Authorized agent.** An authorized agent is an agent appointed by document signed by the owner before a notary public and lodged with the secretary. An agent so appointed will be recognized by the commission as having authority to handle any and all matters pertaining to

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the stable for which he is authorized to act, and the acts of such agent shall be deemed the acts of the owner, and owner accepts responsibility for his agent's acts. The term of the license shall expire December 31st of each year, unless the agent's appointment is revoked by the owner in writing or until revoked for cause by the commission.

[Rules of racing, § 32, filed 4/21/61.]

**WAC 260-28-020 Stable names—Registration fees and restrictions.** Each stable name must be duly registered with the commission.

(1) The annual fee in Washington shall be \$25.00.

(2) In applying to race under a stable name the applicant must disclose the identity or identities behind a stable name. If a partnership is involved in the identity behind a stable name, the rules covering partnerships must be complied with.

(3) Changes in identities must be reported immediately to and approval obtained from the commission.

(4) No person can use his real name for racing purposes so long as he has a registered one, without permission of the board of stewards.

(5) A trainer who is a licensed owner or part owner may use a stable name as owner or part owner. However, no trainer may be licensed as trainer other than in his legal name.

(6) Any person who has been registered under a stable name may, at any time, cancel it after he has given written notice to the commission.

(7) A stable name may be changed at any time by registering a new stable name and by paying the fee as required above.

(8) A person cannot register as his stable name one which has been registered by any other person with an association conducting a recognized meeting, or the Jockey Club (N.Y.) or with another racing authority.

(9) A person may not register as his stable name one which is the real name of any owner of race horses, nor one which is the real or assumed name of any prominent person not owning race horses.

(10) A stable name shall be plainly distinguishable from that of another duly registered stable name.

(11) No stable name shall be used if in the judgment of the stewards it is being used for advertising purposes.

(12) Any combination of more than three owners will be required to race under a stable name.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 80-01-034 (Order 79-06), § 260-28-020, filed 12/17/79; Order 71-9, § 260-28-020, filed 6/2/71; Rules of racing, § 31, filed 3/11/65; subsection (4) amended, filed 8/26/65; § 31, filed 4/21/61.]

**WAC 260-28-030 Financial responsibility.** Any application for owner and/or trainer's license, at the request of the commission, must establish to the satisfaction of the commission his financial stability. He shall maintain his financial responsibility so long as he is licensed by the commission; failure to so comply shall be grounds for revocation of license.

[Rules of racing, § 27, filed 4/21/61.]

**WAC 260-28-040 Feed and supplies may be bought at open market.** Owners of stables participating in meetings

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operating under license of Washington horse racing commission shall be privileged to purchase feed and supplies at the open market. No association shall grant exclusive concessions which will operate to interfere with this privilege.

[Rules of racing, § 25, filed 4/21/61.]

**WAC 260-28-050 Colors—Registration and fees.** (1) Racing colors must be registered, and authority for their use sanctioned. Such registration shall be made annually, upon issuance of an owner's license.

(2) Colors registered with any racing commission or with the Jockey Club of New York shall be respected in Washington and only the registrant shall be permitted to use them.

(3) No person shall start a horse in racing colors other than those registered in his own or assumed name, but a temporary change from the recorded racing colors may be approved by the stewards.

(4) Any disputes between claimants to the right of particular racing colors shall be decided by the stewards.

(5) Any temporary change from the recorded colors of the owner must be approved by the stewards and posted by the clerk of the scales on the notice board.

[Statutory Authority: RCW 61.16.020 and 67.16.040. 82-14-012 (Order 82-05), § 260-28-050, filed 6/25/82; Rules of racing, § 64, filed 4/21/61.]

**WAC 260-28-060 Engagements and transfer of same.**

(1) When a horse is claimed out of a claiming race, the horses engagements are included.

(2) Subscriptions and all entries or rights of entry are valid when a horse is sold with his engagements duly transferred; in duly registered partnerships when subscriptions, entries and rights of entries survive in the remaining partners; and when entries under the decedent's subscription has been made previous to the decedent's death by the transfer of the right of entry.

(3) Subscriptions and all entries or rights of entry under them become void on the death of a subscriber, except in case of duly registered partnerships or except subject to the sanction of the stewards, when the personal representative of an estate shall in writing, request that the benefits of such accrue to the estate of the decedent subscriber for the privilege of transfer, and shall agree to assume any and all obligations incident to the original entries.

(4) In case of any transfer of a horse with its engagements, such horse will not be eligible to start in any stakes, unless at the usual time of the running of the stakes, or prior thereto, the transfer of the horse and its engagements shall be exhibited when demanded to the racing secretary.

(5) Should a horse be sold with his engagements, or any part of them, the seller cannot strike the horse out of any such engagements.

[Rules of racing, §§ 88 through 92, filed 4/21/61.]

**WAC 260-28-070 Ownerships to be filed with racing secretary.** All ownerships in a horse, except a trainer's percentage of his winnings, shall be filed with the racing secretary, before the horse shall start, as also shall every change in ownership thereafter during the meeting.

[Rules of racing, § 107, filed 4/21/61.]

**WAC 260-28-080 Corporate ownership and leases.**

No license as an owner shall be granted to a corporation or to the lessee or lessees of any corporation unless such corporation shall have no more than ten stockholders or members each of whom shall be the registered and beneficial owner of stock or membership in such corporation; nor shall any corporation having more than ten such stockholders have the power to lease for racing purposes to any natural person or persons or partnership any horse owned or controlled by it. Each stockholder must file an application for an owner's license: *Provided*, That the commission, through its board of stewards, may waive the requirement of ten or less stockholders and permit a corporation which has up to twenty-five stockholders to be licensed if all of the stockholders have sufficient local connections so that the process of checking applications is not unduly burdensome.

All the stockholders or members of a corporation which owns or leases horses for racing purposes in the state of Washington and also all such corporations shall make and file with the commission as and when requested by it, a report or reports containing such information as the commission may specify; and upon refusal or failure to file such report or reports the commission may refuse a license to any lessee or lessees of such corporation or may revoke any such license which it may have granted.

[Order 75-1, § 260-28-080, filed 2/18/75; Rules of racing, § 107(a), filed 4/21/61.]

**WAC 260-28-090 Owner to register horses with racing secretary.** Each owner shall register with the racing secretary at each track all of his horses, giving the name, color, sex, age and breeding of each.

[Rules of racing, § 176, filed 4/21/61.]

**WAC 260-28-100 Change of trainers.** If an owner changes trainers, he must notify the racing commission and require the new trainer to sign his name on said owner's registration.

[Rules of racing, § 178, filed 4/21/61.]

**WAC 260-28-110 Employment of jockey to prevent riding.** No owner shall employ a jockey for the purpose of preventing him from riding in any race.

[Rules of racing, § 179, filed 4/21/61.]

**WAC 260-28-120 Bribes and gratuities.** No owner shall accept, directly or indirectly, any bribe, gift or gratuity in any form which might influence the result of any race, or tend to do so.

[Rules of racing, § 180, filed 4/21/61.]

**WAC 260-28-130 May not employ nonlicensed veterinarian—Report of certain illnesses and treatments.** No owner or trainer or their representative, shall employ a veterinarian who is not licensed as such by the state board of veterinary examiners. Licensed associations shall use all reasonable efforts to prevent nonlicensed veterinarians from practicing on their premises. Every such veterinarian who shall prescribe or use any medication or treatment which contains

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a drug or drugs which he has reason to believe are of such character as would affect the racing condition of a horse in a race, shall at the time of prescribing or use deliver to the trainer of the horse under treatment a written statement, setting forth the date, the name of the horse and of the owner, and the name of said drug or drugs so prescribed or used. A copy of this statement shall also be delivered to the board of stewards. Any illness with unusual symptoms shall immediately be reported by the trainer or attending veterinarian to the stewards.

[Rules of racing, § 181, filed 4/21/61.]

**WAC 260-28-140 Employment of persons under sixteen.** No stable may have in its employment in any capacity any employee under sixteen years of age, except as may be permitted by the applicable laws of Washington.

[Rules of racing, § 182, filed 4/21/61.]

**WAC 260-28-150 Registration of stable personnel.** The personnel of every stable and changes thereof shall be registered by the owner with the racing commission.

[Rules of racing, § 183, filed 4/21/61.]

**WAC 260-28-160 Partnerships.** (1) All partnerships, and the name and address of every individual having any interest in a horse, the relative proportions of such interest, and the terms of any sale with contingencies, of any lease or of any arrangement, must be signed by all the parties or by their authorized agents and be lodged at the office of the commission before any horse which is a joint property or which is sold with contingencies or is leased can start in any race, and all the partners and each of them shall be jointly and severally liable for all stakes and obligations.

All statements of partnerships, of sales with contingencies, of leases, or of arrangements, shall declare to whom winnings are payable (which must be the name of the nominator), in whose name the horse will run, and with whom rests the power of entry or of declaration of forfeit.

(2) In case of emergency, authority to sign declarations or partnership may be given to the commission by a telegram promptly confirmed in writing.

(3) A part owner of any horse cannot assign his share or any part of it, without the written consent of the other partners. The said consent to be lodged with the commission.

[Rules of racing, §§ 197, 198, 199, filed 4/21/61.]

**WAC 260-28-170 Duty to name jockey upon making entry.** Every owner or trainer shall upon making an entry, be required to furnish the name of the jockey who rides his horse, or if this be not possible, he shall in any event be required to furnish it not later than scratch time the day of the race. If no jockey has been named by that hour, the stewards shall name the best available rider and he shall ride the horse.

[Rules of racing, § 279, filed 4/21/61.]

**WAC 260-28-180 Trainer—Insurer of condition of horse.** The trainer shall be responsible for and be the absolute insurer of the condition of the horses he enters regardless of the acts of third parties.



[Rules of racing, § 280, filed 4/21/61.]

**WAC 260-28-190 Trainer—Authority to represent owner.** A trainer may represent the owner in the matter of entries, declarations, and the employment of jockeys.

[Rules of racing, § 281, filed 4/21/61.]

**WAC 260-28-200 Trainer—Paddock duties.** (1) A trainer shall have his horse in the paddock at the time appointed.

(2) A trainer shall attend his horse in the paddock, and shall be present to supervise his saddling, unless he has obtained the permission of a steward to send another licensed trainer as substitute.

[Rules of racing, §§ 282, 283, filed 4/21/61.]

**WAC 260-28-210 Trainer—Substitute for absent trainer.** If a trainer is to be absent from the track where his horses are participating in races, he must obtain a licensed trainer to substitute for him during his absence. Such a substitute trainer must be approved by the board of stewards upon forms approved by the racing commission. The original trainer is the absolute insurer of the horse he has entered. The substitute trainer will then become the absolute insurer of any additional horses he may enter.

[Order 4, § 260-28-210, filed 12/24/69; Rules of racing, § 284, filed 4/21/61.]

**WAC 260-28-220 Trainer—Duty to register horses with racing secretary.** Each trainer shall register with the racing secretary all the horses in his charge, giving the name, age, sex, breeding and ownership of each.

[Rules of racing, § 285, filed 4/21/61.]

**WAC 260-28-230 Trainer—Duty to register personnel—Occupational licenses—Safety helmets.** Each trainer shall register with the racing commission every person in his employ and he shall be responsible for all his employees securing occupational licenses.

He shall also be responsible for every jockey and exercise boy wearing a safety helmet when exercising horses for him. The safety helmet shall be of a type approved by the commission and any changes in the helmet must be approved in writing by the stewards.

[Rules of racing, § 286, filed 4/21/61.]

**WAC 260-28-235 Trainer—Duty to provide employees financial relief from injury.** As a proper means of financial relief from injury, the Washington horse racing commission requires as a condition to issuance of a license that the applicant file proof of compliance with one of the following coverages:

(1) That the trainer cover his employees under state industrial insurance through the Washington state department of labor and industries.

(2) Trainers obtain coverage from private insurance carrier duly licensed to do business in the state of Washington, and approved by the Washington horse racing commission.

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(3) Posting of surety bond with sureties to be approved by the commission, in such amount as designated by the Washington horse racing commission.

[Rules of racing, § 425, filed 5/4/66.]

**WAC 260-28-240 Trainer—Restriction as to horses owned by disqualified person.** A trainer shall not have in charge or under his supervision any horse owned, in whole or in part, by a disqualified person.

[Rules of racing, § 287, filed 4/21/61.]

**WAC 260-28-250 Trainer—Bribery prohibited.** No trainer shall accept, directly or indirectly any bribe, gift, or gratuity in any form which might influence the result of any race or which would tend to do so.

[Rules of racing, § 288, filed 4/21/61.]

**WAC 260-28-260 Trainer—Removing horses from grounds.** No trainer shall move or permit to be moved any horse or horses in his care from the grounds of an association without written permission from the stewards.

[Rules of racing, § 289, filed 4/21/61.]

**WAC 260-28-270 Trainer—Employing jockey to prevent riding.** No trainer shall employ a jockey for the purpose of preventing him from riding in any race.

[Rules of racing, § 290, filed 4/21/61.]

**WAC 260-28-280 Trainer—Reporting sickness of horse.** A trainer shall see to it that a report is made promptly to the racing secretary and track veterinarian of any and all sickness of his horse or horses.

[Rules of racing, § 291, filed 4/21/61.]

**Chapter 260-32 WAC  
JOCKEYS, APPRENTICES AND AGENTS**

**WAC**

**JOCKEYS**

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260-32-400 Powers and duties.  
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**DISPOSITION OF SECTIONS FORMERLY  
CODIFIED IN THIS CHAPTER**

260-32-360 Apprentice allowances and extensions. [Statutory Authority: RCW 67.16.020 and 67.16.040. 83-08-057 (Order 83-01), § 260-32-360, filed 4/4/83; Order 77.1, § 260-32-360, filed 4/22/77; Order 73.7, § 260-32-360, filed 12/3/73; Order 3, § 260-32-360, filed 5/12/69; Rules of racing, § 173, filed 4/21/61, subsections 1(a) amended, filed 3/11/65; 1(c) amended, filed 8/26/65; 2(d) added, filed 1/21/64.] Repealed by 98-07-070, filed 3/17/98, effective 4/17/98. Statutory Authority: RCW 67.16.040.

**JOCKEYS**

**WAC 260-32-010 License required—Minimum age.**

- (1) Each jockey must obtain a license from the commission.
- (2) No boy under sixteen years shall be granted a jockey's license.

[Rules of racing, §§ 139, 140, filed 4/21/61.]

**WAC 260-32-020 Riding prior to licensure.** (1) A boy may be allowed to ride in two races before applying for his first license.

- (2) The stewards may permit a jockey to ride pending action on his application.

[Rules of racing, §§ 141, 142, filed 4/21/61.]

**WAC 260-32-030 Apprentice may ride in same race with jockeys.** An apprentice jockey may be permitted to ride in the same race with professional jockeys.

[Rules of racing, § 143, filed 4/21/61.]

**WAC 260-32-040 Jockey may not be owner or trainer.** No licensed jockey shall be the owner or trainer of any race horse.

[Rules of racing, § 144, filed 4/21/61.]

**WAC 260-32-050 Stable prerequisite to contract holding.** No person shall be allowed to hold a contract on a jockey or apprentice jockey unless he be in control or possession of such a stable of horses as would in the opinion of the stewards of the meeting, where the jockey or apprentice jockey makes application for license, warrant the employment of a contract jockey or apprentice jockey.

[Rules of racing, § 170, filed 4/21/61.]

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**WAC 260-32-060 Riding for other than contract employer.** A jockey shall not ride or agree to ride in any race without the consent of the owner or trainer to whom he is under contract.

[Rules of racing, § 145, filed 4/21/61.]

**WAC 260-32-070 Weighing out.** Jockeys are required to present themselves to be weighed out at the time fixed by the clerk of the scales.

[Rules of racing, § 146, filed 4/21/61.]

**WAC 260-32-080 Must fulfill engagements.** All jockeys shall faithfully fulfill all engagements in respect to racing.

[Rules of racing, § 147, filed 4/21/61.]

**WAC 260-32-090 Riding against starter of contract employer.** A jockey may not ride in any race against a starter of his contract employer unless his mount and his contract employer's starter are both in the hands of the same trainer.

[Rules of racing, § 148, filed 4/21/61.]

**WAC 260-32-100 Appearance and costume.** In riding a race a jockey must be neat in appearance. All riders must be dressed in clean jockey costumes, caps, and jackets of silk or waterproof, white breeches and top boots.

[Rules of racing, § 149, filed 4/21/61.]

**WAC 260-32-110 Protective helmet.** (1) It shall be mandatory that jockeys wear a protective helmet which shall be approved by the commission.

- (2) The weight of the protective helmet shall not be included in the jockey's weight.

[Rules of racing, §§ 150, 151, filed 4/21/61.]

**WAC 260-32-115 Safety vests.** Effective January 1, 1994 it shall be mandatory that jockeys wear a safety vest when riding in any official race. The safety vest shall weigh no more than two pounds and shall be designed to provide shock absorbing protection to the upper body of at least a rating of five, as defined by the British Equestrian Trade Association (BETA). The safety vest shall not be counted in the jockey's weight.

[Statutory Authority: RCW 67.16.040. 93-23-010, § 260-32-115, filed 11/5/93, effective 12/6/93.]

**WAC 260-32-120 Spurs or steels.** The use of spurs or steels is prohibited.

[Rules of racing, § 152, filed 4/21/61.]

**WAC 260-32-130 Colors.** A jockey must wear the colors of the owner or owners of the horse he is riding (except by special permission of the stewards) and the posting of such a change in colors on the bulletin board, and a number on the saddle cloth corresponding to the number of the horse as exhibited after the weighing out.

[Rules of racing, § 153, filed 4/21/61.]

**WAC 260-32-140 Numbers.** A jockey shall wear a number on his right arm and it and the saddle cloth number shall correspond to the number of the horse in the official program.

[Rules of racing, § 154, filed 4/21/61.]

**WAC 260-32-150 Reporting in prior to race—Attendance pending engagements.** Every jockey who is engaged in a race shall report to the scale room on the day of the race at the time required by the officials. He shall then report his engagements and overweight, if any, to the clerk of scales, and thereafter, except with the permission of the stewards, shall not leave the jockey room, except to view the races from a point approved by the stewards or to ride in a race, until all of his engagements of the day have been fulfilled.

[Rules of racing, § 155, filed 4/21/61.]

**WAC 260-32-160 Physical examinations.** Before the commencement of a meeting all jockeys must be examined by a licensed physician, designated by the board of stewards in order to establish their physical condition and freedom from disabling defects or contagious disease. During the conduct of a meeting, the board of stewards may require that any jockey be reexamined and may refuse to allow said jockey to ride until he successfully passes such examination.

[Rules of racing, § 156, filed 4/21/61.]

**WAC 260-32-170 Betting.** No jockey shall make a bet on any race nor accept the promise, or the token of any bet, with respect to the race in which he is riding, except through or from the owner or trainer of the horse he rides, and then only on that horse.

[Rules of racing, § 157, filed 4/21/61.]

**WAC 260-32-180 Fees.** (1) Jockey's riding fees, for a meeting must be approved by the commission.

(a) If any owner or trainer engages two or more jockeys for the same race, he/she shall pay the losing fee for each engaged jockey not riding in the race, as well as the proper fee to the jockey who does ride. In the event an owner or trainer elects to remove a jockey from his/her mount after scratch time or such other time as designated by the stewards, the stewards may require a double jockey fee to be paid. The double jockey fee to be paid may be equal to that earned by the jockey who rode the race or a losing fee, as determined by the board of stewards.

(b) A jockey's fee shall be considered earned when the jockey is weighed out by the clerk of scales. The fee shall not be considered earned if the jockey, of his/her own free will, takes himself/herself off his mount, where injury to the horse or rider is not involved. Any conditions or considerations not covered by the above ruling shall be at the discretion of the stewards.

(2) In a dead heat the jockeys involved shall divide equally the sum total of the fees they would have received individually had one beaten the other or others. Likewise, the owners of the horses involved shall pay their equal share.

(1999 Ed.)

[Statutory Authority: RCW 67.16.040. 98-07-070, § 260-32-180, filed 3/17/98, effective 4/17/98; Order 4, § 260-32-180, filed 12/24/69; Rules of racing, §§ 158, 159, filed 4/21/61.]

**WAC 260-32-190 Temporary suspension.** (1) If a jockey is suspended for an offense not involving fraud, and the suspension is for ten days or less, then the jockey may ride in those stakes races, futurity races, futurity trials, or other races which are designated by the respective stewards as races in which the jockey may compete, even though under suspension.

(2) Official rulings for riding infractions not involving fraud, with sanctions of suspension for ten days or less shall state the term of the suspension and shall not prohibit participation in designated races.

(3) A listing of the designated races shall be posted in the jockey's room, and any other such place deemed appropriate by the stewards.

(4) A suspended jockey must be named at the time of entry to participate in any designated race.

(5) A day in which a jockey participated in a designated race while on suspension shall count as a suspension day.

[Statutory Authority: RCW 67.16.040. 91-15-036, § 260-32-190, filed 7/16/91, effective 8/16/91; Rules of racing, § 160, filed 4/21/61.]

**WAC 260-32-200 When suspension commences.** The suspension of a jockey for an offense not involving fraud shall begin on the second day after the ruling, unless otherwise ordered by the stewards. A suspension for fraud shall begin immediately after the ruling.

[Rules of racing, § 161, filed 4/21/61.]

**WAC 260-32-210 Payment of forfeitures.** A forfeiture must be paid by the jockey himself and any other person paying it shall be subject to punishment.

[Rules of racing, § 162, filed 4/21/61.]

**WAC 260-32-220 Jockey limited to one agent who shall make all engagements.** Every jockey may have one agent and no more. All engagements to ride, other than those for his contract employer, shall be made by his agent.

[Rules of racing, § 163, filed 4/21/61.]

**WAC 260-32-230 Attendants.** No jockey shall have an attendant other than those provided by the association. Such attendants shall be paid from an assessment collected from the jockeys.

[Rules of racing, § 164, filed 4/21/61.]

**WAC 260-32-240 Priority of retainers.** Employers retaining the same jockey have precedence according to priority of the retainers as specified in the contracts.

[Rules of racing, § 165, filed 4/21/61.]

**JOCKEY APPRENTICES  
(CONTRACTS—REGISTRATION—APPLICATION  
FOR LICENSES)**

**WAC 260-32-300 Application of rules for jockeys.**

Jockey apprentices shall be bound by all the rules for jockeys, except insofar as said rules may be in conflict with the following specific regulations for apprentices.

[Rules of racing, § 166, filed 4/21/61.]

**WAC 260-32-310 Contracts—Form—Filing.** (1)

Apprentice contracts entered into in the state of Washington must be made on forms supplied by the racing commission, and a copy shall be filed with the commission.

(2) A copy of all apprentice contracts, wherever entered into, must be filed with the commission.

[Rules of racing, §§ 167, 168, filed 4/21/61.]

**WAC 260-32-320 Contracts—Transfers.** If an apprentice contract is transferred said transfer must be approved by the stewards and registered with the commission by both the transferrer and the transferee.

[Rules of racing, § 169, filed 4/21/61.]

**WAC 260-32-330 Stable prerequisite to contract holding.** See WAC 260-32-050.

**WAC 260-32-335 Apprentice certificates.** An apprentice jockey may be granted an apprentice certificate in lieu of an apprentice contract. The apprentice certificate shall grant an apprentice all the allowances and conditions granted to the apprentice who is under contract.

[Order 77.1, § 260-32-335, filed 4/22/77.]

**WAC 260-32-340 Application for license—Supporting documents.** An application for a license as apprentice jockey shall be accompanied by (1) an original, a notarized or a photostatic copy of his agreement with his contract employer and (2) written proof of at least one year of service with a racing stable and (3) birth certificate or satisfactory evidence of the date of birth.

[Rules of racing, § 171, filed 4/21/61.]

**WAC 260-32-350 Riding for other than contracted employer—Fee entitlement.** An apprentice jockey shall not be permitted to ride for any other than his contract employer, without said employer's consent. Any apprentice or contract rider shall be entitled to the regular jockey fees, except when riding a horse owned in part or solely by his contract holder. An interest in winnings only (such as trainer's percent) shall not constitute ownership.

[Order 73.7, § 260-32-350, filed 12/3/73; Rules of racing, § 172, filed 4/21/61.]

**WAC 260-32-370 Apprentice jockeys.** (1) An applicant for an apprentice jockey license may be prohibited from riding until the stewards or the commission has sufficient

opportunity (not to exceed 14 days) to verify the applicant's previous riding experience.

(2) The conditions of an apprentice jockey license do not apply to quarter horse or mixed breed racing. A jockey's performances in quarter horse or mixed breed racing do not apply to the conditions of an apprentice jockey.

(3) An applicant with an approved apprentice certificate may be licensed as an apprentice jockey.

(4) An apprentice certificate may be obtained from the stewards on a form provided by the commission. A person shall not receive more than one apprentice certificate. In case of emergencies, a copy of the original may be obtained from the commission where it was issued.

(5) The apprentice jockey shall be responsible to have his/her apprentice certificate with them at all times. Prior to riding, the apprentice certificate shall be submitted to the clerk of scales at each racing association in which the apprentice is licensed and riding.

(6) The apprentice jockey shall keep an accurate updated record of his/her first forty winners, to be recorded on the certificate by the clerk of scales.

(7) An apprentice jockey may claim the following weight allowances in all overnight races except stakes and handicaps:

(a) Ten pound allowance beginning with the first mount and continuing until the apprentice has ridden five winners:

(b) Seven pound allowance until the apprentice has ridden an additional thirty-five winners; and

(c) If an apprentice has ridden a total of forty winners prior to the end of a period of one year from the date of riding their fifth winner, the apprentice jockey shall have an allowance of five pounds until the end of that year;

(d) If after one year from the date of the fifth winning mount, the apprentice jockey has not ridden forty winners, the applicable weight allowance shall continue for one more year or until the 40th winner, whichever comes first. In no event may a weight allowance be claimed for more than two years from the date of the fifth winning mount, unless an extension has been granted.

(8) The commission may extend the weight allowance of an apprentice jockey when, at the discretion of the commission, an apprentice jockey is unable to continue riding due to:

(a) Physical disablement or illness;

(b) Military service;

(c) Attendance in an institution of secondary or higher education;

(d) Restriction on racing;

(e) Other valid reasons.

(9) In order to qualify for an extension, an apprentice jockey shall have been rendered unable to ride for a period of not less than seven consecutive days during the period in which the apprentice was entitled to an apprentice weight allowance. Under exceptional circumstances, total days lost collectively will be given consideration.

(10) The commission currently licensing the apprentice jockey shall have the authority to grant an extension to an eligible applicant, but only after the apprentice has produced documentation verifying time lost as defined by this regulation.

(11) An apprentice may petition one of the jurisdictions in which he or she is licensed and riding for an extension of the time for claiming apprentice weight allowances, and the apprentice shall be bound by the decision of the jurisdiction so petitioned.

(12) Apprentice jockeys shall be bound by all rules for jockeys, except insofar as said rules may be in conflict with WAC 260-32-400.

[Statutory Authority: RCW 67.16.040, 98-01-146, § 260-32-370, filed 12/19/97, effective 1/19/98.]

**JOCKEY AGENTS**

**WAC 260-32-400 Powers and duties.** Each jockey agent shall be licensed on a regular form provided by the commission. No jockey agent shall be the owner or trainer of any horse. A jockey agent may represent three jockeys providing the conditions justify and upon approval of the stewards. No jockey agent shall make or assist in making any engagement for any rider other than those he is licensed to represent. Each jockey agent shall keep, on a form provided by the association, a record by races of all engagements made by him of the riders he is representing. This record must be kept up to date and held ready at all times for the inspection by the stewards. If any jockey agent gives up the making of engagements for any rider, he shall immediately notify the stewards, and he shall also turn over to the stewards a list of any unfilled engagements he may have made for that rider. A jockey agent may not drop a rider without notifying the board of stewards in writing. All rival claims for the services of a rider will be adjusted by the stewards.

[Statutory Authority: RCW 67.16.040, 92-21-027, § 260-32-400, filed 10/13/92, effective 11/13/92. Statutory Authority: RCW 67.16.020 and 67.16.040, 80-01-073 (Order 79-03), § 260-32-400, filed 12/24/79; Rules of racing, § 174, filed 3/11/65; filed 4/21/61.]

**WAC 260-32-410 Touting prohibited.** A jockey agent shall not give to any one, directly or indirectly any information or advice pertaining to a race or engage in the practice commonly known as "touting" for the purpose of influencing any person, or that would tend to do so, in the making of a wager on the result of any race.

[Rules of racing, § 175, filed 4/21/61.]

**WAC 260-32-420 Visitation privileges.** A jockey agent must receive permission from the stewards to visit jockey quarters, winners circle, paddock, and film review room.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 82-09-016 (Order 82-03), § 260-32-420, filed 4/9/82.]

**Chapter 260-34 WAC**

**DRUG AND ALCOHOL TESTING OF LICENSEES AND EMPLOYEES**

**WAC**

- 260-34-010 Primary purpose.
- 260-34-020 Use of controlled substances.
- 260-34-030 Testing.
- 260-34-040 Definitions.
- 260-34-050 Reasonable suspicion.
- 260-34-060 Refusal to test.

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- 260-34-070 Responsibility to report valid prescriptions.
- 260-34-080 Testing procedure.
- 260-34-090 A positive test.
- 260-34-100 Confidentiality of test results.
- 260-34-110 Consumption of alcohol.
- 260-34-120 Alcohol violations defined.
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- 260-34-140 Alcohol levels determined.
- 260-34-150 Alcohol testing.
- 260-34-160 Refusal to be tested.
- 260-34-170 Alcohol violation sanctions.
- 260-34-180 Testing expense.
- 260-34-190 Severability.

**WAC 260-34-010 Primary purpose.** In order to protect the integrity of horse racing in the state of Washington, to protect the health and welfare of licensees and employees engaged in horse racing within the state of Washington, to prevent the exploitation of the public, licensees and/or employees engaged in horse racing in the state of Washington, to foster fairness of competition within the racing industry and in order to protect public safety within the state of Washington, the horse racing commission intends to regulate at all race meets licensed by it, the use of any controlled substance as listed in chapter 69.50 RCW or any legend drug as defined in chapter 69.41 RCW unless such legend drug was obtained directly and pursuant to a valid prescription from a duly licensed physician or dentist acting in the course of his or her professional practice. The commission recognizes that the most effective preventive measures are also measures considered by many to be most invasive of civil liberties, and intends to limit the impact on civil liberties by implementing limited preventative measures. The commission also recognizes that there are limits to the known correlation between the use of drugs, drug levels in bodily fluids and impairment from the presence of those drugs in the body, but that the known possible impairment and detriment to the integrity of the horse racing industry from the use of drugs warrants appropriate measures to prevent such use. This chapter shall be applicable to any licensee or employee who is responsible for the conduct of, or the officiating of, a race or whose duties include the training, exercising, riding, driving, or caring for a horse while the horse is on any association premises to participate in a horse racing meet.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 89-13-006 (Order 89-02), § 260-34-010, filed 6/9/89; 88-09-033 (Order 88-02), § 260-34-010, filed 4/15/88.]

**WAC 260-34-020 Use of controlled substances.** No licensee or employee of any racing association or any employee of the horse racing commission or applicant who is, or may be, responsible for the conduct of, or officiating of a race, or whose duties include the training, exercising, riding, driving, or caring for a horse while the horse is on any association premises to participate in a horse racing meet or on grounds licensed by the horse racing commission, shall be under the influence of intoxicating liquor, or have within their body any drug or controlled substance unless obtained directly and used pursuant to a valid medical prescription from a duly licensed physician or dentist acting in the course of his or her professional practice while within the enclosure of or on the premises managed by any association. "Controlled substance" or "drug" as used in this chapter means any

substance listed in chapter 69.50 RCW or legend drug as defined in chapter 69.41 RCW.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 89-13-006 (Order 89-02), § 260-34-020, filed 6/9/89; 88-09-033 (Order 88-02), § 260-34-020, filed 4/15/88.]

**WAC 260-34-030 Testing.** The board of stewards of the horse racing commission or the commission, acting through the executive secretary, may require any licensee, employee of any racing association, or employee of the horse racing commission, or applicant, who is, or may be, responsible for the conduct of, or officiating of, a race, or whose duties include the training, exercising, riding, driving, or caring for a horse while the horse is on any association premises to participate in a horse racing meet, or on grounds licensed by the horse racing commission, to provide blood and/or urine samples for the purpose of drug or alcohol analysis under any of the following circumstances:

(1) As part of a physical examination described in WAC 260-32-160, as close as practicable prior to the testee's participation in his/her first race meeting of a calendar year.

(2) When the board of stewards finds that there is reasonable suspicion to believe that the proposed testee has used any controlled substance unless such controlled substance was obtained directly and used pursuant to a valid medical prescription from a duly licensed physician or dentist acting in the course of his or her professional practice or, alcohol in excess of the limits prescribed in this chapter.

(3) At the discretion of the stewards when the proposed testee has a documented history of an unexplained positive test which indicates illegal drug usage or when the proposed testee has a documented history of violating chapter 69.41, 69.45 or 69.50 RCW, WAC 260-34-020 or similar drug-related violation.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 89-13-006 (Order 89-02), § 260-34-030, filed 6/9/89; 88-09-033 (Order 88-02), § 260-34-030, filed 4/15/88.]

**WAC 260-34-040 Definitions.** (1) "Licensee," "employee," or "applicant": For the purpose of this chapter, "licensee," "employee," or "applicant" means and includes any person licensed or employed, or an applicant for a license or employment by the horse racing commission within the state of Washington or by any association whose duties include any of the following: Training, exercising, riding, driving, or caring for a horse while he/she is on the association grounds to participate in a horse racing meet, or on premises licensed by the horse racing commission, or any licensed racing official who is involved in the conduct of a horse racing meet including, but not limited to:

- (a) Apprentice jockey;
- (b) Assistant starter;
- (c) Assistant trainer;
- (d) Clerk of scales;
- (e) Dentist;
- (f) Driver;
- (g) Exercise boy/girl;
- (h) Groom;
- (i) Horseshoer;
- (j) Jockey;

- (k) Jockey agent;
- (l) Out rider;
- (m) Paddock judge;
- (n) Pony rider;
- (o) Racing judge;
- (p) Security officer;
- (q) Starter;
- (r) Steward;
- (s) Trainer;
- (t) Valet;
- (u) Veterinarian;
- (v) Veterinarian's assistant;
- (w) Any other licensed personnel deemed appropriate by the horse racing commission where the person is involved in the conduct of a race.

(2) "Suspension": For purposes of this chapter, "suspension" means prevention from conducting the activities permitted or authorized by a license or employment or, if an applicant, prevention from obtaining a license or employment. "Suspension" is to be interpreted as a temporary remedial measure designed to protect the safety and integrity of the horse racing industry and the participants therein, and is not to be considered punitive.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 89-13-006 (Order 89-02), § 260-34-040, filed 6/9/89; 88-09-033 (Order 88-02), § 260-34-040, filed 4/15/88.]

**WAC 260-34-050 Reasonable suspicion.** When determining whether there is reasonable suspicion to require testing, the board of stewards may consider, but are not limited to, any of the following factors:

(1) Unexplained or continued rule violations which have a detrimental effect on racing.

(2) Involvement in any accident which causes injury to person or animal at the track as well as any near accident which created a clear danger of accident or injury to person or animal at the track.

(3) Willful conduct detrimental to horse racing as evidenced by continued rule violations, other disciplinary problems, behavioral problems, disturbances, or other similar conduct at the track.

(4) Observable physical or emotional impairment at the track.

(5) Involvement in a race of questionable outcome or circumstance as determined by the board of stewards in the exercise of their expertise.

(6) Willful abuse of animal or person who is engaged in a race, work, or exercise engagement at the track.

(7) Prior positive test or tests, excluding those where a valid legal prescription has been revealed.

(8) Performance of prescribed duties in a manner which indicates a best effort to win is not present at the track.

(9) Information supplied by a law enforcement agency, the thoroughbred racing protective bureau, or horse racing commission of any state or country which is verified in writing relating to drug or alcohol abuse or both.

(10) Any other physical conduct at the track which can be documented which would indicate reasonable grounds to believe the existence of dependence on or usage, of a controlled substance, or alcohol abuse.

(11) Repeated wrongful refusal to take a test when requested to do so within this chapter.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 89-13-006 (Order 89-02), § 260-34-050, filed 6/9/89; 88-09-033 (Order 88-02), § 260-34-050, filed 4/15/88.]

**WAC 260-34-060 Refusal to test.** (1) When any licensee, employee, or applicant is requested to submit to a test in a manner prescribed by this chapter, the person shall do so in a prompt manner. Refusal to supply such sample shall result in:

(a) Immediate suspension of the licensee, employee, or applicant; and

(b) A hearing before the board of stewards in accordance with WAC 260-24-440 with written notice of the issue to be addressed prepared by the presiding steward, to be held within the next two racing days or seven calendar days, whichever is less, after service of the notice or sooner or later if the licensee, employee, or applicant and the board of stewards agree. Service shall be to the licensee, employee, or applicant personally, by leaving the notice at the person's residence with someone of reasonable age and discretion residing therein, or by mailing the notice to the person's last known address. If by mail, service shall be deemed completed on the third day after mailing.

(2) If the board of stewards finds at the hearing that said refusal to test occurred without just cause, the licensee, employee, or applicant shall be suspended from racing for and until such time as a test has been obtained in conformance with this chapter. In the event of a finding of just cause, the licensee, employee, or applicant must submit to a test immediately once the conditions which justly prevented testing abate or can be eliminated.

(3) Repeated refusal without just cause to submit to an ordered test may result in license revocation and banning from race meets in the state of Washington by the commission after a hearing pursuant to chapters 260-08 and 260-88 WAC.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 89-13-006 (Order 89-02), § 260-34-060, filed 6/9/89; 88-09-033 (Order 88-02), § 260-34-060, filed 4/15/88.]

**WAC 260-34-070 Responsibility to report valid prescriptions.** Whenever any licensee, employee, or applicant has been directed to submit to a drug test and that licensee, employee, or applicant is taking a controlled substance pursuant to a valid prescription on order of a duly licensed physician or dentist, it shall be the licensee's, employee's, or applicant's responsibility to give immediately prior to testing written notice to the medical staff member designated pursuant to WAC 260-34-080 or designated representative of the Washington horse racing commission containing the following:

(1) Name of the licensee, employee, or applicant.

(2) The name, quantity, and dosage of the controlled substance prescribed.

(3) The name of the duly licensed physician or dentist prescribing same.

(4) The date the prescription was prescribed.

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(5) The time and date next preceding the date of the test when the prescribed controlled substance was ingested by the licensee, employee, or applicant.

All such notices shall become part of the records of the drug test and preserved to maintain strict confidentiality of the contents.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 89-13-006 (Order 89-02), § 260-34-070, filed 6/9/89; 88-09-033 (Order 88-02), § 260-34-070, filed 4/15/88.]

**WAC 260-34-080 Testing procedure.** (1) When the drug testing is required as described in WAC 260-34-030(1), the following procedure will be used:

(a) The licensee, employee, or applicant will report to the specified physician where a member of the medical staff designated by the physician will supervise the sample being given. The supervision need not include actual observance of the delivery of the sample but the sample shall be taken under such circumstances that the integrity of the sample is maintained without unnecessarily interfering with the individual rights of the person tested, including the right to be free from unnecessary embarrassment. Intentional contamination of the sample by any person tested which is likely to prevent appropriate analysis of the sample shall be grounds for the suspension or revocation of the person tested.

(b) The urine sample will be at least 75 ml in volume. The urine sample will be divided into two parts of at least 25 ml and 50 ml in the presence of the person tested. If the licensee, employee, or applicant is unable to provide 75 ml of urine, the licensee, employee, or applicant may waive in writing the division of the sample and preservation of an untested portion of the sample as provided in (c) of this subsection and subsection (4) of this section. If the person tested is unable to provide a sufficiently large sample, either 75 ml or 50 ml with a waiver, the person shall not be suspended, but shall not participate in racing until such time as he or she is able to provide sufficient urine and completes the test. All portions of the sample shall be placed in containers and sealed with double identification tags in the presence of the person being tested.

(c) The 25 ml (or more) container will be preserved pursuant to subsection (3) of this section by the medical facility obtaining the sample. Both licensee, employee, or applicant and member of the medical staff, chief of security, or designated representative of the horse racing commission will sign the tag to attest to the sealing and labeling of the sample.

(d) The 50 ml (or more) container will be prepared for transportation as follows: One portion of the container's tag bearing a printed identification number shall remain with the sealed container. The other portion of such tag bearing the same printed identification number, shall be detached in the presence of the person tested and a member of the medical staff, the chief of security or designated representative of the horse racing commission. The licensee, employee, or applicant will initial or sign the designated portion of the tag to attest witnessing such action. The member of the medical staff, the chief of security or designated representative of the horse racing commission will also sign the detached portion of the tag to attest witnessing such action. The sample will then be handled in a manner consistent with an evidentiary



chain of custody throughout the transportation and laboratory testing process. The sample and the tag identifying the sample which is to be provided to the laboratory for analysis shall not identify the person by name, but only by number assigned and recorded by the members of the medical staff, chief of security, or designated representative of the horse racing commission.

(2) When the testing is to be done as a result of reasonable suspicion or the result of mandatory testing being conducted after a positive test, the same procedure for handling the specimens shall be utilized as in subsection (1) of this section, but the sample may be taken at the track and witnessed by the chief of security or designated representative of the horse racing commission. The witness must be of the same sex as the person being tested. After the sample is taken, divided and sealed, the chief of security or designated representative of the horse racing commission will be responsible for the evidentiary chain of custody and transportation of one portion of the sample to the laboratory and storage of the other portion pursuant to subsection (3) of this section. The chief of security of the horse racing commission will maintain a checklist of procedures to implement these steps; the checklist will be marked as the steps are carried out and it will be maintained as part of security records.

(3) Each portion of the sample supplied by the person tested will be preserved by the member of the medical staff, chief of security, representative of the horse racing commission, or laboratory for thirty days unless there is a positive test result. If there is a positive test result, the samples will be preserved until released by the executive secretary of the horse racing commission after all hearings and appeals have been terminated. The samples will be preserved in a secured location by refrigeration or freezing for the first thirty days and thereafter by freezing.

(4) Either or both portions of the sample may be retested at the request of the licensee, employee, or applicant at either the laboratory used by the horse racing commission or a separate equally or better qualified and reputable laboratory designated by the licensee, employee, or applicant. If the untested sample is transported for testing, transportation will be performed by the chief of security or designated representative of the horse racing commission using an evidentiary chain of custody. None of the originally untested 25 ml portion is required to be saved after testing for retesting. The licensee, employee, or applicant is responsible for all costs of transporting and testing or retesting a sample at his or her request.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 89-13-006 (Order 89-02), § 260-34-080, filed 6/9/89; 88-09-033 (Order 88-02), § 260-34-080, filed 4/15/88.]

**WAC 260-34-090 A positive test.** A drug test shall be positive when the presence of a controlled substance is confirmed by two independent tests performed on the same sample supplied by a licensee, employee, or applicant. The tests used will be the E.M.I.T. screen test, followed by a gas chromatography/mass spectrometry confirmatory test, or other tests which the scientific community recognizes are equally or more accurate and reliable. If marijuana or its derivatives, salts, isomers, or salts of isomers are detected in

a drug test, such a result will not be reported positive unless found at levels of at least one hundred nanograms per milliliter.

A positive drug test shall be reported by the laboratory to the presiding steward at the track. On receiving written notice from the laboratory that a specimen has been found positive for a controlled substance, the presiding steward shall initiate the following procedure:

(1) Written notice shall be given to the licensee, employee or applicant, setting a hearing by the board of stewards in accordance with WAC 260-24-440 within the next two racing days or seven calendar days, whichever is less, after service of the notice. The hearing may be held within a shorter or longer period of time if the licensee, employee, or applicant named and the board of stewards agree. Service shall be to the licensee, employee, or applicant personally, by leaving the notice at the person's residence with someone of reasonable age and discretion residing therein, or by mail to the person's last known address. If by mail, service shall be deemed completed on the third day after mailing.

(2) The hearing shall be conducted before the board of stewards pursuant to WAC 260-24-440. At the hearing, the licensee, employee, or applicant shall be provided an opportunity to explain the positive test.

(3) The board of stewards' hearing shall be closed and the facts therein will be kept confidential unless for use with respect to any subsequent contested hearing or order by the horse racing commission or judicial hearing with regard to such facts. Closure of the hearing and confidentiality of the proceedings may be waived by the licensee, employee, or applicant. The board may issue a public ruling which complies with the confidentiality requirements of this section and WAC 260-34-100.

(4) Lacking a satisfactory explanation and documentation or upon the licensee, employee, or applicant agreeing with the test results, the board of stewards shall suspend the licensee, employee, or applicant until:

(a) A negative test can be submitted by that licensee, employee, or applicant and the results reviewed by the board of stewards; and

(b) The licensee, employee, or applicant is referred to an approved agency for a drug evaluation interview and completes the evaluation.

(i) If the evaluation concludes that the licensee, employee, or applicant is not addicted or habituated, and if the board of stewards determines that the licensee's, employee's, or applicant's condition is not detrimental to the best interests of racing, the licensee, employee, or applicant shall be allowed to participate in racing provided he or she agrees that further testing may be done as described in WAC 260-34-030(3).

(ii) If such drug evaluation concludes that the licensee, employee, or applicant is addicted or habituated, or the board of stewards determines that the licensee's, employee's, or applicant's condition is detrimental to the best interests of racing, the licensee, employee, or applicant shall not be allowed to participate in racing until such time as he or she can produce a negative test result and show official documentation that he or she has successfully completed a certified drug rehabilitation program approved by the board of stew-

ards, in consultation with the executive secretary of the horse racing commission. The licensee, employee, or applicant must agree to further testing as described in WAC 260-34-030(3).

(5) For a second positive drug test in the calendar year, the licensee, employee, or applicant shall be suspended for the balance of the calendar year or one hundred twenty days, whichever is greater, and the person is required to complete a certified drug rehabilitation program approved by the board of stewards in consultation with the executive secretary of the horse racing commission before applying for a reinstatement of license. The licensee, employee, or applicant must agree to further testing as described in WAC 260-34-030(3).

(6) When any licensee, employee, or applicant has a history of more than two violations of WAC 260-34-020 or positive drug tests, the horse racing commission may, pursuant to a hearing conducted under chapter 260-08 WAC, declare such person detrimental to the best interests of racing and revoke that person's license or application. Reapplication shall not be permitted for such period of months or years as the commission determines is necessary to ensure the person's freedom from use of controlled substances and not until meeting the requirements of subsection (5) of this section.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 89-13-006 (Order 89-02), § 260-34-090, filed 6/9/89; 88-09-033 (Order 88-02), § 260-34-090, filed 4/15/88.]

**WAC 260-34-100 Confidentiality of test results.** The executive secretary of the horse racing commission shall maintain all test results and records, both negative and positive, confidential. He or she shall document the process which will ensure the confidentiality of the handling of such results. Information contained in the test results shall remain confidential at all times except for use with respect to any contested hearing or order by the horse racing commission or judicial hearing with regard to such an order. Access to the reports of any test results shall be limited to the executive secretary, the board of stewards, the chief of security of the commission at the track, the physician or member of the medical staff obtaining and preserving samples, the laboratory and the person being tested, except in the instance of a contested commission hearing. The information obtained as a result of a test being required under the rules of the horse racing commission shall be considered privileged and shall be used for administrative purposes only and, further, shall be exempt from use as evidence in any criminal prosecution involving the violation of offenses listed in chapter 69.50 RCW.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 89-13-006 (Order 89-02), § 260-34-100, filed 6/9/89; 88-09-033 (Order 88-02), § 260-34-100, filed 4/15/88.]

**WAC 260-34-110 Consumption of alcohol.** Consumption of alcohol by any licensee or employee listed in WAC 260-34-040 (1) through (22) or as described in (23) to an extent that the licensee or employee is affected by alcohol while in performance of their duties is prohibited.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 88-17-075 (Order 88-05), § 260-34-110, filed 8/19/88.]

(1999 Ed.)

**WAC 260-34-120 Alcohol violations defined.** The testing for any licensee or employee for use of alcohol shall be done upon an order of the board of stewards based upon reasonable suspicion to believe that the licensee or employee has consumed alcohol as described in WAC 260-34-020 or 260-34-110.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 88-17-075 (Order 88-05), § 260-34-120, filed 8/19/88.]

**WAC 260-34-130 Consumption reasonable suspicion for testing.** A documented report of observed consumption of alcohol by a licensee or employee not in keeping with WAC 260-34-110 by any horse racing commission employee or by any track administration security officer may be deemed reasonable suspicion for alcohol testing of that licensee or employee. Reasonable suspicion for alcohol testing may also be established by documentation by commission employees or by any track administrative security officer for physical or mental impairment, loss of balance, slurred speech, presence of alcohol on the breath, glazed eyes, or any other physical or mental action generally associated with alcohol intoxication.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 88-17-075 (Order 88-05), § 260-34-130, filed 8/19/88.]

**WAC 260-34-140 Alcohol levels determined.** For the purpose of this chapter, licensees and employees shall be considered to have consumed alcohol in violation of WAC 260-34-030 or 260-34-110 when a test reveals the testee has .08 micrograms or more of alcohol per 210 liters of breath as shown by analysis of his breath, blood or other body substance.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 88-17-075 (Order 88-05), § 260-34-140, filed 8/19/88.]

**WAC 260-34-150 Alcohol testing.** The testing of any licensee or employee to determine blood level of alcohol shall be by the method and procedure approved by the Washington state patrol or by a blood alcohol test if requested by the licensee or employee, such blood alcohol test must be supervised in a manner prescribed by the horse racing commission. If a blood test is requested, the expense of same shall be borne by the requesting licensee or employee.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 88-17-075 (Order 88-05), § 260-34-150, filed 8/19/88.]

**WAC 260-34-160 Refusal to be tested.** Any licensee or employee who refuses to be tested for alcohol consumption after receiving a written order from the stewards shall be suspended immediately and must leave the association grounds. The licensee or employee may be subject to further sanctions at a stewards hearing. The stewards may lift the suspension at their discretion.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 88-17-075 (Order 88-05), § 260-34-160, filed 8/19/88.]

**WAC 260-34-170 Alcohol violation sanctions.** (1) For a first alcohol offense within a calendar year, the penalty is two days suspension.

(2) For a second alcohol offense within a calendar year, the penalty is two days suspension and a mandatory evaluation by a certified alcohol treatment program approved by the executive secretary of the horse racing commission.

(3) For a third offense within a calendar year, the penalty is fourteen days suspension and enrollment and completion of a certified alcohol treatment program approved by the executive secretary of the horse racing commission.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 88-17-075 (Order 88-05), § 260-34-170, filed 8/19/88.]

**WAC 260-34-180 Testing expense.** Except for retesting requested by a licensee, employee, or applicant pursuant to WAC 260-34-080(4), all testing, whether blood, urine, or breath, ordered pursuant to this chapter shall be at the expense of the horse racing commission. All expense of drug and/or alcohol evaluation, treatment, reports, and fees shall be at the expense of the licensee, employee, or applicant undergoing such evaluation or treatment.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 89-13-006 (Order 89-02), § 260-34-180, filed 6/9/89; 88-09-033 (Order 88-02), § 260-34-180, filed 4/15/88.]

**WAC 260-34-190 Severability.** If any section, subsection, or provision of this chapter or its application to any person or circumstance is held invalid, the remainder of the chapter or application of the section, subsection, or provision to other persons or circumstances is not affected.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 89-13-006 (Order 89-02), § 260-34-190, filed 6/9/89.]

## Chapter 260-36 WAC

### OCCUPATIONAL PERMITS AND LICENSES

#### WAC

260-36-010	Unlawful to act without license.
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260-36-030	Veterinarians, platers, and dentists—License required—Ineligible as trainers.
260-36-040	Registration of personnel other than owners, trainers and jockeys—Fee.
260-36-050	Application forms.
260-36-060	Application for license—Owners, trainers, jockeys, apprentices, and agents.
260-36-070	Licenses temporary subject to commission approval.
260-36-080	Duration of license.
260-36-090	Duplicate license cards.
260-36-100	Photographs and fingerprints.
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260-36-120	Denial, suspension, and revocation—Grounds.
260-36-130	Revocation for association with disreputable persons.
260-36-140	Harboring person not having credentials.
260-36-150	Employing unlicensed person.
260-36-160	Personnel lists.
260-36-180	Consent to search.
260-36-190	Facsimile for owners may be used.
260-36-200	Provisional owner's license.

**WAC 260-36-010 Unlawful to act without license.** It shall be unlawful for any person to take part in or officiate in any way or to serve in any capacity at any race track without first having secured a license or permit and paid said fee.

[Rules of racing, § 348, filed 4/21/61.]

[Title 260 WAC—p. 52]

**WAC 260-36-020 Licenses required of jockeys, apprentices, owners, trainers.** All jockeys and apprentice jockeys must first secure occupational license before accepting a mount; no trial ride will be permitted without such occupational license, except as provided in WAC 260-32-020(1). Each owner and trainer must secure occupational license before entering a horse and the racing secretary shall be required to secure such occupational license number of owner and trainer making such entry. The license fee for jockeys, apprentices, owners, and trainers shall be for one year and shall be \$15.00.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 89-13-007 (Order 89-03), § 260-36-020, filed 6/9/89; 86-09-072 (Order 86-02), § 260-36-020, filed 4/21/86; 82-14-012 (Order 82-05), § 260-36-020, filed 6/25/82; Rules of racing, § 245, filed 4/21/61.]

**WAC 260-36-030 Veterinarians, platers, and dentists—License required—Ineligible as trainers.** The license fee for veterinarians, platers and dentists shall be for one year and shall be \$15.00. They must be approved by the commission before practicing their professions on the grounds of an association. The veterinarians and dentists shall not be eligible to hold a license to train horses while holding said occupational license.

[Statutory Authority: RCW 67.16.040. 91-24-085, § 260-36-030, filed 12/4/91, effective 1/4/92. Statutory Authority: RCW 67.16.020 and 67.16.040. 89-13-007 (Order 89-03), § 260-36-030, filed 6/9/89; 86-09-072 (Order 86-02), § 260-36-030, filed 4/21/86; 82-14-012 (Order 82-05), § 260-36-030, filed 6/25/82; Rules of racing, § 350, filed 4/21/61.]

**WAC 260-36-040 Registration of personnel other than owners, trainers and jockeys—Fee.** (1) Any person acting in an official capacity or any person employed on a race track shall be licensed by the Washington horse racing commission for one year and the fee shall be \$5.00.

(2) All employees of the Washington horse racing commission shall be exempt from any license fees but shall be issued a photo identification badge which shall be displayed in the same manner as all other licensees while in the performance of their duties at the track.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 89-13-007 (Order 89-03), § 260-36-040, filed 6/9/89; 87-15-019 (Resolution No. 87-02), § 260-36-040, filed 7/8/87; 86-09-072 (Order 86-02), § 260-36-040, filed 4/21/86; 82-14-012 (Order 82-05), § 260-36-040, filed 6/25/82; Rules of racing, § 343, filed 4/21/61.]

**WAC 260-36-050 Application forms.** All applications for license and registrations to participate in racing shall be made to the commission on forms supplied by them.

[Rules of racing, § 351, filed 4/21/61.]

**WAC 260-36-060 Application for license—Owners, trainers, jockeys, apprentices, and agents.** Applications for license filed by owners, trainers, jockeys, jockey apprentices and agents must be submitted to the board of stewards.

(1) All applications for licenses and for registrations must be approved by the board of stewards before actions will be taken by the commission.

(2) Before approving any application for a license it shall be the duty of the board of stewards individually and collec-

tively to ascertain if the applicant is qualified, as to ability, integrity and right to the license applied for.

(3) In considering each application for a license the board of stewards may require the applicant as well as his endorsers to appear before them and show that said applicant is qualified in every respect to receive the license requested. Ability as well as integrity must be clearly shown by the applicant in order to receive recommendation for the granting of the license.

[Rules of racing, § 352, filed 4/21/61.]

**WAC 260-36-070 Licenses temporary subject to commission approval.** All licenses and permits are temporary when issued and subject to final approval by the commission.

[Rules of racing, § 346, filed 4/21/61.]

**WAC 260-36-080 Duration of license.** Every permit or license issued by the commission shall expire on December 31st of the year for which it was issued; Provided, however, (a) all permits or licenses shall be considered expired if the licensee is no longer performing the duties for which he or she was licensed, or, if applicable, the licensee is no longer employed by the employer who hired the licensee; (b) the commission may, at its sole discretion, reinstate an expired license in cases where the licensee is reemployed prior to December 31st of the year in which the license was issued, or extend a license in cases where a license has been issued for a single race meeting which spans two calendar years.

[Statutory Authority: RCW 67.16.040. 94-04-002, § 260-36-080, filed 1/20/94, effective 2/20/94. Statutory Authority: RCW 67.16.020 and 67.16.040. 86-09-072 (Order 86-02), § 260-36-080, filed 4/21/86; Rules of racing, § 345, filed 4/21/61.]

**WAC 260-36-090 Duplicate license cards.** In the event of the loss of a license card, the commission may in its discretion issue a duplicate, the fee for which shall be \$5.00.

[Statutory Authority: RCW 61.16.020 [67.16.020] and 67.16.040. 82-14-012 (Order 82-05), § 260-36-090, filed 6/25/82; Rules of racing, § 344, filed 4/21/61.]

**WAC 260-36-100 Photographs and fingerprints.** Every person holding a permit to conduct parimutuel wagering in this state and every person who is a member of an association holding such a permit and every person who is an officer or director of a corporation which holds such a permit, and every employee of the holder of such permit in any capacity connected to any extent with the pari-mutuel wagering business in this state, and all trainers, jockeys, apprentices, grooms, exercise boys, managers, agents, blacksmiths, veterinarians, and like persons who actively participate in the racing activities of any such permit holders, shall furnish the commission, on demand, for its files, his fingerprints and photograph, which fingerprints and photograph shall be taken at such time and places and in such manner as the commission may from time to time direct and prescribe.

[Rules of racing, § 349, filed 4/21/61.]

(1999 Ed.)

**WAC 260-36-110 Identification badges.** (1) All licensees shall display their identification badges at all security gates and when requested to do so by security personnel.

(2) When a racing association requires identification badges to be worn in its barn area, these badges shall not be transferable and must be prominently displayed by the occupational licensees.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 81-15-034 (Order 81-06), § 260-36-110, filed 7/10/81; Rules of racing, § 28, filed 4/21/61.]

**WAC 260-36-120 Denial, suspension, and revocation—Grounds.** (1) The commission may deny or revoke a license or permit to any person who shall have been refused a license or permit by any other state racing commission or racing authority: *Provided, however,* That the state racing commission or racing authority of such other state extends to the state racing commission of Washington reciprocal courtesy to maintain the disciplinary control; the commission may deny or revoke any license or permit where the holder thereof has violated the rules and regulations of the commission governing the conduct of persons connected with the race tracks.

(2) The commission may refuse to issue or renew a license, or may suspend or revoke a license issued pursuant to the rule, if it shall find that the applicant, or any person who is a partner, agent, employee or associate of the applicant, has been convicted of a crime in any jurisdiction, or is or has been associating or consorting with any person who has or persons who have been convicted of a crime or crimes in any jurisdiction or jurisdictions, or is consorting or associating with or has consorted or associated with bookmakers, touts, or persons of similar pursuits, or has himself engaged in similar pursuits, or is financially irresponsible, or has been guilty of or attempted any fraud or misrepresentation in connection with racing, breeding or otherwise, or has violated or attempted to violate any law with respect to racing in any jurisdiction or any rule, regulation or order of the commission, or shall have violated any rule of racing which shall have been approved or adopted by the commission, or has been guilty of or engaged in similar, related or like practices.

[Rules of racing, § 347, filed 4/21/61; sub. (2) added as rule § 347(a), filed 1/21/64.]

**WAC 260-36-130 Revocation for association with disreputable persons.** Association of licensees with persons of known disreputable character is grounds for revocation of licenses.

[Rules of racing, § 380, filed 4/21/61.]

**WAC 260-36-140 Harboring person not having credentials.** Any trainer, owner or stable foreman, or others, who harbor any one not so provided with credentials shall be immediately reported to the stewards of the meeting so that they may make investigation thereof and report the fact to the commission.

[Rules of racing, § 353, filed 4/21/61.]

**WAC 260-36-150 Employing unlicensed person.** Any racing association, owner, trainer, or other licensee, licensed by the commission, who shall employ an exercise boy,

groom, or other employee, who is not licensed by the commission, shall be subject to suspension, fine, or both, the extent of said suspension, fine or both to be determined by the board of stewards.

[Rules of racing, § 354, filed 4/21/61.]

**WAC 260-36-160 Personnel lists.** (1) Each association holding a meeting shall submit to the commission, for approval, not less than ten days prior to the date approved by the commission for the racing to begin on said track, a complete list of all racing officials, heads of departments, calculators, oddsmen, detectives and police force, showing positions they are to fill and their compensation. The commission reserves the right to demand a change of personnel of officials and employees designated herein for what it deems good and sufficient reason, the successor to an official or employee so replaced to be subject to the approval of the commission. (In addition see WAC 260-24-030.)

(2) All additions made to or changes in the list of employees must be reported promptly to the commission in writing.

(3) Such lists shall be compiled by departments, and if employee is engaged in two departments, a notation opposite his name shall so state.

[Rules of racing, §§ 355, 356 and 357, filed 4/21/61.]

**WAC 260-36-180 Consent to search.** In order to protect the integrity of horse racing and to protect the interests of the public, any person who accepts a license or occupational permit from the commission and enters upon the grounds of a racing association is deemed to have given consent, subject to the provisions of this section, to a search of his person, effects, and/or any premises which that person may occupy or have the right to occupy upon the grounds. The commission and its stewards shall have the right to authorize personnel to conduct such searches. A licensee's or permit holder's person, effects, or premises may be searched upon the grounds when a person authorized to conduct such searches has reasonable grounds to believe that the licensee or permit holder has in his possession prohibited material or illicit devices; including, but not limited to, prohibited drugs or medication, controlled substances, nonauthorized hypodermic instruments, illicit mechanical or electric devices, and weapons. When possible such searches shall be conducted in a manner to avoid undue intrusion of privacy, but a dispute as to the appropriate conditions for a search shall not be grounds for failing to permit an otherwise appropriate search. Failure to permit a search as authorized herein shall result in revocation of the person's license or permit upon receipt by the commission of a sworn statement that a search was so refused. All persons to be searched shall be advised that failure to permit a search will result in revocation of their license or permit. Upon receipt of a sworn statement that a search has been refused, the commission or board of stewards shall inform the licensee or permit holder in writing that their license or permit has been revoked.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 81-09-075 (Order 81-03), § 260-36-180, filed 4/22/81.]

[Title 260 WAC—p. 54]

**WAC 260-36-190 Facsimile for owners may be used.**

If an owner is unavailable to execute the application for an owner's license, the license may be issued and approved after submission to the commission of a facsimile of the original application which does contain the signature of the owner.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 91-03-033, § 260-36-190, filed 1/9/91, effective 1/22/91.]

**WAC 260-36-200 Provisional owner's license.**

The stewards may issue a provisional license for a period of fourteen days based on an application completed by the trainer representing the owner and payment of all license fees and labor and industries fees due, provided that the trainer signs a statement that he or she is authorized on behalf of the owner to execute the application and that the trainer is familiar with the truth of the contents of the application.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 91-03-033, § 260-36-200, filed 1/9/91, effective 1/22/91.]

**Chapter 260-40 WAC**

**ENTRIES, STARTS, DECLARATIONS AND SCRATCHES**

**WAC**

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**WAC 260-40-010 Declarations and scratches.** (1) No horse shall be considered scratched or declared out of an engagement until the owner or his authorized agent, or some person deputized by him shall have given due notice in writing to the racing secretary before the time stipulated by the regulations of the association.

(2) For stake races, if a horse is not named through the entry box the day before the race at the usual time of closing, the horse is automatically out.

(3) The declaration of a horse out of an engagement is irrevocable.

(4) All horses must be scratched at designated scratch time set by racing secretary.

(5) If the miscarriage of any declaration by mail or otherwise is alleged, satisfactory proof of such miscarriage shall be required of the complainant, otherwise, the declaration shall not be accepted as of the time alleged.

(6) Any trainer who has entered a horse, will be allowed the right and privilege of scratching from said race prior to scratch time, until there remain in the race only eight interests. If there are more requests to withdraw than are available, permission to withdraw shall be decided by lot. However, in all races involving the daily double, no entry may be withdrawn that would reduce the starting field to less than the number designated by the racing secretary, without permission of the stewards. No other entries will be excused as provided above except upon receipt of a veterinarian's certificate of unfitness, change of track conditions since time of entry or other causes acceptable to the stewards.

[Rules of racing, §§ 82 through 87, filed 4/21/61.]

**WAC 260-40-020 Entry prerequisite to start.** A horse shall not be qualified to start in any race unless he has been and continues properly entered therein.

[Rules of racing, § 93, filed 4/21/61.]

**WAC 260-40-030 Racing secretary to receive entries and declarations.** For all races, the racing secretary is the person authorized to receive entries and declarations.

[Rules of racing, § 94, filed 4/21/61.]

**WAC 260-40-040 Entries and declarations, how made—Blank forms.** (1) Entries and declarations shall be made in writing and signed by the owner of the horse, or his authorized agent or some person deputized by him, and each association shall provide blank forms on which entries and declarations are to be made.

(2) Entries may be made by telephone or telegraph, but must be confirmed promptly in writing.

[Rules of racing, §§ 95, 96, filed 4/21/61.]

**WAC 260-40-050 Ownership interest required.** No person not having an interest in a horse, equal at least to the interest or property of any other one person is entitled to enter the horse in a race as the owner.

[Rules of racing, § 97, filed 4/21/61.]

**WAC 260-40-060 Joint subscriptions and entries.** Joint subscriptions and entries may be made by any one or more of the owners. However, all partners and each of them shall be jointly and separately liable for all fees and forfeits.

[Rules of racing, § 98, filed 4/21/61.]

**WAC 260-40-070 Description and identification of horse.** (1) If entered for the first time, a horse shall be identified by stating his name, color, sex and age, and the name of his sire and dam, as registered. This description must be repeated in every entry until a description of the horse with his name has been published in the official program or the list of entries of the association, or in such other publication as

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the commission may designate. In every entry after such publication, his name and age will be sufficient.

(2) That all horses be classified on a racing program by clear definitions.

(3) For racing purposes and programming, horses shall be designated as follows:

- (a) Male - horse, colt, gelding or ridgling.
- (b) Female - filly or mare.

[Rules of racing, § 99, filed 4/21/61; Subsections (2) and (3) added, filed 3/11/65.]

**WAC 260-40-080 Refusal of entries and transfers.** The entries of any person, or the transfer of any entry, may be refused without notice for reasons deemed to be in the best interest of racing.

[Rules of racing, § 100, filed 4/21/61.]

**WAC 260-40-090 Jockey club registration certificate.** No horse shall be allowed to enter or start unless a jockey club registration certificate or a American Quarter Horse Association certificate of registration is on file in the office of the racing secretary, with the exception that the stewards may, in their discretion, for good cause, waive this requirement, if the horse is otherwise properly identified.

[Order 3, § 260-40-090, filed 5/12/69; Rules of Racing, § 101, filed 4/21/61.]

**WAC 260-40-100 Performance records.** It is the intent of the commission that the public be provided with all relevant information regarding a horse's recent racing and work-out record; Therefore: (1) A horse which during the past calendar year, has started in a race which is not reported in the daily racing form and/or equibase shall not be entered at a Washington track unless and until the owner or trainer shall have furnished to the racing secretary, at entry time, performance records as hereinafter designated. Such performance records shall show where and when said horse raced; the distance; the weight carried; amount earned; said horse's finishing position and time.

(2) In a maiden race, a horse which at any time, has started in a race which is not reported in the daily racing form and/or equibase shall not be entered at a Washington track unless and until the owner or trainer shall have furnished to the racing secretary at entry time, complete performance records as hereinafter designated. Such performance records shall show where and when said horse raced; the distance; the weight carried; amount earned; said horse's finishing position and time.

(3) Performance records for races which are not reported in the daily racing form and/or equibase shall be published in the official program of the racing association or posted and announced no later than the time that wagering opens for that day's racing. No horse may be permitted to enter in a race whose recent workouts have not been properly recorded with the commission.

(4) For thoroughbreds, all races at Class C racing association meets in the state of Washington shall not be counted in considering eligibility of horses running at Class A and Class B racing association meets. However, the maiden allowance shall be lost by the winning of any race at a track whose com-



plete official results are carried by the daily racing form and/or equibase. For all other breeds, all wins, including maiden wins, shall be counted in considering eligibility at all racing association meets in the state of Washington if the win is recognized by the arabian jockey club, the American quarter horse association, the appaloosa horse club, or other breed performance registry as authorized by the commission.

(5) All wins shall be considered in eligibility requirements of horses running at Class C racing association meets.

[Statutory Authority: RCW 67.16.040. 95-18-016, § 260-40-100, filed 8/24/95, effective 9/24/95. Statutory Authority: RCW 67.16.020 and 67.16.040. 86-09-072 (Order 86-02), § 260-40-100, filed 4/21/86. Statutory Authority: RCW 67.16.020. 78-08-089 (Order 78-2), § 260-40-100, filed 7/31/78; Order 74.2, § 260-40-100, filed 10/30/74, effective 1/1/75; Rules of racing, §§ 102, 103, filed 4/21/61; Subsection (4) added, filed 3/11/65.]

**WAC 260-40-110 Horse must be in care of, saddled by, licensed trainer.** No horse shall be permitted to enter or to start unless he is in the care of and is saddled by a licensed trainer.

[Rules of racing, § 104, filed 4/21/61.]

**WAC 260-40-120 Identification prerequisite to start.** No horse shall be permitted to start that has not been fully identified. All horses shall be properly tattooed by the thoroughbred racing protective bureau or an approved breeding association, or freeze marked in a manner which meets the standards of the National Crime Information Center. Responsibility in the matter of establishing either the identity of a horse or its complete and actual ownership shall be as binding on the persons so identifying or undertaking to establish the identity of a horse as it is on the person having the horse requiring identification. The same penalty shall apply to any party engaging in fraud or attempt at fraud.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 81-15-034 (Order 81-06), § 260-40-120, filed 7/10/81; Rules of racing, § 105, filed 4/21/61.]

**WAC 260-40-130 Stabling.** No horse shall be permitted to enter or to start unless stabled on the grounds of the association, or in stabling approved by said commission.

[Rules of racing, § 106, filed 4/21/61.]

**WAC 260-40-140 Horse must be eligible to start at time of entry.** All horses must be eligible to start at time of entry.

[Rules of racing, § 108, filed 4/21/61.]

**WAC 260-40-145 Prohibiting entry of certain horses.**

(a) No horse shall be allowed to enter or start if the highest official regulatory racing body having jurisdiction of the offense previously has determined that the horse was knowingly entered or raced under a name other than its own by a person having lawful custody or control of the animal at the time it was so entered or raced.

(b) No horse shall be allowed to enter or start if it has been previously determined by the highest official regulatory racing body having jurisdiction of the offense that a person having lawful custody or control of the animal participated in or assisted in the entry of racing some other horse under the name of the horse in question.

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(c) For the purposes of paragraphs (a) and (b) above, the "name" of the horse means the name reflected in the registration certificate or racing permit issued with respect to the horse in question by the jockey club.

[Order 73.7, § 260-40-145, filed 12/3/73.]

**WAC 260-40-150 Compliance with partnership registration.** No horse involved in a partnership shall be permitted to enter or to start until the rules for the registration of partnerships have been complied with.

[Rules of racing, § 109, filed 4/21/61.]

**WAC 260-40-160 Horse owned or managed by disqualified person.** (1) A horse shall not be qualified to be entered or to start in any race, if owned in whole or in part, or if under the management, directly or indirectly, of a disqualified person.

(2) If any entry from any disqualified person or a disqualified horse is received, such entry shall be void and any money paid for such entry shall be returned if the disqualification is disclosed forty-five minutes before post time for the race. Otherwise, any such money shall be paid to the winner.

(3) No entry shall be accepted from husband or wife, while either is disqualified.

[Rules of racing, §§ 110, 111, 112, filed 4/21/61.]

**WAC 260-40-170 Horse on starter's schooling list.** No horse on the starter's schooling list shall be entered for a race.

[Rules of racing, § 113, filed 4/21/61.]

**WAC 260-40-180 Horse on veterinarian's list.** No horse on the veterinarian's list shall be qualified to be entered, or to start.

[Rules of racing, § 114, filed 4/21/61.]

**WAC 260-40-185 Entries.** Any alteration in the sex of a horse must be reported and noted by the trainer to the racing secretary or horse identification office immediately, and that office must note the same on the foal certificate.

[Order 4, § 260-40-185, filed 12/24/69.]

**WAC 260-40-190 Sale to disqualified person voids engagements.** If a horse is sold to a disqualified person, said horse's racing engagements shall be void as of the date of sale.

[Rules of racing, § 115, filed 4/21/61.]

**WAC 260-40-200 Double entries.** A trainer may enter and start no more than two horses of the same or separate ownership in a purse race or overnight event. When making a double entry of horses of the same ownership the owner or trainer must express a preference; and in no case, other than a stake race, will two horses of the same ownership be allowed to start to the exclusion of a single entry.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 83-16-075 (Order 83-02), § 260-40-200, filed 8/3/83; 80-01-034 (Order 79-06), § 260-40-200, filed 12/17/79; Rules of racing, § 116, filed 4/21/61; revised 5/4/62, filed 1/21/64.]



**WAC 260-40-210 Withdrawal, change, of unclosed race.** The association shall have the right to withdraw or change any unclosed race.

[Rules of racing, § 117, filed 4/21/61.]

**WAC 260-40-220 Race declared off—Split of overnight race.** If a race is declared off because of insufficient entries, the association may split any overnight race which may have closed and cause a new drawing for post positions.

[Rules of racing, § 118, filed 4/21/61.]

**WAC 260-40-230 Subscription to sweepstakes.** An entry of a horse in a sweepstakes is a subscription to the sweepstakes. An entry or subscription may, before the time of closing, be altered or withdrawn.

[Rules of racing, § 119, filed 4/21/61.]

**WAC 260-40-240 Entrance money.** (1) A horse shall not become a starter for a race unless there has been duly paid any stakes or entrance money payable in respect to that race.

(2) Entrance money is not refunded on the death of a horse, or his failure to start.

(3) The nominator is liable for the entrance money or stake, and the death of a horse or mistake in its entry when eligible, does not release the subscriber or transferee from liability for stakes, and the entrance money to a purse that is run off shall not be returned on the death of a horse or its failure to start for any cause whatever.

[Rules of racing, §§ 120, 121, 122, filed 4/21/61.]

**WAC 260-40-250 Closing.** (1) Entries shall be closed at an advertised time, and no entry accepted thereafter. The racing secretary, however, with the consent of the stewards, may postpone closing of overnight races.

(2) In the absence of notice to the contrary entrance and declarations for sweepstakes, which close during or on the eve of a race meeting, close at the office of the racing secretary, who shall make provision therefor. Closing at all other times for sweepstakes shall be at the office of the association.

(3) When an hour for closing is designated, entries and declaration for sweepstakes cannot be received afterwards; but if an hour is not designated, they may be mailed or telegraphed up to midnight of the day of closing, provided they are received in time for compliance with every other condition of the race.

(4) If a miscarriage of any entry of declaration in a stake is alleged, satisfactory proof that it was mailed or telegraphed must be presented within a reasonable time or it shall not be received.

(5) Entries which have closed shall be compiled without delay by the racing secretary and conspicuously posted.

[Rules of racing, §§ 123, 124, 125, 126, 127, filed 4/21/61.]

**WAC 260-40-260 Number of entries and starters.** (1) In a stake race the number of horses to compete will be limited only by the number of horses duly nominated.

(2) If the number of entries to any purse race is in excess of the number of horses that may, because of track limitations, be permitted to start, the "starters" for the race and their

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post positions shall be determined by lot in the presence of those making the entries. The same methods shall be employed in determining the starters and post positions in split races.

(3) A list of names not to exceed six may be drawn from the overflow entries and listed as eligible to start as originally carded horses are withdrawn, but the order in which such horses so drawn shall become eligible to start and their post position shall be determined by the provisions of WAC 260-52-020. Any owner, trainer, or his authorized agent having a horse so eligible and who does not wish to start, shall file a scratch card not later than the scratch time designated for that day.

[Rules of racing, §§ 128, 129, 130, filed 4/21/61.]

**WAC 260-40-270 Alterations, corrections, after closing.** No alteration shall be made in any entry after closing of entries, but an error may be corrected.

[Rules of racing, § 131, filed 4/21/61.]

**WAC 260-40-280 Impaired horses.** An owner or trainer shall not enter or start a horse that:

- (1) Is not in serviceably sound racing condition.
- (2) Has been trachea-tubed.
- (3) Has been nerved.

(a) Horses that have had a digital neurectomy (heel nerves) may be permitted to race subject to the prerace veterinary examination.

(b) Horses that have been nerved, blocked with alcohol or any other medical drug that desensitizes the nerves above the ankle will not be permitted to race.

- (4) Has impaired eyesight in both eyes.

[Statutory Authority: RCW 67.16.040. 90-19-001, § 260-40-280, filed 9/6/90, effective 10/7/90; Rules of racing, § 177, filed 4/21/61.]

**Chapter 260-42 WAC**

**POSTPONEMENTS, CANCELLATIONS AND PREFERENCES**

<b>WAC</b>	
260-42-010	Postponement from day to day.
260-42-020	Refunds when stake race declared off.
260-42-030	Public notice.
260-42-040	Preferences—Preferred list.

**WAC 260-42-010 Postponement from day to day.** The stewards may postpone a race from day to day until an off day intervenes.

[Rules of racing, § 212, filed 4/21/61.]

**WAC 260-42-020 Refunds when stake race declared off.** If a stake race is declared off, all subscriptions and fees paid in connection with that race shall be refunded.

[Rules of racing, § 213, filed 4/21/61.]

**WAC 260-42-030 Public notice.** Public notice shall be given at the earliest practicable time if a published race is declared off.

[Rules of racing, § 214, filed 4/21/61.]

**WAC 260-42-040 Preferences—Preferred list.** (1) If a horse has been excluded twice consecutively, he shall have preference over a horse excluded only once and so on.

(2) The name of no horse shall be placed on the preferred list if the owner thereof did not accept, when presented, the opportunity of starting.

(3) Horses whose names appear in the entries and have an opportunity to start will be given no consideration whatsoever should they be entered for the following day and the race overfill. (Stakes excepted.)

(4) A copy of the preferred list will be posted each afternoon and any claim of error must be made by 4:00 p.m. of the following racing day, and no claim of error not made within the prescribed time will be recognized by the stewards.

(5) In entering horses on the preferred list a claim of preference must be made at time of entry and noted on the entry, or the preference shall be lost, and no claim of error will be considered by the stewards if the person making the claim has signed an entry not marked in keeping with this rule.

[Rules of racing, §§ 215 through 219, filed 4/21/61.]

**Chapter 260-44 WAC**

**WEIGHTS AND EQUIPMENT**

**WAC**

260-44-010	Equipment changes.
260-44-020	Weights for age.
260-44-030	Penalties and allowances.
260-44-040	Weighing out—Time for.
260-44-050	Weighing out—Equipment included in jockey's weight.
260-44-060	Weighing out—Equipment not included.
260-44-070	Weighing out—Bridle, whip, maximum weights.
260-44-080	Weighing out—Overweight—Declarations—Posting—Maximum.
260-44-090	Weighing out—Trainer responsible for weight.
260-44-100	Weighing out—Attendants.
260-44-110	Weighing in—Procedure.
260-44-120	Weighing in—Weigh in/weigh out—Tolerances—Penalties.

**WAC 260-44-010 Equipment changes.** (1) Permission for any changes of equipment from that which a horse carried in his last previous race must be obtained from the stewards.

(2) Permission for a horse to add blinkers to his equipment or discontinue the use of them must be approved by the starter before being granted by the stewards.

(3) Horses' tongues may be tied down with clean bandages or clean gauze.

(4) Whips shall be considered as standard equipment in all quarter horse races.

[Order 73.1, § 260-44-010, filed 5/18/73; Rules of racing, § 132, filed 4/21/61.]

**WAC 260-44-020 Weights for age.** The following weights are carried when they are not stated in the condition of the race:

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**SCALE OF WEIGHTS FOR AGE**

Distance	Age	June	July	Aug.	Sept.
Half Mile . . . . .	2 years . . . . .	...	105	108	
	3 years . . . . .	123	125	126	127
	4 years . . . . .	130	130	130	130
	5 & up . . . . .	130	130	130	130
Six Furlongs . . . . .	2 years . . . . .	...	102	105	
	3 years . . . . .	121	123	125	126
	4 years . . . . .	130	130	130	130
	5 & up . . . . .	130	130	130	130
One Mile . . . . .	2 years . . . . .	...	...	96	
	3 years . . . . .	115	117	119	121
	4 years . . . . .	126	126	126	126
	5 & up . . . . .	126	126	126	126
One Mile & a Quarter . . . . .	2 years . . . . .	...	...	...	
	3 years . . . . .	113	116	118	120
	4 years . . . . .	126	126	126	126
	5 & up . . . . .	126	126	126	126
One & a Half Miles . . . . .	2 years . . . . .	...	...	...	
	3 years . . . . .	111	114	117	119
	4 years . . . . .	126	126	126	126
	5 & up . . . . .	126	126	126	126
Two Miles . . . . .	3 years . . . . .	109	112	114	117
	4 years . . . . .	126	126	125	125
	5 & up . . . . .	126	126	125	125

(1) In races of intermediate lengths, the weights for the shorter distance are carried.

(2) In all races except handicaps and races where the conditions expressly state to the contrary, fillies two years old are allowed 3 lbs., and mares three years old and upward are allowed 5 lbs., before the 1st of September, and 3 lbs., afterwards.

(3) Welter weights are 28 lbs. added to the weight for age.

(4) In all overnight races for two-year-olds, for three-year-olds or for four-year-olds and upward, the minimum weight shall be 112 pounds, subject to sex and apprentice allowance. This rule shall not apply to handicaps or to races written for three-year-olds and upward.

[Rules of racing, § 296, filed 4/21/61; Subsec. (4) amended, filed 3/11/65.]

**WAC 260-44-030 Penalties and allowances.** (1) No horse shall carry extra weight, nor be barred from any race for having run second or in any lower place in a race.

(2) Penalties and allowances of weight are not cumulative, unless so declared by the conditions of the race.

(3) No horse shall receive allowance of weight, or be relieved from extra weight, for having been beaten in one or

more races; provided that this rule shall not prohibit maiden allowances, or allowances to horses that have not won within a specified time, or that have not won races of a specified value.

[Rules of racing, §§ 297, 298, 299, filed 4/21/61.]

**WAC 260-44-040 Weighing out—Time for.** Every jockey must be weighed for a specified horse not more than thirty minutes before the time fixed for the race.

[Rules of racing, § 300, filed 4/21/61.]

**WAC 260-44-050 Weighing out—Equipment included in jockey's weight.** If a horse runs in muzzle, martingale or breast plate, they must be included in the jockey's weight. His weight shall also include his clothing, boots and saddle, and its attachments.

[Rules of racing, § 30, filed 4/21/61.]

**WAC 260-44-060 Weighing out—Equipment not included.** None of the following items shall be included in a jockey's weight: Whip, or a substitute for a whip, head number, bridle, bit, reins, number cloth, blinker, protective helmet or safety vest.

[Statutory Authority: RCW 67.16.040, 93-23-011, § 260-44-060, filed 11/5/93, effective 12/6/93; Rules of racing, § 302, filed 4/21/61.]

**WAC 260-44-070 Weighing out—Bridle, whip, maximum weights.** No bridle shall exceed two pounds in weight, and no whip (or substitute for a whip) shall exceed one pound in weight, unless approved by the stewards.

[Rules of racing, § 303, filed 4/21/61.]

**WAC 260-44-080 Weighing out—Overweight—Declarations—Posting—Maximum.** (1) If a jockey intends to carry overweight, he must declare the amount thereof at the time of weighing out, or if in doubt as to his proper weight, he may declare the weight he will carry.

(2) If a jockey intends to carry overweight exceeding by more than two pounds the weight which his horse is to carry, the owner or trainer consenting, he must declare the amount of overweight to the clerk of the scales at least forty-five minutes before the time appointed for the race, and the clerk shall cause the overweight to be stated on the notice board immediately. Failure on the part of a jockey to comply with this rule shall be reported to the stewards.

(3) No horse shall carry more than seven pounds overweight.

(4) However, at nonprofit race tracks, horses may carry more than seven pounds overweight with the permission of the stewards up to a maximum weight of one hundred thirty-five pounds, except handicaps and races where the conditions expressly state to the contrary.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 87-15-019 (Resolution No. 87-02), § 260-44-080, filed 7/8/87; Order 75-1, § 260-44-080, filed 2/18/75; Rules of racing, §§ 304, 305, 306, filed 4/21/61.]

**WAC 260-44-090 Weighing out—Trainer responsible for weight.** The trainer is responsible for the weight carried by his horse.

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[Rules of racing, § 307, filed 4/21/61.]

**WAC 260-44-100 Weighing out—Attendants.** The association shall provide the only attendants who will be permitted to assist jockeys in weighing out.

[Rules of racing, § 308, filed 4/21/61.]

**WAC 260-44-110 Weighing in—Procedure.** (1) After a race has been run and after he has pulled up the horse he has ridden, the jockey shall ride promptly to the winner's circle and there dismount, after obtaining permission from the judges and present himself to the clerk of the scales to be weighed in. If a jockey is prevented from riding his mount to the judge's stand because of an accident or of illness either to himself or his horse, he may walk or be carried to the scales, or he may be excused by the stewards from weighing.

(2) Except by permission of the stewards, every jockey must, upon returning to the placing judge's stand, unsaddle the horse he has ridden, and no person shall touch the jockey or the horse except by his bridle, nor cover the horse in any manner until the jockey has removed the equipment to be weighed.

(3) No person shall assist a jockey in removing from his horse the equipment that is to be included in the jockey weight, except by permission of the stewards.

(4) Each jockey shall, in weighing in, carry over to the scales all pieces of equipment with which he weighed out. Thereafter he may hand it to his attendant.

[Rules of racing, §§ 309 through 312, filed 4/21/61.]

**WAC 260-44-120 Weighing in—Weigh in/weight out—Tolerances—Penalties.** (1) Each jockey shall weigh in at the same weight as that at which he weighed out, and if short of it by more than two pounds his mount shall be disqualified.

(2) If any jockey weighs in at more than two pounds over his proper or declared weight, he shall be fined or suspended or ruled off at the discretion of the stewards, who shall have regard for any excess weight caused by rain or mud, and the case shall be reported to the commission for such action as it may deem proper to take.

[Rules of racing, §§ 313, 314, filed 4/21/61.]

## Chapter 260-48 WAC

### MUTUELS

#### WAC

260-48-500	General provisions.
260-48-510	Records.
260-48-520	Parimutuel tickets.
260-48-530	Parimutuel ticket sales.
260-48-540	Advance wagering.
260-48-550	Claims for payment from parimutuel pool.
260-48-560	Payment for errors.
260-48-570	Betting explanation.
260-48-580	Display of betting information.
260-48-590	Cancelled races.
260-48-600	Refunds.
260-48-610	Coupled entries and mutuel field.
260-48-620	Pools dependent upon betting interests.
260-48-630	Closing of wagering in a race.
260-48-640	Complaints pertaining to parimutuel operations.
260-48-650	Licensed employees.
260-48-660	Emergency situations.

260-48-670	Limit payoffs.		
260-48-800	Parimutuel wagering pools.		
260-48-810	Win pools.	260-48-160	Refund of bets—Horse excused after betting begun. [Rules of racing, § 403, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-820	Place pools.		
260-48-830	Show pools.		
260-48-840	Double pools.		
260-48-850	Pick three.	260-48-170	Refund of bets—Horse affected by starting gate failure. [Rules of racing, § 404, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-860	Quinella pools.		
260-48-870	Exacta pools.		
260-48-890	Trifecta pools.	260-48-180	Refund of bets—No horse finishing race. [Rules of racing, § 405, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-900	Twin trifecta pools.		
260-48-920	Pick (n) pools.		
<b>DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER</b>			
260-48-010	Betting rules to be printed in daily program. [Rules of racing, § 388, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-190	Refund of bets—Two or more horses coupled on same ticket. [Rules of racing, § 406, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-020	Sale of pools permitted only within enclosure of licensed track. [Rules of racing, § 389, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-200	Refund of bets—Race declared off. [Rules of racing, § 407, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-030	Association to bear cost of minus pools. [Rules of racing, § 390, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-210	Apportionment of win pool when no win money bet on winning horse. [Rules of racing, § 408, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-035	Payoff on minus pools. [Statutory Authority: RCW 67.16.020 and 67.16.040. 86-09-072 (Order 86-02), § 260-48-035, filed 4/21/86.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-220	Apportionment of place pool when no place money bet on placing horse. [Rules of racing, § 409, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-040	Purchase of ticket or part of pool for another. [Rules of racing, § 391, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-230	Apportionment of show pool when no show money bet on showing horse. [Rules of racing, § 410, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-050	Messengers and future sales windows. [Rules of racing, § 392, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-240	Apportionment of place and show pools when only one horse finishes. [Rules of racing, § 411, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-060	Surrender of winning tickets required for payment. [Rules of racing, § 393, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-250	Apportionment of show pool when only two horses finish. [Rules of racing, § 412, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-070	Minors may not wager—Jockeys may not enter betting area. [Rules of racing, § 394, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-260	Rulings after display of "official" sign. [Rules of racing, § 413, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-080	Totalizators and result boards—Installation—Operation in plain view. [Rules of racing, § 395, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-270	Manager to furnish take-off and calculating sheets to commission auditor. [Rules of racing, § 414, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-090	Locking and unlocking of machines. [Rules of racing, § 396, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-280	Error in posting pay-off figures on public board. [Rules of racing, § 415, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-100	Secretary to advise manager as to horses in race. [Rules of racing, § 397, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-290	Payments to conform to parimutuel practice—Breakage. [Rules of racing, § 416, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-110	"Entry"—Wager on one is wager on all. [Statutory Authority: RCW 67.16.040. 93-14-124, § 260-48-110, filed 7/6/93, effective 8/6/93. Statutory Authority: RCW 67.16.020 and 67.16.040. 81-15-033 (Order 81-05), § 260-48-110, filed 7/10/81; Order 73.8, § 260-48-110, filed 10/23/73; Rules of racing, § 398, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-300	Records to be filed with commission. [Rules of racing, § 417, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-120	"Field"—Wager on one is wager on all. [Rules of racing, §§ 325, 399, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-305	Calculating the pay-off for entries and fields. [Statutory Authority: RCW 67.16.020 and 67.16.040. 81-15-034 (Order 81-06), § 260-48-305, filed 7/10/81.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-130	Determining number of pools for race—Additional race in event of no-bet sweepstakes. [Rules of racing, § 400, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-310	Calculating the pay-off in dead heats. [Rules of racing, § 418, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-140	Computing pay-off and commissions in event of difference in pool or pools. [Rules of racing, § 401, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.	260-48-320	Daily double. [Statutory Authority: RCW 67.16.040. 95-07-141, § 260-48-320, filed 3/22/95, effective 4/22/95; Rules of racing, § 419, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
260-48-150	Computing pay-off when tote fails or is unreliable. [Rules of racing, § 402, filed 4/21/61.] Repealed by 96-	260-48-322	Quinella rules. [Order 73.8, § 260-48-322, filed 10/23/73; Order 71-9, § 260-48-322, filed 6/2/71.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
		260-48-324	Exacta rules. [Order 73.8, § 260-48-324, filed 10/23/73; Order 71-9, § 260-48-324, filed 6/2/71.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.

- 260-48-326 Wagering on "short fields." [Statutory Authority: RCW 67.16.020 and 67.16.040. 81-15-033 (Order 81-05), § 260-48-326, filed 7/10/81.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
- 260-48-327 Daily triple. [Statutory Authority: RCW 67.16.040. 90-19-002, § 260-48-327, filed 9/6/90, effective 10/7/90. Statutory Authority: RCW 67.16.020 and 67.16.040. 89-13-008 (Order 89-04), § 260-48-327, filed 6/9/89.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
- 260-48-328 Trifecta rules. [Statutory Authority: RCW 67.16.040. 93-14-125, § 260-48-328, filed 7/6/93, effective 8/6/93. Statutory Authority: RCW 67.16.020 and 67.16.040. 81-18-021 (Order 81-08), § 260-48-328, filed 8/25/81.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
- 260-48-329 Limited sweepstakes. [Statutory Authority: RCW 67.16.175. 85-14-104 (Order 85-03), § 260-48-329, filed 7/3/85.] Repealed by 89-13-008 (Order 89-04), filed 6/9/89. Statutory Authority: RCW 67.16.020 and 67.16.040.
- 260-48-330 Mutuel manager—Verification of winners and calculations. [Rules of racing, § 420, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
- 260-48-331 Twin trifecta rules. [Statutory Authority: RCW 67.16.040. 93-14-126, § 260-48-331, filed 7/6/93, effective 8/6/93.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
- 260-48-340 Mutuel manager—Decisions in emergency. [Rules of racing, § 421, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.
- 260-48-350 Abandoned tickets—"Outs." [Rules of racing, §§ 422, 423, filed 4/21/61.] Repealed by 96-10-014, filed 4/19/96, effective 6/11/96. Statutory Authority: RCW 67.16.040.

**WAC 260-48-500 General provisions.** Each association shall conduct wagering in accordance with applicable laws and these rules. Such wagering shall employ a parimutuel system approved by the commission. The totalisator shall be tested prior to and during the meeting as required by the commission.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-500, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-510 Records.** (1) The association shall be responsible for the maintenance of records of all wagering so the commission may review such records for any race including the opening line, subsequent odds fluctuation, the amount and at which window wagers were placed on any betting interest and such other information as may be required. Such wagering records shall be retained by each association and/or totalisator company and safeguarded for one year after the last day of the meet or as specified by the commission.

(2) The association shall provide at the request of the commission a list of the licensed individuals afforded access to parimutuel records and equipment at the wagering facility.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-510, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-520 Parimutuel tickets.** A parimutuel ticket is evidence of a contribution to the parimutuel pool operated by the association and is evidence of the obligation of the association to pay to the holder thereof such portion of the distributable amount of the parimutuel pool as is represented by such valid parimutuel ticket. The association shall

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cash all valid winning parimutuel tickets when such are presented for payment.

(1) To be deemed a valid parimutuel ticket, such ticket shall have been issued by a parimutuel ticket machine operated by the association and recorded as a ticket entitled to a share of the parimutuel pool, and contain imprinted information as to:

- (a) The name of the association operating the meeting.
- (b) A unique identifying number or code.
- (c) Identification of the terminal at which the ticket was issued.
- (d) A designation of the performance for which the wagering transaction was issued.
- (e) The race number for which the pool is conducted.
- (f) The type or types of wagers represented.
- (g) The number or numbers representing the betting interests for which the wager is recorded.
- (h) The amount or amounts of the contributions to the parimutuel pool or pools for which the ticket is evidence.

(2) No parimutuel ticket recorded or reported as previously paid, cancelled, or nonexistent shall be deemed a valid parimutuel ticket by the association.

(3) Every association shall maintain a record of outstanding parimutuel tickets, which represent the winning tickets not presented for payment. A record of such tickets so cashed shall be retained for a period of thirty months from the date they were cashed. This record shall be made available for inspection by commission or its authorized representative upon request.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-520, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-530 Parimutuel ticket sales.** (1) Parimutuel tickets shall not be sold by anyone other than an association licensed to conduct parimutuel wagering.

(2) No parimutuel ticket may be sold on a race for which wagering has already been closed and no association shall be responsible for ticket sales entered into but not completed by issuance of a ticket before the totalisator is closed for wagering on such race.

(3) Parimutuel tickets may be exchanged or cancelled prior to the running of a race based upon the written policies of the association. Such policy shall be filed with the commission.

(4) Payment on winning parimutuel wagers shall be made on the basis of the order of finish as purposely posted and declared "official". Any subsequent change in the order of finish or award of purse money as may result from a subsequent ruling by the stewards or commission shall in no way affect the parimutuel payoff. If an error in the posted order of finish or payoff figures is discovered, the official order of finish or payoff prices may be corrected and an announcement concerning the change shall be made to the public.

(5) The association shall have no obligation to enter a wager into a betting pool if unable to do so due to equipment failure.

(6) No person under the age of 18 shall purchase or cash any parimutuel ticket. No employee of the association shall knowingly sell to or cash for a person under the age of 18 any

parimutuel ticket. Unattended persons under the age of 18 are not permitted in wagering areas.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-530, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-540 Advance wagering.** No association shall permit wagering to begin more than one hour before scheduled post time of the first race unless it has first obtained the authorization of the commission.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-540, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-550 Claims for payment from parimutuel pool.** At a designated location, a written, verified claim for payment from a parimutuel pool shall be accepted by the association. In any case where the association has withheld payment or has refused to cash a parimutuel wager the claimant shall be informed that they may register a complaint with the commission. In the case of a claim made for payment on a parimutuel wager, the commission may adjudicate the claim and order payment thereon from the parimutuel pool or by the association, or may deny the claim, or may make such other order as it may deem proper.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-550, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-560 Payment for errors.** If an error occurs in the payment amounts for parimutuel tickets which are cashed or entitled to be cashed and as a result of such error the parimutuel pool involved in the error is not correctly distributed among winning ticket holders, the following shall apply:

(1) In the event the error results in an overpayment to the ticket holders the association shall be responsible for such payment.

(2) In the event the error results in an underpayment to ticket holders:

(a) The association shall accept timely claims for such underpayment, shall investigate such claims and shall pay each claim, or a part thereof, which it determines to be valid, and shall notify the claimant if the claim is rejected as invalid.

(b) Any person whose claim is rejected by the association may, within 15 days from the date the rejection notice was received, request the commission to determine the validity of the claim. The failure to file such request with the commission within the said time shall constitute a waiver of the claim.

(c) A hearing shall be held on each such rejected claim timely filed with the commission. The commission shall give notice of such hearing to the claimant and the association. The commission may determine a claim to be valid, in whole or in part, and thereafter order the association to pay the claimant the amount of the claim determined to be valid, or may deny the claim in whole or in part. Any such determination shall be final and binding to all parties.

(d) If no valid claims are presented, or after all filed claims have been resolved, the amount of the underpayment or any part thereof, shall be applied to the next available corresponding pool (i.e. exacta pools). If there is no similar pool the underpayment shall be applied to the win pool of the first

race. Such payment shall be publicly announced prior to this day.

(3) Any claim not filed with the association within 30 days inclusive of the date on which the underpayment was publicly announced shall be deemed waived and the association shall have no further liability therefor.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-560, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-570 Betting explanation.** A summary explanation of parimutuel wagering and each type of betting pool offered shall be published in the program each race day. The rules of racing relative to each type of parimutuel pool offered must be prominently displayed on association grounds and available upon request through association representatives.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-570, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-580 Display of betting information.** (1) Approximate odds for win pool betting shall be posted on display devices within view of the wagering public and updated for the first change when the total win pool has reached a minimum dollar amount established by the association, and thereafter shall update at intervals of not more than 90 seconds, but in no event shall the first change in odds occur later than 12 minutes to post.

(2) The probable payoff or amounts wagered, in total and on each betting interest, for other pools may be displayed to the wagering public at intervals and in a manner approved by the commission.

(3) Official results and payoffs must be displayed upon each race being declared official.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-580, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-590 Cancelled races.** If a race is cancelled or declared "no race," refunds shall be granted on valid wagers in accordance with these rules.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-590, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-600 Refunds.** (1) Notwithstanding other provisions of these rules, refunds of the entire pool shall be made on:

(a) Win pools, exacta pools, and first-half double pools, offered in races in which the number of betting interests has been reduced to fewer than two.

(b) Place pools and quinella pools, offered in races in which the number of betting interests has been reduced to fewer than three.

(c) Show pools, in races in which the number of betting interests has been reduced to fewer than four.

(d) Trifecta pools and first half twin trifecta pools, offered in races in which the number of betting interests has been reduced to fewer than six.

(2) Authorized refunds shall be paid upon presentation and surrender of the affected parimutuel ticket.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-600, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-610 Coupled entries and mutuel field.**

(1) Horses coupled in wagering as a coupled entry or mutuel field shall be considered part of a single betting interest for the purpose of price calculations and distribution of pools. Should any horse in a coupled entry or mutuel field be officially withdrawn or scratched, the remaining horses in that coupled entry or mutuel field shall remain valid betting interests and no refunds will be granted. If all horses within a coupled entry or mutuel field are scratched, then tickets on such betting interests shall be refunded, notwithstanding other provisions of these rules.

(2) For the purpose of price calculations only, coupled entries and mutuel fields shall be calculated as a single finisher, using the finishing position of the leading horse in that coupled entry or mutuel field to determine order of placing. This rule shall apply to all circumstances, including situations involving a dead heat, except as otherwise provided by these rules.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-610, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-620 Pools dependent upon betting interests.** Unless the commission otherwise provides, at the time the pools are opened for wagering, the association:

- (1) Shall offer win, place, and show wagering on all races with five or more betting interests.
- (2) May be allowed to prohibit show wagering on any race with four or fewer betting interests.
- (3) May be allowed to prohibit place wagering on any race with three or fewer betting interests.
- (4) May be allowed to prohibit quinella wagering on any race with three or fewer betting interests.
- (5) May be allowed to prohibit exacta wagering on any race with three or fewer betting interests.
- (6) Shall prohibit trifecta wagering on any race with seven or fewer betting interests scheduled to start one hour prior to the first scheduled post time of the day.
- (7) Shall prohibit twin trifecta wagering on any race with seven or fewer betting interests scheduled to start one hour prior to the first scheduled post time of the day.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-620, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-630 Closing of wagering in a race.** (1) Coincident with the start of a race, a commission representative shall close wagering for the race after which time no parimutuel tickets shall be sold for that race.

(2) The association shall maintain in good order an electrical or other system approved by the commission for locking of parimutuel machines.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-630, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-640 Complaints pertaining to parimutuel operations.** (1) When a patron makes an unresolvable complaint regarding the parimutuel department to an association, the association shall immediately issue a complaint report, setting out:

- (a) The name of the complainant;
- (b) The nature of the complaint;

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(c) The name of the persons, if any, against whom the complaint was made;

(d) The date of the complaint;

(e) The action taken or proposed to be taken, if any, by the association.

(2) The association shall submit every complaint report to the commission within 48 hours after the complaint was made.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-640, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-650 Licensed employees.** All licensees shall report any known irregularities or wrong doings by any person involving parimutuel wagering immediately to the commission and cooperate in subsequent investigations.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-650, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-660 Emergency situations.** Should any emergency arise in connection with the operation of the parimutuel department of the association, not covered by these rules, and an immediate decision is necessary, the mutuel manager may make the decision.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-660, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-670 Limit payoffs.** An association may have the option to limit payoffs, at satellite locations, approved in accordance with Sec. 01. RCW 67.16.200, to \$2,500 in cash, with the balance delivered in the form of a check or by an electronic fund transfer by the end of the next business day.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-670, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-800 Parimutuel wagering pools.** (1) All permitted parimutuel wagering pools shall be separately and independently calculated and distributed. Takeout shall be deducted from each gross pool as stipulated by law. The remainder of the monies in the pool shall constitute the net pool for distribution as payoff on winning wagers.

(2) The standard price calculation procedure shall be used to calculate wagering pools. Standard price calculation procedure:

**SINGLE PRICE POOL (WIN POOL)**

Gross Pool	=	Sum of Wagers on all Betting Interests - Refunds
Takeout	=	Gross Pool x Percent Takeout
Net Pool	=	Gross Pool - Takeout
Profit	=	Net Pool - Gross Amount Bet on Winner
Profit Per Dollar	=	Profit/Gross Amount Bet on Winner
\$1 Unbroken Price	=	Profit Per Dollar+ \$1



## SINGLE PRICE POOL (WIN POOL)

1 Broken Price	=	\$1 Unbroken Price Rounded Down to the Break Point
Total Payout	=	\$1 Broken Price x Gross Amount Bet on Winner
Total Breakage	=	Net Pool - Total Payout

## PROFIT SPLIT (PLACE POOL)

Profit is net pool less gross amount bet on all place finishers. Finishers split profit 1/2 and 1/2 (place profit), then divide by gross amount bet on each place finisher for two unique prices.

## PROFIT SPLIT (SHOW POOL)

Profit is net pool less gross amount bet on all show finishers. Finishers split profit 1/3 and 1/3 and 1/3 (show profit), then divide by gross amount bet on each show finisher for three unique prices.

(3) If a profit split results in only one covered winning betting interest or combinations it shall be calculated the same as a single price pool.

(4) The individual pools outlined in these rules may be given alternative names by each association, provided prior approval is obtained from the commission.

(5) In the event a minus pool occurs in any parimutuel pool, the expense of said minus pool shall be borne by the association.

(6) The association must pay to the holder of any ticket or tickets entitling the holder to participate in the distribution of a parimutuel pool the amount wagered by such holder plus a minimum of five percent thereof. This requirement is unaffected by the existence of a parimutuel pool which does not contain sufficient money to distribute said five percent to all persons holding such tickets.

(7) No person or corporation shall directly or indirectly purchase parimutuel tickets or participate in the purchase of any part of a parimutuel pool for another for hire or for any gratuity.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-800, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-810 Win pools.** (1) The amount wagered on the betting interest which finishes first is deducted from the net pool, the balance remaining being the profit; the profit is divided by the amount wagered on the betting interest finishing first, such quotient being the profit per dollar wagered to win on that betting interest.

(2) The net win pool shall be distributed as a single price pool to winning wagers in the following precedence, based upon the official order of finish:

(a) To those whose selection finished first; but if there are no such wagers, then

(b) To those whose selection finished second; but if there are no such wagers, then

(c) To those whose selection finished third; but if there are no such wagers, then

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(d) The entire pool shall be refunded on win wagers for that race.

(3) If there is a dead heat for first involving:

(a) Horses representing the same betting interest, the win pool shall be distributed as if no dead heat occurred.

(b) Horses representing two or more betting interests, the win pool shall be distributed as a profit split.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-810, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-820 Place pools.** (1) The amounts wagered to place on the first two betting interests to finish are deducted from the net pool, the balance remaining being the profit; the profit is divided into two equal portions, one being assigned to each winning betting interest and divided by the amount wagered to place on that betting interest, the resulting quotient is the profit per dollar wagered to place on that betting interest.

(2) The net place pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(a) If horses of a coupled entry or mutuel field finished in the first two places, as a single price pool to those who selected the coupled entry or mutuel field; otherwise

(b) As a profit split to those whose selection is included within the first two finishers; but if there are no such wagers on one of those two finishers, then

(c) As a single price pool to those who selected the one covered betting interest included within the first two finishers; but if there are no such wagers, then

(d) As a single price pool to those who selected the third-place finisher; but if there are no such wagers, then

(e) The entire pool shall be refunded on place wagers for that race.

(3) If there is a dead heat for first involving:

(a) Horses representing the same betting interest, the place pool shall be distributed as a single price pool.

(b) Horses representing two or more betting interests, the place pool shall be distributed as a profit split.

(4) If there is a dead heat for second involving:

(a) Horses representing the same betting interest, the place pool shall be distributed as if no dead heat occurred.

(b) Horses representing two or more betting interests, the place pool is divided with one-half of the profit distributed to place wagers on the betting interest finishing first and the remainder is distributed equally amongst place wagers on those betting interests involved in the dead heat for second.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-820, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-830 Show pools.** (1) The amounts wagered to show on the first three betting interests to finish are deducted from the net pool, the balance remaining being the profit; the profit is divided into three equal portions, one being assigned to each winning betting interest and divided by the amount wagered to show on that betting interest, the resulting quotient being the profit per dollar wagered to show on that betting interest. The net show pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(1999 Ed.)

(a) If horses of a coupled entry or mutuel field finished in the first three places, as a single price pool to those who selected the coupled entry or mutuel field; otherwise

(b) If horses of a coupled entry or mutuel field finished as two of the first three finishers, the profit is divided with two-thirds distributed to those who selected the coupled entry or mutuel field and one-third distributed to those who selected the other betting interest included within the first three finishers; otherwise

(c) As a profit split to those whose selection is included within the first three finishers; but if there are no such wagers on one of those three finishers, then

(d) As a profit split to those who selected one of the two covered betting interests included within the first three finishers; but if there are no such wagers on two of those three finishers, then

(e) As a single price pool to those who selected the one covered betting interest included within the first three finishers; but if there are no such wagers, then

(f) As a single price pool to those who selected the fourth-place finisher; but if there are no such wagers, then

(g) The entire pool shall be refunded on show wagers for that race.

(2) If there is a dead heat for first involving:

(a) Two horses representing the same betting interest, the profit is divided with two-thirds distributed to those who selected the first-place finishers and one-third distributed to those who selected the betting interest finishing third.

(b) Three horses representing a single betting interest, the show pool shall be distributed as a single price pool.

(c) Horses representing two or more betting interests, the show pool shall be distributed as a profit split.

(3) If there is a dead heat for second involving:

(a) Horses representing the same betting interest, the profit is divided with one-third distributed to those who selected the betting interest finishing first and two-thirds distributed to those who selected the second-place finishers.

(b) Horses representing two betting interests, the show pool shall be distributed as a profit split.

(c) Horses representing three betting interests, the show pool is divided with one-third of the profit distributed to show wagers on the betting interest finishing first and the remainder is distributed equally amongst show wagers on those betting interests involved in the dead heat for second.

(4) If there is a dead heat for third involving:

(a) Horses representing the same betting interest, the show pool shall be distributed as if no dead heat occurred.

(b) Horses representing two or more betting interests, the show pool is divided with two-thirds of the profit distributed to show wagers on the betting interests finishing first and second and the remainder is distributed equally amongst show wagers on those betting interests involved in the dead heat for third.

[Statutory Authority: RCW 67.16.040, 96-10-014, § 260-48-830, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-840 Double pools.** (1) The double requires selection of the first-place finisher in each of two specified races.

(1999 Ed.)

(2) The net double pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(a) As a single price pool to those whose selection finished first in each of the two races; but if there are no such wagers, then

(b) As a profit split to those who selected the first-place finisher in either of the two races; but if there are no such wagers, then

(c) As a single price pool to those who selected the one covered first-place finisher in either race; but if there are no such wagers, then

(d) As a single price pool to those whose selection finished second in each of the two races; but if there are no such wagers, then

(e) The entire pool shall be refunded on double wagers for those races.

(3) If there is a dead heat for first in either of the two races involving:

(a) Horses representing the same betting interest, the double pool shall be distributed as if no dead heat occurred.

(b) Horses representing two or more betting interests, the double pool shall be distributed as a profit split if there is more than one covered winning combination.

(4) Should a betting interest in the first-half of the double be scratched prior to the first double race being declared official, all money wagered on combinations including the scratched betting interest shall be deducted from the double pool and refunded.

(5) Should a betting interest in the second-half of the double be scratched prior to the close of wagering on the first double race, all money wagered on combinations including the scratched betting interest shall be deducted from the double pool and refunded.

(6) Should a betting interest in the second-half of the double be scratched after the close of wagering on the first double race, all wagers combining the winner of the first race with the scratched betting interest in the second race shall be allocated a consolation payoff. In calculating the consolation payoff the net double pool shall be divided by the total amount wagered on the winner of the first race and an unbroken consolation price obtained. The broken consolation price is multiplied by the dollar value of wagers on the winner of the first race combined with the scratched betting interest to obtain the consolation payoff. Breakage is not declared in this calculation. The consolation payoff is deducted from the net double pool before calculation and distribution of the winning double payoff. Dead heats including separate betting interests in the first race shall result in a consolation payoff calculated as a profit split.

(7) If either of the double races are cancelled prior to the first double race, or the first double race is declared "no race", the entire double pool shall be refunded on double wagers for those races.

(8) If the second double race is cancelled or declared "no race" after the conclusion of the first double race, the net double pool shall be distributed as a single price pool to wagers selecting the winner of the first double race. In the event of a dead heat involving separate betting interests, the net double pool shall be distributed as a profit split.

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(9) Before the running of the second double race the association shall announce and/or post the payoff of each combination coupled with the winner of the first half of the double race.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-840, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-850 Pick three.** (1) The pick three requires selection of the first-place finisher in each of three specified races.

(2) The net pick three pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(a) As a single price pool to those whose selection finished first in each of the three races; but if there are no such wagers, then

(b) As a single price pool to those who selected the first-place finisher in any two of the three races; but if there are no such wagers, then

(c) As a single price pool to those who selected the first-place finisher in any one of the three races; but if there are no such wagers, then

(d) The entire pool shall be refunded on pick three wagers for those races.

(3) If there is a dead heat for first in any of the three races involving:

(a) Horses representing the same betting interest, the pick three pool shall be distributed as if no dead heat occurred.

(b) Horses representing two or more betting interests, the pick three pool shall be distributed as follows:

(i) As a profit split to those whose selection finished first in each of the three races, if there are no such wagers,

(ii) As a single price pool to those who selected the first place finisher in any of the three races, if there are no such wagers,

(iii) As a single price pool to those who selected the first place finisher in any one of the three races, if there are no such wagers,

(iv) The entire pool shall be refunded on pick three wagers for those races.

(4) Should a betting interest in any of the three pick three races be scratched, the actual favorite, as evidenced by total amounts wagered in the win pool at the close of wagering on that race, shall be substituted for the scratched betting interest for all purposes, including pool calculations. In the event that the win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination.

(5) If all three pick three races are cancelled or declared "no race," the entire pool shall be refunded on pick three wagers for those races.

(6) If one or two of the pick three races are cancelled or declared "no race," the pick three pool will remain valid and shall be distributed in accordance with subsection 2 of this rule.

(7) Before the running of the third pick three race the association shall announce and/or post the payoff of each combination coupled with the winners of the first and second races of the pick three.

(8) The pick three may be given a distinctive name to be selected by the association conducting such races, such as "TRIPLE," subject to the prior approval of the commission.

[Statutory Authority: RCW 67.16.040. 96-10-014, § 260-48-850, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-860 Quinella pools.** (1) The quinella requires selection of the first two finishers, irrespective of order, for a single race.

(2) The net quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(a) If horses of a coupled entry or mutuel field finish as the first two finishers, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise

(b) As a single price pool to those whose combination finished as the first two betting interests; but if there are no such wagers, then

(c) As a profit split to those whose combination included either the first- or second-place finisher; but if there are no such wagers on one of those two finishers, then

(d) As a single price pool to those whose combination included the one covered betting interest included within the first two finishers; but if there are no such wagers, then

(e) The entire pool shall be refunded on quinella wagers for that race.

(3) If there is a dead heat for first involving:

(a) Horses representing the same betting interest, the quinella pool shall be distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish.

(b) Horses representing two betting interests, the quinella pool shall be distributed as if no dead heat occurred.

(c) Horses representing three or more betting interests, the quinella pool shall be distributed as a profit split.

(4) If there is a dead heat for second involving horses representing the same betting interest, the quinella pool shall be distributed as if no dead heat occurred.

(5) If there is a dead heat for second involving horses representing two or more betting interests, the quinella pool shall be distributed to wagers in the following precedence, based upon the official order of finish:

(a) As a profit split to those combining the winner with any of the betting interests involved in the dead heat for second; but if there is only one covered combination, then

(b) As a single price pool to those combining the winner with the one covered betting interest involved in the dead heat for second; but if there are no such wagers, then

(c) As a profit split to those combining the betting interests involved in the dead heat for second; but if there are no such wagers, then

(d) As a profit split to those whose combination included the winner and any other betting interest and wagers selecting any of the betting interests involved in the dead heat for second; but if there are no such wagers, then

(e) The entire pool shall be refunded on quinella wagers for that race.

[Statutory Authority: RCW 67.16.040, 96-10-014, § 260-48-860, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-870 Exacta pools.** (1) The exacta requires selection of the first two finishers, in their exact order, for a single race.

(2) The net exacta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(a) If horses of a coupled entry or mutuel field finish as the first two finishers, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise

(b) As a single price pool to those whose combination finished in correct sequence as the first two betting interests; but if there are no such wagers, then

(c) As a profit split to those whose combination included either the first-place betting interest to finish first or the second-place betting interest to finish second; but if there are no such wagers on one of those two finishers, then

(d) As a single price pool to those whose combination included the one covered betting interest to finish first or second in the correct sequence; but if there are no such wagers, then

(e) The entire pool shall be refunded on exacta wagers for that race.

(3) If there is a dead heat for first involving:

(a) Horses representing the same betting interest, the exacta pool shall be distributed as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish.

(b) Horses representing two or more betting interests, the exacta pool shall be distributed as a profit split.

(4) If there is a dead heat for second involving horses representing the same betting interest, the exacta pool shall be distributed as if no dead heat occurred.

(5) If there is a dead heat for second involving horses representing two or more betting interests, the exacta pool shall be distributed to ticket holders in the following precedence, based upon the official order of finish:

(a) As a profit split to those combining the first-place betting interest with any of the betting interests involved in the dead heat for second; but if there is only one covered combination, then

(b) As a single price pool to those combining the first-place betting interest with the one covered betting interest involved in the dead heat for second; but if there are no such wagers, then

(c) As a profit split to those wagers correctly selecting the winner for first-place and those wagers selecting any of the dead-heated betting interests for second-place; but if there are no such wagers, then

(d) The entire pool shall be refunded on exacta wagers for that race.

[Statutory Authority: RCW 67.16.040, 96-10-014, § 260-48-870, filed 4/19/96, effective 6/11/96.]

(1999 Ed.)

**WAC 260-48-890 Trifecta pools.** (1) The trifecta requires selection of the first three finishers, in their exact order, for a single race.

(2) The net trifecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:

(a) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then

(b) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(c) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(d) The entire pool shall be refunded on trifecta wagers for that race.

(3) If less than three betting interests finish and the race is declared official, payoffs will be made based upon the order of finish of those betting interests completing the race. The balance of any selection beyond the number of betting interests completing the race shall be ignored.

(4) If there is a dead heat for first involving:

(a) Horses representing three or more betting interests, all of the wagering combinations selecting three betting interests which correspond with any of the betting interests involved in the dead heat shall share in a profit split.

(b) Horses representing two betting interests, both of the wagering combinations selecting the two dead-heated betting interests, irrespective of order, along with the third-place betting interest shall share in a profit split.

(5) If there is a dead heat for second, all of the combinations correctly selecting the winner combined with any of the betting interests involved in the dead heat for second shall share in a profit split.

(6) If there is a dead heat for third, all wagering combinations correctly selecting the first two finishers, in correct sequence, along with any of the betting interests involved in the dead heat for third shall share in a profit split.

(7) There shall be only one instance of two horses having common ties in any trifecta race, stakes races are excepted with permission of the stewards.

[Statutory Authority: RCW 67.16.040, 98-01-148, § 260-48-890, filed 12/19/97, effective 1/19/98; 96-10-014, § 260-48-890, filed 4/19/96, effective 6/11/96.]

**WAC 260-48-900 Twin trifecta pools.** (1) The twin trifecta requires selection of the first three finishers, in their exact order, in each of two designated races. Each winning ticket for the first twin trifecta race must be exchanged for a free ticket on the second twin trifecta race in order to remain eligible for the second-half twin trifecta pool. Winning first-half wagers will receive both an exchange and a monetary payoff. Both of the designated twin trifecta races shall be included in only one twin trifecta pool.

(2) Twin trifecta wagering may be conducted by Class A and B licensees at the discretion of the commission upon written application by an association.

(3) After wagering closes for the first-half of the twin trifecta and commissions have been deducted from the pool, the

net pool shall then be divided into two separate pools: The first-half twin trifecta pool and the second-half twin trifecta pool. The percentage allocated to each pool must be approved by the commission.

(4) In the first twin trifecta race only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first twin trifecta race:

(a) As a single price pool to those whose combination finished in the correct sequence as the first three betting interest; but if there are no such wagers, then

(b) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(c) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(d) The entire twin trifecta pool for that day shall be refunded on twin trifecta wagers for that race and the second-half shall be cancelled.

(5) If no first-half twin trifecta ticket selects the first three finishers of that race in exact order, winning ticket holders shall not receive any exchange tickets for the second-half twin trifecta pool. In such case, the second-half twin trifecta pool shall be retained and added to any existing twin trifecta carry-over pool.

(6) Winning tickets from the first-half of the twin trifecta shall be exchanged for tickets selecting the first three finishers of the second-half of the twin trifecta. The second-half twin trifecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second twin trifecta race:

(a) As a single price pool, including any existing carry-over monies, to those whose combination finished in correct sequence as the first three betting interest; but if there are no such tickets, then

(b) The entire second-half twin trifecta pool for that race shall be added to any existing carry-over monies and retained for the corresponding second-half twin trifecta pool of the next consecutive race card.

(7) Subject to subsection 19(e) of the twin trifecta rules, if a winning first-half twin trifecta ticket is not presented for cashing and exchange prior to the second-half twin trifecta race, the ticket holder may still collect the monetary value associated with the first-half twin trifecta pool but forfeits all rights to any distribution of the second-half twin trifecta pool.

(8) Coupled entries and mutuel fields shall be prohibited in twin trifecta races.

(9) There shall be only one instance of two horses having common ties through a trainer in any twin trifecta race.

(10) Should a betting interest in the first-half of the twin trifecta be scratched, those twin trifecta wagers including the scratched betting interest shall be refunded.

(11) Should a betting interest in the second-half of the twin trifecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest.

(12) If there is a dead heat or multiple dead heats in either the first- or second-half of the twin trifecta, all twin trifecta wagers selecting the correct order of finish, counting a

betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of dead heat occurring in:

(a) The first-half of the twin trifecta, the payoff shall be calculated as a profit split

(b) The second-half of the twin trifecta, the payoff shall be calculated as a single price pool.

(13) If either of the twin trifecta races are cancelled prior to the first twin trifecta race, or the first twin trifecta race is declared "no race," the entire twin trifecta pool for that day shall be refunded on twin trifecta wagers for that race and the second-half shall be cancelled.

(14) If the second-half twin trifecta race is cancelled or declared "no race," all exchange tickets and outstanding first-half winning twin trifecta tickets shall be entitled to the net twin trifecta pool for that race as a single price pool, but not the twin trifecta carry-over. If there are no such tickets, the net twin trifecta pool shall be distributed as described in subsections (4) of the twin trifecta rules.

(15) If, due to a late scratch, the number of betting interests in the second-half of the twin trifecta is reduced to fewer than 6, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half twin pool for that race as a single price pool, but not the twin trifecta carry-over.

(16) If it be determined by the stewards that a horse has been prevented from racing because of the failure of the stall door of the starting gate to open (non starter) in the second-half of the twin trifecta only, there will be no refund or consolation payoff. The official order of finish as posted shall be used to determine payoffs. This will not affect other pools for this race.

(17) A written request for permission to distribute the twin trifecta carry-over on a specific race card may be submitted to the commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date of race card for the distribution.

(18) Contrary to subsection (5) of the twin trifecta rules, during a race card designated to distribute the twin trifecta carry-over, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the twin trifecta. If there are no wagers correctly selecting the first-, second-, and third-place finishers, in their exact order, then exchange tickets shall be issued for combinations as described in subsection (4) of the twin trifecta rules.

(19) Should the twin trifecta carry-over be designated for distribution on a specified date, the following precedence will be followed in determining winning tickets for the second-half of the twin trifecta after completion of the first-half of the twin trifecta:

(a) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then

(b) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then

(c) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then

(d) As a single price pool to holders of valid exchange tickets.

(e) As a single price pool to holders of outstanding first-half winning tickets.

(20) The twin trifecta carry-over shall be designated for distribution on a specified date and race card only under the following circumstances:

(a) Upon written approval from the commission as provided in subsection (17) of the twin trifecta rules.

(b) On the closing race card of the meet or split meet.

(21) If, for any reason, the twin trifecta carry-over must be held over to the corresponding twin trifecta pool of the association's subsequent meet, the carry-over shall be deposited in an interest-bearing account approved by the commission. The twin trifecta carry-over plus accrued interest shall then be added to the second-half twin trifecta pool of the association's following meet.

(22) If racing is cancelled prior to the first-half of the twin trifecta on the closing race card of the meet or split meet, the carry-over will be held over in accordance with subsection (20) of the twin trifecta rules.

(23) If racing is cancelled after the running of the first-half but before the running of the second-half on the closing race card of the meet or split meet, the carry-over pool will be paid as a single price to holders of exchange tickets or outstanding winning tickets from the first-half.

(24) Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of valid exchange tickets is prohibited. This shall not prohibit necessary communications between totalisator and parimutuel department employees for processing of pool data.

(25) The acceptance of a twin trifecta ticket by taking an issued ticket away from the window of the terminal from which it was issued shall constitute an acknowledgment by the bettor that the ticket is correct. Exchange tickets may not be canceled and/or reissued except as provided by these rules. The association, totalisator company, and state may not be liable to any person for a twin trifecta ticket which is not:

(a) A winning ticket in accordance with the provisions of this rule; or

(b) Delivered for any reason, including but not limited to mechanical malfunction, electrical failure, machine locking, phone line failure, or other cause.

(26) Twin trifecta tickets shall be sold and exchanged only by the association through parimutuel machines.

(27) The twin trifecta carry-over may be capped at a designated level approved or set by the commission so that if, at the close of any race card, the amount in the twin trifecta carry-over equals or exceeds the designated cap, the twin trifecta carry-over will be frozen until it is won or distributed under other provisions of this rule. After the twin trifecta carry-over is frozen, 100 percent of the net twin trifecta pool for each individual race shall be distributed to winners of the first-half of the twin trifecta pool.

[Statutory Authority: RCW 67.16.040, 96-10-014, § 260-48-900, filed 4/19/96, effective 6/11/96.]

(1999 Ed.)

**WAC 260-48-920 Pick (n) pools.** (1) The pick (n) requires selection of the first-place finisher in each of a designated number of races. The association must obtain written approval from the commission concerning the scheduling of pick (n) races, the designation of one of the methods prescribed in part (2), and the amount of any cap to be set on the carry-over. The number of races so designated must be more than three (3), but no greater than ten (10). Any changes to the approved pick (n) format require prior approval from the commission.

(2) The Pick (n) pool shall be apportioned under one of the following methods:

(a) Method 1, pick (n) with carry-over: The net pick (n) pool and carry-over, if any, shall be distributed as a single price pool to those who selected the first-place finisher in each of the pick (n) races, based upon the official order of finish. If there are no such wagers, then a designated percentage of the net pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of pick (n) races; and the remainder shall be added to the carry-over.

(b) Method 2, pick (n) with minor pool and carry-over: The major share of the net pick (n) pool and the carry-over, if any, shall be distributed to those who selected the first-place finisher in each of the pick (n) races, based upon the official order of finish. The minor share of the net pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of pick (n) races, based upon the official order of finish. If there are no wagers selecting the first-place finisher of all pick (n) races, the minor share of the net pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of pick (n) races; and the major share shall be added to the carry-over.

(c) Method 3, pick (n) with no minor pool and no carry-over: The net pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of pick (n) races, based upon the official order of finish. If there are no winning wagers, the pool is refunded.

(d) Method 4, pick (n) with minor pool and no carry-over: The major share of the net pick (n) pool shall be distributed to those who selected the first place finisher in the greatest number of pick (n) races, based upon the official order of finish. The minor share of the net pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of pick (n) races, based upon the official order of finish. If there are no wagers selecting the first-place finisher in a second greatest number of pick (n) races, the minor share of the net pick (n) pool shall be combined with the major share for distribution as a single price pool to those who selected the first-place finisher in the greatest number of pick (n) races. If the greatest number of first-place finishers selected is one (1), the major and minor shares are combined for distribution as a single price pool. If there are no winning wagers, the pool is refunded.

(e) Method 5, pick (n) with minor pool and no carry-over: The major share of net pick (n) pool shall be distributed to those who selected the first-place finisher in each of the pick (n) races, based upon the official order of finish. The



minor share of the net pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of pick (n) races, based upon the official order of finish. If there are no wagers selecting the first-place finisher in all pick (n) races, the entire net pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of pick (n) races. If there are no wagers selecting the first-place finisher in a second greatest number of pick (n) races, the minor share of the net pick (n) pool shall be combined with the major share for distribution as a single price pool to those who selected the first-place finisher in each of the pick (n) races. If there are no winning wagers, the pool is refunded.

(f) Method 6, pick (n) with minor pool, jackpot pool, major carry-over and jackpot carry-over: Predetermined percentages of the net pick (n) pool shall be set aside as a major pool, minor pool and jackpot pool. The major share of the net pick (n) pool and the major carry-over, if any, shall be distributed to those who selected the first-place finisher of each of the pick (n) races, based on the official order of finish. If there are no tickets selecting the first-place finisher in each of the pick (n) races, the major net pool shall be added to the major carry-over. If there is only one single ticket selecting the first-place finisher of each of the pick (n) races, based on the official order of finish, the jackpot share of the net pick (n) pool and the jackpot carry-over, if any, shall be distributed to the holder of that single ticket, along with the major net pool and the major carry-over, if any. If more than one ticket selects the first-place finisher of each of the pick (n) races the jackpot net pool shall be added to the jackpot carry-over. The minor share of the net pick (n) pool shall be distributed to those who selected the first-place finisher of the second greatest number of pick (n) races, based on the official order of finish. If there are no wagers selecting the first-place finisher of all pick (n) races, the minor net pool of the pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher of the greatest number of pick (n) races.

(3) If there is a dead heat for first in any of the pick (n) races involving:

(a) Horses representing the same betting interest, the pick (n) pool shall be distributed as if no dead heat occurred.

(b) Horses representing two or more betting interests, the pick (n) pool shall be distributed as a single price pool with each winning wager receiving an equal share of the profit.

(4) Should a betting interest in any of the pick (n) races be scratched:

(a) The racing association may allow patrons the option of selecting an alternate betting interest prior to the running of the first leg of the pick (n). The selected alternate betting interest shall be substituted for the scratched betting interest, for all purposes, including pool calculations.

(b) If no alternate betting interest is selected or the selected alternate betting interest is also scratched, the actual favorite, as evidenced by total amounts wagered in the win pool at the close of wagering on that race, shall be substituted for the scratched betting interest for all purposes, including pool calculations. In the event that the win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The

totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination.

(5) The pick (n) pool shall be cancelled and all pick (n) wagers for the individual race day shall be refunded if:

(a) At least three races included as part of a pick 4, pick 5 or pick 6 are cancelled or declared "no race".

(b) At least four races included as part of a pick 7, pick 8 or pick 9 are cancelled or declared "no race".

(c) At least five races included as part of a pick 10 are cancelled or declared "no race".

(6) If at least one race included as part of a pick (n) is cancelled or declared "no race", but not more than the number specified in subsection 5 of this rule, the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of pick (n) races for that race day. Such distribution shall include the portion ordinarily retained for the pick (n) carry-over but not the carry-over from previous race days.

(7) The pick (n) carry-over may be capped at a designated level approved by the commission so that if, at the close of any race day, the amount in the pick (n) carry-over equals or exceeds the designated cap, the pick (n) carry-over will be frozen until it is won or distributed under other provisions of this rule. After the pick (n) carry-over is frozen, 100 percent of the net pool, part of which ordinarily would be added to the pick (n) carry-over, shall be distributed to those whose selection finished first in the greatest number of pick (n) races for that race day.

(8) A written request for permission to distribute the pick (n) carry-over on a specific race day may be submitted to the commission. The request must contain justification for the distribution, an explanation of the benefit to be derived, and the intended date and race day for the distribution.

(9) Should the pick (n) carry-over be designated for distribution on a specified date and race day in which there are no wagers selecting the first-place finisher in each of the pick (n) races, the entire pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of pick (n) races. The pick (n) carry-over shall be designated for distribution on a specified date and race day only under the following circumstances:

(a) Upon written approval from the commission as provided in subsection 8 of this rule.

(b) Upon written approval from the commission when there is a change in the carry-over cap, a change from one type of pick (n) wagering to another, or when the pick (n) is discontinued.

(c) On the closing race day of the meet or split meet.

(10) If, for any reason, the pick (n) carry-over must be held over to the corresponding pick (n) pool of a subsequent meet, the carry-over shall be deposited in an interest-bearing account approved by the commission. The pick (n) carry-over plus accrued interest shall then be added to the net pick (n) pool of the following meet on a date and race day so designated by the commission.

(11) With the written approval of the commission, the association may contribute to the pick (n) carry-over a sum of money up to the amount of any designated cap.



(12) Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of live tickets remaining is strictly prohibited. This shall not prohibit necessary communication between totalisator and parimutuel department employees for processing of pool data.

(13) The association may suspend previously-approved pick (n) wagering with the prior approval of the commission. Any carry-over shall be held until the suspended pick (n) wagering is reinstated. An association may request approval of a pick (n) wager or separate wagering pool for specific race day.

[Statutory Authority: RCW 67.16.040, 96-10-014, § 260-48-920, filed 4/19/96, effective 6/11/96.]

**Chapter 260-52 WAC**

**THE RACE—PADDOCK TO FINISH**

**WAC**

260-52-010	Paddock to post.
260-52-020	Post position.
260-52-030	Starting the race.
260-52-040	Post to finish.
260-52-050	Placing judges—Duties.
260-52-060	Camera and photographs.
260-52-070	Declaring race "official."
260-52-080	Official time of the race.
260-52-090	Dead heats.

**WAC 260-52-010 Paddock to post.** (1) Permission must be obtained from a steward to exercise a horse between races unless the horse is being warmed up on the way to and just prior to entering the paddock for the next race to be run.

(2) When a horse is being so warmed up before entering the paddock, his official program number shall be displayed by the rider.

(3) In a race, each horse shall carry a conspicuous saddlecloth number and a head number, corresponding to his number on the official program. In the case of an entry each horse making up the entry shall carry the same number (head and saddlecloth) with a distinguishing letter. For example, 1-1A, 1X. In the case of a field the horses comprising the field shall carry an individual number; i.e., 12, 13, 14, 15, and so on.

(4) After the horses enter the track, no jockey shall dismount and no horse shall be entitled to the care of an attendant without consent of the stewards or the starter, and the horse must be free of all hands other than those of the jockey or assistant starter before the starter releases the barrier.

(5) In case of accident to a jockey, his mount or equipment, the stewards or the starter may permit the jockey to dismount and the horse to be cared for during the delay, and may permit all jockeys to dismount and all horses to be attended during the delay.

(6) All horses shall parade and, under penalty of disqualification, shall carry their weight from the paddock to the starting post, such parade to pass the steward's stand.

(7) After entering the track not more than 12 minutes shall be consumed in the parade of the horses to the post except in cases of unavoidable delay. After passing the stand once, horses will be allowed to break formation and canter, warm up or go as they please to the post. When horses have

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reached the post, they shall be started without unnecessary delay.

(8) If the jockey is so injured on the way to the post as to require another jockey, the horse shall be taken to the paddock and another jockey obtained.

(9) No person shall wilfully delay the arrival of a horse at the post.

(10) No person other than the rider, starter, or assistant starter shall be permitted to strike a horse, or attempt, by shouting or otherwise to assist it in getting a start.

(11) In all races in which a jockey will not ride with a whip, an announcement of that fact shall be made over the public address system.

[Statutory Authority: RCW 67.16.020 and 67.16.040, 81-08-013 (Order 81-01), § 260-52-010, filed 3/24/81; Rules 187 through 196, filed 4/21/61.]

**WAC 260-52-020 Post position.** Post position shall be determined publicly by lot in the presence of the racing secretary or his deputy. After a regular carded horse or horses have been excused from a race, all horses shall move up in post position order; except in the case of a race on the straightaway, in which case the also-eligible shall take the stall of the horse declared out or scratched. The above rule shall apply unless the association specifically states otherwise in its stakes or condition book.

Horses shall take their position at the post in the order in which their names have been drawn, beginning from the inside rail, but vicious and unruly horses may be placed on the outside, if necessary.

[Order 3, § 260-52-020, filed 5/12/69; Rule 210, filed 4/21/61.]

**WAC 260-52-030 Starting the race.** (1) The starter shall give orders to secure a fair start. After reasonable efforts, if a horse cannot be led or backed into position, the starter shall order the horse to be taken to the outside. The start must not be delayed on account of bad-mannered horses. When the stall gate is used, it shall be placed on the track at the discretion of the starter.

(2) The starter is required to load horses into the starting gate in order of post position: *Provided*, That the starter may load known fractious horses out of order at his discretion.

(3) All races shall be started by a starting gate approved by the commission, except that with permission of the stewards a race may be started without a gate. When a race is started with or without a gate, there shall be no start until, and no recall after, the assistant starter has dropped his flag in answer to that of the starter.

(4) If a horse is locked in the gate, the starter shall immediately notify the stewards who in turn shall immediately notify the manager of pari-mutuel department. The starter shall be the sole judge of what horse or horses are prevented from starting in a race through failure of gates to open.

[Order 74.2, § 260-52-030, filed 10/30/74; Order 73.7, § 260-52-030, filed 12/3/73; Rules 247, 248, 249 and 254, filed 4/21/61.]

**WAC 260-52-040 Post to finish.** (1) When clear, a horse may be taken to any part of the course, except that crossing or weaving in front of contenders may constitute interference or intimidation for which the offender may be disciplined.

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(2) A horse crossing so as actually to impede another horse is disqualified, unless the impeded horse was partly in fault or the crossing was wholly caused by the fault of some other horse or jockey.

(3) If a horse or jockey jostle another horse, the aggressor may be disqualified, unless the jostled horse or his jockey was partly in fault or the jostle was wholly caused by the fault of some other horse or jockey.

(4) If a jockey wilfully strikes another horse or jockey, or rides wilfully or carelessly so as to injure another horse, which is in no way in fault, or so as to cause other horses to do so, his horse is disqualified.

(5) When a horse is disqualified under this rule the other horse or horses in the same race coupled as an entry under WAC 260-48-110 may be disqualified.

(6) Complaints under this rule can only be received from the owner, trainer or jockey of the horse alleged to be aggrieved, and must be made to the clerk of the scales or to the stewards before or immediately after his jockey has passed the scales. But nothing in this rule shall prevent the stewards taking cognizance of foul riding.

(7) Any jockey against whom a foul is claimed shall be given the opportunity to appear before the stewards before any decision is made by them.

(8) A jockey whose horse has been disqualified or who unnecessarily causes his horse to shorten his stride with a view to complaint, or an owner, trainer or jockey who complains frivolously that his horse was crossed or jostled, may be punished.

(9) All horses are expected to give their best efforts in races in which they run, and any instructions or advice to jockeys to ride or handle their mounts otherwise than for the purpose of winning are forbidden and will subject all persons giving or following such instructions or advice to disciplinary action by the stewards and the commission.

(10) No jockey carrying a whip during a race shall fail to use the whip in a manner consistent with using his best efforts to win. Jockeys are prohibited from whipping a horse during the post parade, over the head, or in an excessive or brutal manner.

[Statutory Authority: RCW 67.16.020 and 67.16.040. 81-08-013 (Order 81-01), § 260-52-040, filed 3/24/81; Rule 211, filed 4/21/61.]

**WAC 260-52-050 Placing judges—Duties.** The placing judge or judges shall be primarily responsible for ascertaining violations of WAC 260-52-040 during the running of a race and shall additionally report to the stewards the winning horses of each race and perform each and every other duty delegated by the commission. If there is a close finish, the photograph shall be submitted to the stewards for examination and for concurrence on the result before the order of finish of the race is displayed or announced. In the case of a dead heat, or disagreement as to the correct order of finish, the decision of the stewards shall be final. In placing the horses at the finish, the position of the horses noses only shall be considered and not any other part of the body.

[Order 75-1, § 260-52-050, filed 2/18/75; Rule 202, filed 1/30/67; Rule 202, filed 4/21/61.]

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**WAC 260-52-060 Camera and photographs.** (1) On all tracks proper cameras shall be installed as an aid to the placing and patrol judges, however, in all cases, the cameras are merely an aid and the decisions of the judges are to be final. The photograph of each finish shall be posted in at least one conspicuous place as promptly as possible after each race.

(2) The association shall keep on file for the duration of the meeting each plate or film of each race for reference or reproduction upon request of the commission.

[Rule 203, filed 1/30/67; Rules 203 and 204, filed 4/21/61.]

**WAC 260-52-070 Declaring race "official."** (1) The clerk of the scales shall weigh in all jockeys after each race, and after weighing, shall notify the stewards if the weights are correct. The stewards may then declare the race official.

(2) Nothing in these rules shall be construed to prevent the placing judges, with the approval of the stewards, from correcting an error before the display of the sign "official" or from recalling the sign, "official" in case it has been displayed through error.

[Rules 205 and 206, filed 4/21/61.]

**WAC 260-52-080 Official time of the race.** That the time recorded for the first horse to cross the finish line shall be the official time of the race. (Except as provided by WAC 260-68-110 [WAC 260-70-130], namely, that if a horse establishes a track record and it later develops in the chemical analysis of the sample that there is the presence of a drug, then such track record shall be null and void.)

[Rule 277, filed 4/21/61.]

**WAC 260-52-090 Dead heats.** See WAC 260-64-060.

## Chapter 260-56 WAC OBJECTIONS AND PROTESTS

### WAC

260-56-010	Who may file.
260-56-020	Requisites—Time for filing.
260-56-030	Costs and expenses.
260-56-040	Disposition of moneys, prizes, pending outcome.
260-56-050	Withdrawal of protests.
260-56-060	Frivolous protests.
260-56-070	Records and reports.

**WAC 260-56-010 Who may file.** (1) A protest, except a protest involving fraud, may be filed only by the owner (or his authorized agent), trainer, or jockey of a horse engaged in the race over which the protest is made or by a racing official of the meeting.

(2) A protest involving fraud may be made by any person.

[Rules of racing, §§ 220, 221, filed 4/21/61.]

**WAC 260-56-020 Requisites—Time for filing.** (1) A protest, except a claim growing out of happenings in the running of the race, must be made in writing, signed by the complainant and filed with the stewards before post time of the race in question.

(2) To merit consideration, a protest against the programmed distance of a race must be made at least thirty minutes before post time for that race, but nothing in this rule shall affect the rule for races run at a wrong distance as compared with the official program.

(3) To merit consideration, a protest against a horse based on a happening in a race must be made to the stewards before the placing of the horses for that race has been officially confirmed.

(4) If a jockey wishes to protest a happening in a race, he must notify the clerk of the scales, immediately upon his arrival at the scales for weighing in.

[Rules of racing, §§ 222 through 225, filed 4/21/61.]

**WAC 260-56-030 Costs and expenses.** (1) Before the consideration for a protest, the stewards may demand a deposit of \$50.00 to be made with the racing commission. This deposit shall be applied to the costs and expenses, as provided in subsection (2) of this section. Any excess shall be refunded unless the protest is found to be frivolous, in which case the deposit may be assessed as a fine.

(2) A person or persons lodging a protest must pay all costs and expenses incurred in determining the object unless his objection is upheld, in which case the cost shall be paid by the offender.

[Rules of racing, §§ 226, 227, filed 4/21/61.]

**WAC 260-56-040 Disposition of moneys, prizes, pending outcome.** Pending the determination of a protest, any money or prize won by a protested horse, or any other money affected by the outcome of the protest shall be held by the racing association until the protest is determined.

[Rules of racing, § 228, filed 4/21/61.]

**WAC 260-56-050 Withdrawal of protests.** A protest may not be withdrawn without permission of the stewards.

[Rules of racing, § 229, filed 4/21/61.]

**WAC 260-56-060 Frivolous protests.** No person shall make frivolous protests.

[Rules of racing, § 230, filed 4/21/61.]

**WAC 260-56-070 Records and reports.** The stewards shall keep a record of all protests and complaints and of any action taken thereon; and shall report both daily to the commission.

[Rules of racing, § 231, filed 4/21/61.]

**Chapter 260-60 WAC  
CLAIMING**

**WAC**

- 260-60-300 Who may claim.
- 260-60-310 Entering in a claiming race.
- 260-60-320 Limit to number.
- 260-60-330 Claims to be in amount printed on program.
- 260-60-340 Disposition by lot.
- 260-60-350 Requirements for a claim.
- 260-60-360 Stewards to act on claims.
- 260-60-370 Affidavit.
- 260-60-380 Prohibited actions.

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- 260-60-390
- 260-60-400
- 260-60-410
- 260-60-420
- 260-60-430
- 260-60-440
- 260-60-450
- 260-60-460
- 260-60-470

- Claim in bad faith.
- Entry of a filly or mare in foal.
- Claimed horse—In whose interest run—Delivery and passage of title.
- Claimed horse—Refusal to deliver.
- Claimed horse—Subsequent entry.
- Claimed horse—Subsequent sale or transfer—Retention by owner.
- Claimed horse—Title recognized according to rules of meeting.
- Cancellation of claims.
- Rules apply to all races.

**DISPOSITION OF SECTIONS FORMERLY  
CODIFIED IN THIS CHAPTER**

- 260-60-010
- 260-60-020
- 260-60-030
- 260-60-040
- 260-60-050
- 260-60-060
- 260-60-070
- 260-60-080
- 260-60-090
- 260-60-100
- 260-60-110
- 260-60-115
- 260-60-120
- 260-60-130
- 260-60-140

- Who may claim—Owner or agent. [Order 73.7, § 260-60-010, filed 12/3/73; Rules of racing, § 33, filed 4/21/61; Amended 3/8/63, filed 1/21/64.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- Prospective owner or agent. [Statutory Authority: RCW 67.16.020 and 67.16.040. 80-01-032 (Order 79-04), § 260-60-020, filed 12/17/79; Order 73.7, § 260-60-020, filed 12/3/73; Rules of racing, § 33(a), Added 3/8/63, filed 1/21/64.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- Limit as to number claimed. [Rules of racing, §§ 34, 35, 36, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- Claims to be in amount printed on program—Disposition by lot. [Rules of racing, § 41, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- Formal requisites of claim. [Statutory Authority: RCW 67.16.020 and 67.16.040. 81-09-075 (Order 81-03), § 260-60-050, filed 4/22/81; Rules of racing, § 42, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- Deposit with association of amount of claim—Receipt credit. [Order 73.7, § 260-60-060, filed 12/3/73; Rules of racing, § 43, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- Time for deposit of claim in claiming box—Claims irrevocable. [Order 73.7, § 260-60-070, filed 12/3/73; Rules of racing, § 46, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- Divulging information prohibited. [Rules of racing, § 47, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- Stewards to pass on claims. [Order 73.7, § 260-60-090, filed 12/3/73; Rules of racing, § 48, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- Affidavit as to claim in own account or as agent. [Rules of racing, § 50, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- Unlawful agreements—Intimidation. [Rules of racing, § 52, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- Claim in bad faith. [Statutory Authority: RCW 67.16.020 and 67.16.040. 81-09-075 (Order 81-03), § 260-60-115, filed 4/22/81.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- Disclosure of incumbrances—Entry of mare in foal in a claiming race. [Statutory Authority: RCW 67.16.020 and 67.16.040. 81-08-013 (Order 81-01), § 260-60-120, filed 3/24/81; Rules of racing, § 53, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- Claiming race, limit as to horses in same interest or control. [Rules of racing, § 44, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- Claimed horse—In whose interest run—Delivery and passage of title. [Rules of racing, § 49, filed 4/21/61.]

- 260-60-150 Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.  
Claimed horse—Refusal to deliver. [Rules of racing, § 51, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- 260-60-160 Claimed horse—Subsequent entry. [Rules of racing, § 37, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- 260-60-170 Claimed horse—Subsequent sale or transfer—Retention by owner. [Rules of racing, § 38, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- 260-60-180 Claimed horse—Racing elsewhere. [Rules of racing, § 39, filed 8/26/65; Rules of racing, § 39, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- 260-60-190 Claimed horse—Title recognized according to rules of meeting. [Rules of racing, § 40, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- 260-60-200 Claimed horse—Foal certificate. [Rules of racing, § 45, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- 260-60-210 Cancellation of claims. [Statutory Authority: RCW 67.16.020 and 67.16.040, 81-09-075 (Order 81-03), § 260-60-210, filed 4/22/81; Rules of racing, § 57, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.
- 260-60-220 Claiming rights when stable eliminated. [Rules of racing, §§ 55, 56, filed 4/21/61.] Repealed by Order 73.7, filed 12/3/73.
- 260-60-230 Rules apply to all races. [Rules of racing, § 54, filed 4/21/61.] Repealed by 96-12-008, filed 5/23/96, effective 6/23/96. Statutory Authority: RCW 67.16.040.

**WAC 260-60-300 Who may claim.** Who may claim: Owner, authorized agent or prospective owner possessing a claiming certificate.

(1) In claiming races, any horse is subject to be claimed for its entered price by any owner at that meeting or by a licensed authorized agent for the account of such owner. For the purpose of this rule an "owner" shall be deemed to be an owner as defined in WAC 260-12-010. Furthermore, such owner shall be registered in good faith for racing and has had a horse or horses occupying assigned stall space for the race meeting. The right to claim shall not be forfeited even though all horses occupying such stall space may have been eliminated.

(2) In addition to the above rule, any horse is subject to be claimed by a person or a licensed authorized agent for the account of such person, providing such person has applied to and has been approved by the commission as a prospective owner and has been issued a claiming certificate. The names of persons obtaining a claiming certificate shall be prominently displayed in the offices of the commission and the racing secretary. Once the prospective owner has successfully claimed a horse he/she must secure an owner's license on a timely basis. An applicant for a claim certificate shall submit to the Stewards:

- (a) A completed application for a claiming permit and the \$25 fee;
- (b) The name of a licensed trainer who will assume the care and responsibility for any horse claimed;
- (c) The stewards shall issue a claim certificate upon satisfactory evidence that the applicant is eligible for an owner's license;
- (d) The claim certificate shall expire with the conclusion of the race meeting at which it was issued, or upon the claim

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of a horse, or upon issuance or denial of an owner's license, whichever comes first;

(e) A claim certificate may be renewed by the stewards during the same year with no additional fee;

(f) A claiming certificate may be issued to a person who had been licensed as an owner during a previous race meet.

[Statutory Authority: RCW 67.16.040, 96-12-008, § 260-60-300, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-310 Entering in a claiming race.** A person entering a horse in a claiming race warrants that the title to said horse is free and clear of any existing claim or lien, either as security interest mortgage, bill of sale, or lien of any kind; unless before entering such horse, the written consent of the holder of the claim or lien has been filed with the stewards and the racing secretary and its entry approved by the stewards. A transfer of ownership arising from a recognized claiming race will terminate any existing prior lease for that horse.

[Statutory Authority: RCW 67.16.040, 96-12-008, § 260-60-310, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-320 Limit to number.** (1) No person shall claim more than one horse in any one race.

(2) No authorized agent, although representing several owners shall submit more than one claim for any one race.

(3) When a stable consists of horses owned by more than one person, trained by the same trainer, not more than one claim may be entered on behalf of such stable in any one race.

(4) In claiming races not more than two horses in the same interest or under the control of the same trainer can start.

[Statutory Authority: RCW 67.16.040, 96-12-008, § 260-60-320, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-330 Claims to be in amount printed on program.** The claiming price of each horse in a claiming race shall be printed on the program, and all claims for said horse shall be the amount so designated. Except as ordered by the stewards, no claiming price may be changed after a horse has been entered for a race.

[Statutory Authority: RCW 67.16.040, 96-12-008, § 260-60-330, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-340 Disposition by lot.** Should more than one claim be filed for the same horse, the claim of the horse shall be determined by lot under the direction of one or more of the stewards, or their representative.

[Statutory Authority: RCW 67.16.040, 96-12-008, § 260-60-340, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-350 Requirements for a claim.** (1) Claims must be made in writing and signed by an owner, a licensed prospective owner, or an authorized agent; and

(2) Shall be made on forms and in envelopes furnished by the association and approved by the commission. Both forms and envelopes must be filled out completely, and must be sufficiently accurate to identify the claim.

(3) No money shall accompany the claim. Each person desiring to make a claim, must first establish an account with

the racing association and have on deposit with the association the whole amount of the claim (including any applicable taxes). The deposit shall be in cash, or in the discretion of the association, a certified or bank cashier check.

(4) Claims shall be deposited in the claiming box at least fifteen minutes before the established post time of the race for which the claim is filed. When a claim has been filed it is irrevocable and at the risk of claimant.

(5) When a claiming certificate is to be used, that certificate must accompany the claim, or the claim may be declared void.

[Statutory Authority: RCW 67.16.040. 96-12-008, § 260-60-350, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-360 Stewards to act on claims.** After deposit of the claim the stewards or their authorized representative, shall review the claim. Unless approved at such time, the claim shall be declared void. A ruling declaring a claim to be void shall be final in all respects.

[Statutory Authority: RCW 67.16.040. 96-12-008, § 260-60-360, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-370 Affidavit.** The stewards may, at any time, in their discretion, require any person making a claim for a horse in any claiming race, to affirm in writing that he is claiming said horse for his own account or as authorized agent, and not for any other person.

[Statutory Authority: RCW 67.16.040. 96-12-008, § 260-60-370, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-380 Prohibited actions.** (1) No official or other employee of any association shall give any information as to the filing of claims until after the race has been run.

(2) No person shall offer, or enter into an agreement, to claim or not to claim, or attempt to prevent another person from claiming, any horse in a claiming race.

(3) No person shall attempt, by intimidation, to prevent any one from running a horse in any race for which it is entered.

(4) No owner or trainer, starting a horse in any claiming race, shall make any agreement for the protection of each other's horses.

(5) A person shall not claim a horse in which he/she has a financial or beneficial interest as an owner or trainer.

(6) A person shall not cause another person to claim a horse for the purpose of obtaining or retaining an undisclosed financial or beneficial interest in the horse.

(7) A person shall not claim a horse, or enter into any agreement to have a horse claimed, on behalf of an ineligible or undisclosed person.

[Statutory Authority: RCW 67.16.040. 96-12-008, § 260-60-380, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-390 Claim in bad faith.** If the stewards find that a person has leased, sold or entered a horse merely for the purpose of entering a claim, that claim may be declared void.

[Statutory Authority: RCW 67.16.040. 96-12-008, § 260-60-390, filed 5/23/96, effective 6/23/96.]

(1999 Ed.)

**WAC 260-60-400 Entry of a filly or mare in foal.** No person shall enter a filly or mare in a race when such mare is pregnant, unless prior to the time of entry the owner shall have deposited with the racing secretary a signed agreement providing that the owner will at the time of entry provide for the successful claimant of such mare, without cost, protest, or fee of any kind, a valid stallion service certificate covering the breeding of the mare. A successful claimant of a mare may file with the commission a petition for rescission of the claim if it is determined the claimed mare is pregnant and the agreement concerning the stallion service certificate was not deposited as required by this section. An in-foal filly or mare shall be eligible to be entered into a claiming race only if the following conditions are fulfilled:

(1) Full disclosure of such fact is on file with the racing secretary and such information is posted in his/her office;

(2) The stallion service certificate has been deposited with the racing secretary's office and attached to the horse's foal registration certificate;

(3) All payments due for the service in question and for any live progeny resulting from that service are paid in full.

(4) No filly or mare in foal may race, in a claiming race, after the fifth month of pregnancy.

[Statutory Authority: RCW 67.16.040. 96-12-008, § 260-60-400, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-410 Claimed horse—In whose interest run—Delivery and passage of title.** Every horse claimed shall run in the interest and for the account of the owner who entered it in the race, but title to the claimed horse shall be vested in the successful claimant from time said horse becomes a "starter." Henceforth, the successful claimant shall become the owner of the horse, whether it be alive or dead, sound or unsound, or injured during the race or after it. Transfer of possession of a claimed horse shall take place immediately after the race has been run unless otherwise directed by the stewards. If the horse is required to be taken to the test barn for post-race testing, the successful claimant or his/her representative shall maintain physical custody of the claimed horse. However, the original owner, trainer or his/her representative shall accompany the horse, observe the testing procedure and sign the test sample tag.

[Statutory Authority: RCW 67.16.040. 96-12-008, § 260-60-410, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-420 Claimed horse—Refusal to deliver.** No person shall refuse to deliver to the person legally entitled thereto a horse claimed out of a claiming race, and furthermore, the horse in question shall be disqualified until delivery is made.

[Statutory Authority: RCW 67.16.040. 96-12-008, § 260-60-420, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-430 Claimed horse—Subsequent entry.** A claimed horse, which won the race from which it was claimed, shall not enter for thirty days after being claimed in a race in which the determining eligibility price is less than 25% more than the price at which the horse was claimed. The day claimed shall not count but the following calendar day shall be the first day and the horse shall be entitled to enter

whenever necessary so the horse may start on the 31st calendar day following the claim for any claiming price. This provision shall not apply to starter handicaps in which the weight to be carried is assigned by the handicapper.

[Statutory Authority: RCW 67.16.040. 96-12-008, § 260-60-430, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-440 Claimed horse—Subsequent sale or transfer—Retention by owner.** If a horse is claimed it shall not be sold or transferred to anyone wholly or in part, except in a claiming race, for a period of 30 days from date of claim, nor shall it, unless reclaimed, remain in the same stable or under the control or management of its former owner or trainer for a like period.

[Statutory Authority: RCW 67.16.040. 96-12-008, § 260-60-440, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-450 Claimed horse—Title recognized according to rules of meeting.** When a horse is claimed at a recognized meeting under rules which are at variance with these rules, title to such horse shall be recognized in Washington to follow the rules of the meeting under which the claim was made.

[Statutory Authority: RCW 67.16.040. 96-12-008, § 260-60-450, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-460 Cancellation of claims.** If within thirty days from the running of the race, in which a horse is claimed, the stewards find that a claim was made in violation of the rules of racing the stewards may disallow and cancel any such claim and order the return of the horse and the claim payment. In deciding whether to cancel a claim the stewards shall consider which party was at fault, the status of the horse at the time the claiming violation is discovered, and such other factors as appropriate. Should the stewards cancel a claim, they may order, as appropriate, payment for the care and maintenance of the horse involved. The stewards may refer to the commission for further action any case involving a violation of the rules of racing with respect to a claim regardless of whether the stewards deem it appropriate to order the cancellation of the claim.

[Statutory Authority: RCW 67.16.040. 96-12-008, § 260-60-460, filed 5/23/96, effective 6/23/96.]

**WAC 260-60-470 Rules apply to all races.** These rules shall apply to all races under the jurisdiction of the commission.

[Statutory Authority: RCW 67.16.040. 96-12-008, § 260-60-470, filed 5/23/96, effective 6/23/96.]

## Chapter 260-64 WAC

### WINNINGS

#### WAC

260-64-010	What embraced in winnings—"Winner of a certain sum."
260-64-020	Winnings in stake race.
260-64-030	Extra amount won in series of races.
260-64-040	Foreign winnings.
260-64-050	Entrance money, starting and subscription fees.
260-64-060	Dead heats.

[Title 260 WAC—p. 76]

**WAC 260-64-010 What embraced in winnings—"Winner of a certain sum."** Winnings shall include all prizes up to the time appointed for the start, and shall apply to all races in any country, and embrace walking over or receiving forfeit, but not second or third money, or the value of any prize not of money or not paid in money. Winnings during the year shall be reckoned from January 1st preceding.

Winner of a certain sum shall mean winner of a single race of that value unless otherwise expressed in the conditions.

[Order 74.2, § 260-64-010, filed 10/30/74, effective 1/1/75; Rules of racing, § 315, filed 4/21/61.]

**WAC 260-64-020 Winnings in stake race.** The winnings of a horse in a stake race shall be computed on the value of the gross earnings on and after January 1, 1961.

[Rules of racing, § 316, filed 4/21/61.]

**WAC 260-64-030 Extra amount won in series of races.** In computing the value of a series of races in which an extra sum of money is won by the winning of two or more races of the series, the extra amount shall not be included in the horse's winnings until the series or part of it, is finished and hence the extra amount is definitely ascertainable. When ascertained it shall be added to the race which determined the extra amount.

[Rules of racing, § 317, filed 4/21/61.]

**WAC 260-64-040 Foreign winnings.** Foreign winnings shall be estimated on the basis of the normal rate of exchange prevailing on the day of the winnings.

[Rules of racing, § 318, filed 4/21/61.]

**WAC 260-64-050 Entrance money, starting and subscription fees.** The entrance money, starting and subscription fees, in every race, shall go to the winner unless otherwise provided in its conditions, but when from any cause a race is not run, all stakes or entrance money, if any paid, shall be returned.

[Rules of racing, § 319, filed 4/21/61.]

**WAC 260-64-060 Dead heats.** (1) When two or more horses run a dead heat, the dead heat shall not be run off.

(2) The owners of the horses in a dead heat shall divide equally the purse money involved.

(3) If a dead heat is for first place, each horse shall be considered a winner of the amount received according to subsection (2) of this section.

(4) When a dead heat is run for second place and an objection is made to the winner of the race, and sustained, the horses which run the dead heat shall be deemed to have run a dead heat for first place.

(5) Owners shall divide equally all moneys and other prizes and if no agreement can be reached as to which of them shall receive a cup, plate or other indivisible prize, they shall draw lots for it in the presence of one or more of the stewards.

[Rules of racing, §§ 77 through 81, filed 4/21/61.]

## Chapter 260-66 WAC

## WALKING OVER

## WAC

260-66-010	Walking over.
260-66-020	Awards.
260-66-030	Entry of two or more horses.

**WAC 260-66-010 Walking over.** If, at the time for saddling, only one horse shall have weighed out, that horse shall be ridden past the judge's stand, go to the post, and then move over the course. He shall then be deemed the winner.

[Rules of racing, § 292, filed 4/21/61.]

**WAC 260-66-020 Awards.** (1) In case of a walkover, the horse walking over shall receive:

(a) In overnight races, one-half of the winner's rightful share of first money.

(b) In stake races, one-half of the winner's rightful share of the added money and all fees.

(2) In case of a walkover, any money or prize which by the condition of the race would have been awarded to a horse placed second, or lower in the race, shall, if contributed by the owners, be paid to the winner. If a donation from any other source, it shall not be awarded.

[Rules of racing, §§ 293, 294, filed 4/21/61.]

**WAC 260-66-030 Entry of two or more horses.** In case of a walkover involving an entry of two or more horses and the horses move over the course, these rules apply as to the division of the purse.

[Rules of racing, § 295, filed 4/21/61.]

## Chapter 260-70 WAC

## CONTROLLED MEDICATION PROGRAM

## WAC

260-70-500	Definitions applicable to chapter 260-70 WAC.
260-70-510	Equine health and safety.
260-70-520	Trainer responsibility.
260-70-530	Veterinarians under authority of official veterinarian.
260-70-540	Veterinarians' reports.
260-70-550	Medical labelling.
260-70-560	Treatment restrictions.
260-70-570	Physical inspection of horses.
260-70-580	Veterinarian's list.
260-70-590	Reporting to the test barn.
260-70-600	Sample collection.
260-70-610	Storage and shipment of split samples.
260-70-620	Medication restrictions.
260-70-630	Threshold levels.
260-70-640	Permitted medication.
260-70-650	Furosemide (Lasix®).
260-70-660	Bleeder list.
260-70-670	Penalties—Guidelines.
260-70-680	Uniform classification guidelines.
260-70-690	Penalty recommendations (in the absence of mitigating circumstances).
260-70-700	Penalties relating to permitted medication.
260-70-710	Voiding track record.
260-70-720	Posterior digital neurectomy.
260-70-730	Postmortem examination.

DISPOSITION OF SECTIONS FORMERLY  
CODIFIED IN THIS CHAPTER

260-70-010	Definitions applicable to chapter 260-70 WAC. [Statutory Authority: RCW 67.16.020 and 67.16.040. 89-04-026 (Order 88-06), § 260-70-010, filed 1/25/89; 87-15-
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020 (Resolution No. 87-03), § 260-70-010, filed 7/8/87; 86-09-072 (Order 86-02), § 260-70-010, filed 4/21/86; 84-06-061 (Order 84-01), § 260-70-010, filed 3/7/84; Order 75.5, § 260-70-010, filed 10/17/75; Order 74.1, § 260-70-010, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.

260-70-020 Medication permitted—Prohibited. [Statutory Authority: RCW 67.16.020. 78-06-001 (Order 78-1), § 260-70-020, filed 5/4/78; Order 74.1, § 260-70-020, filed 5/22/74, effective 7/1/74.] Repealed by 80-01-072 (Order 79-02), filed 12/24/79. Statutory Authority: RCW 67.16.020 and 67.16.040.

260-70-021 Medication standards. [Statutory Authority: RCW 67.16.020 and 67.16.040. 87-15-020 (Resolution No. 87-03), § 260-70-021, filed 7/8/87; 84-06-061 (Order 84-01), § 260-70-021, filed 3/7/84; 82-03-053 (Order 82-01), § 260-70-021, filed 1/20/82; 80-01-072 (Order 79-02), § 260-70-021, filed 12/24/79.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.

260-70-025 Bleeder list. [Statutory Authority: RCW 67.16.040. 93-23-009, § 260-70-025, filed 11/5/93, effective 12/6/93. Statutory Authority: RCW 67.16.020 and 67.16.040. 87-15-020 (Resolution No. 87-03), § 260-70-025, filed 7/8/87; 84-06-061 (Order 84-01), § 260-70-025, filed 3/7/84.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.

260-70-026 Bleeder treatment. [Statutory Authority: RCW 67.16.040. 94-20-070, § 260-70-026, filed 10/3/94, effective 11/3/94. Statutory Authority: RCW 67.16.020 and 67.16.040. 87-15-020 (Resolution No. 87-03), § 260-70-026, filed 7/8/87; 84-06-061 (Order 84-01), § 260-70-026, filed 3/7/84.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.

260-70-027 Reciprocity of bleeder list. [Statutory Authority: RCW 67.16.020 and 67.16.040. 84-06-061 (Order 84-01), § 260-70-027, filed 3/7/84.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.

260-70-028 Detention stall. [Statutory Authority: RCW 67.16.040. 93-23-008, § 260-70-028, filed 11/5/93, effective 12/6/93. Statutory Authority: RCW 67.16.020 and 67.16.040. 84-06-061 (Order 84-01), § 260-70-028, filed 3/7/84.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.

260-70-029 Receiving barn. [Statutory Authority: RCW 67.16.020 and 67.16.040. 84-06-061 (Order 84-01), § 260-70-029, filed 3/7/84.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.

260-70-030 When administration prohibited. [Order 74.1, § 260-70-030, filed 5/22/74, effective 7/1/74.] Repealed by 80-01-072 (Order 79-02), filed 12/24/79. Statutory Authority: RCW 67.16.020 and 67.16.040.

260-70-031 Reporting to receiving barn. [Statutory Authority: RCW 67.16.020 and 67.16.040. 84-06-061 (Order 84-01), § 260-70-031, filed 3/7/84.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.

260-70-032 Exclusion from receiving and detention barn. [Statutory Authority: RCW 67.16.020 and 67.16.040. 84-06-061 (Order 84-01), § 260-70-032, filed 3/7/84.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.

260-70-040 Horses to be tested. [Statutory Authority: RCW 67.16.040. 94-04-002, § 260-70-040, filed 1/20/94, effective 2/20/94. Statutory Authority: RCW 67.16.020 and 67.16.040. 82-07-016 (Order 82-02), § 260-70-040, filed 3/9/82; Order 74.1, § 260-70-040, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.

260-70-050 Procedure for taking specimens. [Statutory Authority: RCW 67.16.020 and 67.16.040. 87-15-020 (Resolution No. 87-03), § 260-70-050, filed 7/8/87. Statutory Authority: RCW 67.16.020. 78-06-001 (Order 78-1), § 260-70-050, filed 5/4/78; Order 74.1, § 260-70-050, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.



- 260-70-060 Effect of laboratory analysis. [Statutory Authority: RCW 67.16.020, 78-06-001 (Order 78-1), § 260-70-060, filed 5/4/78; Order 74.1, § 260-70-060, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-070 Persons responsible. [Statutory Authority: RCW 67.16.020, 78-06-001 (Order 78-1), § 260-70-070, filed 5/4/78; Order 74.1, § 260-70-070, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-080 Procedure upon positive finding by chief chemist. [Statutory Authority: RCW 67.16.020, 78-06-001 (Order 78-1), § 260-70-080, filed 5/4/78; Order 74.1, § 260-70-080, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-090 Permitted level of approved NSAIDs. [Statutory Authority: RCW 67.16.020 and 67.16.040, 89-04-026 (Order 88-06), § 260-70-090, filed 1/25/89; 87-15-020 (Resolution No. 87-03), § 260-70-090, filed 7/8/87; 84-06-061 (Order 84-01), § 260-70-090, filed 3/7/84; 80-05-132 (Order 79-03), § 260-70-090, filed 5/7/80; Order 74.1, § 260-70-090, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-100 Penalties relating to overage of permitted medication. [Statutory Authority: RCW 67.16.020 and 67.16.040, 85-12-057 (Order 85-02), § 260-70-100, filed 6/5/85; 84-06-061 (Order 84-01), § 260-70-100, filed 3/7/84; 83-19-054 (Order 83-04), § 260-70-100, filed 9/19/83; 82-03-053 (Order 82-01), § 260-70-100, filed 1/20/82; 80-05-132 (Order 79-03), § 260-70-100, filed 5/7/80; Order 74.1, § 260-70-100, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-110 Commission may require association to set apart place for medication and testing. [Order 74.1, § 260-70-110, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-120 Sampling medications and drugs. [Statutory Authority: RCW 67.16.020 and 67.16.040, 87-15-020 (Resolution No. 87-03), § 260-70-120, filed 7/8/87; Order 74.1, § 260-70-120, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-130 Voiding track record. [Order 74.1, § 260-70-130, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-140 Hypodermic instruments. [Statutory Authority: RCW 67.16.020 and 67.16.040, 81-09-075 (Order 81-03), § 260-70-140, filed 4/22/81; Order 74.1, § 260-70-140, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-150 Who may administer medications. [Order 74.1, § 260-70-150, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-160 Veterinarians under the supervision of state veterinarian—Test barn veterinarian. [Order 74.1, § 260-70-160, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-170 Veterinarian report. [Statutory Authority: RCW 67.16.020 and 67.16.040, 87-15-020 (Resolution No. 87-03), § 260-70-170, filed 7/8/87; 80-05-132 (Order 79-03), § 260-70-170, filed 5/7/80. Statutory Authority: RCW 67.16.020, 78-06-001 (Order 78-1), § 260-70-170, filed 5/4/78; Order 74.1, § 260-70-170, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-180 Improper medication. [Order 74.1, § 260-70-180, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-190 Blocking of legs or ankles. [Order 74.1, § 260-70-190, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-200 Bandages. [Statutory Authority: RCW 67.16.020 and 67.16.040, 82-09-016 (Order 82-03), § 260-70-200, filed 4/9/82. Statutory Authority: RCW 67.16.020, 78-06-001 (Order 78-1), § 260-70-200, filed 5/4/78; Order 74.1, § 260-70-200, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-210 Nerving. [Order 74.1, § 260-70-210, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-220 Posterior digital neurectomy. [Order 74.1, § 260-70-220, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-230 List of nerved horses. [Order 74.1, § 260-70-230, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-240 Examination required. [Order 74.1, § 260-70-240, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-250 Medication procedures and related instructions. [Order 74.1, § 260-70-250, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-260 Adulteration of sample. [Order 74.1, § 260-70-260, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-270 Labelling of medications. [Order 74.1, § 260-70-270, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-280 Effective date—Repealer. [Order 74.1, § 260-70-280, filed 5/22/74, effective 7/1/74.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-290 Reporting to receiving barn. [Statutory Authority: RCW 67.16.020 and 67.16.040, 82-09-016 (Order 82-03), § 260-70-290, filed 4/9/82.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.
- 260-70-300 Exclusion from receiving barn. [Statutory Authority: RCW 67.16.020 and 67.16.040, 82-09-016 (Order 82-03), § 260-70-300, filed 4/9/82.] Repealed by 96-10-001, filed 4/17/96, effective 5/18/96. Statutory Authority: RCW 67.16.040.

**WAC 260-70-500 Definitions applicable to chapter 260-70 WAC.** (1) "Interfering substance" or "interfere" means and refers to any medication which might mask or screen the presence of prohibited drugs or prevent testing procedures.

(2) "Post time" means the time set for the arrival at the starting point of the horses in a race as specified in writing and posted by the board of stewards.

[Statutory Authority: RCW 67.16.040, 96-10-001, § 260-70-500, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-510 Equine health and safety.** The purpose of this chapter is to protect the integrity of horse racing, to ensure the health and welfare of animals under the jurisdiction of the commission and to safeguard the interests of the public and the participants in racing. With this in mind, the commission shall convene an annual meeting, open to all interested parties, for the purpose of review of veterinarian practices, equine health and medication. Such meeting shall include:

(1) An annual report from an official veterinarian.

(2) Presentation of data regarding equine medication and treatment, including a review of the commission's list of

quantitative medication levels and recommendations for modifications to the list of quantitative medication levels.

(3) Public comment regarding equine health and safety, medication and veterinarian practices.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-510, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-520 Trainer responsibility.** The purpose of this subsection is to identify the minimum responsibilities of the trainer that pertain specifically to the health and well being of horses in his/her care.

(1) The trainer is solely responsible for the condition of horses in his/her care.

(2) The trainer is responsible for the presence of any prohibited drug, medication or other substance, including permitted medication in excess of the maximum allowable level, in such horses. A positive test for a prohibited drug, medication or substance, including permitted medication in excess of the maximum allowable level, as reported by a commission approved laboratory, is prima facie evidence of a violation of this rule. In the absence of substantial evidence to the contrary, the trainer shall be responsible.

(3) A trainer shall prevent the administration of any drug or medication or other prohibited substance that may cause a violation of these rules.

(4) A trainer whose horse has been claimed remains responsible for violation of any rules regarding that horse's participation in the race in which the horse is claimed.

(5) The trainer is responsible for:

(a) Maintaining the assigned stable area in a clean, neat and sanitary condition at all times;

(b) Using the services of those veterinarians licensed by the commission to attend to horses that are on association grounds;

(c) The proper identity, custody, care, health, condition and safety of horses;

(d) Ensuring that at the time of arrival at locations under the jurisdiction of the commission a valid health certificate and a valid negative equine infectious anemia (EIA) test certificate accompany each horse in accordance with state law;

(e) Immediately reporting the alteration of the sex of a horse to the horse identifier and the racing secretary;

(f) Promptly report to the racing secretary, when mares who have been entered to race, have been bred;

(g) Promptly notifying the official veterinarian of any reportable disease and any unusual incidence of a communicable illness in any horse in his/her charge;

(h) Promptly reporting the serious injury and/or death of any horse at locations under the jurisdiction of the commission to the stewards and the official veterinarian and compliance with the rules in this chapter governing post-mortem examinations;

(i) Maintaining a knowledge of the medication record and medication status of horses in his/her care;

(j) Immediately reporting to the stewards and the official veterinarian knowledge or reason to believe, that there has been any administration of a prohibited medication, drug or substance;

(k) Ensuring the fitness to perform creditably at the distance entered;

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(l) Ensuring that every horse he/she has entered to race is present at its assigned stall for a prerace soundness inspection as prescribed in this chapter;

(m) Ensuring proper bandages, equipment and shoes;

(n) Presence in the paddock at least 20 minutes before post time or at a time otherwise appointed before the race in which the horse is entered;

(o) Personally attending in the paddock and supervising the saddling thereof, unless excused by the stewards; and

(p) Attending the collection of a urine or blood sample or delegating a licensed employee or the owner to do so.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-520, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-530 Veterinarians under authority of official veterinarian.** Veterinarians licensed by the commission and practicing at any location under the jurisdiction of the commission are under the authority of the official veterinarian and the stewards. An official veterinarian shall recommend to the stewards or the commission the discipline which may be imposed upon a veterinarian who violates the rules.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-530, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-540 Veterinarians' reports.** (1) Every veterinarian who treats a race horse at any location under the jurisdiction of the commission shall, in writing on a form approved by the commission, report to an official veterinarian the name of the horse treated, any medication, drug or substance administered or prescribed, the name of the trainer of the horse, the date and time of treatment and any other information requested by the official veterinarian.

(2) The report shall be signed by the practicing veterinarian.

(3) The report shall be on file not later than the time prescribed on the next race day by the official veterinarian. Any such report is confidential and its content shall not be disclosed except in the course of an investigation of a possible violation of these rules or in a proceeding before the stewards or the commission, or to the trainer or owner of record at the time of treatment.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-540, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-550 Medical labelling.** (1) No person on association grounds where horses are lodged or kept, excluding licensed veterinarians, shall have in or upon association grounds which that person occupies or has the right to occupy, or in that person's personal property or effects or vehicle in that person's care, custody or control, a drug, medication, chemical, foreign substance or other substance that is prohibited in a horse on a race day unless the product is labelled in accordance with this subsection.

(2) Any drug or medication which is used or kept on association grounds and which, by federal or state law, requires a prescription must have been validly prescribed by a duly licensed veterinarian, and in compliance with applicable state statutes. All such allowable medications must have a prescription label which is securely attached and clearly ascribed to show the following:

- (a) The name of the product;
- (b) The name, address and telephone number of the veterinarian prescribing or dispensing the product;
- (c) The name of each patient (horse) for whom the product is intended/prescribed;
- (d) The dose, dosage, duration of treatment and expiration date of the prescribed/dispensed product; and
- (e) The name of the person (trainer) to whom the product was dispensed.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-550, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-560 Treatment restrictions.** (1) Except as otherwise provided by this subsection, no person other than a veterinarian licensed to practice veterinary medicine in this jurisdiction and licensed by the commission may administer a prescription or controlled medication, drug, chemical or other substance (including any medication, drug, chemical or other substance by injection) to a horse at any location under the jurisdiction of the commission.

(2) Nonveterinarians may administer the following substances, provided that, in post race testing the substances do not exceed approved quantitative levels, if any, and the substances do not interfere with post race testing:

- (a) A recognized non-injectable nutritional supplement or other substance approved by the official veterinarian;
- (b) A non-injectable substance on the direction or by prescription of a licensed veterinarian; or
- (c) A non-injectable nonprescription medication or substance.

(3) No person shall possess a hypodermic needle, syringe or injectable of any kind on association premises, unless otherwise approved by the commission. At any location under the jurisdiction of the commission, veterinarians may use only onetime disposable needles, and shall dispose of them in a manner approved by the commission. If a person has a medical condition which makes it necessary to have a needle and syringe at any location under the jurisdiction of the commission, that person may request permission of the stewards and/or the commission in writing, furnish a letter from a licensed physician explaining why it is necessary for the person to possess a needle and syringe, and must comply with any conditions and restrictions set by the stewards and/or the commission.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-560, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-570 Physical inspection of horses.** All horses at locations under the jurisdiction of the commission shall be subject to inspections at the discretion of the stewards or the official veterinarian.

- (1) Every horse entered to participate in an official race shall be subject to a veterinary inspection.
- (2) The inspection shall be conducted by an official veterinarian.
- (3) The trainer of each horse or a representative of the trainer shall present the horse for inspection as required by the official veterinarian.
- (4) The veterinary inspection of a horse's racing condition may include:

- (a) Proper identification of each horse inspected;
  - (b) Observation of each horse in motion;
  - (c) Manual palpation when indicated;
  - (d) Observation in the paddock and saddling area, during the parade to post and at the starting gate; and
  - (e) Any other inspection deemed necessary by an official veterinarian.
- (5) Every horse shall be observed by an official veterinarian during and after the race.
- (6) The official veterinarian shall maintain a confidential health and racing soundness record of each horse inspected.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-570, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-580 Veterinarian's list.** (1) The official veterinarian shall maintain a list of all horses which are determined to be unfit to compete in a race due to physical distress, unsoundness, infirmity or medical condition.

(2) A horse may be removed from the veterinarian's list when, in the opinion of the official veterinarian, the horse is capable of competing in a race.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-580, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-590 Reporting to the test barn.** (1) The official winning horse and any other horse ordered by the stewards, official veterinarian or the commission shall be taken to the test barn to have a blood and/or urine sample taken at the direction of an official veterinarian.

(2) Random or extra testing may be required by the stewards, the official veterinarian, or the commission at any time on any horse on association grounds.

(3) Unless otherwise directed by the stewards or an official veterinarian, a horse that is selected for testing must be taken directly to the test barn.

(4) Access to the test barn and, if applicable, receiving barn shall be monitored and restricted. All persons who wish to enter the test barn/receiving barn area must be currently licensed by the commission, display their commission identification badge and have a legitimate reason for being in the test barn area.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-590, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-600 Sample collection.** (1) Sample collection shall be done in accordance with guidelines and instructions provided by the official veterinarian.

(2) An official veterinarian shall determine a minimum sample requirement for the primary testing laboratory.

(a) If the specimen obtained from a horse is less than the minimum sample requirement, the entire specimen shall be sent to the primary testing laboratory.

(b) If a specimen obtained is greater than the minimum sample requirement but less than twice that amount, the portion of the sample that is greater than the minimum sample requirement shall be secured as the split sample.

(c) If a specimen obtained is greater than twice the minimum sample requirement, a portion of the sample approximately equal to the amount provided for the primary testing laboratory shall be secured as the split sample.

(d) At Class C race tracks the splitting of samples will be conducted by the primary testing laboratory.

[Statutory Authority: RCW 67.16.040, 96-10-001, § 260-70-600, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-610 Storage and shipment of split samples.** (1) Split samples obtained in accordance with WAC 260-70-600, subsection 2b and 2c shall be secured and made available for further testing in accordance with the following procedures:

(a) A split sample shall be secured in the test barn under the same manner as the portion of the specimen acquired for shipment to a primary laboratory until such time as specimens are packed and secured for shipment to the primary laboratory. Split samples shall then be transferred to a freezer at a secure location approved by the commission.

(b) A freezer for storage of split samples shall be equipped with a lock. The lock shall be closed and locked so as to prevent access to the freezer at all times except as specifically provided by these rules.

(c) A freezer for storage of split samples shall be opened only for depositing or removing split samples, for inventory, or for checking the condition of samples.

(d) A log shall be maintained by the official veterinarian that shall be used each time a split sample freezer is opened to specify each person in attendance, the purpose for opening the freezer, identification of split samples deposited or removed, the date and time the freezer was opened, and the time the freezer was closed and to verify that the lock was secured prior to and after opening of the freezer.

(e) Any evidence of a malfunction of a split sample freezer or samples that are not in a frozen condition during storage shall be documented in the log and immediately reported to the stewards or a designated commission representative.

(2) A trainer or owner of a horse may request that a split sample corresponding to the portion of the specimen tested by the primary laboratory be sent to another laboratory approved by the commission. The request must be made in writing and delivered to the stewards not later than 48 hours after the trainer of the horse receives written notice of the findings of the primary laboratory. Any split sample so requested must be shipped within an additional 72 hours.

(3) The owner or trainer requesting testing of a split sample shall be responsible for the cost of shipping and testing. Failure of the owner, trainer or designee to appear at the time and place designated by the official veterinarian shall constitute a waiver of all rights to split sample testing. Prior to shipment, the commission shall confirm the split sample laboratory's willingness to provide the testing requested, the laboratory's willingness to send results to both the person requesting the testing and the commission, and arrangements for payment satisfactory to the split sample laboratory. A split sample testing laboratory must be approved by the commission. The commission shall maintain a list of laboratories approved for testing of split samples.

(4) Prior to opening the split sample freezer, the commission shall provide a split sample chain of custody verification form that shall provide a place for recording the following information and such other information as the official veteri-

narian may require. The form shall be fully completed during the retrieval, packaging, and shipment of the split sample.

Split sample chain of custody form requirements:

(a) The date and time the sample is removed from the split sample freezer;

(b) The sample number;

(c) The address where the split sample is to be sent;

(d) The name of the carrier and the address where the sample is to be taken for shipment;

(e) Verification of retrieval of the split sample from the freezer;

(f) Verification of each specific step of the split sample packaging in accordance with the recommended procedure;

(g) Verification of the address of the split sample laboratory on the split sample package;

(h) Verification of the condition of the split sample package immediately prior to transfer of custody to the carrier; and

(i) The date and time custody of the sample is transferred to the carrier.

(5) A split sample shall be removed from the split sample freezer by a commission representative in the presence of the owner, trainer or designee.

(6) The owner, trainer or designee shall pack the split sample for shipment in the presence of the representative of the commission, in accordance with the packaging procedures recommended by the commission. A form shall be signed by both the owner's representative and the commission representative to confirm the packaging of the split sample. The exterior of the package shall be secured and identified with initialed tape, evidence tape or other means to prevent tampering with the package.

(7) The package containing the split sample shall be transported to the location where custody is transferred to the delivery carrier charged with delivery of the package to the commission approved laboratory selected by the owner or trainer.

(8) The owner, trainer or designee may inspect the package containing the split sample immediately prior to transfer to the delivery carrier to verify that the package is intact and has not been tampered with.

(9) The split sample chain of custody verification form shall be completed and signed by the representatives of the commission and the owner, trainer or designee. A commission representative shall keep the original and provide a copy for the owner, trainer or designee.

[Statutory Authority: RCW 67.16.040, 96-10-001, § 260-70-610, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-620 Medication restrictions.** (1) No horse shall have in its body any prohibited or interfering substance, or permitted medication, except as provided in this chapter.

(2) A finding by the official chemist of a prohibited drug, chemical or other substance in a test specimen of a horse is prima facie evidence that the prohibited drug, chemical or other substance was administered to the horse and, in the case of a post-race test, was present in the horse's body while it was participating in a race. Prohibited substances include:

(a) Drugs or medications for which no acceptable levels have been established;

(b) Therapeutic medications in excess of acceptable levels established by the commission;

(c) Substances present in the horse in excess of levels at which such substances could occur naturally; and

(d) Substances foreign to a horse at levels that cause interference with testing procedures.

(3) No person shall administer, attempt to minister, or aid or abet in the administration of, any medication or drug to a horse entered to race within 24 hours of the race in which entered except in accordance with these rules.

(4) Drugs or medications in horses are permissible, provided:

(a) The drug or medication is included in the commission's list of quantitative medication levels;

(b) Approved nonsteroidal anti-inflammatory drugs (NSAIDs) may be administered to a horse, but not on a race day. No more than one of the NSAIDs may be used on or carried in a horse's body at any one time;

(c) The maximum permissible urine or blood concentration of the drug or medication does not exceed the published limit.

(5) Except as otherwise provided by this chapter, a person may not administer or cause to be administered by any means including naso gastric tube or dose syringe, to a horse a prohibited drug, medication, chemical or other substance, including any restricted medication, pursuant to this chapter during the 24-hour period before post time for the race in which the horse is entered.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-620, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-630 Threshold levels.** On or before February 1 of each year, the commission shall promulgate a list of quantitative medication levels for those certain substances which it has determined to be permissible in test samples up to stated quantitative levels.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-630, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-640 Permitted medication.** Trainers using permitted medication in the care of their horses are subject to all rules governing such medications. Failure to administer permitted medication to a horse on a program of permitted medication shall be a violation of these rules. The use of phenylbutazone, naproxen or meclofenamic acid shall be permitted under the following conditions:

(1) Phenylbutazone shall be administered in such dosage amount that the test sample shall not contain not more than 5 micrograms of phenylbutazone or oxyphenbutazone per milliliter of blood plasma.

(2) Naproxen shall be administered in such dosage amount that the test sample shall contain not more than 5 micrograms of the drug substance, its metabolites or analogs per milliliter of blood plasma.

(3) Meclofenamic acid shall be administered in such dosage amount that the test sample shall contain not more than 1 microgram of the drug substance, its metabolites or analogs per milliliter of blood plasma.

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[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-640, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-650 Furosemide (Lasix®).** (1) Furosemide (Lasix®) may be administered intravenously to a horse which is entered to compete in a race. Except under the instructions of the official veterinarian for the purpose of removing a horse from the veterinarian's list or to facilitate the collection of a urine sample, furosemide (Lasix®) shall be permitted only after the official veterinarian has placed the horse on the bleeder list.

(2) The use of furosemide (Lasix®) shall be permitted under the following circumstances:

(a) Furosemide (Lasix®) shall be administered on the grounds of the association, no less than four hours prior to post time for the race for which the horse is entered.

(b) The furosemide (Lasix®) dosage administered shall not exceed 500 mg. nor be less than 150 mg.

(c) The trainer of the treated horse shall cause to be delivered to the official veterinarian or his/her designee no later than one hour prior to post time for the race for which the horse is entered the following information under oath on a form provided by the commission:

(i) The racetrack name, the date and time the furosemide (Lasix®) was administered to the entered horse;

(ii) The dosage amount of furosemide (Lasix®) administered to the entered horse; and

(iii) The printed name and signature of the attending licensed veterinarian who administered the furosemide (Lasix®).

(d) Failure to administer furosemide (Lasix®) in accordance with these rules may result in the horse being scratched from the race by the stewards.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-650, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-660 Bleeder list.** (1)(a) The official veterinarian shall maintain a bleeder list of all horses which have demonstrated external evidence of exercise induced pulmonary hemorrhage or the existence of hemorrhage in the trachea post exercise upon endoscopic examination. Such examination must have been performed by or in the presence of a licensed veterinarian and endorsed by the official veterinarian, or performed by an official veterinarian. The list is a state-wide list that applies only at Class A or Class B licensed associations and not at any other track.

(b) If the commission so orders, horses placed on the bleeder list shall be assigned to a pre-race security stall, to be known as a detention stall, no later than four hours prior to the scheduled post time for any race in which it is entered to start. The detention stall is assigned by the official veterinarian and may at his discretion be the stall regularly assigned that horse for its customary stabling. Once placed in the detention stall, a horse must remain there until it is taken to the receiving barn or to the paddock to be saddled or harnessed for the race, except that the stewards may permit horses to leave the secured stall to engage in exercise blow-outs or warm-up heats. If the horse on the bleeder list is assigned as a detention stall its regular stall, that stall shall be posted and the stall must be under direct observation of a

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responsible, licensed employee of the trainer or the owner. Where facilities permit, the commission veterinarian may designate a secured area and assign stalls within that secured area to those horses on the bleeder list who are entered to race that day or night.

(2) The confirmation of a bleeder horse must be certified in writing by an official veterinarian and entered on the bleeder list. Copies of the certification shall be issued to the owner of the horse or the owner's designee upon request. A notice of a horse's bleeder certification shall be affixed to the horse's certificate of registration.

(3) Every confirmed bleeder, regardless of age, shall be placed on the bleeder list.

(4) A horse may be removed from the bleeder list only upon the direction of the official veterinarian, who shall certify in writing to the stewards the recommendation for removal.

(5) A horse which has been placed on a bleeder list in another jurisdiction may be placed on a bleeder list in this jurisdiction provided that the other jurisdiction's criteria for the identification of bleeders are satisfactory in this jurisdiction.

[Statutory Authority: RCW 67.16.040, 96-10-001, § 260-70-660, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-670 Penalties—Guidelines.** Upon a finding of a violation of these medication and prohibited substances rules, the stewards shall consider the uniform classification level of the violation as listed herein prior to imposing a penalty. The stewards shall also consult with an official veterinarian to determine the nature and seriousness of the laboratory finding or the medication violation. Provided, however, that in the event a majority of the stewards determine that mitigating circumstances require imposition of a lesser penalty they may impose the lesser penalty. In the event a majority of the stewards wish to impose a greater penalty or a penalty in excess of the authority granted them, then, and in such event, they may impose the maximum penalty authorized and refer the matter to the commission with specific recommendations for further action.

[Statutory Authority: RCW 67.16.040, 96-10-001, § 260-70-670, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-680 Uniform classification guidelines.** The following outline describes the types of substances placed in each category. This list shall be publicly posted in the offices of the official veterinarian and the racing secretary.

(1) Class 1

Opiates, opium derivatives, synthetic opioids, psychoactive drugs, amphetamines and U.S. Drug Enforcement Agency (DEA) scheduled I and II drugs. Also found in this class are drugs which are potent stimulants of the nervous system. Drugs in this class have no generally accepted medical use in the race horse and their pharmacological potential for altering the performance of a race is very high.

(2) Class 2

Drugs in this category have a high potential for affecting the outcome of a race. Most are not generally accepted as therapeutic agents in the race horse. Many are products

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intended to alter consciousness or the psychic state of humans, and have no approved or indicated use in the horse. Some, such as injectable local anesthetics, have legitimate use in equine medicine, but should not be found in a race horse. The following groups of drugs are in this class:

- (a) Opiate partial agonists, or agonist-antagonists;
- (b) Nonopiate psychotropic drugs, which may have stimulant, depressant, analgesic or neuroleptic effects;
- (c) Miscellaneous drugs which might have a stimulant effect on the central nervous system (CNS);
- (d) Drugs with prominent CNS depressant action;
- (e) Antidepressant and antipsychotic drugs, with or without prominent CNS stimulatory or depressant effects;
- (f) Muscle blocking drugs which have a direct neuromuscular blocking action;
- (g) Local anesthetics which have a reasonable potential for use as nerve blocking agents (except procaine); and
- (h) Snake venoms and other biologic substances which may be used as nerve blocking agents.

(3) Class 3

Drugs in this class may or may not have an accepted therapeutic use in the horse. Many are drugs that affect the cardiovascular, pulmonary and autonomic nervous systems. They all have the potential of affecting the performance of a race horse. The following groups of drugs are in this class:

- (a) Drugs affecting the autonomic nervous system which do not have prominent CNS effects, but which do have prominent cardiovascular or respiratory system effects (bronchodilators are included in this class);
- (b) A local anesthetic which has nerve blocking potential but also has a high potential for producing urine residue levels from a method of use not related to the anesthetic effect of the drug (procaine);
- (c) Miscellaneous drugs with mild sedative action, such as the sleep inducing antihistamines;
- (d) Primary vasodilating/hypotensive agents; and
- (e) Potent diuretics affecting renal function and body fluid composition.

(4) Class 4

This category is comprised primarily of therapeutic medications routinely used in race horses. These may influence performance, but generally have a more limited ability to do so. Groups of drugs assigned to this category include the following:

- (a) Non-opiate drugs which have a mild central analgesic effect;
- (b) Drugs affecting the autonomic nervous system which do not have prominent CNS, cardiovascular or respiratory effects;
  - (i) Drugs used solely as topical vasoconstrictors or decongestants,
  - (ii) Drugs used as gastrointestinal antispasmodics,
  - (iii) Drugs used to void the urinary bladder,
  - (iv) Drugs with a major effect on CNS vasculature or smooth muscle of visceral organs.
- (c) Antihistamines which do not have a significant CNS depressant effect (This does not include H1 blocking agents, which are listed in Class 5);
- (d) Mineralocorticoid drugs;
- (e) Skeletal muscle relaxants;



(f) Anti-inflammatory drugs—those that may reduce pain as a consequence of their anti-inflammatory actions, which include:

- (i) Nonsteroidal anti-inflammatory drugs (NSAIDs)—aspirin-like drugs;
- (ii) Corticosteroids (glucocorticoids); and
- (iii) Miscellaneous anti-inflammatory agents.
- (g) Anabolic and/or androgenic steroids and other drugs;
- (h) Less potent diuretics;
- (i) Cardiac glycosides and antiarrhythmics including:
  - (i) Cardiac glycosides;
  - (ii) Antiarrhythmic agents (exclusive of lidocaine, bretylium and propranolol); and
  - (iii) Miscellaneous cardiotoxic drugs.
- (j) Topical anesthetics—agents not available in injectable formulations;
- (k) Antidiarrheal agents;
- (l) Miscellaneous drugs including:
  - (i) Expectorants with little or no other pharmacologic action;
  - (ii) Stomachics; and
  - (iii) Mucolytic agents.
- (m) Substances foreign to a horse at levels that cause interference with testing procedures.

(5) Class 5

(a) Drugs in this category are therapeutic medications for which concentration limits have been established as well as certain miscellaneous agents. Included specifically are agents which have very localized action only, such as anti-ulcer drugs and certain antiallergic drugs. The anticoagulant drugs are also included.

(b) Noninterfering levels of sulfa drugs, antibiotics, anthelmintics and vitamins in a horse's post race urine or blood test may not be considered a violation of these rules.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-680, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-690 Penalty recommendations (in the absence of mitigating circumstances).** (1) Class 1—One to five years suspension and at least \$5,000 fine and loss of purse.

(2) Class 2—Six months to one year suspension and \$1,500 to \$2,500 fine and loss of purse.

(3) Class 3—Sixty days to six months suspension and up to \$1,500 fine and loss of purse.

(4) Class 4—Zero to 60 days suspension and up to \$1,000 fine and loss of purse.

(5) Class 5—Zero to 15 days suspension with a possible loss of purse and/or fine.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-690, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-700 Penalties relating to permitted medication.** (1) Should the laboratory analysis of urine or blood taken from a horse, show the presence of more than one approved nonsteroidal anti-inflammatory drug (NSAID) in violation of these rules or the presence of phenylbutazone or oxyphenbutazone, naproxen or meclufenamic acid in excess of the quantities authorized by the rules, the stewards or com-

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mission shall levy the following penalties against each person found responsible:

(a) For a first offense within a 365 day period, a fine of \$300;

(b) For a second offense within a 365 day period, \$750;

(c) For a third offense within a 365 day period, a fine of \$750 with a sixty-day suspension.

(2) Should the laboratory analysis of urine or blood taken from a horse show the presence of furosemide (Lasix®) without permission from the official veterinarian, the stewards or commission shall treat the violation as a Class 4 offense.

(3) Detection of any unreported permitted medication, drug, or substance by the primary testing laboratory may be grounds for disciplinary action.

(4) As reported by the primary testing laboratory, failure of any test sample to show the presence of permitted medication, drug or substance when such permitted medication, drug or substance was required to be administered may be grounds for disciplinary action.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-700, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-710 Voiding track record.** In the event that a horse establishes a track record in a race and if it later develops that the chemical analysis of any sample taken indicates the presence of any prohibited substances for which the purse is redistributed, then such track record shall be null and void.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-710, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-720 Posterior digital neurectomy.** (1) No person shall bring onto the grounds of a racing association, or enter or cause to be entered in any race, or sell, offer for sale, or act as a bloodstock agent in the sale of, any horse which has been "nerved" or has had any nerve removed from the leg of such horse, except as provided in this article.

(2) The trainer shall promptly report to the racing secretary and the official veterinarian when a posterior digital neurectomy is performed and ensure that such fact is designated on the horse's certificate of registration.

(3) Notwithstanding the prohibition against "nerving," a horse upon which a posterior digital neurectomy has been performed, commonly known as "heel nerving" is eligible to race, subject to the prohibitions in this article pertaining to nerving, provided that the official veterinarian is satisfied that the loss of sensation to such horse due to the posterior digital neurectomy will not endanger the safety of any horse or rider, that the prior approval of the official veterinarian has been obtained if the horse is on the grounds of a racing association, that the racing secretary is notified of such nerving at the time such horse is admitted to the grounds of a racing association and its registration or eligibility certificate marked to indicate such nerving.

[Statutory Authority: RCW 67.16.040. 96-10-001, § 260-70-720, filed 4/17/96, effective 5/18/96.]

**WAC 260-70-730 Postmortem examination.** (1) The commission may require a postmortem examination of any horse that is injured in this jurisdiction while in training or in

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competition and that subsequently expires or is destroyed. In proceeding with a postmortem examination the commission or its designee shall coordinate with the trainer and/or owner to determine and address any insurance requirements.

(2) The commission may require a postmortem examination of any horse that expires while housed on association grounds or at recognized training facilities within this jurisdiction. Trainers and owners shall be required to comply with such action as a condition of licensure.

(3) The commission may take possession of the horse upon death for postmortem examination. The commission may submit blood, urine, other bodily fluid specimens or other tissue specimens collected during a postmortem examination for testing by the commission-selected laboratory or its designee. Upon completion of the postmortem examination, the remains may be returned to the owner or disposed of at the owner's option.

(4) The presence of a prohibited substance in a horse, found by the official laboratory or its designee in a bodily fluid specimen collected during the postmortem examination of a horse, may constitute a violation of these rules.

(5) The cost of commission-ordered postmortem examinations, testing and disposal shall be borne by the commission.

[Statutory Authority: RCW 67.16.040, 96-10-001, § 260-70-730, filed 4/17/96, effective 5/18/96.]

**Chapter 260-72 WAC**

**COMMUNICATIONS TO AND FROM GROUNDS**

**WAC**

- 260-72-010 Communication systems, commission approval required—Closure during racing.
- 260-72-020 Transmission of race results.
- 260-72-030 Transmission or broadcast of information relating to feature races.

**WAC 260-72-010 Communication systems, commission approval required—Closure during racing.** No telephone, telegraph, teletype, semaphore, signal device, radio, television, or other method of electrical, mechanical, manual or visual communication shall be installed within the enclosure of any licensee, until same has been approved by the commission.

(1) All public telephones and telegraph wires at the track, or on the grounds of the association conducting the meeting, shall be closed with the opening of the parimutuel windows for the first race of the day. No calls or wires shall be allowed to be made or received after the telephones and telegraph wires are closed until after the last race has been finished except by the officials of the commission, by duly authorized officials of the association, or duly accredited members of the press.

(2) The association is responsible to see that no unauthorized person uses their telephones during the period from thirty minutes prior to the first race to fifteen minutes after the last race of the day.

(3) No person shall be permitted to communicate information through the use of private telephones or other methods of communication, including but not limited to cellular telephones, while on the grounds of the association after the

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opening of the parimutuel windows for the first race of the day until after the last race has been finished, except as permitted by the officials of the commission or duly authorized officials of the association.

[Statutory Authority: RCW 67.16.020, 67.16.040 and 67.16.075, 86-21-081 (Resolution No. 86-04), § 260-72-010, filed 10/16/86; Rules of racing, § 384, filed 4/21/61.]

**WAC 260-72-020 Transmission of race results.** (1)

No association licensed by this commission shall knowingly transmit or allow to be transmitted by telephone, telegraph, teletype, semaphore, signal device, radio, television or other method of electrical, manual or visual communication from the enclosure of its track the result of any race until at least fifteen minutes after said race is declared official, with the exception of the final race of the program: *Provided, however*, associations licensed by this commission may allow radio or television broadcasts of racing programs upon approval of the commission, as stipulated in WAC 260-72-030.

(2) A racing association may seek approval to broadcast its races for the purpose of satellite wagering as authorized in RCW 67.16.200 Satellite locations—Parimutuel wagering. The association shall ensure that the audio-visual signal of such broadcast shall be encrypted or manipulated to mask the original video content of the signal and so cause such signal to be indecipherable and unrecognizable to any unauthorized receiver.

[Statutory Authority: RCW 67.16.040, 94-04-003, § 260-72-020, filed 1/20/94, effective 2/20/94; Rules of racing, § 385, filed 4/21/61.]

**WAC 260-72-030 Transmission or broadcast of information relating to feature races.**

Any association licensed by this commission desiring to broadcast, televise or transmit by press wire pertinent information relating to any feature horse race run at its track, not inconsistent with the express provisions of section 11, chapter 55, Laws of 1933, [RCW 67.16.110] shall first file with the commission, for its approval, an application, at least ten days prior to the opening day of such association's annual meet, stating therein the particular feature races during its meet, and the dates thereof, that such association desires to be broadcast, televised or transmitted by press wire, together with the name and address of the representative of the public press, radio or television authorized by said association to broadcast, televise or transmit by press wire the requested feature races.

[Rules of racing, § 386, filed 4/21/61.]

**Chapter 260-75 WAC**

**SATELLITE LOCATIONS**

**WAC**

- 260-75-010 Satellite locations daily fee.

**WAC 260-75-010 Satellite locations daily fee.** All licensees of the Washington horse racing commission that operate satellite locations pursuant to RCW 67.16.100, shall pay daily a fee of one hundred fifty dollars, per site, to the commission. This fee will be used by the commission to cover the costs of administering the satellite racing program in Wash-

ington; provided that, if the daily mutuel handle of the license from all locations is in excess of four hundred thousand dollars, the commission may defer payment of this fee for such day.

[Statutory Authority: RCW 67.16.040, 91-15-036, § 260-75-010, filed 7/16/91, effective 8/16/91.]

### Chapter 260-76 WAC BOOKMAKING

#### WAC

260-76-010 Hand books and foreign books prohibited.  
260-76-020 Bookmakers, vagrants, fugitives, undesirable persons, not permitted at track.

**WAC 260-76-010 Hand books and foreign books prohibited.** (1) No person shall make a hand book or a foreign book on the grounds of an association.

(2) No person shall solicit for or bet with a hand book or a foreign book on the grounds of an association.

[Rules of racing, §§ 377, 378, filed 4/21/61.]

**WAC 260-76-020 Bookmakers, vagrants, fugitives, undesirable persons, not permitted at track.** No person who is a bookmaker, or who is known or reputed to be a bookmaker, or is a vagrant within the meaning of the laws of Washington, or who is a fugitive from justice, or whose conduct at a race track in Washington, or elsewhere, now or heretofore, has been improper, obnoxious, unbecoming or detrimental to the best interests of racing, shall enter or remain upon the premises of any licensee conducting a horse racing meet or meeting under the jurisdiction of the commission; and all such persons shall upon discovery or recognition be forthwith ejected from race tracks in the state by licensees in this state and/or the representatives and agents of the commission.

[Rules of racing, § 379, filed 4/21/61.]

### Chapter 260-80 WAC

#### CORRUPT AND PROHIBITED PRACTICES

#### WAC

260-80-010 Offering bribe.  
260-80-020 Accepting bribe.  
260-80-030 Entering ineligible horse.  
260-80-040 Offer or receipt of benefit for declaring entry.  
260-80-050 Conspiracy.  
260-80-060 Betting for account of jockey.  
260-80-070 Offers, gifts, to jockey.  
260-80-080 Horseshoes.  
260-80-090 Bar plates.  
260-80-100 Appliance to alter speed of horse.  
260-80-110 Tampering with horse.  
260-80-120 Paying fine of jockey.  
260-80-130 Improper language.  
260-80-140 Disturbing the peace.

**WAC 260-80-010 Offering bribe.** No person shall give, offer, or promise, directly or indirectly, either in his own behalf or in behalf of another, to anyone, any bribe, gift or gratuity in any form, for the purpose of improperly influencing the result of a race, or which would tend to do so.

[Rules of racing, § 65, filed 4/21/61.]

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**WAC 260-80-020 Accepting bribe.** No racing official or his assistant, no owner, trainer, jockey, agent, no person having charge of or access to any race horse, nor any other person shall accept or offer to accept on his own behalf or on behalf of another, any bribe, gift or gratuity in any form to influence the result of a race or which would tend to do so.

[Rules of racing, § 66, filed 4/21/61.]

**WAC 260-80-030 Entering ineligible horse.** No person shall willfully enter, or cause to be entered, or start a horse which he knows or believes to be ineligible or disqualified.

[Rules of racing, § 67, filed 4/21/61.]

**WAC 260-80-040 Offer or receipt of benefit for declaring entry.** No person shall offer or receive money or any other benefit for declaring an entry from a race.

[Rules of racing, § 68, filed 4/21/61.]

**WAC 260-80-050 Conspiracy.** No person shall conspire with any other person for the commission of, or connive with any other person in any corrupt or fraudulent practice in relation to racing nor shall he commit such an act on his own account.

[Rules of racing, § 69, filed 4/21/61.]

**WAC 260-80-060 Betting for account of jockey.** No person shall make a bet for the account of any jockey except the owner or trainer of the horse the jockey is riding, and then only on the horse being ridden by said jockey.

[Rules of racing, § 70, filed 4/21/61.]

**WAC 260-80-070 Offers, gifts, to jockey.** No person shall offer or give a jockey any money or other benefit in relation to a race unless said person is the owner or trainer of the horse ridden in said race by said jockey.

[Rules of racing, § 71, filed 4/21/61.]

**WAC 260-80-080 Horseshoes.** A horse, starting in a race, shall not be shod with ordinary or training shoes, except by permission of the stewards.

[Rules of racing, § 72, filed 4/21/61.]

**WAC 260-80-090 Bar plates.** Bar plates may be used only with consent of the stewards, and discontinuance of their use must be approved by the stewards.

[Rules of racing, § 73, filed 4/21/61.]

**WAC 260-80-100 Appliance to alter speed of horse.** No electrical or mechanical device or other appliance designed to increase or decrease the speed of a horse (or that would tend so to do), other than the ordinary whip shall be possessed by any one or applied by any one to a horse at any time on the grounds of an association, during a meeting whether in a race or otherwise.

Any person aiding or abetting in the use or possession of, or soliciting or inducing the use or possession of such a

device shall be subject to the same penalties as the penalty for possession or use.

[Order 6, § 260-80-100, filed 12/3/70; Rules of racing, § 74, filed 4/21/61.]

**WAC 260-80-110 Tampering with horse.** No person shall improperly tamper or attempt to tamper with any horse in such a way as to affect his speed in a race, nor shall he counsel or in any way aid or abet any such tampering.

[Rules of racing, § 75, filed 4/21/61.]

**WAC 260-80-120 Paying fine of jockey.** No person shall assume or pay, directly or indirectly, a fine imposed upon a jockey.

[Rules of racing, § 76, filed 4/21/61.]

**WAC 260-80-130 Improper language.** No person shall use improper, profane or indecent language to a racing official, or any employee or representative of the commission.

[Rules of racing, § 16, filed 4/21/61.]

**WAC 260-80-140 Disturbing the peace.** No person shall in any manner, or at any time, disturb the peace or make himself obnoxious on the grounds of an association.

[Rules of racing, § 17, filed 4/21/61.]

**Chapter 260-84 WAC**

**FINES AND SUSPENSIONS**

**WAC**

260-84-010	Who may impose.
260-84-020	Report to commission.
260-84-030	Fines—When due.
260-84-050	Suspensions—Computation of time.
260-84-060	General penalty.
260-84-070	Ejection from grounds—Permission to reenter.

**DISPOSITION OF SECTIONS FORMERLY CODIFIED IN THIS CHAPTER**

260-84-035	Payments in lieu of fines. [Order 73.2, § 260-84-035, filed 6/28/73.] Repealed by Order 75.7, filed 4/30/76.
260-84-040	Disposition. [Order 73.2, § 260-84-040, filed 6/28/73; Rules of racing, § 136, filed 4/21/61.] Repealed by 79-06-002 (Order 79-1), filed 5/4/79. Statutory Authority: RCW 67.16.020.
260-84-080	Disposition of fines—Board of relief. [Rules of racing, § 387, filed 4/21/61.] Repealed by 79-06-002 (Order 79-1), filed 5/4/79. Statutory Authority: RCW 67.16.020.

**WAC 260-84-010 Who may impose.** No racing official other than stewards or the starter, with the permission of the stewards, shall have the right to impose a fine or suspension.

[Order 75.7, § 260-84-010, filed 4/30/76; Order 73.2, § 260-84-010, filed 6/28/73; Rules of racing, § 133, filed 4/21/61.]

**WAC 260-84-020 Report to commission.** An official imposing a fine or suspension shall report it promptly in writing to the commission.

[Rules of racing, § 134, filed 4/21/61.]

**WAC 260-84-030 Fines—When due.** All fines shall be paid to the commission secretary within forty-eight hours after imposition.

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[Statutory Authority: RCW 67.16.020. 79-06-002 (Order 79-1), § 260-84-030, filed 5/4/79; Rules of racing, § 135, filed 4/21/61.]

**WAC 260-84-050 Suspensions—Computation of time.** All suspensions for a specified period of time to be considered in calendar days. Ruling to show first and last day of suspension.

[Rules of racing, § 137, filed 4/21/61.]

**WAC 260-84-060 General penalty.** Violators of any rule shall be subject to ejection from the grounds and/or to fine, suspension or to be ruled off.

[Rules of racing, § 381, filed 4/21/61.]

**WAC 260-84-070 Ejection from grounds—Permission to reenter.** Any person ejected from the grounds of an association shall be denied admission to said grounds until permission for this reentering has been obtained and approved by the commission.

[Rules of racing, § 382, filed 4/21/61.]

**Chapter 260-88 WAC**

**APPEAL TO THE COMMISSION**

**WAC**

260-88-010	Appeal to the commission.
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**WAC 260-88-010 Appeal to the commission.** (1) Any person against whom a ruling is made by the stewards may appeal the ruling to the commission.

(2) Such [an] appeal must be made in writing at the office of the commission within five days of the date of the stewards' ruling.

(3) The appeal shall be signed by the person making it and must set [forth] [for the] alleged errors in the stewards' ruling.

(4) The appeal shall be accompanied by an appearance deposit in the amount of \$100.00. At the time and place scheduled for the hearing before the commission, and at such time as the appellant appears for the hearing, the deposit shall be refunded. Should the appellant fail to appear for the hearing without a showing of good cause, the deposit shall be forfeited.

(5) Any person bringing an appeal will be heard in person or by counsel. A person bringing an appeal may submit his or her case entirely in writing, provided this is specified at the time of the filing of the appeal with the commission and this procedure is given written approval by the commission.

(6) All communications to the commission with respect to an appeal must be in writing, and all papers filed with the commission shall be the property of the commission.

(7) An appeal from a decision of a racing official to the commission shall not affect such decision until the appeal has been acted upon by the commission, unless otherwise ordered by the commission or by a court of competent jurisdiction. Upon a showing of good cause, the commission may stay the effect of any ruling of the stewards pending commission review of the ruling. The granting of such a stay shall carry no presumption as to the validity of the stewards' ruling. The

commission may lift such a stay pending appeal if appropriate.

[Statutory Authority: RCW 67.16.040. 92-17-002, § 260-88-010, filed 8/5/92, effective 9/5/92. Statutory Authority: RCW 67.16.020 and 67.16.040. 82-09-016 (Order 82-03), § 260-88-010, filed 4/9/82; Rules of racing, § 383, filed 4/21/61.]

**Reviser's note:** RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules, and deems ineffectual changes not filed by the agency in this manner. The bracketed material in the above section does not appear to conform to the statutory requirement.