WSR 16-09-072 EMERGENCY RULES DEPARTMENT OF SOCIAL AND HEALTH SERVICES

(Aging and Long-Term Support Administration) [Filed April 18, 2016, 2:05 p.m., effective April 18, 2016, 2:05 p.m.]

Effective Date of Rule: Immediately upon filing.

Purpose: The department is amending WAC 388-107-0001, 388-107-0020, 388-107-0080, 388-107-0100, 388-107-0110, 388-107-0120, 388-107-0130, 388-107-0140, 388-107-0150, 388-107-0160, 388-107-0180, 388-107-0190, 388-107-0200, 388-107-0210, 388-107-0240, 388-107-0280, 388-107-0370, 388-107-0390, 388-107-0400, 388-107-0410, 388-107-0420, 388-107-0430, 388-107-0560, 388-107-0770, 388-107-0810, 388-107-0830, 388-107-0890, 388-107-0940, 388-107-0960, 388-107-1000, and 388-107-1190 in order to address Center for Medicare/Medicaid Services (CMS) home and community-based services (HCBS) and stakeholder concerns with implementation of the requirements stated therein.

Citation of Existing Rules Affected by this Order: Repealing WAC 388-107-0930; and amending WAC 388-107-0001, 388-107-0020, 388-107-0080, 388-107-0100, 388-107-0110, 388-107-0120, 388-107-0130, 388-107-0140, 388-107-0150, 388-107-0160, 388-107-0180, 388-107-0190, 388-107-0200, 388-107-0210, 388-107-0240, 388-107-0280, 388-107-0370, 388-107-0390, 388-107-0400, 388-107-0410, 388-107-0420, 388-107-0430, 388-107-0560, 388-107-0770, 388-107-0810, 388-107-0830, 388-107-0890, 388-107-0940, 388-107-0960, 388-107-1000, and 388-107-1190.

Statutory Authority for Adoption: RCW 70.97.230.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest; and that state or federal law or federal rule or a federal deadline for state receipt of federal funds requires immediate adoption of a rule.

Reasons for this Finding: After receiving input from stakeholders, DSHS's residential care services has revised chapter 388-107 WAC to operationalize the enhanced services facilities (ESF) program.

Immediate Adoption is Necessary for Public Safety and General Welfare: The Washington state operating budget directs the department to implement chapter 70.97 RCW, which requires the establishment of ESF. ESFs are intended to relieve pressure on institutional mental health settings such as eastern and western state hospitals. If certain patients are relocated to ESFs, more beds will be available to those who need an institutional level of mental health care.

Due to shortage of beds at evaluation and treatment centers and western and eastern state hospitals, some patients have been kept in nonpsychiatric acute care hospital settings such as emergency rooms and medical surgical units. In the case known as *In re: the Detention of D. W, et al. v. Department of Social and Health Service*, the Washington state supreme court found these placements, known as "psychiatric boarding," to be unconstitutional. In order to comply with *D. W, DSHS needs to immediately develop alternatives to insti-*

tutional mental health settings in order to reduce the need for psychiatric boarding. Therefore, adoption of these rules, which will allow ESFs to become licensed and begin operating, is an urgent and time-sensitive matter of public safety and general welfare.

Immediate Adoption is Necessary to Receive Federal Funds: In order to qualify for federal matching funds, the ESF program must conform to federal CMS HCBS requirements. These federal requirements became effective after the initial ESF rules were adopted. In order to ensure that payments for ESF services will qualify for federal funding under the HCBS requirements, the proposed rule amendments need to be adopted immediately.

Initial public notice (CR-101) was filed under WSR 15-1-041 [15-10-041].

In order to qualify for federal matching funds, the ESF program must conform to federal CMS HCBS requirements. These federal requirements became effective after the initial ESF rules were adopted. In order to ensure that payments for ESF services qualify for federal funding under the HCBS requirements, the proposed rule amendments were adopted in an emergency filing. We are currently refiling this emergency rule due to the expiration (April 20, 2016) of our previous filing WSR 16-01-160.

The public hearing was held on January 26, 2016, and we have been responding to comments and stakeholder feedback in response to the rule changes. It is anticipated that our permanent rule filing (CR-103P) will be completed by the end of May 2016, so that permanent rules will be in place by the end of June 2016.

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 1, Amended 31, Repealed 1.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 0, Amended 0, Repealed 0.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 1, Amended 31, Repealed 1.

Date Adopted: April 14, 2016.

Katherine I. Vasquez Rules Coordinator

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

WAC 388-107-0001 Definitions. The definitions in this section apply throughout this chapter unless the context clearly requires otherwise.

"Abandonment" means action or inaction by a person with a duty of care for a vulnerable adult that leaves the vulnerable person without the means or ability to obtain necessary food, clothing, shelter, or health care.

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- "Abuse" means the willful action or inaction that inflicts injury, unreasonable confinement, intimidation, or punishment on a resident. In instances of abuse of a resident who is unable to express or demonstrate physical harm, pain, or mental anguish, the abuse is presumed to cause physical harm, pain, or mental anguish. Abuse includes sexual abuse, mental abuse, physical abuse, and exploitation of a resident, which have the following meanings:
- (1) "Mental abuse" means any willful action or inaction of mental or verbal abuse. Mental abuse includes, but is not limited to, coercion, harassment, inappropriately isolating a resident from family, friends, or regular activity, and verbal assault that includes ridiculing, intimidating, yelling, or swearing;
- (2) "Physical abuse" means the willful action of inflicting bodily injury or physical mistreatment. Physical abuse includes, but is not limited to, striking with or without an object, slapping, pinching, choking, kicking, shoving, prodding, or the use of chemical restraints or physical restraints except as described in section 388-107-0420;
- (3) "Sexual abuse" means any form of nonconsensual sexual contact, including, but not limited to, unwanted or inappropriate touching, rape, sodomy, sexual coercion, sexually explicit photographing, and sexual harassment. Sexual contact may include interactions that do not involve touching, including but not limited to sending a resident sexually explicit messages, or cuing or encouraging a resident to perform sexual acts. Sexual abuse includes any sexual contact between a staff person and a resident, whether or not it is consensual;
- (4) "Exploitation" means an act of forcing, compelling, or exerting undue influence over a resident causing the resident to act in a way that is inconsistent with relevant past behavior, or causing the resident to perform services for the benefit of another.
- "Activities of daily living" means the following tasks related to basic personal care: Bathing; toileting; dressing; personal hygiene; mobility; transferring; and eating.
- "Administrative hearing" is a formal hearing proceeding before a state administrative law judge that gives:
- (1) A licensee an opportunity to be heard in disputes about licensing actions, including the imposition of remedies, taken by the department; or
- (2) An individual an opportunity to appeal a finding of abandonment, abuse, neglect, financial exploitation of a resident, or misappropriation of a resident's funds.
- "Administrator" means an enhanced services facility administrator who must be in active administrative charge of the enhanced services facility as required in this chapter. Unless exempt under RCW 18.88B.041, the administrator must complete long-term care worker training and home care aide certification.
- "Advance directive," as used in this chapter, means any document indicating a resident's choice with regard to a specific service, treatment, medication or medical procedure option that may be implemented in the future such as power of attorney health care directive, limited or restricted treatment cardiopulmonary resuscitation (CPR), do not resuscitate (DNR), and organ tissue donation.

- "Aggressive behavior" means actions by the individual that constitute a threat to the individual's health and safety or the health and safety of others in the environment.
- "Antipsychotic medications" means that class of medications primarily used to treat serious manifestations of mental illness associated with thought disorders, which includes but is not limited to atypical antipsychotic medications
- "Applicant" means the individual or entity, as defined in this section, that has submitted, or is in the process of submitting, an application for an enhanced services facility license.
- "Capacity" means the maximum amount an enhanced services facility can serve is sixteen residents.
- "Caregiver" means the same as "long-term care worker" as defined in RCW 74.39A.009, as follows: "Long-term care workers" include all persons who provide paid, hands-on personal care services for the elderly or persons with disabilities, including but not limited to individual providers of home care services, direct care workers employed by home care agencies, providers of home care agencies to persons with developmental disabilities under Title 71A RCW, all direct care workers in state-licensed enhanced services facilities, assisted living facilities, and adult family homes, respite care providers, direct care workers employed by community residential service businesses, and any other direct care worker providing home or community-based services to the elderly or persons with functional disabilities or developmental disabilities.
- "Challenging behavior" means a persistent pattern of behaviors that inhibit the individual's functioning in public places, in the facility and integration within the community, or uncontrolled symptoms of a physical or mental condition. These behaviors may have been present for long periods of time or have manifested as an acute onset.
- "Chemical dependency" means alcoholism, medication addiction, or dependence on alcohol and one or more other psychoactive chemicals, as the context requires and as those terms are defined in chapter 70.96A RCW.
- "Chemical dependency professional" means a person certified as a chemical dependency professional by the department of health under chapter 18.205 RCW.
- <u>"Deficiency"</u> is an enhanced services facility's failed practice, action, or inaction that violates any or all of the requirements of chapters 70.97 RCW or the requirements of this chapter.
- "Department" means the department of social and health services.
- "Direct supervision" means oversight by a person on behalf of the enhanced services facility who has met training requirements, demonstrated competency in core areas, or has been fully exempted from the training requirements, is on the premises, and is quickly and easily available to the caregiver.
- "Enhanced services facility" means a facility that provides treatment and services to persons for whom acute inpatient treatment is not medically necessary and who have been determined by the department to be inappropriate for placement in other licensed facilities due to the complex needs that result in behavioral and security issues. For the purposes of

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this chapter, an enhanced services facility is not an evaluation and treatment facility certified under chapter 71.05 RCW.

"Facility" means an enhanced services facility.

"Financial exploitation" means the illegal or improper use, control over, or withholding of the property, income, resources, or trust funds of the vulnerable adult by any person or entity for any person's or entity's profit or advantage other than for the vulnerable adult's profit or advantage. Some examples of financial exploitation are given in RCW 74.34.-020(6).

"Holding technique" means using the least amount of force necessary to manually hold all or part of a person's body in a way that restricts the person's free movement; also includes any approved controlling maneuvers identified in the ((individual treatment)) person-centered service plan. Examples include holds taught in approved training for deescalation techniques and control of self-harm or aggressive behavior. This definition does not apply to briefly holding, without force, a person in order to calm the person, or holding a person's hand to escort the person safely from one area to another.

"Infectious" means capable of causing infection or disease by entrance of organisms into the body, which grow and multiply there, including, but not limited to, bacteria, viruses, protozoans, and fungi.

"Inspection" means the process by which department staff evaluates the enhanced services facility licensee's compliance with applicable statutes and regulations.

"License suspension" is an action taken by the department to temporarily revoke an enhanced services facility license in accordance with RCW 70.97.120 and this chapter.

"Licensee" means the individual or entity, as defined in this chapter, to whom the department issues the enhanced services facility license.

"Licensed physician" means a person licensed to practice medicine or osteopathic medicine and surgery in the state of Washington.

"Likelihood of serious harm" means a substantial risk that:

- (1) Physical harm will be inflicted by an individual upon his or her own person, as evidenced by threats or attempts to commit suicide or inflict physical harm on oneself;
- (2) Physical harm will be inflicted by an individual upon another, as evidenced by behavior that has caused such harm or that places another person or persons in reasonable fear of sustaining such harm; or
- (3) Physical harm will be inflicted by an individual upon the property of others, as evidenced by behavior that has caused substantial loss or damage to the property of others.

"Long-term care worker" as defined in RCW 74.39A.-009, has the same meaning as the term "caregiver."

"Management agreement" means a written, executed agreement between the licensee and the manager regarding the provision of certain services on behalf of the licensee.

"Mandated reporter":

(1) Is an employee of the department, law enforcement officer, social worker, professional school personnel, individual provider, an employee of a facility, an operator of a facility, an employee of a social service, welfare, mental health, adult day health, adult day care, home health, home care, or

hospice agency, county coroner or medical examiner, Christian Science practitioner, or health care provider subject to chapter 18.130 RCW; and

(2) For the purpose of the definition of mandated reporter, "facility" means a residence licensed or required to be licensed under chapter 18.20 RCW, Assisted living facility; chapter 18.51 RCW, Nursing homes; chapter 70.128 RCW, Adult family homes; chapter 72.36 RCW, Soldiers' homes; chapter 71A.20 RCW, Residential habilitation centers; chapter 70.97 RCW, Enhanced services facility or any other facility licensed by the department.

"Medically fragile" means a chronic and complex physical condition which results in prolonged dependency on specialized medical care that requires frequent daily skilled nursing interventions. If these medically necessary interventions are interrupted or denied, the resident may experience irreversible damage or death. Examples of specialized medical care and treatment for medically fragile residents include but are not limited to: IV therapies requiring monitoring of vital signs and dose titration dependent on lab values; wound care requiring external vacuum or other mechanical devices for debridement; complicated wound care requiring other specialized or extensive interventions and treatment; ventilator or other respiratory device dependence and monitoring; dependence on licensed staff for complex respiratory support; and peritoneal or hemodialysis (on-site).

"Medication administration" means the direct application of a prescribed medication whether by injection, inhalation, ingestion, or other means, to the body of the resident by an individual legally authorized to do so.

"Medication service" means any service provided either directly or indirectly by an enhanced services facility related to medication administration medication assistance, or resident self-administration of medication.

"Mental disorder" means any organic, mental, or emotional impairment that has substantial adverse effects on an individual's cognitive or volitional functions.

"Mental health professional" means a psychiatrist, psychologist, psychiatric nurse, licensed mental health counselor, licensed mental health counselor-associate, licensed marriage and family therapist, licensed marriage and family therapist-associate, licensed independent clinical social worker, licensed independent clinical social worker-associate, licensed advanced social worker, or licensed advanced social worker-associate and such other mental health professionals as may be defined by rules adopted by the secretary under the authority of chapter 71.05 RCW.

"Misappropriation of resident property" means the deliberate misplacement, exploitation, or wrongful, temporary or permanent use of a resident's belongings or money.

"Neglect" means:

- (1) A pattern of conduct or inaction by a person or entity with a duty of care that fails to provide the goods and services that maintain physical or mental health of a resident, or that fails to avoid or prevent physical or mental harm or pain to a resident; or
- (2) An act or omission by a person or entity with a duty of care that demonstrates a serious disregard of consequences of such a magnitude as to constitute a clear and present dan-

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ger to the resident's health, welfare, or safety, including but not limited to conduct prohibited under RCW 9A.42.100.

"Permanent restraining order" means a restraining order or order of protection issued either following a hearing, or by stipulation of the parties. A "permanent" order may be in force for a specific time period (e.g. 5 years), after which it expires.

"**Prescriber**" means a health care practitioner authorized by Washington state law to prescribe medications.

"Professional person" means a mental health professional and also means a physician, registered nurse, and such others as may be defined in rules adopted by the secretary pursuant to the provisions of this chapter.

"Psychopharmacologic medications" means the class of prescription medications, which includes but is not limited to antipsychotics, antianxiety medications, and antidepressants, capable of affecting the mind, emotions, and behavior.

"Reasonable accommodation" and "reasonably accommodate" have the meaning given in federal and state antidiscrimination laws and regulations which include, but are not limited to, the following:

- (1) Reasonable accommodation means that the enhanced services facility must:
- (a) Not impose an admission criterion that excludes individuals unless the criterion is necessary for the provision of enhanced services facility services;
- (b) Make reasonable modification to its policies, practices or procedures if the modifications are necessary to accommodate the needs of the resident;
 - (c) Provide additional aids and services to the resident.
 - (2) Reasonable accommodations are not required if:
- (a) The resident or individual applying for admission presents a significant risk to the health or safety of others that cannot be eliminated by the reasonable accommodation;
- (b) The reasonable accommodations would fundamentally alter the nature of the services provided by the enhanced services facility; or
- (c) The reasonable accommodations would cause an undue burden, meaning a significant financial or administrative burden

"RCW" means Revised Code of Washington.

"Records" means:

- (1) "Active records" means the current, relevant documentation regarding residents necessary to provide care and services to residents; or
- (2) "Inactive records" means historical documentation regarding the provision of care and services to residents that is no longer relevant to the current delivery of services and has been thinned from the active record.

"Registration records" include all the records of the department, regional support networks, treatment facilities, and other persons providing services to the department, county departments, or facilities which identify individuals who are receiving or who at any time have received services for mental illness.

"Resident" means a person admitted to an enhanced services facility.

"Resident's representative" means:

(1) The legal representative who is the person or persons identified in RCW 7.70.065 and who may act on behalf of the

resident pursuant to the scope of their legal authority. The legal representative shall not be affiliated with the licensee, enhanced services facility, or management company, unless the affiliated person is a family member of the resident; or

(2) If there is no legal representative, a person designated voluntarily by a competent resident in writing, to act in the resident's behalf concerning the care and services provided by the enhanced services facility and to receive information from the enhanced services facility if there is no legal representative. The resident's representative may not be affiliated with the licensee, enhanced services facility, or management company, unless the affiliated person is a family member of the resident. The resident's representative under this subsection shall not have authority to act on behalf of the resident once the resident is no longer competent. The resident's competence shall be determined using the criteria in RCW 11.88.-010 (1)(e).

"Secretary" means the secretary of the department or the secretary's designee.

"Significant change" means:

- (1) A deterioration in a resident's physical, mental, or psychosocial condition that has caused or is likely to cause clinical complications or life-threatening conditions; or
- (2) An improvement in the resident's physical, mental, or psychosocial condition that may make the resident eligible for discharge or for treatment in a less intensive or less secure setting.

"Significant medication error" includes any failure to administer or receive a medication according to an authorized health care provider's order, or according to the manufacturer's directions for nonprescription medications, that results in an error involving the wrong medication, wrong dose, wrong patient, wrong time, wrong rate, wrong preparation, or wrong route of administration.

"Social worker" means a person with a master's or further advanced degree from a social work educational program accredited and approved as provided in RCW 18.320.-010.

"Staff" or "staff person" means any person who:

- (1) Is employed or used by an enhanced services facility, directly or by contract, to provide care and services to any resident.
- (2) Staff must meet all of the requirements of chapter 388-112 WAC.

"Stop placement" or "stop placement order" is an action taken by the department prohibiting enhanced services facility admissions, readmissions, and transfers of patients into the enhanced services facility from the outside.

"Temporary restraining order" means restraining order or order of protection that expired without a hearing, was terminated following an initial hearing, or was terminated by stipulation of the parties in lieu of an initial hearing.

"Treatment" means the broad range of emergency, detoxification, residential, inpatient, and outpatient services and care, including diagnostic evaluation, mental health or chemical dependency education and counseling, medical, physical therapy, restorative nursing, psychiatric, psychological, and social service care, vocational rehabilitation, and career counseling.

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- "Violation" ((is an enhanced services facility failed practice, action or inaction that violates any or all of the following:
- (1) Requirements of chapters 18.51 or 74.42 RCW, or the requirements of this chapter; and
- (2) In the case of a medicare and medicaid contractor, participation requirements under Title XVIII and XIX of the Social Security Act and federal medicare and medicaid regulations)) means the same as "deficiency" as defined in this section.
- "Volunteer" means an individual who interacts with residents without reimbursement.
 - "Vulnerable adult" includes a person:
- (1) Sixty years of age or older who has the functional, mental, or physical inability to care for himself or herself; or
 - (2) Found incapacitated under chapter 11.88 RCW; or
- (3) Who has a developmental disability as defined under RCW 71A.10.020; or
- (4) Admitted to any facility, including any enhanced services facility; or
- (5) Receiving services from home health, hospice, or home care agencies licensed or required to be licensed under chapter 70.127 RCW; or
 - (6) Receiving services from an individual provider.
- (7) Who self-directs his or her own care and receives services from a personal aide under chapter 74.39 RCW.
- (8) For the purposes of requesting and receiving background checks pursuant to RCW 43.43.832, it shall also include adults of any age who lack the functional, mental, or physical ability to care for themselves.
 - "WAC" means Washington Administrative Code.

WAC 388-107-0020 Department authority. (1) Chapter 70.97 RCW authorizes the department to develop rules to implement the chapter, and to license enhanced services facilities. At a minimum the rules are to be written to promote safe treatment and necessary care of individuals residing in each facility, to provide for safe and clean conditions and to establish licensee qualifications, licensing and enforcement standards, and license fees sufficient to cover the cost of licensing and enforcement.

(2) The department, in its sole discretion and after taking into consideration the circumstances of a particular facility such as size or location, may approve alternate staffing, administration, physical plant, or other arrangements that have been proposed in writing by an ESF applicant or licensee. An ESF's failure to comply with a department-approved alternate plan is a violation of this chapter and may result in enforcement actions under WAC 388-107-1430.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

WAC 388-107-0080 Ongoing comprehensive assessments. The enhanced services facility must:

(1) Complete a comprehensive assessment, addressing the elements set forth in WAC 388-107-0070, upon a signifi-

- cant change in the resident's condition or at least every 180 days if there is no significant change in condition;
- (2) Complete an assessment specifically focused on a resident's identified strengths, preferences, limitations and related issues:
- (a) Consistent with the resident's change of condition as specified in WAC 388-107-0060;
- (b) When the resident's ((individual treatment)) personcentered service plan no longer addresses the resident's current needs and preferences;
- (c) When the resident has an injury requiring the intervention of a practitioner.
- (3) <u>Review each resident's needs to evaluate discharge or transfer options when the resident:</u>
- (a) No longer needs the level of behavioral support provided by the facility; or
- (b) Expresses the desire to move to a different type of community based setting.
- (4) Ensure that the person-centered service planning team discusses all available placement options.
- (5) Ensure the staff person performing the ongoing assessments is a qualified ((to perform them)) assessor.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

- WAC 388-107-0100 ((Enhanced services facility))
 Person-centered service planning team. The facility ((will identify a team for each resident. The team will)) must develop and maintain a person-centered service planning team for each resident. The team must:
- (1) Include the resident, the resident's representative when applicable, individuals chosen ((and any support persons identified)) by the resident, ((as well as)) a mental health professional, nursing staff, the medicaid client's department case manager, and other persons ((identified by the facility)) as needed;
- (2) Provide the necessary information and support to ensure that the resident has an opportunity to identify team members, make informed choices and decisions regarding care and treatment, and direct the person-centered service planning process to the maximum extent possible;
- (3) Ensure a coordinated approach to the development, implementation and evaluation of the ((individual treatment)) comprehensive person-centered service plan for the resident; and
- (((3))) (4) Meet at least monthly <u>and more often as</u> <u>needed, at times and locations convenient to the resident,</u> to review and modify the ((individual treatment)) <u>comprehensive person-centered service</u> plan as needed.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

WAC 388-107-0110 Initial ((individual treatment)) person-centered service plan. The ((enhanced services facility)) resident's person-centered service planning team must develop the initial ((individual treatment)) person-centered service plan prior to admission to the ESF, using information from the resident, the resident's representative if the resident has one, the comprehensive assessment reporting

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- evaluation (CARE) assessment for medicaid clients, and the preadmission assessment, prior to admitting the resident to the facility. The ((enhanced services facility)) person-centered service planning team must ensure that each resident has an initial ((individual treatment)) person-centered service plan that includes:
- (1) The resident's immediate specific ((problems and)) support needs (physical, mental and behavioral) identified in the preassessment;
- (2) Direction to staff and caregivers relating to the resident's immediate needs, capabilities, and preferences;
- (3) The means ((for)) by which the resident chooses not to accept or refuses care or services;
- (4) The resident's informed consent to the person-centered service plan;
- (5) What the facility will do to ensure the resident's health and safety related to the refusal of any care or service;
 - $((\frac{5}{1}))$ (6) Resident defined goals and preferences;
- (((6))) <u>(7)</u> How the facility will provide behavioral support to prevent a crisis and maintain placement in the facility while respecting the resident's rights; ((and
- (7))) (8) While in the community, what the facility will do to ensure resident and community safety; and
- (((8) Identifying)) (9) Identification of factors that ((will)) prevent the resident from accessing less restrictive community based services and ((developing)) development of a plan ((regarding)) that describes when and how the resident may be able to transfer or transition from the enhanced services facility to a more independent living situation in the community.

- WAC 388-107-0120 Initial comprehensive ((individual treatment)) person-centered service plan. (1) The ((enhanced services facility)) person-centered service planning team must integrate the information obtained in the resident's preadmission assessment, medicaid client's CARE assessment information from the department's case manager, initial comprehensive assessment and initial ((individual treatment)) person-centered service plan to develop a written comprehensive ((individual treatment)) person-centered service plan.
 - (2) The enhanced services facility must:
- (a) Complete the initial comprehensive person-centered service plan within fourteen days of the resident's move-in date.
- (b) Provide the initial comprehensive person-centered service plan to the resident in a clear and understandable format that is accessible to residents, including those with disabilities and persons who have limited English proficiency.
- (c) Ensure that the resident, or the resident's representative, when applicable, consents to the initial person-centered service plan in writing and that the plan is signed by all individuals on the person-centered service planning team.
- (d) Distribute a copy of the initial comprehensive person-centered service plan to the resident and all others responsible for the implementation of the plan.

- (3) The ((enhanced services facility)) person-centered service planning team must ensure each resident's initial comprehensive ((individual treatment)) person-centered service plan includes:
 - (((1))) (a) A list of the care and services to be provided;
- $((\frac{(2)}{2}))$ (b) Identification of who will provide the care and services;
- (((3))) (c) When and how the care and services will be provided;
- (d) A method for the resident to request updates to the plan as needed;
- (e) A list of services that the resident chooses to self-direct;
- (((4))) (f) How medications will be managed, including how the resident will receive medications when the resident is not in the facility;
- $((\frac{5}{)}))$ (g) The resident's daily activities preferences, spiritual and/or cultural preferences, interests, strengths and needs and how the facility will meet those within the behavioral challenges of the resident;
- $((\frac{(6)}{(6)}))$ (h) Other preferences and choices about issues important to the resident, including, but not limited to:
 - (((a))) (i) The setting in which the resident resides:
 - (ii) Food;
 - (((b))) (iii) Daily routine;
 - (((e))) (iv) Grooming; and
- (((d))) (v) How the enhanced services facility will accommodate the preferences and choices.
- (i) Identification of any communication barriers the resident may have and how the home will use behaviors and nonverbal gestures to communicate with the resident;
- $((\frac{7}{)}))$ (i) A behavioral support plan to prevent crisis and maintain placement in the facility by:
- (((a))) (i) A crisis prevention and response protocol that outlines specific indicators which may signal a potential crisis for the resident;
- (((b))) <u>(ii)</u> Specific interventions and pre-crisis prevention strategies for each of the resident's indicators of a potential crisis;
- (((e))) (iii) A crisis prevention and response protocol that outlines steps to be taken if the prevention or intervention strategies are unsuccessful in diverting the crisis including the community crisis responder's coordination plan; and
- (((d) A plan on)) (iv) A description of how to respond to a resident's refusal of care or treatment, including when the resident's physician or practitioner should be notified of the refusal.
- (((8) Identification of any communication barriers the resident may have and how the home will use behaviors and nonverbal gestures to communicate with the resident;))
- $((\frac{(9)}{)})$ (k) A hospice care plan if the resident is receiving $((\frac{\text{services for}}{)})$ hospice care $((\frac{\text{delivered by}}{)})$ services from a licensed hospice agency.
- (((10))) <u>(1)</u> Advance directives, if the resident chooses, that are validly executed pursuant to chapters 70.122 and 71.32 RCW, as applicable;
- (((11))) (m) A plan ((regarding how the facility will work)) for working with the department of corrections (DOC) if the resident is under the supervision of DOC, col-

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laborating to maximize treatment outcomes and reduce the likelihood of reoffense.

- (((12))) (n) A plan which maximizes the opportunities for independence, maintaining health and safety, recovery, employment, the resident's participation in treatment decisions, collaboration with peer-supported services, and care and treatment provided in the least restrictive manner appropriate to the resident and to any relevant court orders with which the resident must comply.
- (o) Strategies for solving conflict or disagreement within the process of the development of the initial comprehensive individual treatment plan, including clear conflict-of-interest guidelines for all planning participants.
- (((13))) (<u>p</u>) A discharge plan that addresses factors and barriers that prevent a resident from being placed in a less restrictive community placement and assist the resident in the transition. This plan will include an assessment of all current medications and the resident's ability to self-medicate in a more independent living situation.
- (((14) The enhanced services facility must complete the comprehensive individual treatment plan within fourteen days of the resident's move-in date.))

Reviser's note: RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules. The rule published above varies from its predecessor in certain respects not indicated by the use of these markings.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

- WAC 388-107-0130 Ongoing comprehensive ((individual treatment)) person-centered service plan. (1) The ((enhanced services facility)) person-centered service planning team will review and update each resident's comprehensive ((individual treatment)) person-centered service plan, as follows:
- (a) Within a reasonable time consistent with the needs of the resident following any <u>significant</u> change in the resident's physical, mental, emotional or behavioral functioning; ((and))
 - (b) <u>Upon request by the resident;</u>
- (c) Whenever the comprehensive ((individual treatment)) person-centered service plan no longer adequately addresses the resident's current assessed needs and preferences; ((and))
- (((e))) (d) Following every full comprehensive assessment and medicaid client's full CARE assessment; and
 - (e) At least once every one hundred and eighty days.
- (2) ((The process of developing and updating the comprehensive individual treatment plan will include the following:
 - (a) The resident;
- (b) The resident's representative to the extent he or she is willing and capable, if the resident has one;
 - (c) Other individuals the resident wants included;
 - (d) The medicaid client's department case manager; and
 - (e) Staff designated by the enhanced services facility.
- (3))) The ((enhanced services facility)) person-centered service planning team ((will)) must ensure:

- (a) Individuals participating in developing the resident's comprehensive ((individual treatment)) person-centered service plan:
- (i) Discuss the resident's assessed needs, capabilities, and preferences; ((and))
- (ii) Negotiate((, if possible and feasible,)) an agreedupon comprehensive ((individual treatment)) <u>person-centered service</u> plan ((which would)) <u>that will</u> support the resident; and
- (iii) Prevent the provision of unnecessary or inappropriate services and supports.
- (b) The agreed plan for services is documented in the resident's record.
- (((b) Staff persons document in the resident's record the agreed upon plan for services.))

Reviser's note: The typographical error in the above section occurred in the copy filed by the agency and appears in the Register pursuant to the requirements of RCW 34.08.040.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

WAC 388-107-0140 Implementation of the ((individual treatment)) person-centered service plan. (1) The ((enhanced services facility)) person-centered service planning team must provide the care and services as agreed upon or outlined in the initial and comprehensive ((individualized treatment)) person-centered service plan to each resident unless a deviation from the plan is mutually agreed upon between the ((enhanced services facility)) person-centered service planning team, the medicaid client's department case manager, and the resident and/or the resident's representative at the time the care or services are scheduled.

(2) The details of any deviation from the plan must be clearly documented in the resident record.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

WAC 388-107-0150 Comprehensive ((individual treatment)) person-centered service plan sent to the state. When a resident's services are paid for by the department, the enhanced services facility must give the department case manager a copy of the comprehensive ((individual treatment)) person-centered service plan each time it is completed or updated and after it has been signed and dated. The department's case manager will:

- (1) Review the ((individual treatment)) person-centered service plan;
- (2) Sign, date, and return the ((individual treatment)) person-centered service plan to the facility;
- (3) Document the review in the resident record, indicating it was signed and approved; and
 - (4) Schedule a department reassessment.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

WAC 388-107-0160 Behavioral support plan. The ((enhanced services facility)) person-centered service planning team will ensure that each resident's ((individual treat-

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- ment)) person-centered service plan has interventions for behavioral support that are used first when a resident's behavior is escalating at home or in the community, including but not limited to the following:
- (1) Strengths the individual ((holds)) <u>has</u> that support strategies for prevention and intervention.
- (2) Specific indicators which may signal a potential crisis for the individual or that left unaddressed in the past has led to a behavioral crisis. Examples include but are not limited to typical challenging behaviors the individual displays when escalating, actions the resident may typically take before a behavioral outburst, or words or phrases the individual has been known to express during a time of escalation.
- (3) Specific interventions and pre-crisis prevention strategies for each of the indicators identified above.
- (4) Steps to be taken by each of the facility ((team members)) staff if the prevention or intervention strategies are unsuccessful in diverting the individual from a behavior or action that leads to crisis.
- (5) A description of the types of holding techniques that are safe and effective for the individual.
- (((5))) (6) A plan to ensure coordination with community crisis responders in regard to each resident's ((treatment)) person-centered service plan as part of a regular, routine protocol for crisis prevention and intervention.
- $((\frac{(6)}{)})$ (7) A resident may not be secluded or isolated as part of the behavior support plan.

Reviser's note: RCW 34.05.395 requires the use of underlining and deletion marks to indicate amendments to existing rules. The rule published above varies from its predecessor in certain respects not indicated by the use of these markings.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

- WAC 388-107-0180 Self-determination and participation. Except when the health or safety of the individual or other residents or members of the community would be endangered and consistent with the ((individual treatment)) person-centered service plan, each resident has the right to:
- (1) Choose activities, schedules, and health care consistent with his or her interests, assessments, and ((individual treatment)) person-centered service plan;
- (2) Interact with members of the community both inside and outside the enhanced services facility;
- (3) Make choices about aspects of his or her life in the facility that are significant to the resident; and
- (4) Participate in social, religious, and community activities that do not interfere with the rights of other residents in the enhanced services facility.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

WAC 388-107-0190 Rights of residents. (1) Each resident of an enhanced services facility is entitled to all the rights set forth in this chapter, and chapters 71.05 and 70.96A RCW, and must retain all rights not denied him or her under these chapters.

- (2) The enhanced services facility will only consider a resident's competence as determined or withdrawn under the provisions of chapters 10.77 or 11.88 RCW.
- (3) The facility must give each resident, at the time of his or her ((treatment)) person-centered service planning meeting, a written statement setting forth the substance of this section.
- (4) Every resident of an enhanced services facility has the right to ((adequate)) appropriate care and individualized treatment, interventions, and support that will not harm the resident.
- (5) The provisions of this chapter must not be construed to deny to any resident treatment by spiritual means through prayer in accordance with the tenets and practices of a church or religious denomination.
- (6) Each resident of an enhanced services facility must have, in addition to other rights not specifically withheld by law, the rights enumerated in <u>subsections (6)(a)</u> through (((m))) (o) ((below)) in this section, unless exercise of these rights creates a danger to the resident or to others. The facility must prominently post a list of these rights in a place accessible to residents and must make this list available to residents without need of request. The resident has the right:
- (a) To wear his or her own clothes and to keep and use his or her own personal possessions, except when deprivation of same is essential to protect the safety of the resident or other persons;
- (b) To have access to fluids and snacks of choice at any time;
- (c) To ((keep and be allowed to spend a reasonable sum of his or her own money for canteen expenses and small purchases)) control his or her personal finances;
- (d) To have access to individual locked storage space for his or her private use;
- (e) To have visitors at ((reasonable)) times convenient to the resident and in accordance with the person-centered service plan;
- (f) To have ((reasonable)) twenty-four hour access to a telephone, both to make and receive confidential calls((, consistent with an effective treatment program));
- (g) To have ready access to letter-writing materials, including stamps, and to send and receive uncensored correspondence through the mails;
- (h) To discuss and actively participate in ((treatment)) the development of person-centered service plans and decisions with professional persons;
- (i) To a clean, comfortable, ((and)) home ((like)) environment;
- (j) <u>To furnish and decorate the sleeping room in accordance with the person-centered service plan.</u>
- (k) Not to have psychosurgery performed on him or her under any circumstances;
- (1) To refuse antipsychotic medication consistent with RCW 70.97.050;
- $((\frac{(k)}{(m)}))$ (m) To dispose of property and sign contracts unless the resident has been adjudicated $((\frac{k}{m}))$ as incompetent in a court proceeding directed to that particular issue; and
- (((1))) (<u>n</u>) To complain about rights violations or conditions and request the assistance of ((a mental health)) <u>an</u> ombuds or representative of <u>Disability Rights</u> Washington

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- ((protection and advocacy)). The facility may not prohibit or interfere with a resident's decision to consult with an advocate of his or her choice.
- (((m))) (o) To receive a minimum of thirty days' written notice if there are any changes to the scope of services identified in the ((individual treatment)) person-centered service plan.
- (7) Any modification of the resident rights requirements outlined in 42 C.F.R. § 441.301 (c)(4)(vi)(A) through (D) must be supported by a specific assessed need and justified in the person-centered service plan. When making said modifications, the person-centered service planning team must document:
 - (a) The specific individualized assessed need;
- (b) The positive interventions and supports used prior to any modification;
- (c) Less intrusive methods of meeting the needs that have been tried but did not work;
- (d) A clear description of the condition that is directly related to the specific assessed need;
- (e) Regular collection and review of data to measure the ongoing effectiveness of the modification;
- (f) Established time limits for periodic reviews to determine if the modification is still necessary or can be terminated;
- (g) Informed consent of the resident and/or resident's representative;
- (h) An assurance that interventions and supports will cause no harm to the resident.
- $((\frac{7}{)}))$ (8) Nothing contained in this chapter $(\frac{must}{})$ may prohibit a resident and/or resident's representative from petitioning by writ of habeas corpus for release.
- (((8))) (9) Nothing in this section permits any person to knowingly violate a no-contact order or a condition of an active judgment and sentence or active supervision by the department of corrections.
- $((\frac{(9)}{)}))$ (10) A resident has a right to refuse placement in an enhanced services facility. No person $((\frac{\text{must}}{)})$ may be denied other department services solely on the grounds that he or she has made such a refusal.
- (((10))) (11) A resident has a right to appeal the decision of the department that he or she is eligible for placement at an enhanced services facility, and must be given notice of the right to appeal in a format that is accessible to the resident with instructions regarding what to do if the resident wants to appeal.

- WAC 388-107-0200 Quality of care. (1) Consistent with resident rights, the enhanced services facility must provide each resident with the necessary care and services to attain or maintain the highest practicable physical, mental and psychosocial well-being, self-care and independence in accordance with his or her comprehensive assessment and ((individual treatment)) person-centered service plan.
- (2) Based on the comprehensive assessment of a resident, the enhanced services facility must ensure that:

- (a) A resident's abilities in activities of daily living do not decline unless circumstances of the resident's clinical condition demonstrate that the decline was unavoidable. This includes the resident's ability to:
 - (i) Bathe, dress, and groom;
 - (ii) Transfer and ambulate;
 - (iii) Toilet;
 - (iv) Eat; and
- (v) Use speech, language, or other functional communication systems.
- (b) A resident is given the appropriate treatment and services to maintain or improve the resident's abilities in activities of daily living specified in subsection (2)(a) of this section; and
- (c) A resident who is unable to carry out activities of daily living receives the necessary services to maintain good nutrition, grooming, and personal and oral hygiene.
- (3) The enhanced services facility must ensure that the appropriate care and services are provided to the resident in a minimum of the following areas, as applicable in accordance with the resident's individualized assessments and ((individual treatment)) person-centered service plan:
 - (a) Mental health treatment;
 - (b) Chemical dependency treatment;
 - (c) Vision and hearing;
 - (d) Skin;
 - (e) Continence;
 - (f) Range of motion;
 - (g) Mental and psychosocial functioning and adjustment;
 - (h) Nutrition;
 - (i) Hydration;
 - (j) Special needs, including but not limited to:
 - (i) Injections;
 - (ii) Parenteral and enteral fluids:
 - (iii) Colostomy, urostomy, or ileostomy care;
 - (iv) Tracheostomy care and/or tracheal suctioning;
 - (v) Respiratory care;
 - (vi) Dental care;
 - (vii) Foot care; and
 - (viii) Prostheses.
 - (k) Medications, including freedom from:
 - (i) Unnecessary medications; and
 - (ii) Significant medication errors; and
 - (1) Independent living skills.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

- WAC 388-107-0210 Care and services. The enhanced services facility must develop and implement a program to meet the needs of each resident and to ensure each resident receives:
- (1) The care and services identified in the ((individualized treatment)) person-centered service plan.
- (2) The necessary care and services to help the resident reach the highest level of physical, mental, and psychosocial well-being consistent with resident choice, current functional status and potential for improvement or decline.

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- (3) The care and services in a manner and in an environment that:
- (a) Actively supports, maintains or improves each resident's quality of life;
 - (b) Actively supports the safety of each resident; and
- (c) Reasonably accommodates each resident's individual needs and preferences except when the accommodation endangers the health or safety of the individual, another resident, or a member of the community: and((-))
- (4) Services by the appropriate professionals based upon the resident's assessment and ((individualized treatment)) person-centered service plan.

WAC 388-107-0240 Staffing ((ratios)) <u>levels</u>. (1) The enhanced services facility must ensure that:

- (a) Sufficient numbers of appropriately qualified and trained staff are available to provide necessary care and services consistent with residents' ((negotiated service agreements)) person-centered service plan safely under routine conditions, as well as during fire, emergency, and disaster situations: and
- (b) At least two staff are <u>awake and</u> on duty in the facility at all times if there are any residents in the facility.
- (2) A ((licensed)) <u>registered</u> nurse must be ((on duty in the facility at all times.)) <u>available to meet the needs of the residents as follows:</u>
- (a) On duty in the facility at least twenty hours per week; and
- (b) Available on call, when not present, to respond within thirty minutes by phone or in person.
- (((a))) (3) A ((registered)) licensed nurse must be on duty in the facility ((at least eight hours per day; and)) whenever a registered nurse is not on site.
- (((b) A registered nurse must be on call during any shift that a licensed practical nurse is on duty in the facility.))
- $((\frac{3}{)})$ (4) A mental health professional must be $(\frac{3}{)}$ available to meet the needs of the residents as follows:
- (a) On site in the facility at least ((sixteen)) eight hours per day; and
- (b) Available on call, when not present to respond within thirty minutes by phone or in person.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

WAC 388-107-0280 Transfer and discharge. (1) ((Upon completion of the annual reassessment and/or significant change assessment by both case management and enhanced services facility staff, the enhanced services team will review each resident for possible discharge. The team will determine if the resident:

- (a) No longer needs the level of behavioral support provided by the enhanced services facility:
- (b) Behaviors are now mitigated by changed medical or personal care needs;
- (e) Expresses the desire to move to a different type of community based setting and has demonstrated the ability or capacity to be successful; or

- (d) Is a good candidate for relocation and recommends other community based programs to the resident.
- (2))) The enhanced services facility, with input from the person-centered service planning team, will meet with case management staff to identify residents ((with potential for discharge or)) who want to discharge from this residential setting or who could transfer to a less restrictive ((program, and will participate in)) residential setting. Once these residents are identified, discharge planning meetings will be held for each resident who ((meets the above criteria for potential discharge)) is planning to be discharged or transferred from the facility.
- (((3) The enhanced services facility must provide a thirty day notice before discharging a resident unless the situation is emergent and the case manager is involved in the decision.))
- (2) The facility must permit each resident to remain in the facility, and not transfer or discharge the resident from the facility, unless:
- (a) The transfer or discharge is necessary for the resident's welfare and the resident's needs cannot be met in the facility;
- (b) The safety of the individuals in the facility is endangered;
- (c) The health of individuals in the facility would otherwise be endangered;
- (d) The resident has failed to make the required payment for his or her stay; or
 - (e) The facility ceases to operate.
- (3) Before transferring or discharging a resident, the facility must:
- (a) First attempt through reasonable accommodation to avoid transfer or discharge, unless agreed to by the resident;
- (b) Notify the resident or resident's representative and make a reasonable effort to notify, if known, an interested family member, of the transfer or discharge and the reasons for the move in writing and in a language and manner they understand;
 - (c) Record the reasons in the resident's record; and
- (d) Include in the notice the items described in subsection (6) of this section.
- (4) Except when specified in subsection (5), the notice of transfer or discharge required under subsection (3) of this section, the facility must give the resident at least thirty days' notice before the resident is transferred or discharged.
- (5) Discharge notice may be made as soon as practicable before transfer or discharge when:
- (a) The safety of individuals in the facility would be endangered;
- (b) The health of individuals in the facility would be endangered;
- (c) An immediate transfer or discharge is required by the resident's urgent medical needs; or
- (d) The resident has not resided in the facility for thirty days.
- (6) The written notice specified in subsection (3) of this section must include the following:
 - (a) The reason for transfer or discharge:
 - (b) The effective date of transfer or discharge;

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- (c) The location to which the resident is transferred or discharged;
- (d) The name, address, and telephone number of the state long-term care ombuds;
- (e) For residents with development disabilities and/or mental illness, the mailing address and telephone number of Disability Rights Washington, the protection and advocacy system for individuals with developmental disabilities.
- (7) The facility must provide sufficient preparation and orientation to residents to ensure safe and orderly transfer or discharge from the facility.
- (8) A resident discharged in violation of this section has the right to be readmitted immediately upon the first availability of appropriate space in the facility.

WAC 388-107-0370 Treatment services. The enhanced services facility must:

- (1) Provide for diagnostic and therapeutic services prescribed by the attending clinical staff that meet all of the resident needs identified in the ((individual treatment)) personcentered service plan, to include mental health and chemical dependency treatment;
- (2) Ensure that each resident's ((individual treatment)) person-centered service plan has interventions for behavioral support in accordance with WAC 388-107-0160;
- (3) Ensure that all services are provided by specific program professionals, such as mental health professionals and chemical dependency professionals.

<u>AMENDATORY SECTION</u> (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

- WAC 388-107-0390 Use of routine psychopharmacologic medications. When the resident is using a ((psychopharmacological)) psychopharmacologic medication on a routine basis, the facility must ensure that ((the)):
- (1) Medication is prescribed by a physician or health care professional with prescriptive authority;
- (2) Resident's ((individual treatment)) person-centered service plan includes strategies and modifications of the environment and staff behavior to address the symptoms for which the medication is prescribed;
- (3) Changes in medication only occur when the prescriber decides it is medically necessary;
- (4) The resident's record includes documentation about the specific symptom or behavior that caused the physician to order the medication and what the resident needs to be able to do or stop doing in order to discontinue the medication((-));
- (5) Documentation includes that the resident, guardian or legal representative, if any, was informed of the need for the psychopharmacologic medication((-,)); and
- (6) Antipsychotic medications are administered in a manner consistent with RCW 70.97.040 and 70.97.050.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

- WAC 388-107-0400 Use of as needed psychopharmacologic medications. If the physician has ordered an asneeded psychopharmacologic medication for a resident, the facility must ensure that ((the)):
- (1) ((Order)) The order details the circumstances under which the medication may be used and the medication is given only as specifically ordered;
- (2) Resident's ((individual treatment)) person-centered service plan includes behavioral intervention strategies and modifications of the environment and staff behavior to address the symptoms for which the medication is prescribed;
- (3) ((Documentation)) There is documentation in the resident record ((is done on)) about the specific symptom or behavior that caused the need for the medication and ((what)) the results of the medication use ((is)); ((and))
- (4) Documentation includes that the resident, guardian or legal representative, if any, was informed of the need for the medication((-));
- (5) The resident, guardian, or legal representative has given informed consent for the medication; and
- (6) Antipsychotic medications are administered in a manner consistent with RCW 70.97.040 and 70.97.050.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

WAC 388-107-0410 Management of escalating behaviors. (1) An enhanced services facility must have a specific procedure for deescalating, preventing and redirecting aggressive and challenging behavior. This protocol must always be the first approach and strategy in resolving behavioral issues. The protocol must include:

- (a) Training on prevention of escalation of behavior before it reaches the stage of physical assault;
- (b) Techniques for staff to use in response to challenging client behaviors;
 - (c) Evaluation of the safety of the physical environment;
 - (d) Issues of respect and dignity of the resident; and
- (e) Use of the least restrictive physical and behavioral interventions depending upon the situation;
- (2) If the facility uses holding techniques ((as a last resort)) to physically restrain residents in emergency situations and as part of behavioral intervention protocols, the facility must:
- (a) Ensure that all staff authorized to use holding techniques receive department-approved training on specific techniques prior to using them;
- (b) Describe the types of holding techniques that are safe and effective for the individual in the resident's person-centered service plan;
- (c) Use other established resident-specific behavioral interventions first to attempt to deescalate the situation;
- (((b))) (d) Limit the holding technique to specific emergent situations where behavioral interventions have not been successful in deescalating a situation and the resident is at imminent risk of harm to self or others due to aggressive behavior;

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- (((e))) (e) Limit the time used to only until the arrival of emergency personnel and/or the emergency ceases;
- $((\frac{d}{d}))$ (f) Release residents from the holding technique as soon as possible;
- $((\frac{(e)}{e}))$ (g) Instruct observers on how to $((\frac{support}{e}))$ recognize signs of:
 - (i) Distress by the client; and
 - (ii) Fatigue by the staff.
 - $((\frac{f}{f}))$ (h) Document:
 - (i) The reason for use of the holding technique;
- (ii) Other behavioral interventions attempted prior to the use of the holding technique;
- (iii) The duration of the use of the holding technique; ((and))
 - (iv) Assessment by a qualified assessor; and
- (v) The condition of the resident at the time of release from the holding technique.

- WAC 388-107-0420 Physical restraints for medical purposes only. (1) For the purposes of this section, "physical restraint" means a manual method, obstacle, or physical or mechanical device, material, or equipment attached or adjacent to the resident's body that restricts freedom of movement or access to his or her body, required to treat the resident's medical symptoms. The enhanced services facility must ensure:
- (2) Each resident has the right to be free from physical and chemical restraints used for discipline, behavioral intervention, or staff convenience;
- (3) That physical restraints are used only during infrequent and episodic occurrences for the protection of the individual during delivery of medical care or treatment.
- (4) That before using the physical restraint, the least restrictive alternatives have been tried and documented, and such restraint is deemed required to temporarily protect the resident from harming themselves <u>or others</u> during the medical care or treatment;
- (5) That before physical restraints are used, the resident <u>or resident representative</u> has been assessed as needing the restraint to treat the emergent medical symptoms or provide the medical care, and to prevent the resident from self-harm; and
- (a) The resident has given informed consent for the use of physical restraints for medical purposes,
- (b) The ((treatment)) person-centered service team has been consulted and evaluated the resistance to medical care; and
- (((b))) (c) The ((documentation has been updated to include)) use of positive interventions and supports ((used)) has been documented.
- (6) That if physical restraints are used, the restraints are episodic and infrequently applied and immediately supervised on-site by a:
 - (a) Licensed registered nurse;
 - (b) Licensed practical nurse; or
 - (c) Licensed physician; and

- (d) For the purposes of this subsection, immediately supervised means that the licensed person is in the facility, quickly and easily available;
- (7) When any physical restraint is used ((per (3) above)) in accordance with this section:
- (a) A staff person ((is)) must be in the presence of the resident at all times when the restraint is in use;
- (i) The staff person must be either a licensed or registered nurse, a mental health professional, a certified nursing assistant, or a certified home care aid.
- (b) A physician's order ((is)) must be obtained within one hour:
- (c) The order <u>must</u> include((s)) treatments to assist in resolving the emergency situation and eliminating the need for the restraint;
- (d) Behavioral consultation ((is)) must be obtained within two hours;
- (e) Resident ((is)) must be released immediately upon the cessation of the behavior that preceded the need for restraint.
- (f) The restraint ((is)) <u>must be</u> removed immediately at the conclusion of the medical emergency, treatment or procedure:
- (g) The enhanced services facility <u>must immediately</u> self-report((s within twenty-four hours the use of the physical restraint for medical purposes to the complaint resolution unit; and)) the use of the physical restraint for medical purposes to the complaint resolution unit (CRU). For the purposes of this regulation "immediately" means there should be no delay between staff awareness of the occurrence and reporting to the CRU unless the situation is unstable in which case reporting should occur as soon as the safety of all residents is assured.
- (h) The use of the physical restraint ((is)) <u>must be</u> documented. <u>Documentation must</u>:
- (i) ((On)) <u>Describe</u> the specific medical issue that caused the need for restraint and what the resident needs to do or stop doing in order to discontinue the use of the restraint; and
- (ii) ((That)) Demonstrate that the resident, guardian or legal representative, if any, was informed of the need for restraint;
- (i) The ((treatment)) person-centered service planning team will consult within ((twenty four)) seventy-two hours to determine less intrusive methods to meet the resident's needs for future care.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

- WAC 388-107-0430 Food services. The enhanced services facility must provide or contract out food services for residents. If the facility chooses to contract out the food service, the contracted services must meet all of the applicable food codes and requirements.
 - (1) The enhanced services facility must:
 - (a) Provide a minimum of three meals a day;
 - (b) Provide snacks;
- (i) Between meals and in the evening at regular intervals; and

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- (ii) With no more than fourteen hours between the evening meal and breakfast, unless the enhanced services facility provides a nutritious snack after the evening meal and before breakfast.
 - (c) Provide access to fluids and snacks at all times;
- (d) Provide sufficient time and staff support for residents to consume meals;
 - (e) Ensure all menus:
- (i) Are written at least one week in advance and delivered to residents' rooms or posted where residents can see them, except as specified in (h) of this subsection;
 - (ii) Indicate the date, day of week, month and year;
- (iii) Include all food and snacks served that contribute to nutritional requirements;
 - (iv) Are kept at least six months;
 - (v) Provide a variety of foods;
- (vi) Provide foods at safe and appropriate temperatures; and
- (vii) Are not repeated for at least three weeks, except that breakfast menus in enhanced services facilities that provide a variety of daily choices of hot and cold foods are not required to have a minimum three-week cycle.
- (f) Prepare food on-site, or provide food through a contract with a food service establishment located in the vicinity that meets the requirements of chapter 246-215 WAC regarding food service;
- (g) Serve nourishing, palatable and attractively presented meals adjusted for:
- (i) Age, gender and activities, unless medically contraindicated; and
- (ii) Individual preferences to the extent reasonably possible.
- (h) Substitute foods of equal nutrient value, when changes in the current day's menu are necessary, and record changes on the original menu;
- (i) Make available and give residents alternate choices in entrees for midday and evening meals that are of comparable quality and nutritional value. The enhanced services facility is not required to post alternate choices in entrees on the menu one week in advance, but must record on the menus the alternate choices in entrees that are served;
- (j) Develop, make known to residents, and implement a process for residents to express their views and comment on the food services; and
- (k) Maintain a dining area or areas approved by the department with a seating capacity for seventy-five percent or more of the residents per meal setting, or ten square feet times the licensed resident bed capacity, whichever is greater.
- (2) The enhanced services facility must plan in writing, prepare on-site or provide through a contract with a food service establishment located in the vicinity that meets the requirements of chapter 246-215 WAC, and serve to each resident as ordered:
- (a) Prescribed general low sodium, general diabetic, and mechanical soft food diets according to a diet manual. The enhanced services facility must ensure the diet manual is:
- (i) Available to and used by staff persons responsible for food preparation;
 - (ii) Approved by a dietitian; and

- (iii) Reviewed and updated as necessary or at least every five years.
- (b) Prescribed nutrient concentrates and supplements when prescribed in writing by a health care practitioner.
- (3) The enhanced services facility may provide to a resident at his or her request and as agreed upon in the resident's comprehensive ((individual treatment)) person-centered service plan, nonprescribed:
 - (a) Modified or therapeutic diets; and
 - (b) Nutritional concentrates or supplements.
- (4) The enhanced services facility must have a means for those residents whose ((individual treatment)) person-centered service plan indicates they have the ability to make or select their own snacks and beverages an opportunity to do so without having to ask a staff member for assistance.

WAC 388-107-0560 Resident records—Clinical records. (1) The enhanced services facility must:

- (a) Maintain clinical records on each resident in accordance with accepted professional standards and practices that are:
 - (i) Complete;
 - (ii) Accurately documented;
 - (iii) Readily accessible; and
 - (iv) Systematically organized.
- (b) Safeguard clinical record information against alteration, loss, destruction, and unauthorized use; and
- (c) Keep confidential all information contained in the resident's records, regardless of the form or storage method of the records, except when release is required by:
 - (i) Transfer to another health care institution;
 - (ii) Law; or
 - (iii) The resident.
- (2) The enhanced services facility must ensure the clinical record of each resident includes at least the following:
- (a) Resident identification and sociological data, including the name and address of the individual or individuals the resident designates as significant;
 - (b) Medical information;
 - (c) Physician's orders;
 - (d) Assessments;
- (e) ((Individual treatment)) Person-centered service plans;
 - (f) Services provided;
 - (g) Progress notes;
 - (h) Medications administered;
 - (i) Consents, authorizations, releases;
 - (j) Allergic responses;
 - (k) Laboratory, X ray, and other findings; and
 - (1) Other records as appropriate.
- (3) Maintain resident records and preserve their confidentiality in accordance with applicable state and federal statutes and rules, including chapters 70.02 and 70.96A RCW.

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WAC 388-107-0770 Environment of care. The facility must ensure that:

- (1) The facility is designed to provide ((the level of)) safety and security appropriate for the specific type of service or program provided as well as the age level, acuity, and risk of the residents served (e.g., geriatric, acute psychiatric, or forensic).
- (2) ((Faeility spaces accessible to residents must be designed to minimize locations where residents are out of the line of sight of staff.
- (3))) All rooms with lockable doors, including but not limited to resident sleeping rooms and bathrooms, have a readily accessible means of rapid access for ((all)) appropriate staff.
- (3) The facility must be physically accessible to all residents.
- (((4) Perimeter security addresses elopement prevention, prevention of contraband smuggling, visitor access control, and exit process and procedures.
- (5) Openings in the perimeter security system (e.g., windows, doors, and gates) are controlled by locks (manual, electric, or magnetic) when required by the functional program.))

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

- **WAC 388-107-0810 Resident room.** The facility must ensure that each resident sleeping room:
 - (1) Meets the following standards:
 - (a) Maximum capacity of one resident.
 - (b) May be locked by the resident:
- (i) Unless otherwise indicated by an identified need in the ((individual treatment)) person-centered service plan; and
- (ii) ((All)) Appropriate staff have a readily accessible means of unlocking the room when the door is locked.
- (c) Minimum clear floor area of ((100)) <u>eighty</u> square feet <u>and meets the needs of the resident</u>.
 - (d) Has one or more outside windows that:
 - (i) If used for ventilation, are easily opened;
- (ii) Have ((break-away)) adjustable shades, blinds, or equivalent installed for visual privacy and are designed to meet the safety needs of the resident; and
 - (2) Is adjacent to bathing and toilet facilities;
- (3) Is designed to offer visual privacy from casual observation by other residents and visitors. The design for privacy must not restrict resident access to the entrance, handwashing station, or toilet.
- (4) Is accessible, clean, and well-maintained with sufficient space, light, and comfortable furnishings for sleeping and personal activities including, but not limited to:
- (a) A minimum of a three-foot clear access aisle from the entry door, along at least one side of the bed, and in front of all storage equipment;
- (b) Enough room for medical equipment and for a resident to move about freely with mobility aides, such as wheelchairs, if applicable as assessed by resident need; and
- (c) Direct access to a hallway, living room, lounge, the outside, or other common use area without going through a

laundry or utility area, a bath or toilet room, or another resident's bedroom.

- (5) Is equipped with:
- (a) One or more waste containers;
- (b) Furniture appropriate for the age and physical condition of each resident, including but not necessarily limited to:
- (i) A chair, which may be used in either the bedroom or a group room interchangeably;
- (ii) A bed of appropriate length and size that is thirty-six or more inches wide with a mattress that fits the bed frame, is in good condition, and is at least four inches thick unless otherwise requested or necessary for resident health or safety; and
- (iii) A lockable storage space accessible to each resident for storage of small personal items, upon request.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

- WAC 388-107-0830 Resident bathing facilities. The facility must provide access to a bathtub or shower for every resident. The facility will ensure that bathing facilities are designed and located for resident convenience and privacy. The facility must ensure:
- (1) At least one bathing unit for every four residents, or fraction thereof, who are located in a resident room without an adjoining bathroom;
 - (2) Access to at least one bathing device for immersion;
- (3) Access to at least one roll-in shower or equivalent on each resident care unit:
- (a) Designed and equipped for unobstructed ease of shower chair entry and use;
- (b) With a spray attachment equipped with a backflow prevention device;
- (c) ((One)) With one-half inch or less threshold that may be a collapsible rubber water barrier; and
- (d) ((A)) With a minimum nominal (rough-framed) size of thirty-six inches by forty-eight.
- (4) Resident bathing equipment is smooth, cleanable, and able to be disinfected after each use.
- (5) In each bathing unit containing more than one bathing facility:
- (a) Each bathtub, shower, or equivalent, is located in a separate room or compartment with three solid walls;
- (b) The entry wall may be a ((break-away)) "shower" type curtain or equivalent that is designed to meet the safety needs of the resident;
- (c) The area for each bathtub and shower is sufficient to accommodate a shower chair, an attendant, and provide visual privacy for bathing, drying, and dressing;
 - (d) All shower and tub surfaces are slip-resistant; and
- (e) All bathing areas are constructed of materials that are impervious to water and cleanable.
- (6) Common bathing facilities must comply with the state building code requirements for accessible bathing facilities.
- (7) Grab bar(s) must be installed to prevent fall and injury in bathing facilities in nonaccessible resident rooms.

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(8) Grab bar(s) in accessible bathing rooms must be installed according to the state building code requirements for accessible bathing rooms.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

- WAC 388-107-0890 Outdoor recreation space and walkways. (1) A facility must provide a safe, protected outdoor area for resident use.
 - (2) The facility must ensure the outdoor area:
- (a) Has areas protected from direct sunshine and rain throughout the day;
- (b) Is <u>easily</u> accessible ((from the floor or story)) to the resident ((resides on)) and has walking surfaces which are firm, stable, and free from cracks and abrupt changes with a maximum of one inch between the sidewalk and adjoining landscape areas;
- (c) Has sufficient space and outdoor furniture provided with flexibility in arrangement of the furniture to accommodate residents who use wheelchairs and mobility aids;
- (d) Contains nonpoisonous shrubs, natural foliage, and trees;
- (e) Is surrounded by walls or fences at least seventy-two inches high; and
- (f) If used as a resident courtyard, the outdoor area must not be used for public or service deliveries.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

- WAC 388-107-0940 Resident safety ((and suicide prevention)). The enhanced services facility must be designed to prevent injury and ((suicide prevention, with special design considerations to details, finishes, and equipment. The facility must ensure:)) promote resident safety.
 - (1) ((Ceilings)) The facility must ensure that:
- (a) ((In resident bathrooms are secured to prevent resident access. Ceiling systems of a nonsecured (nonelipped down) lay-in ceiling tile design are not permitted.
- (b) In resident bedrooms and bathrooms, are designed to eliminate tie-off point(s) or at nine feet in height to prevent resident access.
 - (2) Doors and door hardware:))
 - (a))) Doorways are at least 36" wide;
- (b) Door swings for private resident bathrooms or shower areas swing out to allow for staff emergency access((-));
- (i) Door closers will not be used unless required by the building code. If required on the resident room door, the closer will be mounted on the public side of the door rather than the private resident's side of the door((-));
 - (((3) Door hinges:
- (a) Are designed to minimize points for hanging (i.e., cut hinge type); and
 - (b) Are consistent with the level of care for the resident.
- (4) Door lever handles are specifically designed antiligature hardware.
 - (5) All hardware has tamper-resistant fasteners.))

- ((6) Windows:
- (a) Located in areas accessible to residents are designed to limit the opportunities for breakage;
- All glazing, both interior and exterior, and glass mirrors are fabricated with laminated safety glass or equal;
- (e) Use of temptered glass for interior windows is permitted.
- (d) Break away window coverings for visual privacy; and
- (e) The anchorage of windows and window assemblies, including frames, is designed to resist impact loads applied from the inside and must be tested in accordance with American National Standards Institute (ANSI) Z97.1. Where operable windows are used, the hinges and locking devices must also be tested;
 - (7) Bathroom hardware and accessories.
- (a)) (b) Special design considerations for resident safety and injury ((and suicide)) prevention ((must be)) are given to shower, bath, toilet, and sink hardware and accessories, including grab bars and toilet paper holders((-)); and
 - (((b))) <u>(c)</u> Grab bars((÷
- (i) Where grab bars are provided in resident rooms, resident toilet rooms, resident bathing rooms or other nonpublic space, the space between the bar and the wall must be filled to prevent the grab bar from becoming a ligature point.
- (8) An overall design for antiligature including, but not limited to, grab bars, towel hooks, levers, handles, sprinkler heads, and other protrusions.
- (9) Towel bars and shower curtain rods are not permitted.
- (10) In unsupervised resident areas, sprinkler heads must be recessed or of a design to minimize resident access.
- (11) In resident bathrooms, lighting fixtures, sprinkler heads, electrical outlets, and other fixtures must be the tamper-resistant type.)), where provided, must be securely fastened to withstand a minimum three hundred pound force.

Reviser's note: The typographical errors in the above section occurred in the copy filed by the agency and appear in the Register pursuant to the requirements of RCW 34.08.040.

NEW SECTION

WAC 388-107-0945 Resident suicide and self-harm prevention. When an enhanced services facility's functional program states that it plans to admit a resident, or the licensee or applicant identifies a current resident who is at risk of suicide and/or self-harm, the licensee or applicant must submit a risk assessment to the department of health construction review services, identifying the risks in the physical environment. The licensee or applicant must ensure that the facility is equipped with all or part of the following, as determined by the department of health's review of the risk assessment:

- (1) Ceilings:
- (a) In resident bathrooms are secured to prevent resident access. Ceiling systems of a non-secured (non-clipped down) lay-in ceiling tile design are not permitted.
- (b) In resident bedrooms and bathrooms are designed to eliminate tie-off point(s) or are at least nine feet in height.

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- (2) Doors and door hardware:
- (a) Door swings for private resident bathrooms or shower areas swing out to allow for staff emergency access.
- (b) Door closers will not be used unless required by the building code. If required on the resident room door, the closer will be mounted on the public side of the door rather than the private resident's side of the door.
- (c) Door hinges are designed to minimize points for hanging (e.g. cut hinge type) and are consistent with the level of care for the resident.
- (d) Door lever handles are specifically designed anti-ligature hardware.
 - (e) All hardware has tamper-resistant fasteners.
 - (3) Windows:
- (a) Windows located in areas accessible to residents are designed to limit the opportunities for breakage.
- (b) All glazing, both interior and exterior, and glass mirrors are fabricated with laminated safety glass or equivalent.
- (c) Use of tempered glass for interior windows is permitted.
- (d) Break-away window coverings are used for visual privacy.
 - (4) Bathroom hardware and accessories:
- (a) Special design considerations for injury and suicide prevention must be given to shower, bath, toilet, and sink hardware and accessories, including grab bars and toilet paper holders.
- (b) Towel bars and shower curtain rods are not permitted.
 - (5) Grab bars:
- (a) Where grab bars are provided in resident rooms, resident toilet rooms, resident bathing rooms or other nonpublic space, the space between the bar and the wall must be filled to prevent the grab bar from becoming a ligature point.
 - (6) Anti-ligature design:
- (a) The facility must include an overall design for antiligature including, but not limited to, grab bars, towel hooks, levers, handles, sprinkler heads, and other protrusions.
- (b) In unsupervised resident areas, sprinkler heads must be recessed or of a design to minimize resident access.
- (c) In resident bathrooms, lighting fixtures, sprinkler heads, electrical outlets, and other fixtures must be the tamper-resistant type.
 - (d) Call system shall not use cords.
- (e) All hardware fixtures that are fastened with exposed fasteners shall use tamper resistant screws.

WAC 388-107-0960 Plumbing—Water supply. The facility must:

- (1) Provide:
- (a) Water meeting the provisions of chapter 246-290 WAC, Group A public water supplies or chapter 246-291 WAC, Group B public water systems;
- (b) Hot and cold water under adequate pressure readily available throughout the enhanced services facility;
- (c) Labels or color codes for nonpotable water supplies as "unsafe for domestic use."

- (2) Provide faucet controls in lavatories and sinks with:
- (a) ((Either antiligature fixtures or fixtures)) Fixtures with at least four-inch wrist blades or single-levers based on a risk assessment made by the facility;
- (b) Sufficient space for full open and closed operation; and
 - (c) Color-coding and labels to indicate "hot" and "cold."
- (3) Ensure that all lavatories and sinks have gooseneck spouts, without aerators in areas requiring infection control. ((Locations determined by the facility's risk assessment must be permitted to have antiligature devices.))
- (4) Provide shower heads that are of the flash-mounted type.

AMENDATORY SECTION (Amending WSR 14-19-071, filed 9/12/14, effective 10/13/14)

WAC 388-107-1000 Mechanical—Ventilation systems. The facility must ensure:

- (1) Ventilation of all rooms is designed to prevent objectionable odors, condensation, and direct drafts on the residents:
- (2) All habitable space is mechanically ventilated including air supply and air exhaust systems;
- (3) ((Installation of air-handling duet systems according to the requirements of the International Mechanical Code and)) All heating, ventilation, and air conditioning equipment complies with the requirements of the state building code, chapter 51-52 WAC;
- (4) ((Installation of supply registers and return air grilles at least three inches above the floor;
- (5) Installation of exhaust grilles on or near the eeiling; and
- (6))) Outdoor air intakes <u>are</u> located a minimum of twenty-five feet from the exhaust from any ventilating system, combustion equipment, or areas which may collect vehicular exhaust and other noxious fumes, and a minimum of ten feet from plumbing vents. The facility must locate the bottom of outdoor air intakes serving central systems a minimum of three feet above the adjoining grade level or, if installed through the roof, three feet above the highest adjoining roof level.
- (((7) Minimum ventilation requirements meet the pressure relationship and ventilation rates per the following table:

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	PRESSURE RELATION	ONSHIPS AND VENTILATION (OF CERTAIN AREAS	
		Minimum Air Changes	Minimum Total Air	
	Pressure Relationship	of Outdoor Air Per Hour	Changes Per Hour	All Air Exhausted
Function Area	To Adjacent Areas ^{1,2}	Supplied To Room	Supplied To Room	Directly To Outdoors
RESIDENT CARE				
Resident room (hold- ing room)	±			
Resident corridor	±			
Toilet room	N			
Resident gathering (dining, activity)	±	2	4	Optional
DIAGNOSTIC AND TREATMENT		Optional	2	Optional
Examination room	±	Optional	10	Yes
Physical therapy ³	N	2	4	Optional
Occupational therapy ³	N			
Soiled workroom or soiled holding	N	2	6	Optional
Clean workroom or clean holding	P	2	6	Optional
STERILIZING AND SUP- PLY		2	6	Optional
Sterilizer exhaust room	N	2	10	Yes
Linen and trash chute- room	N	2	4	Optional
Laundry, general ³	±			
Soiled linen sorting- and storage	N	Optional	10	Yes
Clean linen storage	P	Optional	10	Yes
SERVICE		2	10	Yes
Food preparation center ³	±	Optional	10	Yes
Warewashing room ³	N	Optional	2	Yes
Dietary day storage	±			
Janitor closet	N	2	10	Yes
Bathroom	N	Optional	10	Yes
Personal services (barber/salon)	N	Optional	2	Yes

⁴P-Positive N-Negative ± - Continuous directional control not required.

WAC 388-107-1190 Administrator responsibilities. The licensee must ensure the administrator:

(1) Directs and supervises the overall twenty-four hour per day operation of the enhanced services facility;

- (2) Ensures residents receive the care and services identified in their ((individual treatment)) person-centered service plans and assessments;
 - (3) Is readily accessible to meet with residents;
- (4) Complies with the enhanced services facility's policies;
 - (5) When not available on the premises, either:

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²/Whether positive or negative, pressure must be a minimum of seventy cubic feet per minute (CFM).

^{*}The volume of air may be reduced up to fifty percent in these areas during periods of nonuse. The soiled holding area of the general laundry must maintain its full ventilation capacity at all times.))

- (a) Is available by telephone or electronic pager; or
- (b) Designates a person approved by the licensee to act in place of the administrator. The designee must be:
- (i) Qualified by experience to assume designated duties; and
- (ii) Authorized to make necessary decisions and direct operations of the enhanced services facility during the administrator's absence.

REPEALER

The following section of the Washington Administrative Code is repealed:

WAC 388-107-0930 Nursing and nutrition station.

WSR 16-11-002 EMERGENCY RULES DEPARTMENT OF HEALTH

(Pharmacy Quality Assurance Commission) [Filed May 4, 2016, 1:46 p.m., effective May 4, 2016, 1:46 p.m.]

Effective Date of Rule: Immediately upon filing.

Purpose: WAC 246-869-105 Continuity of care refills in proclaimed emergencies. This filing replaces the emergency rule filed as WSR 16-02-015 on December 28, 2015. Adoption of this new rule allows temporary prescription refills of legend drugs and certain controlled substances for patients displaced from their homes or usual pharmacies during an event resulting in a governor's emergency proclamation, when a pharmacist can determine the prescription is current with refills.

Statutory Authority for Adoption: RCW 18.64.005. Other Authority: RCW 18.64.005.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: Governor Inslee on June 25, 2015, issued State of Emergency Proclamation 15-11 for all thirty-nine counties of the state. The proclamation notes that the wildfire conditions anticipated to continue into autumn 2015 pose "the threat to life and property from wildfires (that) is significant and may cause extensive damage to homes, businesses, public facilities, resources, infrastructure and utilities, impacting the life and health of our citizens throughout the state; this threat may affect life, health, property, or the public peace, and is a public disaster demanding immediate action..."

On August 18, 2015, there were one thousand two hundred active wildfires in Washington state, causing entire towns to be evacuated. Wildfires have destroyed homes and businesses in several Washington state counties, causing residents to live in emergency shelters or other temporary arrangements, sometimes leaving prescription medications or prescription records behind. In some cases the patient's pre-

scriber or community pharmacy became inaccessible due to wildfire evacuations or property destruction.

Although the 2015 wildfire season has ended, the emergency rule must remain in effect while the pharmacy quality assurance commission completes permanent rule making in case the state experiences another major disaster or event that threatens patients' access to their prescription medications.

The rule allows a licensed pharmacist to legally provide a temporary prescription refill during a governor-proclaimed emergency when the patient's pharmacy access is disrupted.

Immediate adoption of the rule is necessary for the preservation of the public health, safety or welfare, and observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

The commission filed a notice of intent to adopt the emergency rule as a permanent rule (preproposal statement of inquiry) on December 21, 2015, WSR 16-01-156. After consultation with stakeholders and federal agencies, the commission plans to file a proposed rule-making notice in spring 2016

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 0, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 1, Amended 0, Repealed 0.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 1, Amended 0, Repealed 0.

Date Adopted: May 4, 2016.

Tim Lynch Chair

NEW SECTION

WAC 246-869-105 Continuity of care refills in proclaimed emergencies. Notwithstanding WAC 246-869-100 (2)(f), when the governor issues an emergency proclamation for an event which prevents continuity of health care for persons and animals because their prescribed medications are no longer available to them due to the emergency event, pharmacists and pharmacies may provide emergency prescription supplies for medications during the period of the proclaimed emergency as provided below:

- (1) An initial supply of up to thirty days of current prescriptions for legend drug (noncontrolled) medications or seven-day supply of current prescriptions for controlled substance medications in Schedules III, IV, and V may be provided to patients under the following conditions:
- (a) Presentation of a valid prescription container complete with legible label indicating there are remaining refills, or confirmation of the prescribed medication and available

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refills by review of the patient's current medical records or pharmacy records; or

- (b) If the prescription is expired and the pharmacist is unable to readily obtain refill authorization from the prescriber, the pharmacist may dispense a one-time emergency refill of up to a seventy-two hour supply of the prescribed medication as described in WAC 246-869-100 (2)(f).
- (2) For each medication dispensed under this section, a pharmacist shall:
- (a) Document the dispensing as a prescription, noting where the information from subsection (1)(a) of this section was obtained, whether from the prescription container, the patient's prescriber or from the pharmacy records;
- (b) Inform the patient's provider and the pharmacy at which the patient obtains his or her medications of the dispensing within seven business days of the emergency dispensing;
- (c) Mark the face of the prescription as an "emergency" prescription.
- (3) Nothing in this rule modifies insurers' requirements for coverage and payment for prescribed medications.

WSR 16-11-013 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-97—Filed May 5, 2016, 3:40 p.m., effective May 5, 2016, 3:40 p.m.]

Effective Date of Rule: Immediately upon filing.

Purpose: Amend recreational fishing rules.

Citation of Existing Rules Affected by this Order: Repealing WAC 220-310-19000F; and amending WAC 220-310-190.

Statutory Authority for Adoption: RCW 77.04.012, 77.04.020, and 77.12.047.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: This rule change is necessary to ensure a successful kid's fishing event. Several thousand rainbow trout will be stocked two days prior to the event to acclimate them to ensure they will bite while the kids are fishing. During the event, only registered kids will be allowed to fish. There is insufficient time to adopt permanent rules

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 0, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 1, Amended 0, Repealed 1.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 5, 2016.

J. W. Unsworth Director

NEW SECTION

WAC 220-310-19000F Freshwater exceptions to statewide rules—Puget Sound. Notwithstanding the provisions of WAC 220-310-190, effective immediately through 7:59 a.m. May 7, 2016, it is unlawful to fish in Northern State Hospital Pond except as provided below:

Open to fishing 8:00 a.m. to 4:00 p.m. May 7, 2016, by juvenile anglers participating in the kids fishing event.

REPEALER

The following section of the Washington Administrative Code is repealed effective May 8:00 a.m. 2016:

WAC 220-310-19000F Freshwater exceptions to statewide rules—Puget Sound

Reviser's note: The typographical error in the above section occurred in the copy filed by the agency and appears in the Register pursuant to the requirements of RCW 34.08.040.

WSR 16-11-014 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-98—Filed May 5, 2016, 4:00 p.m., effective May 5, 2016, 4:00 p.m.]

Effective Date of Rule: Immediately upon filing.

Purpose: The purpose of this rule making is to allow nontreaty recreational fishing opportunity in the Columbia River while protecting fish listed as threatened or endangered under the Endangered Species Act (ESA). This rule making implements federal court orders governing Washington's relationship with treaty Indian tribes, federal law governing Washington's relationship with Oregon, and Washington fish and wildlife commission policy guidance for Columbia River fisheries.

Citation of Existing Rules Affected by this Order: Repealing WAC 220-310-20000G and 220-310-20000J; and amending WAC 220-310-200.

Statutory Authority for Adoption: RCW 77.04.012, 77.04.020, 77.04.130, 77.12.045, and 77.12.047.

Other Authority: *United States v. Oregon*, Civil No. 68-513-KI (D. Or.), Order Adopting 2008-2017 *United States v. Oregon* Management Agreement (Aug. 12, 2008) (Doc. No. 2546); *Northwest Gillnetters Ass'n v. Sandison*, 95 Wn.2d

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638, 628 P.2d 800 (1981); Washington fish and wildlife commission policies concerning Columbia River fisheries; 40 Stat. 515 (Columbia River Compact).

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: Extends the salmon season upstream of Bonneville Dam two days later than scheduled. ESA impacts for wild fish are available to recreational fisheries in order to access hatchery fish. The fishery is consistent with the *U.S. v. Oregon* Management Agreement and the associated biological opinion. Conforms Washington state rules with Oregon state rules. Regulation is consistent with compact action of January 27 and May 5, 2016. There is insufficient time to promulgate permanent rules.

Washington and Oregon jointly regulate Columbia River fisheries under the congressionally ratified Columbia River Compact. Four Indian tribes have treaty fishing rights in the Columbia River. The treaties preempt state regulations that fail to allow the tribes an opportunity to take a fair share of the available fish, and the states must manage other fisheries accordingly. *Sohappy v. Smith*, 302 F. Supp. 899 (D. Or. 1969). A federal court order sets the current parameters for sharing between treaty Indians and others. *United States v. Oregon*, Civil No. 68-513-KI (D. Or.), Order Adopting 2008-2017 *United States v. Oregon* Management Agreement (Aug. 12, 2008) (Doc. No. 2546).

Some Columbia River Basin salmon and steelhead stocks are listed as threatened or endangered under the federal ESA. On May 5, 2008, the National Marine Fisheries Service issued a biological opinion under 16 U.S.C. § 1536 that allows for some incidental take of these species in treaty and nontreaty Columbia River fisheries governed by the 2008-2017 U.S. v. Oregon Management Agreement. The Washington and Oregon fish and wildlife commissions have developed policies to guide the implementation of such biological opinions in the states' regulation of nontreaty fisheries

Columbia River nontreaty fisheries are monitored very closely to ensure compliance with federal court orders, the ESA, and commission guidelines. Because conditions change rapidly, the fisheries are managed almost exclusively by emergency rule. Representatives from the Washington (WDFW) and Oregon (ODFW) departments of fish and wild-life convene public hearings and take public testimony when considering proposals for new emergency rules. WDFW and ODFW then adopt regulations reflecting agreements reached.

Number of Sections Adopted in Order to Comply with Federal Statute: New 1, Amended 0, Repealed 2; Federal Rules or Standards: New 1, Amended 0, Repealed 2; or Recently Enacted State Statutes: New 1, Amended 0, Repealed 2.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 1, Amended 0, Repealed 2.

Number of Sections Adopted on the Agency's Own Initiative: New 0, Amended 0, Repealed 0.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 5, 2016.

J. W. Unsworth Director

NEW SECTION

WAC 220-310-20000J Exceptions to statewide rules—Columbia River. Notwithstanding the provisions of WAC 220-310-200, effective immediately through May 8, 2016, it is unlawful to violate the following provisions, provided that unless otherwise amended, all permanent rules remain in effect:

- (1) Open to fishing from the Tower Island power lines in Bonneville Pool (located approximately 6 miles below The Dalles Dam) upstream to the Oregon and Washington border, plus the Washington bank between Bonneville Dam and the Tower Island power lines (except for those waters closed under permanent regulations).
- (2) Daily salmonid limit is 6 fish (hatchery Chinook or hatchery steelhead), of which no more than 2 may be adults and no more than 1 may be an adult Chinook.
 - (3) Release all wild Chinook and wild steelhead.
 - (4) Salmon minimum size is 12 inches.

REPEALER

The following section of the Washington Administrative Code is repealed:

WAC 220-310-20000G Exceptions to statewide rules—Columbia River. (16-70)

The following section of the Washington Administrative Code is repealed effective May 9, 2016:

WAC 220-310-20000J Exceptions to statewide rules— Columbia River.

WSR 16-11-015 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-99—Filed May 5, 2016, 4:16 p.m., effective May 5, 2016, 4:16 p.m.]

Effective Date of Rule: Immediately upon filing.
Purpose: Amend commercial salmon troll fishing rules.
Citation of Existing Rules Affected by this Order:
Repealing WAC 220-24-04000F; and amending WAC 220-24-040.

Statutory Authority for Adoption: RCW 77.04.012, 77.04.020, 77.12.045, and 77.12.047.

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Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: A harvestable quota of salmon is available for the troll fleet. These rules are adopted at the recommendation of the Pacific Fisheries Management Council, in accordance with preseason fishing plans. There is insufficient time to adopt permanent rules.

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 0, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 1, Amended 0, Repealed 1.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 5, 2016.

Joe Stohr for J. W. Unsworth Director

NEW SECTION

WAC 220-24-04000G All-citizen commercial salmon troll. Notwithstanding the provisions of WAC 220-24-040, effective immediately until further notice, it is unlawful to fish for salmon with troll gear or to deliver or land salmon taken with troll gear into a Washington port except during the seasons provided below:

(1) Salmon Management and Catch Reporting Areas 1, 2, 3, and that portion of Area 4 west of 125°05'00" W longitude and south of 48°23'00" N latitude open:

May 6 through 10,

May 13 through 17,

May 20 through 24,

May 27 through 31,

June 3 through 5,

June 10 through 16,

June 24 through 30, 2016.

- (2) Landing and possession limit of 40 Chinook per vessel per entire open period.
- (3) The Cape Flattery and Columbia River Control Zones are closed. The Mandatory Yelloweye Rockfish Conservation Area is closed.
- (4) Minimum size for Chinook salmon is 28 inches in length. No minimum size for pink, sockeye or chum salmon. It is unlawful to possess coho salmon.

- (5) Lawful troll gear is restricted to all legal troll gear with single point, single shank barbless hooks.
- (6) Fishers must deliver and land their catch to Ports within salmon management catch areas 1, 2, 3 or 4, including the Ports of Chinook and Ilwaco. Fishers must complete a Washington State Fish Receiving ticket within 24 hours of any closure of a fishery provided for in this section. Vessels in possession of salmon north of the Queets River may not cross the Queets River line without first notifying WDFW by phone at (360) 249-1215 or by email at Wendy.Beeghley@ dfw.wa.gov with Area fished, total Chinook and halibut catch aboard, and destination. Vessels in possession of salmon south of the Queets River may not cross the Queets River line without first notifying WDFW by phone at (360) 249-1215 or by email at Wendy. Beeghley@dfw.wa.gov with Area fished, total Chinook and halibut catch aboard, and destination. Vessels fishing or in possession of salmon while fishing north of Leadbetter Point must land and deliver their fish within the area and North of Leadbetter Point. Vessels fishing or in possession of salmon while fishing south of Leadbetter Point must land and deliver their fish within the area and south of Leadbetter Point.
- (a) For the purposes of this section, the term "Delivery" means arrival at a port.
- (b) For the purposes of this section, the term "land" means the transfer of fish from a fishing vessel or the initiation of a fish receiving ticket to include fishers signature, species, number of fish and pounds of fish.
- (7) The Cape Flattery Control Zone is defined as the area from Cape Flattery (48°23'00" N latitude) to the northern boundary of the U.S. Exclusive Economic Zone, and the area from Cape Flattery south to Cape Alava, 48°10'00" N latitude, and east of 125°05'00" W longitude.
- (8) The Columbia Control Zone is defined as an area at the Columbia River mouth, bounded on the west by a line running northeast/southwest between the red lighted Buoy #4 (46°13'35" N. Lat., 124°06'50" W. long.) and the green lighted Buoy #7 (46°15'09' N. lat., 124°06'16" W. long.); on the east, by the Buoy #10 line which bears north/south at 357° true from the south jetty at 46°14'00" N. lat., 124°03'07" W. long, to its intersection with the north jetty; on the north, by a line running northeast/southwest between the green lighted Buoy #7 to the tip of the north jetty (46°15'48" N. lat., 124°05'20" W. long.), and then along the north jetty to the point of intersection with the Buoy #10 line; and, on the south, by a line running northeast/southwest between the red lighted Buoy #4 and tip of the south jetty (46°14'03" N. lat., 124°04'05" W. long.), and then along the south jetty to the point of intersection with the Buoy #10 line.
- (9) The Mandatory Yelloweye Rockfish Conservation Area is defined as the area in Washington Marine Catch Area 3 from 48°00.00' N latitude; 125°14.00' W longitude to 48°02.00' N latitude; 125°14.00' W longitude to 48°02.00' N latitude; 125°16.50' W longitude to 48°00.00' N latitude; 125°16.50' W longitude and connecting back to 48°00.00' N latitude; 125°14.00' W longitude.
- (10) It is unlawful to fish in Salmon Management and Catch Reporting Areas 1, 2, 3 or 4 with fish on board taken south of Cape Falcon, Oregon and all fish taken from Salmon

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Management and Catch Reporting Areas 1, 2, 3, and 4 must be landed before fishing south of Cape Falcon, Oregon.

(11) It is unlawful for wholesale dealers and trollers retailing their fish to fail to report their landing by 10:00 a.m. the day following landing. Ticket information can be telephoned in by calling 1-866-791-1279, faxing the information to (360) 902-2949, or e-mailing to trollfishtickets@dfw.wa.gov. Report the dealer name, the dealer license number, the purchasing location, the date of purchase, the fish ticket numbers, the gear used, the catch area, the species, the total number for each species, and the total weight for each species, including halibut.

Reviser's note: The typographical error in the above section occurred in the copy filed by the agency and appears in the Register pursuant to the requirements of RCW 34.08.040.

Reviser's note: The unnecessary underscoring in the above section occurred in the copy filed by the agency and appears in the Register pursuant to the requirements of RCW 34.08.040.

REPEALER

The following section of the Washington Administrative Code is repealed:

WAC 220-24-04000F All-citizen commercial salmon troll.

WSR 16-11-036 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-100—Filed May 10, 2016, 1:52 p.m., effective May 15, 2016]

Effective Date of Rule: May 15, 2016.

Purpose: Amend recreational fishing rules for Drano Lake.

Citation of Existing Rules Affected by this Order: Repealing WAC 220-310-18500K; and amending WAC 220-310-185.

Statutory Authority for Adoption: RCW 77.04.012, 77.04.020, and 77.12.047.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: Hatchery returns have been strong to date. The hatchery brood stock goal has been met and surplus hatchery fish are available for harvest. An emergency rule is needed to increase the daily limit which will allow for additional angling opportunity. There is insufficient time to promulgate permanent rules.

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 0, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 1, Amended 0, Repealed 1.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 10, 2016.

J. W. Unsworth Director

NEW SECTION

WAC 220-310-18500K Freshwater exceptions to statewide rules—Southwest Notwithstanding the provisions of WAC 220-310-185, it is unlawful to violate the following provisions, provided that unless otherwise amended, all permanent rules remain in effect:

Drano Lake: Effective May 15 through June 30, 2016, the hatchery Chinook and hatchery steelhead daily limit is 4 fish of which no more than 2 may be steelhead.

REPEALER

The following section of the Washington Administrative Code is repealed effective July 1, 2016:

WAC 220-310-18500K Freshwater exceptions to statewide rules—Southwest.

WSR 16-11-037 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-101—Filed May 10, 2016, 4:22 p.m., effective May 13, 2016, 5:30 p.m.]

Effective Date of Rule: May 13, 2016, 5:30 p.m.

Purpose: Amend recreational fishing rules for Lake Aberdeen.

Citation of Existing Rules Affected by this Order: Repealing WAC 220-310-18000P; and amending WAC 220-310-180.

Statutory Authority for Adoption: RCW 77.04.012, 77.04.020, and 77.12.047.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: This emergency rule is necessary to close the lake prior to the event to ensure a safe and successful kids fish-in event. The fish will be planted the event

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ning prior to the event to better acclimate them to the lake. There is insufficient time to adopt permanent rules.

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 0, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 1, Amended 0, Repealed 1.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 10, 2016.

J. W. Unsworth Director

NEW SECTION

WAC 220-310-18000U Freshwater exceptions to statewide rules—Coastal. Notwithstanding the provisions of WAC 220-310-180, effective 5:30 p.m. May 13 through 5:30 a.m. May 14, 2016, it is unlawful to fish in waters of Lake Aberdeen.

REPEALER

The following section of the Washington Administrative Code is repealed effective 5:31 a.m. May 14, 2016:

WAC 220-310-18000U Freshwater exceptions to statewide rules—Coastal.

WSR 16-11-039 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-104—Filed May 11, 2016, 9:05 a.m., effective May 16, 2016]

Effective Date of Rule: May 16, 2016.

Purpose: Amend recreational fishing rules in Icicle River.

Citation of Existing Rules Affected by this Order: Amending WAC 220-310-195.

Statutory Authority for Adoption: RCW 77.12.047 [77.04.012], 77.04.020, and 77.12.047.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: Inseason run analysis has predicted that about three thousand salmon are currently enroute to the Icicle River. Although upper Columbia River spring Chinook have been listed as endangered under the Endangered Species Act (ESA), the salmon returning to the Icicle River are a nonendemic stock returning to Leavenworth National Fish Hatchery, and are not listed under the ESA. An emergency rule is needed to open the Icicle River for hatchery Chinook fishing and will provide additional angling opportunity. There is insufficient time to adopt permanent rules.

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 0, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 1, Amended 0, Repealed 1 [0].

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 11, 2016.

J. W. Unsworth Director

NEW SECTION

WAC 220-310-19500H Freshwater exceptions to statewide rules—Eastside. Notwithstanding the provisions of WAC 220-310-195, effective May 16, 2016, until further notice it is permissible to fish in waters of the Icicle River from the closure signs located 800 feet upstream of the mouth to 500 feet downstream of the Leavenworth National Fish Hatchery Barrier Dam. Also, it is permissible to fish from the closure signs located upstream of the Leavenworth National Fish Hatchery where Cyo Road would intersect the Icicle River at the Sleeping Lady Resort (RM 4.0) to the Icicle Peshastin Irrigation District footbridge 750' upstream of the Snow Lakes trailhead parking area (RM 5.7)

- (1) Daily limit two hatchery Chinook, minimum size 12 inches.
 - (2) Mandatory retention of hatchery spring Chinook.
- (3) Anglers must release adipose present Chinook unharmed and cannot be removed from the water prior to release.
- (4) Anglers must release all Chinook with one or more round 1/4 inch diameter holes punched in the caudal (tail) fin.
 - (5) No gear restrictions. Night closure is in effect.

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WSR 16-11-041 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-103—Filed May 11, 2016, 10:13 a.m., effective May 11, 2016, 12:00 p.m.]

Effective Date of Rule: May 11, 2016, 12:00 p.m.

Purpose: This emergency rule will allow nontreaty commercial fishing opportunities in the Columbia River while protecting fish listed as threatened or endangered under the Endangered Species Act (ESA). This rule implements federal court orders governing Washington's relationship with treaty Indian tribes, federal law governing Washington's relationship with Oregon, and Washington fish and wildlife commission policy guidance for Columbia River fisheries.

Citation of Existing Rules Affected by this Order: Repealing WAC 220-33-01000H; and amending WAC 220-33-010.

Statutory Authority for Adoption: RCW 77.04.012, 77.04.020, 77.04.130, 77.12.045, and 77.12.047.

Other Authority: *United States v. Oregon*, Civil No. 68-513-KI (D. Or.), Order Adopting 2008-2017 *United States v. Oregon* Management Agreement (Aug. 12, 2008) (Doc. No. 2546); *Northwest Gillnetters Ass'n v. Sandison*, 95 Wn.2d 638, 628 P.2d 800 (1981); Washington fish and wildlife commission policies concerning Columbia River fisheries; 40 Stat. 515 (Columbia River Compact).

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: This regulation sets the first 2016 mainstem commercial spring salmon season. The commercial mainstem fishery was allocated three thousand seventy-seven upriver spring Chinook mortalities. Spring season Select Area commercial seasons also remain in place. The fishery is consistent with the *U.S. v. Oregon* Management Agreement and the associated biological opinion. Conforms Washington state rules with Oregon state rules. Regulation is consistent with compact action of January 27 and May 10, 2016. There is insufficient time to promulgate permanent rules.

Washington and Oregon jointly regulate Columbia River fisheries under the congressionally ratified Columbia River Compact. Four Indian tribes have treaty fishing rights in the Columbia River. The treaties preempt state regulations that fail to allow the tribes an opportunity to take a fair share of the available fish, and the states must manage other fisheries accordingly. *Sohappy v. Smith*, 302 F. Supp. 899 (D. Or. 1969). A federal court order sets the current parameters for sharing between treaty Indians and others. *United States v. Oregon*, Civil No. 68-513-KI (D. Or.), Order Adopting 2008-2017 *United States v. Oregon* Management Agreement (Aug. 12, 2008) (Doc. No. 2546).

Some Columbia River Basin salmon and steelhead stocks are listed as threatened or endangered under the federal ESA. On May 5, 2008, the National Marine Fisheries Service issued a biological opinion under 16 U.S.C. § 1536

that allows for some incidental take of these species in treaty and nontreaty Columbia River fisheries governed by the 2008-2017 *U.S. v. Oregon* Management Agreement. The Washington and Oregon fish and wildlife commissions have developed policies to guide the implementation of such biological opinions in the states' regulation of nontreaty fisheries.

Columbia River nontreaty fisheries are monitored very closely to ensure compliance with federal court orders, the ESA, and commission guidelines. Because conditions change rapidly, the fisheries are managed almost exclusively by emergency rule. Representatives from the Washington (WDFW) and Oregon (ODFW) departments of fish and wild-life convene public hearings and take public testimony when considering proposals for new emergency rules. WDFW and ODFW then adopt regulations reflecting agreements reached.

Number of Sections Adopted in Order to Comply with Federal Statute: New 1, Amended 0, Repealed 1; Federal Rules or Standards: New 1, Amended 0, Repealed 1; or Recently Enacted State Statutes: New 0, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 1, Amended 0, Repealed 1.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 11, 2016.

J. W. Unsworth Director

NEW SECTION

WAC 220-33-01000I Columbia River seasons below Bonneville. Notwithstanding the provisions of WAC 220-33-010, WAC 220-33-020, and WAC 220-33-030, it is unlawful for a person to take or possess salmon, sturgeon, and shad for commercial purposes from Columbia River Salmon Management and Catch Reporting Areas 1A, 1B, 1C, 1D, 1E and Select Areas, except during the times and conditions listed below:

1. Mainstem Columbia River

- (a) **Dates:** 12:00 p.m. (noon) Wednesday May 11 to 2:00 a.m. Thursday May 12, 2016.
 - (b) Area: SMCRA 1A, 1B, 1C, 1D, and 1E (Zones 1-5).
- (c) **Sanctuaries:** Grays River, Elochoman-B, Abernathy Creek, Cowlitz River, Kalama-B, Lewis-B, Sandy, and Washougal rivers.
- (d) Allowable Possession: Adipose fin-clipped Chinook salmon and shad.
- (e) **Gear:** Drift nets only. 4 1/4" maximum mesh size (tangle net). Single-wall multi-filament net only. Monofilament tangle nets are not allowed. Mesh size is determined by placing three consecutive meshes under hand tension, and the measurement is taken from the inside of one knot to the

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inside of the opposite knot of the center mesh. Hand tension means sufficient linear tension to draw opposing knots of meshes into contact. Net length not to exceed 150 fathoms. There are no restrictions on the use of slackers or stringers to slacken the net vertically. There are no restrictions on the hang ratio. The hang ratio is used to horizontally add slack to the net and is determined by the length of the web per length of the corkline.

Net length may be increased from 150 to 175 fathoms for nets constructed with a steelhead excluder panel, weedlines, or droppers. An optional use of a steelhead excluder panel of mesh may be hung between the corkline and the 4 1/4" maximum mesh size tangle net. The excluder panel web must be a minimum mesh size of 12" stretched measure when taut under hand tension. Monofilament mesh is allowed for the excluder panel only. The excluder panel must be a minimum of five feet in depth and must not exceed ten feet in depth as measured from the corkline to the upper margin of the tangle net mesh as the net hangs naturally from a taut corkline. Weedlines or droppers (bobber type) may be used in place of the steelhead excluder panel. A weedline-type excluder means the net is suspended below the corkline by lines of no less than five feet in length between the corkline and the upper margin of the tangle net. A dropper-type excluder means the entire net is suspended below the surface of the water by lines of no less than five feet in length extending from individual surface floats to a submersed corkline. The corkline cannot be capable of floating the net in its entirety (including the leadline) independent of the attached floats. Weedlines or droppers must extend a minimum of five feet above the 4 1/4" maximum mesh size tangle net. Tangle nets constructed with a steelhead excluder panel, weedlines, or droppers must have two red corks at each end of the net, as well as the red corks as required under subsection (1)(f)(ii) of this section.

(f) Miscellaneous Regulations:

- (i) **Soak times** are defined as the time elapsed from when the first of the gillnet web is deployed into the water until the gillnet web is fully retrieved from the water, must not exceed 45 minutes.
- (ii) **Red corks** are required at 25-fathom intervals, and red corks must be in contrast to the corks used in the remainder of the net.
- (iii) **Recovery Box:** Each boat will be required to have on board two operable recovery boxes or one box with two chambers. Each chamber of the recovery box(es) must include an operating water pumping system capable of delivering a minimum flow of 16 gallons per minute, not to exceed 20 gallons per minute of freshwater per chamber. Each box and chamber and associated pump shall be operating during any time that the net is being retrieved or picked; pumps must continue to run until the net is fully retrieved and completely on board the vessel. Pumps shall continue to run whenever a fish is in the recovery box. Each chamber of the recovery box must meet the following dimensions as measured from within the box: the inside length measurement must be at or within 39 1/2 inches to 48 inches; the inside width measurements must be at or within 8 to 10 inches; and the inside height measurement must be at or within 14 to 16 inches.

Each chamber of the recovery box must include a water inlet hole between 3/4 inch and 1 inch in diameter, centered horizontally across the door or end wall of the chamber and 1 3/4 inches from the floor of the chamber. Each chamber of the recovery box must include a water outlet hole that is a least 1 1/2 inches in diameter located on either the same or opposite end as the inlet. The center of the outlet hole must be located a minimum of 12 inches above the floor of the box or chamber.

The fisher must demonstrate to WDFW and ODFW employees, fish and wildlife enforcement officers, or other peace officers, upon request, that the pumping system is delivering the proper volume of fresh river water into each chamber.

All sturgeon, non-adipose fin-clipped salmon, and steelhead must be released immediately to the river with care and with the least possible injury to the fish, or placed into an operating recovery box.

Any non-legal salmonid that is bleeding or lethargic must be placed in the recovery box prior to being released. All fish placed in recovery boxes must be released to the river prior to landing or docking.

- (iv) **Observer program**: As a condition of fishing, owners or operators of commercial fishing vessels must cooperate with department observers or observers collecting data for the department, when notified by the observer of his or her intent to board the commercial vessel for observation and sampling during the fishery. In addition, cooperation with department personal prior to a fishing period is expected.
- (v) **Live Capture workshop**: Only licensed Columbia River commercial fishers that have completed the required state-sponsored workshop concerning live-capture commercial fishing techniques may participate in this fishery. At least one fisher on each boat must have live-capture certification.

(2) Deep River Select Area

- (a) **Dates:** Open hours are 7 PM to 7 AM Monday and Thursday nights immediately through June 14, 2016.
- (b) **Area:** From USCG navigation marker #16 southwest to a marker on the Washington shore, upstream to the Highway 4 Bridge.
- (c) Gear: Gillnets. 9 3/4-inch maximum mesh. Nets are restricted to 100 fathoms in length with no weight restriction on leadline. Use of additional weights and/or anchors attached directly to the leadline is allowed. Nets cannot be tied off to stationary structures. Nets may not fully cross navigation channel. It is unlawful to operate in any river, stream or channel any gillnet longer than three-fourths the width of the stream (WAC 220-20-015)(1). It shall be unlawful in any area to use, operate, or carry aboard a commercial fishing vessel a licensed net or combination of such nets, whether fished singly or separately, in excess of the maximum lawful size or length prescribed for a single net in that area, except as otherwise provided for in the rules and regulations of the department (WAC 220-20-010)(17).
 - (d) Allowable Possession: Chinook salmon and shad

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(e) **Miscellaneous:** Transportation or possession of fish outside the fishing area (except to the sampling station) is unlawful until WDFW staff has biologically sampled individual catch. After sampling, fishers will be issued a transportation permit by WDFW staff. A sampling station will be established at WDFW's Oneida Road boat ramp, about 0.5 miles upstream of the lower Deep River area boundary (USCG navigation marker #16).

(3) Tongue Point/South Channel

- (a) **Dates:** Open hours are 7 PM to 7 AM Monday and Thursday nights immediately through June 14, 2016.
- (b) Area: <u>Tongue Point fishing area</u> includes all waters bounded by a line extended from the upstream (southern most) pier (#1) at the Tongue Point Job Corps facility, through navigation marker #6 to Mott Island; a line from a marker at the southeast end of Mott Island, northeasterly to a marker on the northwest tip of Lois Island; and a line from a marker on the southwest end of Lois Island, westerly to a marker on the Oregon shore.

The South Channel area includes all waters bounded by a line from a marker on John Day Point to a marker on the southwest end of Lois Island, upstream to an upper boundary line from a marker on Settler Point, northwesterly to the flashing red USCG marker #10, and northwesterly to a marker on Burnside Island defining the upstream terminus of South Channel.

- (c) **Gear:** Gillnets. Spring season: 9 3/4-inch maximum mesh. In the <u>Tongue Point fishing area</u>, gear restricted to a maximum net length of 250 fathoms, and weight not to exceed two pounds on any one fathom. <u>In the South Channel fishing area</u>, gear restricted to a maximum net length of 250 fathoms, no weight restriction on leadline, and use of additional weights or anchors attached directly to the leadline is allowed.
 - (d) Allowable Possession: Chinook salmon and shad
- (e) Miscellaneous: Permanent transportation rules in effect.

(4) Blind Slough/Knappa Slough Select Area

- (a) **Dates:** Open hours are 7 PM to 7 AM Monday and Thursday nights immediately through June 14, 2016.
- (b) **Area:** Blind Slough and Knappa Slough areas are both open. Immediately until further notice, the lower boundary of the Knappa Slough fishing area is extended downstream to boundary lines defined by markers on the west end of Minaker Island to markers on Karlson Island and the Oregon Shore (fall season boundary).
- (c) **Gear:** Gillnets. <u>Spring Season</u>: 9 3/4-inch maximum mesh. Nets are restricted to 100 fathoms in length with no weight restriction on leadline. Use of additional weights and/or anchors attached directly to the leadline is allowed.
 - (d) Allowable Possession: Chinook salmon and shad
- (e) **Miscellaneous:** Permanent transportation rules in effect.
- **(5) 24-hour quick reporting** is in effect for Washington buyers (WAC 220-69-240(14)(d)). Permanent transportation rules in effect.
- **(6) Multi-Net Rule**: Nets not specifically authorized for use in these areas may be onboard a vessel if properly stored (WAC 220-33-001(2)).

(7) Lighted Buoys: Nets that are fished at any time between official sunset and official sunrise must have lighted buoys on both ends of the net unless the net is attached to the boat. If the net is attached to the boat, then one lighted buoy on the opposite end of the net from the boat is required.

Reviser's note: The typographical errors in the above section occurred in the copy filed by the agency and appear in the Register pursuant to the requirements of RCW 34.08.040.

Reviser's note: The unnecessary underscoring in the above section occurred in the copy filed by the agency and appears in the Register pursuant to the requirements of RCW 34.08.040.

REPEALER

The following section of the Washington Administrative Code is repealed effective 12:00 p.m. May 11, 2016:

WAC 220-33-01000H Columbia River seasons below Bonneville. (16-60)

WSR 16-11-042 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-102—Filed May 11, 2016, 1:30 p.m., effective May 16, 2016, 6:00 a.m.]

Effective Date of Rule: May 16, 2016, 6:00 a.m.

Purpose: The purpose of this rule making is to provide for treaty Indian fishing opportunity in the Columbia River while protecting salmon listed as threatened or endangered under the Endangered Species Act (ESA). This rule making implements federal court orders governing Washington's relationship with treaty Indian tribes and federal law governing Washington's relationship with Oregon.

Citation of Existing Rules Affected by this Order: Repealing WAC 220-32-05100T; and amending WAC 220-32-051.

Statutory Authority for Adoption: RCW 77.04.012, 77.04.020, 77.04.130, 77.12.045, and 77.12.047.

Other Authority: *United States v. Oregon*, Civil No. 68-513-KI (D. Or.), Order Adopting 2008-2017 *United States v. Oregon* Management Agreement (Aug. 12, 2008) (Doc. No. 2546); *Northwest Gillnetters Ass'n v. Sandison*, 95 Wn.2d 638, 628 P.2d 800 (1981); Washington fish and wildlife commission policies concerning Columbia River fisheries; 40 Stat. 515 (Columbia River Compact).

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: Allows the sale of fish caught in Zone 6 Columbia River tribal fisheries with gillnets, platform and hook and line gear. The sale of fish caught in Yakama Nation tributary fisheries is also allowed when open under Yakama Nation regulations. The area downstream of

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Bonneville Dam (SMCRA 1E1) remains closed to sales of fish. The upriver spring Chinook has been updated to 188,800 fish, and harvestable fish are available to the treaty tribes. The season is consistent with the 2008-2017 Management Agreement and the associated biological opinion. Rule is consistent with action of the Columbia River Compact on January 27 and May 10, 2016. Conforms state rules with tribal rules. There is insufficient time to promulgate permanent regulations.

The Yakama, Warm Springs, Umatilla, and Nez Perce Indian tribes have treaty fishing rights in the Columbia River and inherent sovereign authority to regulate their fisheries. Washington and Oregon also have some authority to regulate fishing by treaty Indians in the Columbia River, authority that the states exercise jointly under the congressionally ratified Columbia River Compact. Sohappy v. Smith, 302 F. Supp. 899 (D. Or. 1969). The tribes and the states adopt parallel regulations for treaty Indian fisheries under the supervision of the federal courts. A court order sets the current parameters. United States v. Oregon, Civil No. 68-513-KI (D. Or.), Order Adopting 2008-2017 United States v. Oregon Management Agreement (Aug. 12, 2008) (Doc. No. 2546). Some salmon and steelhead stocks in the Columbia River are listed as threatened or endangered under the federal ESA. On May 5, 2008, the National Marine Fisheries Service issued a biological opinion under 16 U.S.C. § 1536 that allows for some incidental take of these species in the fisheries as described in the 2008-2017 U.S. v. Oregon Management Agreement.

Columbia River fisheries are monitored very closely to ensure consistency with court orders and ESA guidelines. Because conditions change rapidly, the fisheries are managed almost exclusively by emergency rule. As required by court order, the Washington (WDFW) and Oregon (ODFW) departments of fish and wildlife convene public hearings and invite tribal participation when considering proposals for new emergency rules affecting treaty fishing rights. *Sohappy*, 302 F. Supp. at 912. WDFW and ODFW then adopt regulations reflecting agreements reached.

Number of Sections Adopted in Order to Comply with Federal Statute: New 1, Amended 0, Repealed 1; Federal Rules or Standards: New 1, Amended 0, Repealed 1; or Recently Enacted State Statutes: New 0, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 1, Amended 0, Repealed 1.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 11, 2016.

J. W. Unsworth Director

NEW SECTION

WAC 220-32-05100U Columbia River salmon seasons above Bonneville Dam. Notwithstanding the provisions of WAC 220-32-050, WAC 220-32-051, WAC 220-32-052 and WAC 220-32-058, effective immediately until further notice, it is unlawful for a person to take or possess salmon, steelhead, sturgeon, shad, carp, catfish, walleye, bass, or yellow perch taken for commercial purposes in Columbia River Salmon Management and Catch Reporting Areas 1F, 1G, and 1H. However, those individuals possessing treaty fishing rights under the Yakima, Warm Springs, Umatilla, and Nez Perce treaties may fish for salmon, steelhead, sturgeon, shad, carp, catfish, walleye, bass, or yellow perch under the following provisions:

- (1) Open Areas: SMCRA 1F, 1G, and 1H (Zone 6):
- (a) Season: 6:00 a.m. May 16 through 11:59 p.m. June 15, 2016.
- (b) Gear: Hoop nets, dip bag nets, and rod and reel with hook and line.
- (c) Allowable sale: Salmon, steelhead, shad, carp, catfish, walleye, bass, or yellow perch. Sturgeon from 43-54 inches caught in the John Day and Dalles pools may be kept for subsistence. Sturgeon between 38-54 inches in fork length in Bonneville Pool may be kept for subsistence. Live release of all oversize and under-size sturgeon is required.
 - (d) Closed areas applicable to platform gear are in effect.
- (2) Columbia River Tributaries upstream of Bonneville Dam:
- (a) Season: 6:00 a.m. May 16 until further notice, and only during those days and hours when the tributaries listed below are open under lawfully enacted Yakama Nation tribal subsistence fishery regulations for enrolled Yakama Nation members.
 - (b) Area: Drano Lake, Wind River and Klickitat River.
- (c) Gear: Hoop nets, dip bag nets, and rod and reel with hook-and-line. Gill nets may only be used in Drano Lake.
- (d) Allowable Sales: Salmon, steelhead, shad, carp, catfish, walleye, bass, or yellow perch. Sturgeon between 38-54 inches fork length harvested in tributaries within Bonneville pool may not be sold but may be kept for subsistence purposes only. Live release of all oversize and under-size sturgeon is required.
- (3) 24-hour quick reporting is required for Washington wholesale dealers for all areas as provided in WAC 220-69-240, except that all landings from treaty fisheries described above must be reported within 24-hours of completing the fish ticket (not 24-hours after the period concludes).
- (4) Fish caught during the open period may be sold after the period concludes.

Reviser's note: The unnecessary underscoring in the above section occurred in the copy filed by the agency and appears in the Register pursuant to the requirements of RCW 34.08.040.

REPEALER

The following section of the Washington Administrative Code is repealed:

WAC 220-32-05100T Columbia River salmon seasons above Bonneville Dam. (16-40)

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WSR 16-11-043 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-106—Filed May 11, 2016, 1:32 p.m., effective May 13, 2016]

Effective Date of Rule: May 13, 2016.

Purpose: The purpose of this rule making is to allow nontreaty recreational fishing opportunity in the Columbia River while protecting fish listed as threatened or endangered under the Endangered Species Act (ESA). This rule making implements federal court orders governing Washington's relationship with treaty Indian tribes, federal law governing Washington's relationship with Oregon, and Washington fish and wildlife commission policy guidance for Columbia River fisheries.

Citation of Existing Rules Affected by this Order: Repealing WAC 220-310-20000K; and amending WAC 220-310-200.

Statutory Authority for Adoption: RCW 77.04.012, 77.04.020, 77.04.130, 77.12.045, and 77.12.047.

Other Authority: *United States v. Oregon*, Civil No. 68-513-KI (D. Or.), Order Adopting 2008-2017 *United States v. Oregon* Management Agreement (Aug. 12, 2008) (Doc. No. 2546); *Northwest Gillnetters Ass'n v. Sandison*, 95 Wn.2d 638, 628 P.2d 800 (1981); Washington fish and wildlife commission policies concerning Columbia River fisheries; 40 Stat. 515 (Columbia River Compact).

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: The regulation extends the 2016 spring recreational salmon season in the Columbia River from Buoy 10 upstream to the Oregon/Washington border. Allows for the retention of shad and hatchery steel-head during days and in areas that are open for hatchery Chinook. ESA impacts for wild fish are available to recreational fisheries in order to access hatchery fish. The fishery is consistent with the U.S. v. Oregon Management Agreement and the associated biological opinion. Conforms Washington state rules with Oregon state rules. Regulation is consistent with compact action of May 10, 2016. There is insufficient time to promulgate permanent rules.

Washington and Oregon jointly regulate Columbia River fisheries under the congressionally ratified Columbia River Compact. Four Indian tribes have treaty fishing rights in the Columbia River. The treaties preempt state regulations that fail to allow the tribes an opportunity to take a fair share of the available fish, and the states must manage other fisheries accordingly. *Sohappy v. Smith*, 302 F. Supp. 899 (D. Or. 1969). A federal court order sets the current parameters for sharing between treaty Indians and others. *United States v. Oregon*, Civil No. 68-513-KI (D. Or.), Order Adopting 2008-2017 *United States v. Oregon* Management Agreement (Aug. 12, 2008) (Doc. No. 2546).

Some Columbia River Basin salmon and steelhead stocks are listed as threatened or endangered under the federal ESA. On May 5, 2008, the National Marine Fisheries

Service issued a biological opinion under 16 U.S.C. § 1536 that allows for some incidental take of these species in treaty and nontreaty Columbia River fisheries governed by the 2008-2017 U.S. v. Oregon Management Agreement. The Washington and Oregon fish and wildlife commissions have developed policies to guide the implementation of such biological opinions in the states' regulation of nontreaty fisheries

Columbia River nontreaty fisheries are monitored very closely to ensure compliance with federal court orders, the ESA, and commission guidelines. Because conditions change rapidly, the fisheries are managed almost exclusively by emergency rule. Representatives from the Washington (WDFW) and Oregon (ODFW) departments of fish and wildlife convene public hearings and take public testimony when considering proposals for new emergency rules. WDFW and ODFW then adopt regulations reflecting agreements reached.

Number of Sections Adopted in Order to Comply with Federal Statute: New 1, Amended 0, Repealed 1; Federal Rules or Standards: New 1, Amended 0, Repealed 1; or Recently Enacted State Statutes: New 1, Amended 0, Repealed 1.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 1, Amended 0, Repealed 1.

Number of Sections Adopted on the Agency's Own Initiative: New 0, Amended 0, Repealed 0.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 11, 2016.

J. W. Unsworth Director

NEW SECTION

WAC 220-310-20000K Exceptions to statewide rules—Columbia River. Notwithstanding the provisions of WAC 220-310-200, it is unlawful to violate the following provisions, provided that unless otherwise amended, all permanent rules remain in effect:

- (1) Effective May 13 through May 15, 2016
- (a) Open for fishing for salmonids and shad. From the Tongue Point/Rocky Point Line upstream to 600 feet downstream of the fish ladder at the new Bonneville Dam powerhouse #2.
- (b) HOWEVER, closed to all angling inside the area defined by a line from a marker on the lower end of Bachelor Island through USCG buoy Red #4 to the Oregon shore, downstream to a line from the lower (north) end of Sauvie Island across to the downstream range marker (0.7 miles downstream of the Lewis River) and continuing along the wing jetty to the Washington Shore.

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- (c) HOWEVER, <u>closed to fishing from boats upstream of Beacon Rock</u> (defined as a deadline marker on the Oregon bank, located approximately four miles downstream from Bonneville Dam Powerhouse #1, projecting a straight line through the western tip of Pierce Island to a deadline marker on the Washington bank at Beacon Rock).
- (d) Daily salmonid limit is 6 fish (hatchery Chinook or hatchery steelhead), of which no more than 2 may be adults and no more than 1 may be an adult Chinook.
 - (e) Release all wild Chinook and wild steelhead.
 - (f) Salmon minimum size is 12 inches.
 - (2) Effective May 13 through May 15, 2016:
- (a) Open to fishing from the Tower Island power lines in Bonneville Pool (located approximately 6 miles below The Dalles Dam) upstream to the Oregon and Washington border, plus the Washington bank between Bonneville Dam and the Tower Island power lines (except for those waters closed under permanent regulations).
- (b) Daily salmonid limit is 6 fish (hatchery Chinook or hatchery steelhead), of which no more than 2 may be adults and no more than 1 may be an adult Chinook.
 - (c) Release all wild Chinook and wild steelhead.
 - (d) Salmon minimum size is 12 inches.

Reviser's note: The unnecessary underscoring in the above section occurred in the copy filed by the agency and appears in the Register pursuant to the requirements of RCW 34.08.040.

REPEALER

The following section of the Washington Administrative Code is repealed effective May 16, 2016:

WAC 220-310-20000K Exceptions to statewide rules— Columbia River.

WSR 16-11-045 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-107—Filed May 11, 2016, 2:41 p.m., effective May 11, 2016, 2:41 p.m.]

Effective Date of Rule: Immediately upon filing.

Purpose: Amend recreational fishing rules for the Snake River.

Citation of Existing Rules Affected by this Order: Repealing WAC 220-310-19500F; and amending WAC 220-310-195.

Statutory Authority for Adoption: RCW 77.04.012, 77.04.020, and 77.12.047.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: This emergency rule is needed to increase the limit for Chinook salmon in the Snake River which will provide additional angling opportunity. The lower than normal catch rates have produced a current harvest estimate for spring Chinook in the Snake River of two hundred ninety adults. With the run update to the preseason forecast the harvest allotment for the Snake River has increased to one thousand four hundred twenty-one adults. There is insufficient time to adopt permanent rules.

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 0, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 1, Amended 0, Repealed 1.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 11, 2016.

J. W. Unsworth Director

NEW SECTION

WAC 220-310-195001 Freshwater exceptions to statewide rules—Snake River. Notwithstanding the provisions of WAC 220-310-195 and WAC 220-56-180, effective immediately until further notice:

- (1) A person may fish for and possess salmon in waters of the Snake River from the South Bound Highway 12 Bridge at Pasco upstream about 7 miles to the fishing restriction boundary below Ice Harbor Dam. Open Friday and Saturday each week. Daily limit of six hatchery Chinook, of which not more than two may be an adult Chinook. Minimum size for Chinook is 12 inches in length.
- (a) All Chinook with the adipose fin intact, and all steel-head, must be released immediately, unharmed.
- (b) Hooks must be barbless when fishing for all species during times and in locations open for salmon fishing and retention, and only single barbless hooks are allowed when fishing for sturgeon.
- (c) It is unlawful to use any hook larger than 5/8-inch (point of hook to shank) for all species except sturgeon.
 - (d) Night closure is in effect for salmon and sturgeon.
- (e) For all areas open for Chinook, anglers must cease fishing for Chinook when the adult limit has been retained for the day.
- (f) Anglers may possess 1 daily limit in fresh form in addition to the 2 daily limits in fresh form allowed by permanent rule.
- (2) A person may fish for and possess salmon in the following waters of the Snake River from Texas Rapids boat launch (south side of the river approximately 3.5 miles upstream of the mouth of Tucannon River) to the fishing restriction boundary below Little Goose Dam. Minimum size for Chinook is 12 inches in length. This zone includes the

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area between the juvenile bypass return pipe and Little Goose Dam along the south shoreline of the facility (includes the walkway area locally known as "the wall" in front of the juvenile collection facility). Open Sunday and Monday each week. Daily limit of six hatchery Chinook, of which not more than two may be an adult Chinook.

- (a) All Chinook with the adipose fin intact, and all steel-head, must be released immediately, unharmed.
- (b) Hooks must be barbless when fishing for all species during times and in locations open for salmon fishing and retention, and only single barbless hooks are allowed when fishing for sturgeon.
- (c) It is unlawful to use any hook larger than 5/8-inch (point of hook to shank) for all species except sturgeon.
 - (d) Night closure is in effect for salmon and sturgeon.
- (e) For all areas open for Chinook, anglers must cease fishing for Chinook when the adult limit has been retained for the day.
- (f) Anglers may possess 1 daily limit in fresh form in addition to the 2 daily limits in fresh form allowed by permanent rule.
- (3) A person may fish for and possess salmon in the following waters of the Snake River from the downstream edge of the large power lines crossing the Snake River (just upstream from the West Evans Road on the south shore) upstream about 3.5 miles to the Washington state line (from the east levee of the Greenbelt boat launch in Clarkston northwest across the Snake River to the WA/ID boundary waters marker on the Whitman County shore). Open Sunday and Monday each week. Daily limit of six hatchery Chinook, of which not more than two may be an adult Chinook. Minimum size for Chinook is 12 inches in length.
- (a) All Chinook with the adipose fin intact, and all steel-head, must be released immediately, unharmed.
- (b) Hooks must be barbless when fishing for all species during times and in locations open for salmon fishing and retention, and only single barbless hooks are allowed when fishing for sturgeon.
- (c) It is unlawful to use any hook larger than 5/8-inch (point of hook to shank) for all species except sturgeon.
 - (d) Night closure is in effect for salmon and sturgeon.
- (e) For all areas open for Chinook, anglers must cease fishing for Chinook when the adult limit has been retained for the day.
- (f) Anglers may possess 1 daily limit in fresh form in addition to the 2 daily limits in fresh form allowed by permanent rule.

REPEALER

The following section of the Washington Administrative Code is repealed:

WAC 220-310-20000F Freshwater exceptions to statewide rules—Snake River. (16-76)

Reviser's note: The repealer above appears as filed by the agency pursuant to RCW 34.08.040; however, the reference to WAC 220-310-20000F is probably intended to be WAC 220-310-19500F.

WSR 16-11-046 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-105—Filed May 11, 2016, 3:46 p.m., effective May 11, 2016, 3:46 p.m.]

Effective Date of Rule: Immediately upon filing.

Purpose: Amend recreational fishing rules.

Citation of Existing Rules Affected by this Order: Amending WAC 220-56-156.

Statutory Authority for Adoption: RCW 77.04.012, 77.04.020, and 77.12.047.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: With recent changes in the salmon season, there is a need to clarify the process for anglers who catch Canadian-origin fish food and shellfish and land their catch in Washington state ports. An emergency rule is needed to clarify this process which will make it easier for anglers to obtain a valid Canadian custom clearance number. There is insufficient time to promulgate permanent rules.

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 0, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 1, Amended 0, Repealed 0.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 11, 2016.

J. W. Unsworth Director

NEW SECTION

WAC 220-56-15600A Possession and delivery of Canadian-origin food fish and shellfish. Notwithstanding the provisions of WAC 220-56-156, effective immediately until further notice:

Canadian-origin salmon restrictions: It is unlawful to possess in marine waters or deliver into Washington any fresh salmon taken for personal use from Canadian waters unless such salmon meet current salmon regulations for the waters of the applicable department of fish and wildlife catch record card area. However, if the vessel operator has a valid Canadian customs clearance number obtained once they are in Canadian waters fishers aboard the vessel may deliver

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Canadian-origin salmon into Washington that are lawfully taken in Canada, regardless of whether the salmon meet the current salmon regulations for the area where delivered.

WSR 16-11-048 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-108—Filed May 12, 2016, 10:17 a.m., effective May 12, 2016, 10:17 a.m.]

Effective Date of Rule: Immediately upon filing.

Purpose: Amend recreational fishing rules for the halibut fishery.

Citation of Existing Rules Affected by this Order: Repealing WAC 220-56-25500E; and amending WAC 220-56-255.

Statutory Authority for Adoption: RCW 77.04.012, 77.04.020, and 77.12.047.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: An emergency rule is needed to close halibut fishing in Marine Area 2 because the recreational halibut fishery has taken the Pacific halibut quota set aside for the all depth fishery. There are not enough quota pounds remaining for another day. There is sufficient quota set aside to allow fishing in the Marine Area 2 nearshore area until further notice. This rule conforms to federal action taken by the National Marine Fisheries Service and the International Pacific Halibut Commission. There is insufficient time to adopt permanent rules.

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 0, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 1, Amended 0, Repealed 1.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 12, 2016.

J. W. Unsworth Director

NEW SECTION

WAC 220-56-25500F Halibut—Seasons—Daily and possession limits. Notwithstanding the provisions of WAC 220-56-255, 220-56-250, and 220-56-230, effective immediately until further notice, it is unlawful to fish for or possess halibut taken for personal use, except as provided in this section:

(1) Catch Record Card Area 1

- (a) Open until further notice, Thursdays through Sundays.
- (b) It is unlawful during any vessel trip to bring into port or land bottomfish except sablefish, Pacific Cod, or flat fish species when halibut are on board.
- (2) Catch Record Card Area 1 (Nearshore fishery) Those waters shoreward from 46°38.17'N. lat., 124°15.88'W. long., to the WA/OR border at 46°16.00'N. lat., 24°15.88'W. long. (then connecting to the 40 fathom depth contour in Oregon).
- (a) Open until further notice, Mondays through Wednesdays.
- (b) It is permissible to retain bottomfish while possessing halibut onboard boats in the nearshore area.
 - (3) Catch Record Card Area 2 Closed.
- (a) Catch Record Card Area 2 (Northern Nearshore fishery) Those waters from 47°31.70'N. latitude south to 46°58.00'N latitude and east of a line approximating the 30 fathom depth contour as defined by the following coordinates, open seven days per week until further notice:

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47°31.70 N. lat, 124°37.03 W. long
47°25.67 N. lat, 124°34.79 W. long
47°12.82 N. lat, 124°29.12 W. long
47°58.00 N. lat, 124°24.24 W. long
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- (b) Lingcod may be taken, retained and possessed seaward of the 30 fathom line on any day open to the primary halibut fishery as provided in subsection (2) above.
 - (4) Catch Record Card Areas 3 and 4
 - (a) Open May 12 and May 14, 2016.
- (b) The following area southwest of Cape Flattery is closed to fishing for halibut at all times:

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Beginning at 48°18' N., 125°18' W.; thence to 48°18'N., 124°59'W.; thence to 48°11'N., 124°59'W.; thence to 48°11'N., 125°11'W., thence to 48°04'N., 125°11'W.; thence to 48°04'N., 124°59'W.; thence to 48°N., 124°59'W.; thence to 48°N., 125°18'W.; thence to point of origin.
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(c) It is unlawful to fish for or possess bottomfish seaward of a line approximating the 20-fathom depth contour, except, on days open to the Pacific halibut fishery in these areas, it is permissible to retain lingcod, sablefish and Pacific cod seaward of the 20 fathom depth contour as defined by the following coordinates:

```
48°23.9'N. lat., 124°44.2'W. long. 48°23.6'N. lat., 124°44.9'W. long. 48°18.6'N. lat., 124°43.6'W. long. 48°18.6'N. lat., 124°48.2'W. long.
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48°10.0'N. lat., 124°48.8'W. long. 48°02.4'N. lat., 124°49.3'W. long. 47°37.6'N. lat., 124°34.3'W. long. 47°31.7'N. lat., 124°32.4'W. long.

- (d) In Marine Area 4 (east of the Bonilla-Tatoosh Line) it is unlawful to fish for or possess bottomfish seaward of a line approximating 120-feet (20 fathoms), except, on days open to the Pacific halibut fishery in this area, it is permissible to retain lingcod, and Pacific cod seaward of the 120 feet (20 fathoms) as defined by WAC 220-56-230.
 - (5) Catch Record Card Area 5 through 10
- (a) Open May 12, 13, 14 and May 26 through May 29, 2016
- (b) It is permissible for halibut anglers to retain lingcod and Pacific cod caught while fishing for halibut in waters deeper than 120 feet on days when halibut fishing is open in Areas 5 and 6.
 - (6) Catch Record Card Areas 11, 12 and 13 Closed.
- (7) Daily limit one halibut, no minimum size limit. The possession limit is two daily limits of halibut in any form, except the possession limit aboard the fishing vessel is one daily limit.
 - (8) All other permanent rules remain in effect.
- (9) It is unlawful to land halibut in a port within an area closed to halibut fishing.

REPEALER

The following section of the Washington Administrative Code is repealed:

WAC 220-56-25500E Halibut—Seasons—Daily and possession limits.

WSR 16-11-050 EMERGENCY RULES DEPARTMENT OF SOCIAL AND HEALTH SERVICES

(Aging and Long-Term Support Administration)
[Filed May 13, 2016, 10:33 a.m., effective May 13, 2016, 10:33 a.m.]

Effective Date of Rule: Immediately upon filing.

Purpose: The department is adding two new sections to chapter 388-71 WAC, Home and community services and programs, amending one section in chapter 388-106 WAC, Long-term care services, and creating new chapter 388-114 WAC, Travel time and work week limitations for individual providers, as a result of the passage of E2SHB 1725.

Citation of Existing Rules Affected by this Order: Amending WAC 388-106-1458.

Statutory Authority for Adoption: RCW 74.08.090, 74.09.520.

Other Authority: E2SHB 1725.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of

notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: The department is changing the definition of "family member" in WAC 388-114-0020 and making minor corrections in WAC 388-114-0040, 388-114-0050, and 388-114-0070. This CR-103E filing supersedes WSR 16-10-016 filed on April 22, 2016.

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 16, Amended 1, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 0, Amended 0, Repealed 0.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 16, Amended 1, Repealed 0.

Date Adopted: May 12, 2016.

Katherine I. Vasquez Rules Coordinator

NEW SECTION

WAC 388-71-0507 What responsibilities do clients have related to individual provider work week limits? Clients must comply with WAC 388-114-0090.

NEW SECTION

WAC 388-71-0518 What responsibilities do individual providers have related to work week limitation? Individual providers must comply with WAC 388-114-0100.

<u>AMENDATORY SECTION</u> (Amending WSR 13-18-039 and 13-17-125, filed 8/29/13 and 8/21/13, effective 10/1/13)

- WAC 388-106-1458 How do I create and use my spending plan? (1) You create your spending plan with the assistance of the Care Consultant using the New Freedom self-assessment and the CARE assessment.
- (2) The spending plan must be approved by both you and the Care Consultant.
- (3) You and your Care Consultant must identify how many personal care service units you intend to purchase prior to the month you plan to use them (service month). The value of those units is deducted from your New Freedom budget. The rest of funds can be used for other covered goods and services or saved.
- (a) Once a service month begins, the number of personal care units may not be altered during that month.
- (b) The maximum number of personal care units that can be purchased from the monthly budget is calculated from the individual budget as described in WAC 388-106-1445,

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divided by the individual provider average wage including mileage.

- (c) Prior to the service month, you may elect to use savings funds to buy additional personal care.
- (d) You can choose to have your personal care provided by an individual provider (IP) or a home care agency. Each unit will be deducted from your New Freedom budget at the average IP wage rate including mileage. Subsection (4) of this section describes when the department will be responsible for any extra costs for overtime payments to your individual provider and when you will have to pay the extra costs out of your monthly budget.
- (e) The balance of your individual New Freedom budget will be available in your NFSP to save or purchase other goods and services up to the limit described in WAC 388-106-1455(2).
- (f) If you have a change of condition or situation and your New Freedom budget increases due to a new assessment or Exception to Rule, you may purchase additional personal care from an IP or home care agency mid-month at the average IP rate, including mileage during the month your budget changed.
- (g) You may assign your predetermined personal care units to a different provider during the month of service.
- (4) The responsibility for paying the extra cost of overtime, which under chapter 388-114 WAC may be paid to providers who work as individual providers for one or more department clients when they work more than forty hours in a work week, is as follows:
- (a) If the department approves the individual provider to work more than forty hours per week, the department will pay the extra cost for overtime up to the number of service hours the individual provider is approved to work and the payment for these extra costs will not be charged to your budget; and
- (b) If you assign more overtime hours to your individual provider than the department approved, you are responsible for paying the extra costs for the unapproved overtime hours and the additional cost will impact your monthly budget and may reduce the number of service hours you are able to purchase from it.

Chapter 388-114 WAC

TRAVEL TIME AND WORK WEEK LIMITATIONS FOR INDIVIDUAL PROVIDERS

NEW SECTION

WAC 388-114-0010 What is the purpose of this chapter? The purpose of this chapter is to describe:

- (1) The number of hours the department may approve an individual provider to work in a work week;
- (2) How the department determines work week limitations:
- (3) When the department may approve an individual provider to work more than the work week limit;
 - (4) Client responsibilities regarding work week limits;
- (5) Individual provider responsibilities around work week limits;

- (6) What happens when a family or household member works more hours than are authorized in the client's plan of care:
- (7) What happens when an individual provider works more than the work week limit or submits claims for unauthorized travel time:
- (8) How the department approves and authorizes travel time; and
 - (9) Travel time limitations.

NEW SECTION

WAC 388-114-0020 What definitions apply to this chapter? The following definitions apply to chapter 388-114 WAC:

"Approve" means the department, either in advance or after the fact, has reviewed the circumstances, applied the rules in this chapter, and has authorized the individual provider to work more than forty hours in a work week.

"Family member" includes, but is not limited to a parent, child, sibling, aunt, uncle, cousin, grandparent, grand-child, grandniece, grandnephew, or such relatives when related by marriage.

"Household member" means the individual provider lives with the client and has a relationship with the client that existed before the client was assessed and approved for department paid personal care services as defined in WAC 388-106-0010.

"Overtime" means the number of hours an individual provider works in a work week that is more than forty hours. When required by law, the overtime wage is one and one half times the individual provider's regular wage rate. Paid time off does not accrue as overtime pay.

"Service hours" means the time individual providers are paid by the department to provide personal care, relief care, skills acquisition training, or respite services under medicaid state plan and 1915(c) waiver programs, roads to community living, the veterans directed home services program, and programs solely funded by the state. Service hours do not include hours paid for training, travel, or paid time off.

"Travel time" is the direct one way travel time from one worksite to another in the same workday. Direct one way travel is the amount of time it takes to travel the most direct route between two specific worksites on the same day, as verified by using an online mapping tool.

"Worksite" is defined as the location where an individual provider provides authorized care to a department client or attends required training. An individual provider's residence is not a worksite for the purposes of travel time, whether or not the client lives there.

"Work week" begins at 12:00 a.m. Sunday morning and ends at 11:59 p.m. the following Saturday night.

"Work week limit" is the total number of service hours an individual provider can provide in a work week. Travel and training hours are not included in the work week limit.

NEW SECTION

WAC 388-114-0030 How many hours may the department approve an individual provider to work in a work week? (1) The department may not approve an individ-

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ual provider to work more than a total of forty hours per work week, unless:

- (a) The individual provider has a higher work week limit as described under WAC 388-114-0040;
- (b) The authorization of additional hours would not exceed any expenditure limitations under RCW 74.39A.270 (10); and
- (c) The individual provider has a higher work week limit because:
- (i) The department determined that the additional hours are necessary for the client for one of the reasons listed in WAC 388-114-0080;
- (ii) It is allowable travel time as described in WAC 388-114-0130 and WAC 388-114-0140; or
- (iii) The individual provider attends required training during the work week.
- (2) The limitations of this section will apply to individual providers who were paid for one hundred and seventy-four or more service hours in January 2016 after the department reviews the plans of care for the individual provider's employers. The department will notify individual providers in this group of their work week limit once the department has completed the reviews.

NEW SECTION

WAC 388-114-0040 How does the department determine an individual provider's work week limit? (1) An individual provider's work week limit is forty service hours per week, unless the department approves a higher work week limit as described in this chapter.

(2) Subject to any expenditure limitations required by RCW 74.39A.270(10), if the department paid the individual provider for one hundred and seventy-four or more service hours of work in January 2016, the individual provider's work week is calculated by dividing the individual provider's January paid service hours by 4.33 and rounding to the nearest quarter hour. However, an individual provider's maximum work week limit cannot exceed sixty-five hours regardless of the number of service hours the individual provider worked in January 2016. Beginning July 1, 2017, the maximum work week limit will be reduced to sixty service hours.

NEW SECTION

WAC 388-114-0050 What if the service hours the individual provider was paid for in January 2016 does not accurately represent the individual provider's work history in February and March 2016? (1) If the individual provider's service hours paid in January 2016 do not accurately represent the individual provider's work history for the first three months of 2016, the individual provider may appeal the determination by submitting a request to the client's case manager by August 31, 2016.

- (2) The department will consider an appeal if:
- (a) The individual provider was contracted with the department;
- (b) The individual provider was employed by a client in January 2016; and
- (c) The total monthly service hours the individual provider was paid in January 2016 is less than the total monthly

- service hours the individual provider was paid in either February or March 2016 and the average in those months was above forty hours.
- (3) The department will not consider an appeal request from an individual provider who was not contracted with the department or was not employed by a client in January 2016.
- (4) The department will evaluate individual provider service hours appeals as follows:
- (a) Calculate the individual provider's average number of weekly service hours paid in January 2016 by dividing the total January service hours paid by 4.33 which is the average number of weeks in a month;
- (b) Calculate the average number of weekly service hours the individual provider was paid for February and March 2016 as follows:
- (i) The average weekly service hours for February equals the total monthly service hours divided by 4.33 which is the average number of weeks in a month;
- (ii) The average weekly service hours for March equals the total monthly service hours divided by 4.33 which is the average number of weeks in a month; and
- (iii) Add the average weekly service hours for February and March 2016 together, and divide the total by two to get the average weekly service hours for February and March; and
- (c) If the average weekly service hours for January 2016 is less than the average weekly service hours for February and March 2016, then the department will use the average weekly service hours for February and March 2016 as the individual provider's weekly service hour limit.

NEW SECTION

WAC 388-114-0060 How will the client and individual provider know the individual provider's work week limit? (1) The department will send a notification of the individual provider's work week limit, as determined under WAC 388-114-0040, to the individual provider and to the clients associated with the individual provider.

(2) The department will send a notification to the client and associated individual provider if the department approves additional service hours to the client under WAC 388-114-0080.

NEW SECTION

WAC 388-114-0070 May an individual provider work more than his or her work week limit? An individual provider with a work week limit of more than forty service hours has flexibility to work more than their work week limit in a given week if:

- (1) Requested by the client to meet a specific need;
- (2) Doing so would not exceed the client's monthly authorized hours;
- (3) The total number of service hours worked over forty for each work week in a calendar month does not exceed the amount of overtime the individual provider would receive if he or she worked his or her work week limit every week of the calendar month; and

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(4) The use of more service hours in a given week will not result in a client going without essential care in other weeks of the month.

NEW SECTION

- WAC 388-114-0080 When may the department approve an individual provider to work more than the work week limits in WAC 388-114-0030? (1) In addition to the increased work week limits allowed under WAC 388-114-0040, the department may approve additional service hours to an individual provider's work week limit if it finds the increase is necessary:
- (a) Due to lack of available providers who are able to adequately meet a client's care needs, as evaluated by the department in its consideration of:
- (i) The overall availability of providers in the geographic region;
- (ii) Whether the client has complex medical or behavioral needs;
- (iii) Whether the client requires a provider with specific language skills; and
- (iv) The client's good faith efforts and cooperation to manage his or her service hours and locate and select additional providers, which must include:
- (A) Making schedule adjustments within the work week limits of current providers who are providing your services;
- (B) Seeking a qualified family or friend to contract as an individual provider;
 - (C) Utilizing the home care referral registry; and
- (D) Requesting a worker through a home care agency, unless doing so would cost more than paying the individual provider overtime;
- (b) To protect a client's health and safety, as evaluated by the department in its consideration of:
- (i) Whether the request is to approve service hours the individual provider spent caring for the client because of an emergent condition;
- (ii) The nature and severity of the emergent condition; and
- (iii) Whether the need could have been postponed until another provider could have arrived;
- (c) To serve the client's needs in the most efficient and economic manner; or
- (d) To prevent an increased risk that the client will be unable to remain in a home or community based setting, except in cases where there are additional qualified providers available to select and the client has chosen not to select them.
- (2) When a department approved increase to an individual provider's work week limit is no longer needed by the client, the individual provider's work week limit will revert to the level described in WAC 388-11-0040.
- (3) The department will not approve additional service hours to an individual provider's work week limit that would exceed the client's monthly service hours limit or is more than eighty service hours per week for an individual provider.

NEW SECTION

- WAC 388-114-0090 How does the individual provider work week limits affect the client's responsibilities listed in WAC 388-70-0505? In addition to the responsibilities detailed in WAC 388-71-0505, the client must:
- (1) Manage his or her individual providers' work time to stay within each individual provider's total work week limit described in this chapter and within the total number of monthly authorized hours in the client's plan of care;
- (2) Contact his or her case manager and participate in the search, selection, and hiring of additional providers when necessary to comply with subsection (1) of this section; and
- (3) Choose a different provider when an individual provider is already working for one or more clients and the individual provider would exceed his or her work week limit by working for the client.

NEW SECTION

- WAC 388-114-0100 How does the individual provider work week limits affect the individual provider's responsibilities in WAC 388-71-0515? In addition to the responsibilities detailed in WAC 388-71-0515, the individual provider must:
- (1) Communicate and coordinate with each of his or her clients about how many service hours the individual provider is allowed and available to work each week; and
- (2) Not accept assignments or changes in schedules for clients that would require the individual provider to work more than his or her work week limit unless it is to respond to an unexpected health or safety need of the client that cannot be postponed.

NEW SECTION

WAC 388-114-0110 What happens when an individual provider, who is a family member or household member, provides more care or services than authorized in the client's plan of care? The department will not pay an individual provider who is also a family or household member for care hours or services beyond the monthly authorized hours in the client's plan of care.

NEW SECTION

WAC 388-114-0120 What happens if an individual provider works more service hours in a work week than the individual provider's work week limit or claims unapproved travel or service hours? (1) If an individual provider works more service hours in a work week than the work week limit approved by the department or submits a claim for unapproved travel or service hours, the department may take any one or more of the following actions:

- (a) Contact the individual provider to discuss the client's care needs and the individual provider's responsibilities under department rules and the individual provider's contract;
- (b) Provide additional technical assistance to the individual provider and the client on how to comply with department rules and the individual provider contract;

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- (c) Give the individual provider and the client notice that continued failure by the individual provider to comply will result in termination of the individual provider's contract; and
- (d) Terminate the individual provider's contract and assist the client in finding another individual provider.
- (2) Individual providers do not have a right to an administrative hearing to appeal contract terminations under this section.

- WAC 388-114-0130 How is travel time approved and authorized? (1) Individual providers must provide an estimate of planned travel time and request approval from the department in advance of travel. The reasonableness of the request may be verified by the department using an online mapping tool.
- (2) Travel time is calculated based upon the actual time to travel directly between worksites during each work day and is rounded to the nearest fifteen minutes. If more than one trip between worksites is made in a day, direct travel times are added together and rounded to the nearest fifteen minutes once each day.
- (3) Regardless of the estimated travel time, individual providers may only bill for actual time spent traveling as calculated in subsection (2) of this section.
- (4) If the individual provider has unexpected or unplanned travel time, the individual provider must contact the department to request approval and authorization for payment of the unplanned travel. The department will approve unplanned travel time requests related to client health and safety or due to traffic conditions outside the individual provider's control.

NEW SECTION

WAC 388-114-0140 Are there limitations on travel time? The department will not approve an individual provider to provide care for a client if the department determines, based on an online mapping tool, that the individual provider would regularly travel for more than sixty minutes between worksites or exceed a total of seven hours of travel time per work week.

WSR 16-11-052 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-109—Filed May 13, 2016, 11:27 a.m., effective June 10, 2016, 6:00 p.m.]

Effective Date of Rule: June 10, 2016, 6:00 p.m.

Purpose: Amend recreational fishing rules for Lake Sylvia.

Citation of Existing Rules Affected by this Order: Repealing WAC 220-310-18000V; and amending WAC 220-310-180.

Statutory Authority for Adoption: RCW 77.04.012, 77.04.020, and 77.12.047.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: This rule change is necessary to ensure a safe and successful event. The fish will be planted the evening prior to the event to better acclimate them before the event. There is insufficient time to adopt permanent rules.

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 0, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 1, Amended 0, Repealed 1.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 13, 2016.

J. W. Unsworth Director

NEW SECTION

WAC 220-310-18000V Freshwater exceptions to statewide rules—Coastal. Notwithstanding the provisions of WAC 220-310-180, effective 6:00 p.m. June 10 through 6:00 a.m. June 11, 2016, it is unlawful to fish in waters of Lake Sylvie (Grays Harbor Co.).

Reviser's note: The spelling error in the above section occurred in the copy filed by the agency and appears in the Register pursuant to the requirements of RCW 34.08.040.

REPEALER

The following section of the Washington Administrative Code is repealed effective 6:01 a.m. June 11, 2016:

WAC 220-310-18000V Freshwater exceptions to statewide rules—Coastal.

WSR 16-11-054 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-111—Filed May 13, 2016, 11:58 a.m., effective May 13, 2016, 11:58 a.m.]

Effective Date of Rule: Immediately upon filing.

Emergency [36]

Purpose: Amend recreational fishing rules for the halibut fishery.

Citation of Existing Rules Affected by this Order: Repealing WAC 220-56-25500F; and amending WAC 220-56-255

Statutory Authority for Adoption: RCW 77.04.012, 77.04.020, and 77.12.047.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: This emergency rule is needed to correct a typographical error in filing WSR 16-11-048. This rule conforms to federal action taken by the National Marine Fisheries Service and the International Pacific Halibut Commission. There is insufficient time to adopt permanent rules.

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 0, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 1, Amended 0, Repealed 1.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 13, 2016.

J. W. Unsworth Director

NEW SECTION

WAC 220-56-25500G Halibut—Seasons—Daily and possession limits. Notwithstanding the provisions of WAC 220-56-255, 220-56-250, and 220-56-230, effective immediately until further notice, it is unlawful to fish for or possess halibut taken for personal use, except as provided in this section:

(1) Catch Record Card Area 1

- (a) Open until further notice, Thursdays through Sundays.
- (b) It is unlawful during any vessel trip to bring into port or land bottomfish except sablefish, Pacific Cod, or flat fish species when halibut are on board.
- (2) Catch Record Card Area 1 (Nearshore fishery) Those waters shoreward from 46°38.17'N. lat., 124°15.88'W. long., to the WA/OR border at 46°16.00'N. lat., 24°15.88'W. long. (then connecting to the 40 fathom depth contour in Oregon).
- (a) Open until further notice, Mondays through Wednesdays.

- (b) It is permissible to retain bottomfish while possessing halibut onboard boats in the nearshore area.
 - (3) Catch Record Card Area 2 Closed.
- (a) Catch Record Card Area 2 (Northern Nearshore fishery) Those waters from 47°31.70'N. latitude south to 46°58.00'N latitude and east of a line approximating the 30 fathom depth contour as defined by the following coordinates, open seven days per week until further notice:

```
47°31.70 N. lat, 124°37.03 W. long
47°25.67 N. lat, 124°34.79 W. long
47°12.82 N. lat, 124°29.12 W. long
46°58.00 N. lat, 124°24.24 W. long
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- (b) Lingcod may be taken, retained and possessed seaward of the 30 fathom line on any day open to the primary halibut fishery as provided in subsection (2) above.
 - (4) Catch Record Card Areas 3 and 4
 - (a) Open May 14, 2016.
- (b) The following area southwest of Cape Flattery is closed to fishing for halibut at all times:

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Beginning at 48°18' N., 125°18' W.; thence to 48°18'N., 124°59'W.; thence to 48°11'N., 124°59'W.; thence to 48°11'N., 125°11'W., thence to 48°04'N., 125°11'W.; thence to 48°04'N., 124°59'W.; thence to 48°N., 124°59'W.; thence to 48°N., 125°18'W.; thence to point of origin.
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(c) It is unlawful to fish for or possess bottomfish seaward of a line approximating the 20-fathom depth contour, except, on days open to the Pacific halibut fishery in these areas, it is permissible to retain lingcod, sablefish and Pacific cod seaward of the 20 fathom depth contour as defined by the following coordinates:

```
48°23.9'N. lat., 124°44.2'W. long. 48°23.6'N. lat., 124°44.9'W. long. 48°18.6'N. lat., 124°43.6'W. long. 48°18.6'N. lat., 124°48.2'W. long. 48°10.0'N. lat., 124°48.8'W. long. 48°02.4'N. lat., 124°49.3'W. long. 47°37.6'N. lat., 124°34.3'W. long. 47°31.7'N. lat., 124°32.4'W. long.
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(d) In Marine Area 4 (east of the Bonilla-Tatoosh Line) it is unlawful to fish for or possess bottomfish seaward of a line approximating 120-feet (20 fathoms), except, on days open to the Pacific halibut fishery in this area, it is permissible to retain lingcod, and Pacific cod seaward of the 120 feet (20 fathoms) as defined by WAC 220-56-230.

(5) Catch Record Card Area 5 through 10

- (a) Open May 13, 14 and May 26 through May 29, 2016.
- (b) It is permissible for halibut anglers to retain lingcod and Pacific cod caught while fishing for halibut in waters deeper than 120 feet on days when halibut fishing is open in Areas 5 and 6.
 - (6) Catch Record Card Areas 11, 12 and 13 Closed.
- (7) Daily limit one halibut, no minimum size limit. The possession limit is two daily limits of halibut in any form,

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except the possession limit aboard the fishing vessel is one daily limit.

- (8) All other permanent rules remain in effect.
- (9) It is unlawful to land halibut in a port within an area closed to halibut fishing.

REPEALER

The following section of the Washington Administrative Code is repealed:

WAC 220-56-25500F Halibut—Seasons—Daily and possession limits. (16-108)

WSR 16-11-072 EMERGENCY RULES DEPARTMENT OF FISH AND WILDLIFE

[Order 16-110—Filed May 16, 2016, 1:59 p.m., effective May 16, 2016, 1:59 p.m.]

Effective Date of Rule: Immediately upon filing.

Purpose: Amend recreational harvest rules for razor clams.

Citation of Existing Rules Affected by this Order: Repealing WAC 220-56-36000R; amending WAC 220-56-360.

Statutory Authority for Adoption: RCW 77.04.012, 77.04.020, and 77.12.047.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: Survey results show that adequate clams are available for harvest in Razor Clam Areas 4 and 5. Washington department of health has certified clams from this beach to be safe for human consumption. There is insufficient time to adopt permanent rules.

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 0, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 1, Amended 0, Repealed 1.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 16, 2016.

J. W. Unsworth Director

NEW SECTION

WAC 220-56-36000R Razor clams—Areas and seasons. Notwithstanding the provisions of WAC 220-56-360, it is unlawful to dig for or possess razor clams taken for personal use from any beach in Razor Clam Areas 1, 2, 3, 4, 5, 6 or 7 except as provided for in this section:

- (1) Effective 12:01 a.m. May 20 through 11:59 a.m. May 21, 2016, razor clam digging is permissible in Razor Clam Area 4. Digging is permissible from 12:01 a.m. to 11:59 a.m. each day only.
- (2) Effective 12:01 a.m. May 20 through 11:59 a.m. May 22, 2016, razor clam digging is permissible in Razor Clam Area 5. Digging is permissible from 12:01 a.m. to 11:59 a.m. each day only.
- (3) It is unlawful to dig for razor clams at any time in the Long Beach or Copalis Beach Clam sanctuaries defined in WAC 220-56-372.

REPEALER

The following section of the Washington Administrative Code is repealed effective 12:00 p.m. May 22, 2016:

WAC 220-56-36000R Razor clams—Areas and seasons.

WSR 16-11-090 EMERGENCY RULES BUILDING CODE COUNCIL

[Filed May 17, 2016, 3:47 p.m., effective May 17, 2016, 3:47 p.m.]

Effective Date of Rule: Immediately upon filing.

Purpose: The purpose of this action is to delete certain provisions adopted in WAC 51-50-0907 and 51-54A-0907. This action will eliminate currently adopted language in Section 907.2.3 Group E, which required certain voice/alarm communications in Group E buildings/schools. The 2016 legislature took action to remove the current provisions per a budget proviso, as noted below.

Citation of Existing Rules Affected by this Order: Amending WAC 51-50-0907 and 51-54A-0907.

Statutory Authority for Adoption: Chapter 19.27 RCW.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: The 2016 legislature took action to modify the requirements of this section under ESHB 2380.SL/New Section 6012. A new section is added to 2015 3rd32sp.s. [3rd sp.s.] c 3 (uncodified) to read as follows: To avoid unnecessary duplication of infrastructure installation and reduce school construction costs funded through the school construction assistance program in this budget, by

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June 1, 2016, the building code council shall adopt emergency amendments providing that buildings classed as E occupancies, as defined in the state building code, are not required to install an emergency voice alarm system as defined in the 2012 International Building Code and International Fire Code section 907.2.3. The school district must comply with RCW 28A.320.126 by working collaboratively with local law enforcement agencies to develop an emergency response system using evolving technologies and the school district must adopt a safe school plan under RCW 28A.320.125.

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or Recently Enacted State Statutes: New 0, Amended 0 [2], Repealed 2 [0].

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 0, Amended 0, Repealed 0.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 0, Amended 0, Repealed 0.

Date Adopted: May 13, 2016.

Steve K. Simpson Chair

AMENDATORY SECTION (Amending WSR 16-03-064, filed 1/19/16, effective 7/1/16)

WAC 51-50-0907 Section 907—Fire alarm and detection systems.

[F] 907.2.3 Group E. A manual fire alarm system that initiates the occupant notification signal ((utilizing an emergency voice/alarm communication system)) meeting the requirements of Section 907.5.2.2 and installed in accordance with Section 907.6 shall be installed in Group E occupancies. When automatic sprinkler systems or smoke detectors are installed, such systems or detectors shall be connected to the building fire alarm system.

EXCEPTIONS:

- 1. A manual fire alarm system is not required in Group E occupancies with an occupant load of 50 or less.
- 2. ((Emergency voice/alarm communication systemsmeeting the requirements of Section 907.5.2.2 andinstalled in accordance with Section 907.6 shall not berequired in Group E occupancies with occupant loads of 100 or less, provided that activation of the manual firealarm system initiates an approved occupant notification signal in accordance with Section 907.5.)) Reserved.
- 3. Manual fire alarm boxes are not required in Group E occupancies where all of the following apply:
- 3.1 Interior corridors are protected by smoke detectors.
- 3.2 Auditoriums, cafeterias, gymnasiums and similar areas are protected by heat detectors or other approved detection devices.

- 3.3 Shops and laboratories involving dusts or vapors are protected by heat detectors or other approved detection devices
- 4. Manual fire alarm boxes shall not be required in Group E occupancies where ((the building is equipped-throughout with an approved automatic sprinkler system-installed in accordance with Section 903.3.1.1, the emergency voice/alarm communication system will activate on sprinkler water flow and manual activation.)) all of the following apply:
- 4.1 The building is equipped throughout with an approved automatic sprinkler system installed in accordance with Section 903.3.1.1.
- 4.2 The notification appliances will activate on sprinkler water flow.
- 4.3 Manual activation is provided from a normally occupied location.

[F] 907.2.6 Group I. A manual fire alarm system that activates the occupant notification system shall be installed in Group I occupancies. An automatic smoke detection system that notifies the occupant notification system shall be provided in accordance with Sections 907.2.6.1, 907.2.6.2, 907.2.6.3.3 and 907.2.6.4.

EXCEPTIONS:

- 1. Manual fire alarm boxes in resident or patient sleeping areas of Group I-1 and I-2 occupancies shall not be required at exits if located at nurses' control stations or other constantly attended staff locations, provided such stations are visible and continually accessible and that travel distances required in Section 907.4.2 are not exceeded.
- Occupant notification systems are not required to be activated where private mode signaling installed in accordance with NFPA 72 is approved by the fire code official.

[F] 907.2.6.1 Group I-1. An automatic smoke detection system shall be installed in *corridors*, waiting areas open to *corridors* and *habitable spaces* other than *sleeping units* and kitchens. The system shall be activated in accordance with Section 907.4.

EXCEPTIONS:

- 1. For Group I-1 Condition 1 occupancies, smoke detection in *habitable spaces* is not required where the facility is equipped throughout with an *automatic sprinkler system* installed in accordance with Section 903.3.1.1.
- 2. Smoke detection is not required for exterior balconies.

[F] 907.2.6.4 Group I-4 occupancies. A manual fire alarm system that initiates the occupant notification signal ((utilizing an emergency voice/alarm communication system)) meeting the requirements of Section ((907.5.2.2)) 907.5 and installed in accordance with Section 907.6 shall be installed in Group I-4 occupancies. When automatic sprinkler systems or smoke detectors are installed, such systems or detectors shall be connected to the building fire alarm system.

EXCEPTION((S)): ((4-)) A manual fire alarm system is not required in Group I-4 occupancies with an occupant load of 50 or

((2. Emergency voice alarm communication systemsmeeting the requirements of Section 907.5.2.2 andinstalled in accordance with Section 907.6 shall not be required in Group I-4 occupancies with occupant loadsof 100 or less, provided that activation of the manual fire alarm system initiates an approved occupant notification signal in accordance with Section 907.5.))

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907.5.2.1.2 Maximum sound pressure. The maximum sound pressure level for audible alarm notification appliances shall be 110 dBA at the minimum hearing distance from the audible appliance. For systems operating in public mode, the maximum sound pressure level shall not exceed 30 dBA over the average ambient sound level. Where the average ambient noise is greater than 95 dBA, visible alarm notification appliances shall be provided in accordance with NFPA 72 and audible alarm notification appliances shall be required.

907.10 NICET: National Institute for Certification in Engineering Technologies.

907.10.1 Scope. This section shall apply to new and existing fire alarm systems.

907.10.2 Design review. All construction documents shall be reviewed by a NICET III in fire alarms or a licensed professional engineer (PE) in Washington prior to being submitted for permitting. The reviewing professional shall submit a stamped, signed, and dated letter; or a verification method approved by the local authority having jurisdiction indicating the system has been reviewed and meets or exceeds the design requirements of the state of Washington and the local jurisdiction. (Effective July 1, 2017.)

907.10.3 Testing/maintenance. All inspection, testing, maintenance and programing not defined as "electrical construction trade" by chapter 19.28 RCW shall be completed by a NICET II in fire alarms. (Effective July 1, 2017.)

Reviser's note: The brackets and enclosed material in the text of the above section occurred in the copy filed by the agency and appear in the Register pursuant to the requirements of RCW 34.08.040.

<u>AMENDATORY SECTION</u> (Amending WSR 16-03-055, filed 1/16/16, effective 7/1/16)

WAC 51-54A-0907 $\,$ Fire alarm and detection systems.

907.2.3 Group E. A manual fire alarm system that initiates the occupant notification signal ((utilizing an emergency voice/alarm communication system)) meeting the requirements of Section ((907.5.2.2)) 907.5 and installed in accordance with Section 907.6 shall be installed in Group E occupancies. When automatic sprinkler systems or smoke detectors are installed, such systems or detectors shall be connected to the building fire alarm system.

EXCEPTIONS:

- 1. A manual fire alarm system is not required in Group E occupancies with an occupant load of 50 or less.
- 2. ((Emergency voice/alarm communication systemsmeeting the requirements of Section 907.5.2.2 andinstalled in accordance with Section 907.6 shall not berequired in Group E occupancies with occupant loads of 100 or less, provided that activation of the manual firealarm system initiates an approved occupant notification signal in accordance with Section 907.5.)) Reserved.
- 3. Manual fire alarm boxes are not required in Group E occupancies where all of the following apply:
- 3.1 Interior corridors are protected by smoke detectors.
- 3.2 Auditoriums, cafeterias, gymnasiums and similar areas are protected by heat detectors or other approved detection devices.

- 3.3 Shops and laboratories involving dusts or vapors are protected by heat detectors or other approved detection devices.
- 4. Manual fire alarm boxes shall not be required in Group E occupancies where ((the building is equipped-throughout with an approved automatic sprinkler system-installed in accordance with Section 903.3.1.1, the emergency voice/alarm communication system will activate on sprinkler water flow and manual activation.)) all of the following apply:
- 4.1 The building is equipped throughout with an approved automatic sprinkler system installed in accordance with Section 903.3.1.1.
- 4.2 The notification appliances will activate on sprinkler water flow.
- 4.3 Manual activation is provided from a normally occupied location.

907.2.6 Group I. A manual fire alarm system that activates the occupant notification system shall be installed in Group I occupancies. An automatic smoke detection system that notifies the occupant notification system shall be provided in accordance with Sections 907.2.6.1, 907.2.6.2, 907.2.6.3.3 and 907.2.6.4.

EXCEPTIONS:

- 1. Manual fire alarm boxes in resident or patient sleeping areas of Group I-1 and I-2 occupancies shall not be required at exits if located at nurses' control stations or other constantly attended staff locations, provided such stations are visible and continually accessible and that travel distances required in Section 907.4.2 are not exceeded.
- Occupant notification systems are not required to be activated where private mode signaling installed in accordance with NFPA 72 is approved by the fire code official.

907.2.6.1 Group I-1. An automatic smoke detection system shall be installed in *corridors*, waiting areas open to *corridors* and *habitable spaces* other than *sleeping units* and kitchens. The system shall be activated in accordance with Section 907.4.

EXCEPTIONS:

- 1. For Group I-1 Condition 1 occupancies, smoke detection in *habitable spaces* is not required where the facility is equipped throughout with an *automatic sprinkler system* installed in accordance with Section 903.3.1.1.
- 2. Smoke detection is not required for exterior balconies.

907.2.6.4 Group I-4 occupancies. A manual fire alarm system that initiates the occupant notification signal ((utilizing an emergency voice/alarm communication system)) meeting the requirements of Section ((907.5.2.2)) 907.5 and installed in accordance with Section 907.6 shall be installed in Group I-4 occupancies. When automatic sprinkler systems or smoke detectors are installed, such systems or detectors shall be connected to the building fire alarm system.

 $\begin{array}{c} \text{EXCEPTION}((S)): ((+.)) \text{ A manual fire alarm system is not required in} \\ \text{Group I-4 occupancies with an occupant load of 50 or} \end{array}$

((2. Emergency voice alarm communication systemsmeeting the requirements of Section 907.5.2.2 andinstalled in accordance with Section 907.6 shall not berequired in Group I-4 occupancies with occupant loadsof 100 or less, provided that activation of the manual firealarm system initiates an approved occupant notification signal in accordance with Section 907.5.))

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907.5.2.1.2 Maximum sound pressure. The maximum sound pressure level for audible alarm notification appliances shall be 110 dBA at the minimum hearing distance from the audible appliance. For systems operating in public mode, the maximum sound pressure level shall not exceed 30 dBA over the average ambient sound level. Where the average ambient noise is greater than 95 dBA, visible alarm notification appliances shall be provided in accordance with NFPA 72 and audible alarm notification appliances shall be required.

907.10 NICET: National Institute for Certification in Engineering Technologies.

907.10.1 Scope. This section shall apply to new and existing fire alarm systems.

907.10.2 Design review: All construction documents shall be reviewed by a NICET III in fire alarms or a licensed professional engineer (PE) in Washington prior to being submitted for permitting. The reviewing professional shall submit a stamped, signed, and dated letter; or a verification method approved by the local authority having jurisdiction indicating the system has been reviewed and meets or exceeds the design requirements of the state of Washington and the local jurisdiction (effective July 1, 2017).

907.10.3 Testing/maintenance: All inspection, testing, maintenance and programing not defined as "*electrical construction trade*" by chapter 19.28 RCW shall be completed by a NICET II in fire alarms (effective July 1, 2017).

WSR 16-11-095 EMERGENCY RULES DEPARTMENT OF HEALTH

[Filed May 18, 2016, 8:44 a.m., effective May 18, 2016, 8:44 a.m.]

Effective Date of Rule: Immediately upon filing.

Purpose: Chapter 246-70 WAC; creating a new chapter to establish rules required by RCW 69.50.375 regarding marijuana products beneficial for medical use by qualifying patients, quality assurance testing (pesticides, mycotoxins, heavy metals, terpenes), product labeling, employee training and safe handling. The filing replaces emergency rules filed on February 2, 2016, WSR 16-04-111. Language has been amended to clarify requirements for logos and laboratory product testing.

Statutory Authority for Adoption: RCW 69.50.375. Other Authority: RCW 80.08.9998.

Under RCW 34.05.350 the agency for good cause finds that immediate adoption, amendment, or repeal of a rule is necessary for the preservation of the public health, safety, or general welfare, and that observing the time requirements of notice and opportunity to comment upon adoption of a permanent rule would be contrary to the public interest.

Reasons for this Finding: Creating new chapter 246-70 WAC to establish new rules required by RCW 69.50.375 and 82.08.8889 regarding marijuana products beneficial for medical use by qualifying patients, quality assurance testing (pesticide, mycotoxin, heavy metal, terpenes), product labeling, employee training and safe handling.

This filing replaces emergency rules filed on February 2, 2016, as WSR 16-04-111. The department of health (department) is pursuing permanent rule making while it continues to review public comment from proposed rules filed as WSR 16-05-079. Soon after this filing, the department will be filing a second, supplemental CR-102 to continue with the permanent rule adoption process. To avoid a gap in the rules and to meet the deadlines mandated in statute, the department is enacting a third set of emergency rules on product compliance.

The department must enact emergency rules to ensure that a safe, reliable supply of products is available by July 1, 2016, in licensed retail stores with a medical marijuana endorsement. The deadline of July 1, 2016, is established in statute. July 1, 2016, is also the date in statute by which all existing collective gardens must obtain a state license or cease operations. In October 2015, the liquor and cannabis board began accepting applications for new retail stores and medical marijuana endorsements from currently licensed retail stores. On October 5, 2015, the department filed emergency rules on marijuana products beneficial for medical use. so those businesses submitting applications for medical marijuana endorsements would be aware of what they were agreeing to sell, as well as providing notice to producers and processors who would be providing product for the medically endorsed retail outlets. Simultaneously, the department filed a notice to begin the permanent rule-making process. The department has conducted stakeholder meetings and collected public comments on the product rules, and the process for permanent adoption is well underway. The continuation of the emergency product rules, with some changes due to the information gathered to date, is necessary to provide continued guidance to the producers, processors, and medically endorsed retail outlets.

The mandated deadlines and the need for the third emergency rules are:

- Applicants for medical marijuana endorsements must stipulate to selling the medical marijuana products identified in this rule, which they cannot do if they do not know what products are medical marijuana products.
- Licensed producers must know what to plant for medical grade products, as well as the requirements for allowable trace levels of heavy metals and pesticides, so they properly use fertilizers and pesticides in a manner that protects the safety and health of the qualifying patients.
- Licensed producers must have adequate time to grow the specific strains necessary to meet patient needs and licensed processers must have adequate time to convert the harvested plants into products meeting the specifications in the rule.
- The certified third-party testing labs must have enough notice of the requirements in these rules to have adequate equipment and training in place sufficiently in advance to have an adequate supply of tested medical grade product on the shelves for qualifying patients on July 1, 2016.

Number of Sections Adopted in Order to Comply with Federal Statute: New 0, Amended 0, Repealed 0; Federal Rules or Standards: New 0, Amended 0, Repealed 0; or

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Recently Enacted State Statutes: New 9, Amended 0, Repealed 0.

Number of Sections Adopted at Request of a Nongovernmental Entity: New 0, Amended 0, Repealed 0.

Number of Sections Adopted on the Agency's Own Initiative: New 0, Amended 0, Repealed 0.

Number of Sections Adopted in Order to Clarify, Streamline, or Reform Agency Procedures: New 0, Amended 0, Repealed 0.

Number of Sections Adopted Using Negotiated Rule Making: New 0, Amended 0, Repealed 0; Pilot Rule Making: New 0, Amended 0, Repealed 0; or Other Alternative Rule Making: New 9, Amended 0, Repealed 0.

Date Adopted: May 18, 2016.

John Wiesman, DrPH, MPH Secretary

Chapter 246-70 WAC

MARIJUANA PRODUCT COMPLIANCE

NEW SECTION

WAC 246-70-010 Findings. Anecdotal and limited scientific evidence indicates that the use of marijuana may be beneficial to alleviate the symptoms of certain physical and mental conditions. However, due to the current federal classification of marijuana as a schedule 1 controlled substance, scientific research has not been performed that would allow for standardized indications of particular strains, which can vary radically in cannabinoid composition; standard, reproducible formula or dosage; or accepted standards for drug purity, potency and quality for the various conditions for which the medical use of marijuana may be authorized. At this time, the decision of what marijuana products may be beneficial is best made by patients in consultation with their health care practitioners. For this reason, the department will not limit the types of products available to qualifying patients. Instead, the department intends to create standards for products that any consumer can rely upon to be reasonably safe and meet quality assurance measures.

NEW SECTION

WAC 246-70-020 Applicability of WSLCB rules. The requirements in this chapter are in addition to all WSLCB requirements in chapter 314-55 WAC. They are intended to build upon all other requirements for licensed marijuana producers, processors and retailers, and certified third-party labs.

NEW SECTION

- WAC 246-70-030 **Definitions.** The definitions in this section apply throughout this chapter unless the context clearly requires otherwise.
- (1) "Allowed pesticide" means a pesticide registered by the Washington state department of agriculture under chapter 15.58 RCW as allowed for use in the production, processing, and handling of marijuana.
- (2) "Batch" means a quantity of marijuana-infused product containing material from one or more lots of marijuana.

- (3) "CBD concentration" means the percent of cannabidiol content per dry weight of any part of the plant *Cannabis*, or per volume or weight of marijuana product.
- (4) "Certified third-party testing lab" means a laboratory certified by the WSLCB or its vendor under WAC 314-55-102
- (5) "Data base" means the medical marijuana authorization data base created pursuant to RCW 69.51A.230.
- (6) "Department" means the Washington state department of health.
- (7) "Designated provider" has the same meaning as RCW 69.51A.010(4).
- (8) "Harvest" means the marijuana plant material derived from plants of the same strain that were brought into cultivation at the same time, grown in the same manner and physical space, and gathered at the same time.
- (9) "Imported cannabinoid" means any cannabinoid derived of the plant *Cannabis* with a THC concentration 0.3 percent or less that is not produced by a licensed marijuana producer.
 - (10) "Lot" means either of the following:
- (a) The flowers from one or more marijuana plant(s) of the same strain. A single lot of flowers cannot weigh more than five pounds; or
- (b) The trim, leaves, or other plant matter from one or more marijuana plant(s). A single lot of trim, leaves, or other plant matter cannot weigh more than fifteen pounds.
- (11) "Marijuana" means all parts of the plant *Cannabis*, whether growing or not, with a THC concentration greater than 0.3 percent on a dry weight basis; the seeds thereof; the resin extracted from any part of the plant; and every compound, manufacture, salt, derivative, mixture, or preparation of the plant, its seeds or resin. The term does not include the mature stalks of the plant, fiber produced from the stalks, oil or cake made from the seeds of the plant, any other compound, manufacture, salt, derivative, mixture, or preparation of the mature stalks (except the resin extracted therefrom), fiber, oil, or cake, or the sterilized seed of the plant which is incapable of germination.
- (12) "Marijuana concentrates" means products consisting wholly or in part of the resin extracted from any part of the plant *Cannabis* and having a THC concentration greater than ten percent.
- (13) "Marijuana-infused products" means products that contain marijuana or marijuana extracts, are intended for human use, are derived from marijuana as defined in subsection (11) of this section, and have a THC concentration no greater than ten percent. The term "marijuana-infused products" does not include either usable marijuana or marijuana concentrates.
- (14) "Marijuana processor" means a person licensed by the WSLCB under RCW 69.50.325 to process marijuana into marijuana concentrates, usable marijuana and marijuana-infused products, package and label marijuana concentrates, usable marijuana and marijuana-infused products for sale in retail outlets, and sell marijuana concentrates, usable marijuana and marijuana-infused products at wholesale to marijuana retailers.
- (15) "Marijuana producer" means a person licensed by the WSLCB under RCW 69.50.325 to produce and sell mari-

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juana at wholesale to marijuana processors and other marijuana producers.

- (16) "Marijuana product" means marijuana, marijuana concentrates, usable marijuana, and marijuana-infused products as defined in this section.
- (17) "Medical use of marijuana" has the same meaning as RCW 69.51A.010(16).
 - (18) "Plant" means a marijuana plant.
- (19) "Production" includes the manufacturing, planting, cultivating, growing, or harvesting of marijuana.
- (20) "Qualifying patient" or "patient" has the same meaning as RCW 69.51A.010(19).
- (21) "Pesticide" means, but is not limited to: (a) Any substance or mixture of substances intended to prevent, destroy, control, repel, or mitigate any insect, rodent, snail, slug, fungus, weed, and any other form of plant or animal life or virus, except virus on or in a living person or other animal which is normally considered to be a pest; (b) any substance or mixture of substances intended to be used as a plant regulator, defoliant, or desiccant; and (c) any spray adjuvant. Pesticides include substances commonly referred to as herbicides, fungicides, insecticides, and cloning agents.
- (22) "Recognition card" means a card issued to qualifying patients and designated providers by a marijuana retailer with a medical marijuana endorsement that has entered them into the medical marijuana data base.
- (23) "Retail outlet" means a location licensed by the WSLCB under RCW 69.50.325 for the retail sale of usable marijuana and marijuana-infused products.
- (24) "Retail outlet with a medical marijuana endorsement" means a location licensed by the WSLCB under RCW 69.50.325 for the retail sale of marijuana products to the public and, under RCW 69.50.375, to qualifying patients and designated providers for medical use.
- (25) "Secretary" means the secretary of the department of health or the secretary's designee.
- (26) "THC concentration" means the percent of Delta 9 tetrahydrocannabinol content per dry weight of any part of the plant *Cannabis*, or per volume or weight of marijuana product, or the combined percent of Delta 9 tetrahydrocannabinol and tetrahydrocannabinolic acid in any part of the plant *Cannabis* regardless of moisture content.
- (27) "Tincture" means a solution containing marijuana extract. A single unit of tincture cannot exceed two fluid ounces.
- (28) "Topical product" means a product intended for use only as an application to human body surfaces, does not cross the blood-brain barrier, and is not meant to be ingested by humans or animals.
- (29) "Unit" means an individually packaged marijuana product containing up to ten servings or applications.
- (30) "Usable marijuana" means dried marijuana flowers. The term "usable marijuana" does not include either marijuana-infused products or marijuana concentrates.
- (31) "WSLCB" means the Washington state liquor and cannabis board.

NEW SECTION

- WAC 246-70-040 Marijuana products compliant with this chapter. To be classified as a compliant marijuana product, the product must meet all requirements of this chapter. Compliant marijuana products must fall into one of the following classifications:
 - (1) General use.
- (a) "General use compliant product" means any marijuana product approved by the WSLCB and meeting the requirements of this chapter including edible marijuana-infused products and marijuana products with CBD/THC ratios that do not qualify as "high CBD compliant products" under subsection (3) of this section.
- (b) General use marijuana-infused compliant products may be packaged in servings or applications containing up to ten milligrams of active THC. A unit must not contain more than ten servings or applications and must not exceed one hundred total milligrams of active THC.
- (c) General use compliant products must be labeled "Chapter 246-70 WAC, Compliant General Use" and must use the logo found in WAC 246-70-090 to indicate compliance with this chapter.
- (d) General use compliant products may be purchased by any adult age twenty-one or older, and qualifying patients between the ages of eighteen and twenty who are entered into the data base and hold a valid recognition card.
- (e) General use compliant products may be sold at retail outlets and retail outlets with a medical marijuana endorsement.
 - (2) High THC.
- (a) "High THC compliant product" means a marijuana product containing more than ten but no more than fifty milligrams of THC per serving or application and meeting the requirements of this chapter.
- (b) The following is an exclusive list of marijuana products that may qualify for classification as a high THC compliant product:
 - (i) Capsules;
 - (ii) Tinctures;
 - (iii) Transdermal patches; and
 - (iv) Suppositories.
- (c) No other marijuana products can be classified as a high THC compliant product or contain more than ten milligrams of active THC per serving or application.
- (d) High THC compliant products may be packaged in servings or applications containing up to fifty milligrams of active THC. A unit must not contain more than ten servings or applications and must not exceed five hundred total milligrams of active THC.
- (e) High THC compliant products must be labeled "Chapter 246-70 WAC Compliant High THC" and must use the logo found in WAC 246-70-090 to indicate compliance with this chapter.
- (f) High THC compliant products may be purchased only by qualifying patients age eighteen and older and designated providers who are entered into the data base and hold a valid recognition card.
- (g) High THC compliant products may be sold only at retail outlets with a medical marijuana endorsement.

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- (3) High CBD.
- (a) "High CBD compliant product" means any marijuana product, except usable marijuana or other plant material intended for smoking, approved by the WSLCB, including edibles, meeting the requirements of this chapter and containing the following ratios:
- (i) Marijuana extracts containing not more than two percent THC concentration and at least twenty-five times more CBD concentration by weight.
- (ii) Marijuana-infused edible products containing not more than two milligrams of active THC and at least five times more CBD per serving by weight for solids or volume for liquids.
- (iii) Marijuana-infused topical products containing at least five times more CBD concentration than THC concentration.
- (b) High CBD compliant products must be labeled "Chapter 246-70 WAC Compliant High CBD" and must use the logo found in WAC 246-70-090 to indicate compliance with this chapter.
- (c) High CBD compliant products may be purchased by any adult age twenty-one or older, and qualifying patients between the ages of eighteen and twenty who are entered into the data base and hold a valid recognition card.
- (d) High CBD compliant products may be sold at retail outlets and retail outlets with a medical marijuana endorsement.

- WAC 246-70-050 Quality assurance testing. (1) Testing. In addition to the tests required under WAC 314-55-102, the following tests shall be performed at the intervals indicated by a third-party testing lab certified by the WSLCB:
- (a) Pesticide screening and heavy metal screening are required at the time of harvest for all marijuana flowers, trim, leaves, or other plant matter.
- (i) Minimum sample size is three grams for every three pounds of harvested product.
- (ii) Harvest amounts will be rounded up to the next three-pound interval. For example, a harvest of less than three pounds requires at least three grams for testing; a harvest of three or more pounds but less than six pounds requires at least six grams for testing.
- (b) Mycotoxin screening is required whenever microbial testing for any marijuana product is required by the WSLCB.
- (c) In addition to the pesticide screening required in subsection (1)(a) of this section, additional pesticide screening is required for:
 - (i) Each batch of finished concentrates and extracts; and
- (ii) Any imported cannabinoid intended for use in a marijuana product.

The minimum sample size for each batch of finished concentrates and extracts is two grams. The sample size for imported cannabinoids is one percent of the product as packaged by the manufacturer of the imported cannabinoid but in no case shall the sample be less than two grams.

(d) In addition to the heavy metal screening required in (a) of this subsection, additional heavy metal screening is required for any imported cannabinoid intended for use in a

- marijuana product. The sample size for imported cannabinoids is one percent of the product as packaged by the manufacturer of the imported cannabinoid but in no case shall the sample be less than two grams.
- (e) Licensed marijuana producers, licensed marijuana processors, and certified third-party labs must follow the sampling protocols in chapter 314-55 WAC.
- (f) At the request of the producer or processor, the WSLCB may authorize a retest to validate a failed test result on a case-by-case basis. All costs of the retest will be borne by the producer or processor.
 - (2) Pesticide screening.
- (a) Only allowed pesticides shall be used in the production, processing, and handling of marijuana. Pesticide use must be consistent with the manufacturer's label requirements
- (b) Certified third-party labs must screen for any pesticides that are not allowed and are designated as having the potential for misuse on a list created, maintained, and periodically updated by the department in consultation with the Washington state department of agriculture and the WSLCB. Certified third-party labs must also screen for pyrethrins and piperonyl butoxide (PBO) in samples of finished concentrates and extracts. Certified third-party labs may also screen for additional pesticides.
 - (c) For purposes of the pesticide screening:
- (i) A sample of any marijuana product shall be deemed to have failed if a pesticide that is not allowed is detected above the action level for that pesticide as determined by the WSLCB under chapter 314-55 WAC.
- (ii) A sample of finished concentrate or extract shall be deemed to have failed if more than 1.0 ppm of allowed pyrethrins or 2.0 ppm of piperonyl butoxide (PBO) is detected.
- (d) A harvest or batch deemed to have failed pesticide screening must be destroyed according to chapter 314-55 WAC. Marijuana flowers, trim, leaves, or other plant matter deemed to have failed pesticide screening must not be used to create extracts or concentrates. Imported cannabinoids deemed to have failed pesticide screening must not be added to any marijuana product.
- (e) Pesticides containing allowed pyrethrins or piperonyl butoxide (PBO) may not be applied less than seven days prior to harvest.
- (f) All individuals applying pesticides shall adhere to the agricultural use requirements on the label. Pesticide applications that do not follow the pesticide product label may pose risks to public health and safety and are a violation of chapter 15.58 RCW.
 - (3) Heavy metal screening.
- (a) For the purposes of heavy metal screening, a sample shall be deemed to have passed if it meets the following standards:

Metal	Limit, µg/daily dose (5 grams)
Inorganic	10.0
arsenic	 10.0
Cadmium	 4.1
Lead	 6.0

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	Limit, µg/daily
Metal	dose (5 grams)
Mercury	 2.0

- (b) A harvest deemed to have failed heavy metal screening must be destroyed according to chapter 314-55 WAC. Marijuana flowers, trim, leaves, or other plant matter deemed to have failed heavy metal screening must not be used to create extracts or concentrates. Imported cannabinoids deemed to have failed heavy metal screening must not be added to any marijuana product.
- (4) For purposes of mycotoxin screening, a sample shall be deemed to have passed if it meets the following standards:

Test	Specification
The total of aflatoxin B1,	
aflatoxin B2, aflatoxin G1	
and aflatoxin G2	<20 μG/kg of substance
Ochratoxin A	$<$ 20 μ G/kg of substance

- (5) Terpenes.
- (a) Terpene analysis is not required. If terpene content is listed on product packaging or label, a terpene analysis from a certified third-party lab must be available for review by the consumer upon request.
- (b) The addition of any terpene to useable marijuana is prohibited. Only the following terpenes may be added to a marijuana product other than useable marijuana.
 - (i) Terpenes naturally occurring in marijuana; or
- (ii) Terpenes permitted or generally recognized as safe by, and used in accordance with, 21 C.F.R., Chapter I, subchapter B.

WAC 246-70-060 Compliant product labeling. (1) Products meeting the requirements of this chapter must be readily identifiable to the consumer by placement on the product's label of the appropriate logo found in WAC 246-70-090. A logo must be used in compliance with this chapter and any guidance for use developed by the department. A logo may not be used on any object or merchandise other than a compliant marijuana product. A logo used in accordance with this chapter must be printed in either black or dark blue.

- (2) Labels for compliant products must not:
- (a) Use any word(s), symbol, or image commonly used in or by medical or pharmaceutical professions including, but not limited to: Depiction of a caduceus, staff of Asclepius, bowl of Hygieia, or mortar and pestle; or use of the word "prescription" or letters "RX";
- (b) State or imply any specific medical or therapeutic benefit; or
 - (c) Mimic a brand of over-the-counter or legend drug.
- (3) The label must prominently display the following statement: "This product is not approved by the FDA to treat, cure, or prevent any disease."
- (4) Only marijuana products complying with this chapter may use a logo found in WAC 246-70-090. Marijuana products that use a logo but do not meet the requirements in this chapter will be reported to the WSLCB.

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WAC 246-70-070 Compliant product safe handling. (1) Marijuana processors shall ensure all processing facilities that create or handle marijuana-infused products are constructed, kept, and maintained in a clean and sanitary condition in accordance with rules as prescribed by the Washington state department of agriculture under chapters 16-165 and 16-167 WAC.

- (2) Marijuana processors that do not create or handle marijuana-infused products and all marijuana producers shall adopt and enforce policies and procedures to ensure that operations involving the growing, receiving, inspecting, transporting, segregating, preparing, production, packaging, and storing of marijuana or marijuana products are conducted in accordance with adequate sanitation principles including:
- (a) Any person who, by medical examination or supervisory observation, is shown to have, or appears to have, an illness, open lesion, including boils, sores or infected wounds, or any other abnormal source of microbial contamination for whom there is a reasonable possibility of contact with marijuana, marijuana plants, or marijuana products shall be excluded from any operations that may be expected to result in microbial contamination until the condition is corrected.
- (b) Hand-washing facilities must be available and furnished with running water. Hand-washing facilities shall be located in the permitted premises and where good sanitary practices require employees to wash or sanitize their hands, and provide effective hand-cleaning and sanitizing preparations and sanitary towel service or suitable drying devices.
- (c) All persons working in direct contact with marijuana, marijuana plants, or marijuana products must conform to hygienic practices while on duty including, but not limited to:
 - (i) Maintaining personal cleanliness;
- (ii) Washing hands thoroughly in hand-washing areas before starting work and at any other time when the hands may have become soiled or contaminated;
- (iii) Refraining from having direct contact with marijuana, marijuana plants, or marijuana products if the person has or may have an illness, open lesion, including boils, sores or infected wounds, or any other abnormal source of microbial contamination, until the condition is corrected.
- (d) Litter and waste are properly removed and the operating systems for waste disposal are maintained in a manner so that they do not constitute a source of contamination in areas where marijuana, marijuana plants, or marijuana products may be exposed.
- (e) Floors, walls and ceilings are constructed in such a manner that they may be adequately cleaned and kept clean and in good repair.
- (f) There is adequate lighting in all areas where marijuana, marijuana plants, or marijuana products are stored and where equipment or utensils are cleaned.
- (g) There is adequate screening or other protection against the entry of pests. Rubbish must be disposed of so as to minimize the development of odor and minimize the potential for the waste becoming an attractant, harborage, or breeding place for pests.
- (h) Any buildings, fixtures, and other facilities are maintained in a sanitary condition.

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- (i) Toxic cleaning compounds, sanitizing agents, and solvents used in the production of marijuana concentrates must be identified, held and stored in a manner that protects against contamination of marijuana, marijuana plants, and marijuana products, and in a manner that is in accordance with any applicable local, state, or federal law, rule, regulation, or ordinance.
- (j) All contact surfaces, including utensils and equipment used for the preparation of marijuana, marijuana plants, or marijuana products must be cleaned and sanitized regularly to protect against contamination. Equipment and utensils must be designed and be of such material and workmanship as to be adequately cleanable, and must be properly maintained. Sanitizing agents must be used in accordance with labeled instructions.
- (k) The water supply must be sufficient for the operations and capable of providing a safe, potable, and adequate supply of water to meet the facility's needs. Each facility must provide its employees with adequate and readily accessible toilet facilities that are maintained in a sanitary condition and good repair.

- WAC 246-70-080 Employee training. (1) Marijuana producers, processors and retailers that create, handle, or sell compliant marijuana products shall adopt and enforce policies and procedures to ensure employees and volunteers receive training about the requirements of this chapter.
- (2) Marijuana retailers holding a medical marijuana endorsement shall also adopt and enforce policies and procedures to ensure employees and volunteers receive training about:
- (a) Procedures regarding the recognition of valid authorizations and the use of equipment to enter qualifying patients and designated providers into the medical marijuana authorization data base;
 - (b) Identification of valid recognition cards;
 - (c) Adherence to confidentiality requirements; and
- (d) Science-based information about cannabinoids, strains, varieties, THC concentration, CBD concentration, and THC to CBD ratios of marijuana concentrates, usable marijuana, and marijuana-infused products available for sale when assisting qualifying patients and designated providers at the retail outlet.
- (3) Nothing in subsection (2) of this section allows any owner, employee, or volunteer to:
- (a) Perform the duties of a medical marijuana consultant or represent themselves as a medical marijuana consultant unless the person holds a valid certificate issued by the secretary under chapter 246-72 WAC;
- (b) Offer or undertake to diagnose or cure any human or animal disease, ailment, injury, infirmity, deformity, pain, or other condition, physical or mental, real or imaginary, by use of marijuana products or any other means or instrumentality; or
- (c) Recommend or suggest modification or elimination of any course of treatment that does not involve the medical use of marijuana or marijuana products.

NEW SECTION

WAC 246-70-090 Marijuana product compliant logos.







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